



Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221

November 6, 2008



Mr. D. K. Ploetz
Washington TRU Solutions
P.O. Box 2078
Carlsbad, NM 88220

Subject: Issuance of Corrective Action Reports 09-004, 09-005 and 09-006, Audit A-09-01 Savannah River Site Central Characterization Project

Dear Mr. Ploetz:

The Carlsbad Field Office (CBFO) performed Audit A-09-01 of the Savannah River Site Central Characterization Project characterization activities October 28 – 30, 2008. The resulting CBFO Corrective Action Reports (CARs) 09-004, 09-005 and 09-006 are enclosed.

Also enclosed are instructions for completing the CAR continuation sheets and the CAR continuation sheet for each CAR. Document your proposed corrective actions and a schedule for completion for each CAR on these continuation sheets. Please forward to me prior to the response due date identified in Block 14 on each CAR.

If you have any questions or comments, please contact me at (575) 234-7491.

Sincerely,

Dennis S. Miehl
Acting Quality Assurance Manager

Enclosure

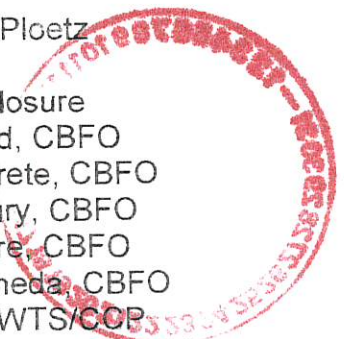


Mr. D. K. Ploetz

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November 6, 2008

cc: w/enclosure



A. Holland, CBFO	*ED
M. Navarrete, CBFO	ED
D. Gadbury, CBFO	ED
C. Fesmire, CBFO	ED
N. Castaneda, CBFO	ED
D. Haar, WTS/CCP	ED
V. Cannon, WTS/CCP	ED
A. Fisher, WTS/CCP	ED
M. Walker, WTS/CCP	ED
C. Simmons, WTS/CCP	ED
C. Chavez, WTS/CCP	ED
J. Hoff, WTS	ED
M. Mullins, WTS	ED
J. Mobley, NFT/CCP	ED
H. Crapse, DOE – SR	ED
M. Eagle, EPA	ED
E. Feltcorn, EPA	ED
R. Joglekar, EPA	ED
S. Ghose, EPA	ED
S. Zappe, NMED	ED
S. Holmes, NMED	ED
T. Kesterson, DOE OB WIPP NMED	ED
D. Winters, DNFSB	ED
G. Lyshik, LANL-CO	ED
P. Gilbert, LANL-CO	ED
P. Gomez, CTAC	ED
B. Pace, CTAC	ED
N. Frank, CTAC	ED
A. Pangle, CTAC	ED
C. Riggs, CTAC	ED

RCRA Operating Record, MS 452-09
CBFO QA File
CBFO M&RC

*ED denotes electronic distribution

CORRECTIVE ACTION REPORT

1. CAR No.: 09-004	2. Activity Report No.: A-09-01	3. Page 1 of 1
4. Controlling document: CCP-PO-001, Rev. 16	5. CBFO Assessment Team Leader: D. Miehlis	
6. Responsible organization: SRS/CCP	7. CAQ was discussed with: C. Simmons	
8. Requirement that was violated: B1-1b, Quality Control, states "For ...direct canister systems, field QC samples are collected and analyzed on a ... sampling batch basis."		
9. Condition Adverse to Quality (CAQ): Three field reference standards were collected and assigned a batch data report number of SRHSGS080001. The requirement states that field QC samples must also be collected; however, this was not done.		
10. Suggested actions (Optional):		
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNIN218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required: Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Actions to Preciude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13a. Trend Code: HG-05	13b. CAR Initiator: <i>Paul C. Gomez</i> (printed name) Paul C. Gomez	Date: 11/4/08
14a. Response due date: 11-20-08		
14b. Required corrective action completion date: 12-5-08		
15. Concurrence:		
a. Assessment Team Leader: <i>Dennis S. Miehlis</i> (printed name) Dennis S. Miehlis		Date: 11-6-08
b. CBFO Quality Assurance Manager (if SCAQ, work suspension, or accelerated corrective action, sign here; otherwise, mark as N/A): <i>Dennis S. Miehlis</i> (printed name) Dennis S. Miehlis		Date: 11-6-08
16. Acceptance of Proposed Corrective Actions: _____ (printed name)		Date: _____
17. Acceptance of Corrective Action Completion: _____ (printed name)		Date: _____
18. Closure: _____ (printed name)		Date: _____

