



**Department of Energy**  
Carlsbad Field Office  
P. O. Box 3090  
Carlsbad, New Mexico 88221  
January 18, 2001



Mr. Steve Zappe, Project Leader  
Hazardous Waste Bureau  
New Mexico Environment Department  
2044 -A Galisteo  
Santa Fe, New Mexico 87502-6110

RE: Audit Plan and Notification of Assigned Auditors for the Rocky Flats  
Environmental Technology Site Audit A-01-05

Dear Mr. Zappe:

The purpose of this letter is to transmit the audit plan for the CBFO audit to be conducted at the Rocky Flats Environmental Technology Site on January 29 – February 2, 2001, as required by the WIPP Hazardous Waste Facility Permit, Section B4-3f. The audit plan also identifies the audit team members as required by Section B6-3 of the Permit. The audit will be performed concurrently with CBFO Recertification Audit A-01-07.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

Please contact the CBFO Quality Assurance Manager, Sam Vega, at (505) 234-7423 should you have any questions concerning this notification.

Sincerely,

Dr. Inés R. Triay  
CBFO Manager

Enclosure



Mr. Steve Zappe

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cc: w/o enclosure  
K. Watson, CBFO  
S. Vega, CBFO  
J. Vandekraats, CBFO  
J. Kieling, NMD  
J. Bearzi, NMED  
R. Dinwiddie, NMED  
G. Lewis, NMED  
J. Epstein, WID

cc: w/enclosure  
L. Chism, CBFO  
M. Gerle, WID Operating Record

## CARLSBAD FIELD OFFICE AUDIT PLAN

**Audit Number:** CBFO A-01-05

**Organization to Be Audited:** Rocky Flats Environmental Technology Site (RFETS)

**Organizations to Be Notified:** Rocky Flats Environmental Technology Site  
New Mexico Environment Department  
Environmental Protection Agency  
Environmental Evaluation Group  
Defense Nuclear Facilities Safety Board

**Date and Location:** January 29-February 2, 2001  
Golden, Colorado

**Audit Team:**

Sam Vega	Quality Assurance Manager, CBFO
Charlie Riggs	Audit Team Leader, CTAC
Steve Calvert	Auditor, CTAC
Wayne Ledford	Auditor, CTAC
Dick Blauvelt	Technical Specialist, CTAC
Dorothy Gill	Technical Specialist, CTAC

**Audit Scope:** This audit will be performed concurrently with CBFO recertification audit, A-01-07. It will complete those areas of CBFO audit A-00-12 that were determined to be indeterminate, with the exception of the on-line headspace gas unit that the Rocky Flats Environmental Technology Site (RFETS) no longer intends to use. This audit will evaluate the adequacy, implementation, and effectiveness of RFETS TRU waste activities as they relate to the WIPP Hazardous Waste Facility Permit (HWFP) for homogenous solid waste. The specific items to be audited are listed below under 'Activities to be Audited.'

### **Governing Documents/Requirements:**

Adequacy evaluations of new and revised RFETS site and TRU Waste Certification Program (TWCP) specific documents will be based on the current revisions of the following documents:

*CAO Quality Assurance Program Document, CAO-94-1012*

*Hazardous Waste Facility Permit Waste Isolation Pilot Plant EPA No. NM4890139088 by the New Mexico Environment Department October 27, 1999*

**Activities to be Audited:**

The following technical activities will be evaluated:

Acceptable Knowledge  
Headspace Gas (HSG) Sampling and Analysis  
Project Level Verification and Validation

**Schedule of Audit Activities:**

A pre-audit conference is scheduled for Monday, January 29, 2001, at 9:00 a.m.

An audit team caucus will be held at 4:45 p.m. Monday through Thursday.

The audit team will meet with appropriate RFETS management at 7:45 a.m. Tuesday through Thursday.

A post-audit conference is scheduled for Friday February 2, 2001, at 8:00 a.m. All meetings will take place at the designated RFETS location in Golden, Colorado.

Prepared By: Charles L. Riggs  
Charles L. Riggs, Audit Team Leader

Date: 1/9/01

Approved By: Samuel A. Vega  
Samuel A. Vega, CBFO Quality Assurance Manager

Date: 1/18/01