

United States Government

Department of Energy

memorandumCarlsbad Field Office
Carlsbad, New Mexico 88221

DATE: May 24, 2002

REPLY TO
ATTN OF: CBFO:QA:MLC:GS:02-1058:UFC 2300.00

SUBJECT: Surveillance Report S-02-19, Westinghouse TRU Solutions-Surveillance Conducted to Verify Corrective Actions Associated with the Adequacy Issues Identified During the CBFO Audits of the WTS QA Program for FY 01

to: Mr. Michael Lipscomb, WTS Quality Assurance Manager

The Carlsbad Field Office (CBFO) conducted surveillance S-02-19 on March 26, 2002 to review and evaluate the adequacy of the WTS program procedures for compliance with the CBFO QAPD requirements. The WTS QAPD Matrix maintained on the WIPP Intranet was reviewed to verify and ensure the update of the WTS QAPD Matrix was accomplished and included the new and/or revised procedures. It is determined that the current WTS implementing procedures and updated WTS QAPD Matrix adequately address and meet applicable elements of the CBFO QAPD.

This Surveillance provides closure for the three observations identified during the CBFO Audits (A-01-13, A-01-18 & A-02-02) of the WTS QA program for FY 01

Should you have any questions or comments concerning the above subject, please contact me at (505) 234-7423.

Ava L. Holland //signature on file//
Ava L. Holland
K0Quality Assurance Manager

Attachment

cc w/attachments:

M. Eagle, EPA	*ED
S. Monroe, EPA	*ED
S. Zappe, NMED	*ED
B. Walker, EEG	*ED
D. Winters, DNFSB	*ED
L. Chism, CBFO	
P. Roush, WTS	
T. Bowden, CTAC	
CBFO M&RC	



020527



**U.S. DEPARTMENT OF ENERGY
CARLSBAD FIELD OFFICE**

**SURVEILLANCE REPORT
OF THE
WESTINGHOUSE TRU SOLUTIONS (WTS)
WASTE ISOLATION PILOT PLANT (WIPP)
QAPD Matrix**

CARLSBAD, NEW MEXICO

SURVEILLANCE NUMBER, S-02-19

March 26, 2002



Prepared by: Chet Wright //signature on file//

Chet Wright
Surveillance Team Leader

Date: 05-14-02

Issued by: Ava Holland //signature on file//

Ms Ava Holland
CBFO Quality Assurance Manager

Date: 5/23/02

1.0 EXECUTIVE SUMMARY

Surveillance S-02-19 was conducted on March 26, 2002, at the Skeen-Whitlock Building to verify corrective actions and assess the adequacy of the WTS implementing procedures and updated WTS QA Procedures Matrix for compliance with the applicable portions of the CBFO QAPD. Surveillance activities included a review of both new and revised WTS procedures and the WTS QAPD Matrix maintained via the WIPP Intranet. It was determined that the procedures currently implemented by WTS and the updated WTS Matrix adequately address and meet applicable elements of the CBFO QAPD

This surveillance provides closure for the three observations identified during the CBFO Audit (A-01-13) of the Westinghouse TRU Solutions (WTS) Quality Assurance Program Document (QAPD), Sections 1 & 2 and carried through CBFO Audits A-01-18 (Sections 3 & 4) and CBFO Audit A-02-02 (Section 6). The three observations (documented below) were written to address the adequacy issues identified between the WTS QA Program and the CBFO QAPD requirements and for the WTS Procedures Matrix not being maintained/up-dated as required by the CBFO QAPD.

CBFO Audit A-01-13 – Observation # 1:

CBFO QAPD Section 1.1.2.2.A requires that each organization that perform activities in support of CBFO shall prepare and maintain a procedure matrix that identifies applicable documents of each organization or project that implements each applicable requirement of the CBFO QAPD. The QAPD also requires that the matrix shall specifically reference the applicable portion of the procedure or document and that the matrix be updated as implementation procedures are revised. The WTS QA Procedures Matrix had not been maintained to include up to date procedure revision or correct references to procedures that implement the requirements resulting in incorrect information. WTS documented this deficiency on CAR FY2001-22. WTS has developed a corrective action plan, which has been approved by the WTS QA organization. This issue will be followed up on during future CBFO audits of WTS.

CBFO Audit A-01-18 – Observation # 1:

Sections 3 & 4 of the WTS Procedures Matrix hasn't been maintained to include up to date procedure revisions and correct references to procedures that implement the requirements resulting in incorrect information. WTS has documented this deficiency on CAR FY2001-22 and a corrective action response as well as an amended response has been approved. These responses require a detailed review and update of matrix sections 1, 2, 3, 4, & 6 with an estimated completion date of 8/30/01. This issue will be followed up during the WTS audit scheduled for November 2001.

CBFO Audit A-02-02 – Observation # 2:

NOTE: The following Observation concerning the adequacy of the WTS QA Program is being carried over from the two previous CY2001 CBFO audits of WTS. See also Observation 1 of Audit A-01-13 and Observation 1 of Audit A-01-18.

WTS has documented, on CAR FY2001-22, that the WTS Procedures Matrix hasn't been maintained up-to-date. WTS management approved the corrective action response as well as an amended response. These responses require a detailed review and update of matrix Sections 1, 2, 3, 4, & 6. A new estimated completion date of 12/20/01 has been agreed to by the WTS QA Manager and Deputy QA Manager. This issue will be verified after that date.

2.0 SCOPE

The scope of Surveillance S-02-19 was to review and evaluate the corrective actions and implementation associated with WTS QA program issues identified by previous CBFO audits for compliance with the CBFO QAPD requirements. Verify that the WTS QAPD Matrix had been up-dated to reflect the current status as to the development of new and revised WTS procedures. In addition, this surveillance activity provides closure for CBFO Audit A-01-13, Observation # 1, CBFO Audit A-01-13, Observation # 1 and CBFO Audit A-02-18, Observation # 1 and CBFO Audit A-02-02, Observation # 2.

3.0 SURVEILLANCE TEAM AND OBSERVERS

The surveillance team consisted of the following individual:

Chet Wright Surveillance Team Leader, CTAC

There were no observers associated with this surveillance

4.0 SURVEILLANCE PARTICIPANTS

The following individual was contacted during the course of the surveillance:

Dave Wiedenhoef, WTS QA

5.0 SURVEILLANCE DETAILS

5.1 SURVEILLANCE ACTIVITIES

Surveillance activities included a review and evaluation of the adequacy issues identified during the conduct of CBFO Audits A-01-13, A-01-18 & A-02-02 against the new and revised WTS procedures to verify compliance with the

applicable elements of the CBFO QAPD. Additionally, a review of the WTS QAPD Matrix (maintained via the WIPP Intranet) was performed to verify that the matrix adequately referenced specific sections of the applicable documents for each organization and/or project responsible for implementing elements of the CBFO QAPD.

The surveillance found that both the WTS QA program documents and the WTS QAPD Matrix satisfactorily addressed the applicable elements of the CBFO QAPD.