CORRECTIVE ACTION REPORT

1. CAR No.: 09-005	2. Activity Report No.: A-09-01	3. Page 1 of 1
4. Controlling document: CCP-QP-005, Rev. 17 CCP-PO-001, Rev. 16	5. CBFO Assessment Team Leader: D. Miehls	
6. Responsible organization: SRS/CCP	7. CAQ was discussed with: C. Simmons/J. Mobley/V. Cannon	
8. Requirement that was violated: CCP-QP-005, R17, section 2.4.9 states "Final dispositions will address all items described by the NCR and are limited to Use-As-Is, Reject, Repair, Rework, and Scrap. Validated NCRs cannot be closed until completion and verification of the final disposition." CCP-QP-005, R17, section 4.4.6 states "IF the disposition is Use-As-Is, THEN provide Technical Justification in Block 19(a) (use Attachment 2, if necessary)."		
9. Condition Adverse to Quality (CAQ): NCRs SRS-1648-07, SRS-1651-07, and SRS-1653-07 were generated as a result of RTR activities to document two nonconforming conditions (prohibited items and waste stream discrepancies). These NCRs include an Interim Disposition to remediate the prohibited items in accordance with CCP-TP-136, and a Final Disposition of Use-As-Is. The Final Disposition of the NCRs included Technical Dispositions to address the prohibited items, but did not include Technical Dispositions to address the waste stream discrepancy as required.		
10. Suggested actions (Optional):		
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNIN218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required: Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13a. Trend Code: CA05	13b. CAR Initiator: <i>Berry D. Pace</i> (printed name) Berry D. Pace	Date: 11/03/08
14a. Response due date: 11-20-08		
14b. Required corrective action completion date: 12-5-08		
15. Concurrence:		
a. Assessment Team Leader: <i>Dennis S. Miehls</i> (printed name) Dennis S. Miehls		Date: 11-6-08
b. CBFO Quality Assurance Manager (if SCAQ, work suspension, or accelerated corrective action, sign here; otherwise, mark as N/A): <i>Dennis S. Miehls</i> (printed name) Dennis S. Miehls		Date: 11-6-08
16. Acceptance of Proposed Corrective Actions: _____ (printed name)		Date: _____
17. Acceptance of Corrective Action Completion: _____ (printed name)		Date: _____
18. Closure: _____ (printed name)		Date: _____

WASTE ISOLATION PILOT PLANT
U.S. DEPARTMENT OF ENERGY
Carlsbad Field Office

**INSTRUCTIONS FOR COMPLETING A CORRECTIVE ACTION PLAN IN
RESPONSE TO A CAR ADDRESSING A CONDITION ADVERSE TO QUALITY**

You are requested to provide a corrective action plan in response to this corrective action report (CAR) by the due date identified in block 14a of the CAR. If this date cannot be met, provide a written request for extension to the assessment team leader (CAR block 5). This request must include justification for the delay and must be provided prior to the response due date (CAR block 14a).

The corrective action plan shall address the corrective actions indicated in CAR block 12. As appropriate, develop the plan in accordance with the following sequence and format:

In order to develop the corrective action plan, perform an investigative action to determine the extent and impact of the deficiency and to identify the root cause. Next, determine the actions required to correct the adverse condition. The plan shall include the following information, as appropriate to CAR block 12.

1. Corrective action response for CARs # 09-004, 09-005 and 09-006
 - A. **Remedial Actions**-Describe actions required or taken to correct the specific conditions noted and any similar conditions identified during the investigations.
 - B. **Investigative Actions**-Describe the investigative actions performed to determine the extent and impact of the deficiency and the results of the investigation. This will include a determination of the acceptability of any data generated prior to resolution of the deficiency.
 - C. **Root Cause Determination**-Identify the root cause of the condition as determined through investigative actions.
 - D. **Actions to Preclude Recurrence**-Identify the corrective actions required to address the root cause of the condition in order to preclude recurrence.
2. For each action above, identify the individual assigned responsibility for completion of the action and the anticipated (or actual, if complete) completion date.
3. The response must identify the individual having the overall responsibility for completion of the corrective actions.

NOTE: The documentation to support corrective action completion is not to be submitted with the corrective action plan and shall not be submitted until the corrective action plan is approved.

CAR CONTINUATION SHEET

1. CAR No: 09-004

2. Activity No: A-09-01

3. Page ___ of ___.

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CAR CONTINUATION SHEET

1. CAR No: 09-005

2. Activity No: A-09-01

3. Page ___ of ___.

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CAR CONTINUATION SHEET

1. CAR No: 09-006

2. Activity No: A-09-01

3. Page ___ of ___.

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