



Department of Energy
 Carlsbad Field Office
 P. O. Box 3090
 Carlsbad, New Mexico 88221
 January 16, 2002



Steve Zappe, Project Leader
 Hazardous Waste Bureau
 New Mexico Environment Department
 2905 Rodeo Park Drive East, Building 1
 Santa Fe, New Mexico 87505-6303

Subject: Transmittal of Audit Plan and Notification of Assigned Auditors for the Rocky Flats Environmental Technology Site Recertification Audit

Dear Mr. Zappe:

This letter transmits the audit plan for the CBFO audit to be conducted at the Rocky Flats Environmental Technology Site on February 4-8, 2002, as required by the WIPP Hazardous Waste Facility Permit, Section B4-3f. The audit plan also identifies the audit team members as required by Section B6-3 of the Permit. Should you have any questions concerning this notification, please contact the CBFO Acting Quality Assurance Manager, Mr. Marc A. Italiano, at (505) 234-7311.

Sincerely,

Inés R. Triay
 Dr. Inés R. Triay
 Manager

Enclosure

- cc:
- K. Watson, CBFO *ED
 - M. Italiano, CBFO *ED
 - J. Vandekraats, CBFO *ED
 - J. Lee, WTS *ED
 - M. Gerle, WTS *ED
 - C. Riggs, CTAC *ED
 - J. Kieling, NMED
 - J. Bearzi, NMED
 - R. Dinwiddie, NMED
 - G. Lewis, NMED



CARLSBAD FIELD OFFICE AUDIT PLAN

Audit Number: CBFO A-02-07

Organization to Be Audited: Rocky Flats Environmental Technology Site (RFETS)

Organizations to Be Notified: Rocky Flats Environmental Technology Site
New Mexico Environment Department
Environmental Protection Agency
Environmental Evaluation Group
Defense Nuclear Facilities Safety Board

Date and Location: February 4-8, 2002
Golden, Colorado

Audit Team:

Lea Chism	Audit Team Leader, CBFO
Charlie Riggs	Auditor, CTAC
Jack Walsh	Auditor, CTAC
Steve Calvert	Auditor, CTAC
Norm Frank	Auditor, CTAC
John Gray	Auditor, CTAC
Dee Scott	Auditor, CTAC
Annabelle Axinn	Auditor, CTAC
Patrick Kelly	Technical Specialist, CTAC
Karen Gaydosh	Technical Specialist, CTAC
BJ Verret	Technical Specialist, CTAC
Joe Willis	Technical Specialist, WTS

Audit Scope: This recertification audit will evaluate the adequacy, implementation, and effectiveness of RFETS TRU waste activities as they relate to the WIPP Hazardous Waste Facility Permit (HWFP) and quality assurance elements. The specific items to be audited are listed below under 'Activities to be Audited.'

Governing Documents/Requirements:

Adequacy evaluations of new and revised RFETS site and TRU Waste Certification Program (TWCP) specific documents will be based on the current revisions of the following documents:

CAO Quality Assurance Program Document, CAO-94-1012

Waste Acceptance Criteria for the Waste Isolation Pilot Plant, DOE/WIPP-069

Hazardous Waste Facility Permit Waste Isolation Pilot Plant EPA No. NM4890139088 by the New Mexico Environment Department

*Safety Analysis Report for TRUPACT-II Shipping Package, Appendix 1.3.7,
TRAMPAC, and the TRUPACT-II Certification of Compliance, NRC 71-9281*

Activities to be Audited:

The following general areas from section B6-3 of the HWFP:

- Results of previous audits
- Changes in programs or operations
- New programs or activities being implemented
- Changes in key personnel

The following technical activities will be evaluated:

- Acceptable Knowledge
- Headspace Gas (HSG) Sampling and Analysis
- Nondestructive Assay (NDA)
- NDA, SuperHENC for Drums (New)
- RTR including POC Drums
- Visual Examination (VE)
- VE Technique
- Solid Sampling
- WWIS
- Performance Demonstration Program (PDP)
- Data Generation Level and Project Level Verification and Validation
- Transportation

The following quality assurance activities will be evaluated:

- QA Program
- Organization
- Quality Improvement
- Personnel Qualification and Training
- Documents and Records
- Software
- Inspection and Test Equipment
- Assessments
- Sample Control
- Work Processes
- Procurement

Schedule of Audit Activities:

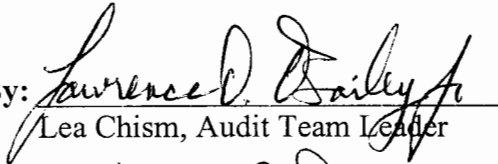
A pre-audit conference is scheduled for Monday, February 4, 2002, at 9:00 a.m.

An audit team caucus will be held at 4:45 p.m. Monday through Thursday.

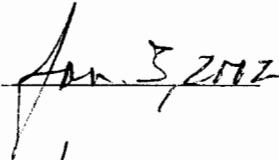
The audit team will meet with appropriate RFETS management at 8:30 a.m. Tuesday through Thursday.

A post-audit conference is scheduled for Friday February 8, 2002, at 11:00 a.m. All meetings will take place at the designated RFETS location in Golden, Colorado.

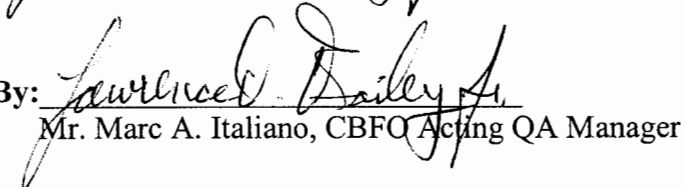
Prepared By:


Lea Chism, Audit Team Leader

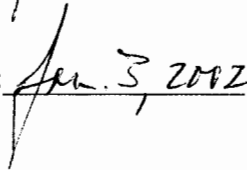
Date:


Jan 3, 2002

Approved By:


Mr. Marc A. Italiano, CBFO Acting QA Manager

Date:


Jan 3, 2002