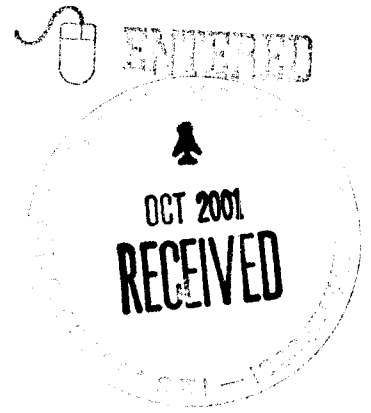




**Department of Energy**  
Carlsbad Field Office  
P. O. Box 3090  
Carlsbad, New Mexico 88221  
October 17, 2001



Steve Zappe, Project Leader  
Hazardous Waste Bureau  
New Mexico Environment Department  
2044 Galesteo  
Santa Fe, New Mexico 87502-6110

Subject: Audit Plan and Notification of Assigned Auditors for the Rocky Flats  
Environmental Technology Site Audit

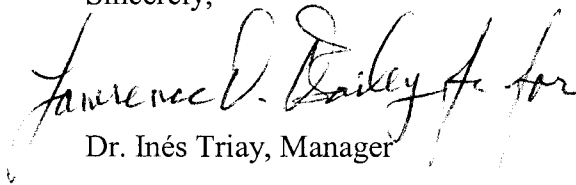
Dear Mr. Zappe:

This letter transmits the audit plan for the CBFO audit to be conducted at the Rocky Flats Environmental Technology Site on November 27-30, 2001, as required by the WIPP Hazardous Waste Facility Permit, Section B4-3f. The audit plan also identifies the audit team members as required by Section B6-3 of the Permit.

*I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.*

Please contact the CBFO Acting Quality Assurance Manager, Thomas J. Reese, at (505) 234-7423 should you have any questions concerning this notification.

Sincerely,

  
Dr. Inés Triay, Manager

Enclosure

CBFO:QA:MLC:KJB 01-1480 UFC 2300



Steve Zappe

-2-

cc w/enclosure:

I. Triay, CBFO

K. Watson, CBFO \*ED

T. J. Reese, CBFO \*ED

J. Vandekraats, CBFO \*ED

J. Kieling, NMED \*ED

J. Bearzi, NMED \*ED

G. Lewis, NMED \*ED

J. Lee, WTS \*ED

M. Gerle, WTS Operating Record

cc w/o enclosure:

J. Plum, CBFO \*ED

L. Steven, WTS \*ED

\* ED denotes electronically distributed

**CARLSBAD FIELD OFFICE AUDIT PLAN**

**Audit Number:** CBFO A-02-05

**Organization to Be Audited:** Rocky Flats Environmental Technology Site (RFETS)

**Organizations to Be Notified:** Rocky Flats Environmental Technology Site  
New Mexico Environment Department  
Environmental Protection Agency  
Environmental Evaluation Group  
Defense Nuclear Facilities Safety Board

**Date and Location:** November 27-30, 2001  
Golden, Colorado

**Audit Team:**

Charlie Riggs	Audit Team Leader, CTAC
Chet Wright	Auditor, CTAC
Pete Rodriguez	Auditor, CTAC
Jim Schuetz	Auditor, CTAC
Karen Gaydosh	Technical Specialist, CTAC
BJ Verrett	Technical Specialist, CTAC

**Audit Scope:**

This audit will evaluate the adequacy, implementation, and effectiveness of RFETS TRU waste quality assurance and technical activities as they relate to the WIPP Hazardous Waste Facility Permit (HWFP) for newly generated debris waste. The audit will also evaluate the items listed below under 'Activities to be Audited.'

**Governing Documents/Requirements:**

Adequacy evaluations of new and revised RFETS site and TRU Waste Certification Program (TWCP) specific documents will be based on the current revisions of the following documents:

*CAO Quality Assurance Program Document, CAO-94-1012*

*Hazardous Waste Facility Permit Waste Isolation Pilot Plant EPA No. NM4890139088 by the New Mexico Environment Department October 27, 1999*

**Activities to be Audited:**

The following technical activities will be evaluated:

Headspace Gas (HSG) Automated Unit, Building 440  
HSG for Standard Waste Boxes  
Project Level Verification and Validation  
Visual Examination (VE) Confirmatory of SWBs, Building 771  
VE of Newly Generated Waste  
Electronic Waste Stream & Residue Identification and Characterization (WSRIC)  
Nondestructive Assay (NDA) Data Package Automation

**Schedule of Audit Activities:**

A pre-audit conference is scheduled for Tuesday, November 27, 2001, at 8:30 a.m.

An audit team caucus will be held at 4:45 p.m. Tuesday through Thursday.

The audit team will meet with appropriate RFETS management at 7:45 a.m. Wednesday through Friday.

A post-audit conference is scheduled for Friday, November 30, 2001, at 3:00 p.m. All meetings will take place at the designated RFETS location in Golden, Colorado.

Prepared By: *Charles L. Riggs*  
Charles L. Riggs, Audit Team Leader

Date: 10/3/01

Approved By: *Thomas J. Reese*  
Thomas J. Reese,  
CBFO Acting Quality Assurance Manager

Date: 10/12/01