



SOUTHWEST RESEARCH AND INFORMATION CENTER
P.O. Box 4524 Albuquerque, NM 87106 505-262-1862 FAX: 505-262-1864

February 28, 2000

TO: STEVE ZAPPE

FROM: Don Hancock

RE: Rocky Flats Occurrence Report

As you requested, enclosed is the 3-page Rocky Flats Occurrence Report referred to in my February 14, 2000 letter regarding the RFETS Audit Report.

Please let me know if you need any further information. And keep me informed regarding the audit report approval and any information on the WWIS or other information from DOE regarding waste shipments from RFETS.

Thank you.



RFO-KHLL-WSTMGTOPS-1999-0021

Notification Report

Occurrence Report

RFETS Waste Management Operations

(Name of Facility)

Balance-of-Plant

(Facility Function)

Rocky Flats Env. Technology Site

Kaiser-Hill Company, L.L.C.

(Laboratory, Site, or Organization)

Name: SAUER, P.

Title: FACILITY MANAGER

Telephone No.: (303) 966-5957

(Facility Manager/Designer)

Name: HAYNE, MARK A

Title: SAFETY ENGINEER / OR

Telephone No.: (303) 966-4949

(Originator/Transmitter)

Name: R. L. BURNS

Date: 11/10/1999

(Authorized Checker (AC))

1. Occurrence Report Number: RFO-KHLL-WSTMGTOPS-1999-0021

Procedural Violation, Forms Checked Prior To Completion

2. Report Type and Date: Notification

	DATE	TIME
Notification:	11/10/1999	09:07 (MTZ)
Initial Update:		
Latest Update:		
Final:		

3. Occurrence Category: Off-Normal

4. Number of Occurrences: 1 Original OR:

5. Division or Project: Kaiser-Hill Company, L.L.C.

6. Secretarial Office: EM - Environmental Management

7. System, Bldg., or Equipment: 750 Pad, Tent 12

8. UCNT?: No

9. Plant Area: Storage/Tents

10. Date and Time Discovered: 11/09/1999 16:30 (MTZ)

11. Date and Time Categorized: 11/09/1999 17:00 (MTZ)

12. DOE Notification:

13. Other Notifications:

Date	Time	Person Notified	Organization
11/09/1999	16:30 (MTZ)	Dion, R.	RJFO/DOE

14. Subject or Title of Occurrence:

Procedural Violation, Forms Checked Prior To Completion

15. Nature of Occurrence:

01) Facility Condition
F. Violation/Inadequate Procedures

16. Description of Occurrence:

On November 9, 1999 at approximately 1630 hours a procedural non-compliance was discovered in Tent 12 on the 750 Pad. Crew personnel performing Pipe Overpack Component (POC) disabling operations for Waste Isolation Pilot Plant (WIPP) shipments were discovered to be using forms with procedural steps checked off as being completed prior to the actual completion of the operations.

This occurrence was categorized in accordance with procedure #1-D97-ADM-16.01, Rev. 2.

17. Operating Conditions of Facility at Time of Occurrence:

Normal Operation

18. Activity Category:

03 - Normal Operations

19. Immediate Actions Taken and Results:

Operations were immediately paused by the Facility management. A fact finding meeting was held. Further investigation into the extent of the procedural non-compliance will be completed.

20. Direct Cause:

21. Contributing Cause(s):

22. Root Cause:

23. Description of Cause:

24. Evaluation (by Facility Manager/Designer):

25. Is Further Evaluation Required?: No

26. Corrective Actions
(* = Date added/revised since final report was approved.)

27. Impact on Environment, Safety and Health:

The environment and the health and safety of the public and plant personnel were not threatened.

28. Programmatic Impact:

No programmatic impact occurred. There was no loss of data, schedule delays or measurable consequences resulting from this incident.

29. Impact on Codes and Standards:

This occurrence did not have an impact on national codes, standards, program standards, or DOE orders

30. Lessons Learned:

31. Similar Occurrence Report Numbers:

32. User-defined Field #1:

990786

33. User-defined Field #2: