



Department of Energy
 Carlsbad Field Office
 P. O. Box 3090
 Carlsbad, New Mexico 88221

June 29, 2010



Mr. D. K. Ploetz, Manager
 Central Characterization Project
 Washington TRU Solutions, LLC
 P.O. Box 2078
 Carlsbad, NM 88221-2078

Subject: Issuance of CAR 10-038, Audit A-10-05, LLNL/CCP Inter-Site Shipment

Dear Mr. Ploetz:

The Carlsbad Field Office (CBFO) performed Audit A-10-05 of the Lawrence Livermore National Laboratories/Central Characterization Project (LLNL/CCP) Inter-Site Shipment activities on June 22-24, 2010. Enclosed is CBFO Corrective Action Report (CAR) 10-038 addressing the condition adverse to quality identified during the audit.

Please provide a documented response for the CAR, ensuring that the required actions indicated in Block 12 are addressed, including schedules for completion. Please return your response to me on or before the due date identified in Block 14a.

If you have any questions or comments, please contact me at (575) 234-7483.

Sincerely,

FOR
 Martin P. Navarrete
 Senior Quality Assurance Specialist

Enclosure

cc: w/enclosure

- | | | | |
|------------------------|-----|--------------------------------|----|
| A. Holland, CBFO | *ED | M. Eagle, EPA | ED |
| D. Gadbury, CBFO | ED | E. Felcorn, EPA | ED |
| D. Miehl, CBFO | ED | R. Joglekar, EPA | ED |
| M. Brown, CBFO | ED | S. Ghose, EPA | ED |
| D. Haar, WTS/CCP | ED | R. Lee, EPA | ED |
| V. Cannon, WTS/CCP | ED | S. Zappe, NMED | ED |
| A. J. Fisher, WTS/CCP | ED | S. Holmes, NMED | ED |
| R. Lee, WTS/CCP | ED | T. Kesterson, DOE OB WIPP NMED | ED |
| M. Sensibaugh, WTS/CCP | ED | D. Winters, DNFSB | ED |
| M. Walker, WTS/CCP | ED | P. Gilbert, LANL-CO | ED |
| Y. Salmon, WTS/CCP | ED | G. Lyshik, LANL-CO | ED |
| J. Hoff, WTS | ED | A. Pangle, CTAC | ED |
| M. Mullins, WTS | ED | G. White, CTAC | ED |
| J. Davis, III, DOE-LS | ED | T. Putnam, CTAC | ED |
| R. Kong, DOE-LS | ED | WIPP Operating Record | ED |
| T. Peake, EPA | ED | CBFO QA File | |
| | | CBFO M&RC | |

*ED denotes electronic distrib



CBFO Form 3.1-1

CORRECTIVE ACTION REPORT

1. CAR No.: 10-038		2. Activity Report No.: A-10-05		3. Page 1 of 1	
4. Controlling document: CCP-TP-402, Rev. 2			5. CBFO Assessment Team Leader: Martin Navarrete		
6. Responsible organization: LLNL/CCP			7. CAQ was discussed with: Ronnie Lee, Michael Sensibaugh		
8. Requirement that was violated: CCP-TP-402, Section 4.2.6 Note "The RTR ITR will be a qualified CCP RTR Operator who is not the original reviewer of the completed Attachment 1." CBFO QAPD, Revision 11, Section 5.3.2, B.3. "The reviewer shall be independent of the collection activities."					
9. Condition Adverse to Quality (CAQ): During review of RTR data sheets and associated audio/video media for the following containers: LL85601247TRU, LL85800435TRU, LL85001765TRU & LL85101429TRU, it was noted that the RTR operator performing the duties of the ITR, was the same individual who performed the original RTR scan being reviewed.					
10. Suggested actions (Optional): None					
11a. Significant CAQ? (If 'Yes', go to block 15b)		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		12. Type of actions required:	
11b. Work Suspension recommended? (If 'Yes', go to block 15b)		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11c. RCRA related?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11d. Accelerated corrective action required? (If 'Yes', go to block 15b)		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11e. Does this CAQ affect waste streams BNINW216 or BNIN218?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
13a. Trend Code: RT-05		13b. CAR Initiator: <u><i>Thomas Putnam</i></u> (printed name) Thomas Putnam		Date: 6-29-10	
14a. Response due date: <u>7/12/2010</u>					
14b. Required corrective action completion date: N/A					
15. Concurrence:					
a. Assessment Team Leader: <u><i>Dennis S. Michls</i></u> (printed name) Dennis S. Michls FOR MPV			Date: 6-29-10		
b. CBFO Quality Assurance Director (if SCAQ, work suspension, or accelerated corrective action, sign here; otherwise, mark as N/A): <u><i>Ava L. Hollander</i></u> (printed name) Ava L. Hollander			Date: 6/29/10		
16. Acceptance of Proposed Corrective Actions: _____ (printed name) _____			Date: _____		
17. Acceptance of Corrective Action Completion: _____ (printed name) _____			Date: _____		
18. Closure: _____ (printed name) _____			Date: _____		