



**Department of Energy**  
 Carlsbad Field Office  
 P. O. Box 3090  
 Carlsbad, New Mexico 88221  
**AUG 6 2010**

**CERTIFIED MAIL –RETURN RECEIPT**

Mr. Steve Zappe, Project Leader  
 Hazardous Materials Bureau  
 New Mexico Environment Department  
 2905 Rodeo Park Drive East, Building 1  
 Santa Fe, New Mexico 87505-6303



Subject: Carlsbad Field Office Monthly Assessment Schedule, August 2010

Dear Mr. Zappe:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of August 2010. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Module II, *General Facility Conditions*, Paragraph II.C.2.b, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Ava L. Holland, CBFO Director, Office of Quality Assurance, at (575) 234-7423.

Sincerely,

David C. Moody  
 Manager

Enclosure

- cc: w/enclosure
- J. Bearzi, NMED                   \*ED
- J. Kieling, NMED                 ED
- O. Vincent, CBFO                 ED
- A. Holland, CBFO                 ED
- WIPP Operating Record         ED
- CBFO QA File
- CBFO M&RC
- \*ED denotes electronic distribution





**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
August 2010**

ORGANIZATION/SCOPE	FY	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	FY	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	REMARKS & COMMENTS	
	09	08	08	08	09	09	09	09	09	09	09	09	09	10	09	09	09	10	10	10	10	10	10	10	10	11		
CBFO MANAGEMENT ASSESSMENTS	Management Assessment Office of NTP Fitness for Duty and Driver Mnaement Transportation Contract Carriers, CAST Specialty Trans. Visionary Solutions, LLC		C																								MA-09-01, W. Mackie October 14 - 16, 2008	
	Management Assessment Office of NTP Waste Stream Profile Form Approval Process with WRES																											2010 (TBD)
	Management Assessment Office of NTP Idaho Analytical Labs Assessment									C																		MA-09-08, N. Castenada May 27, 2009
	Management Assessment Office of NTP Confirmation Program with WRES																											2010 (TBD)
	Management Assessment Office of NTP TRANSCOM Inventory						C																					MA-09-11, S. Casey February 17 - 18, 2009
	Management Assessment Office of NTP Owned Procedure Management Processes																											MA-09-12, J. Rhoades April 1 - May 29, 2009 extended June 12, 2009 (CANCELLED)
	Management Assessment Office of NTP Assessment of TRU Waste Shipment Monitoring											C																MA-10-04, A. Walker June 1 - August 15, 2009 to May 15, 2010
	Management Assessment Office of NTP and Operations Technical/Program Review of the Waste Data System											C																MA-09-16, S. Casey June 8 - 12, 2009
	Office of Quality Assurance Evaluation of CAP Rejection Rate on CARs Issued to WTS																											MA-10-05, T. Bowden
	Management Assessment Office of Quality Assurance (annual) QA Organization Resources							C																				MA-09-03, A. Holland March 3 - 5, 2009
	Management Assessment Office of Regulatory Compliance WTS Earned Value Management System Approach for Intent Guidelines 2, 4, 6, 7 & 9 of the ANS/EIA-748-A Standard												C															MA-09-17, G. Basabivazo August 10 - 21, 2009
	Office of Regulatory Compliance Training																								P			MA-10-XX August (TBD)
	Management Assessment Office of Safety & Health Emergency Management Exercise Assessment																	C										MA-10-03, G. Scott November 17, 2009
	Management Assessment Office of Science and International Programs (annual)											C																MA-09-14, R. Nelson July 6 - 31, 2009
Office of Site Operations Management Oversight																								P			MA-10-XX August (TBD)	
CCP/Inter-Site Shipment Transportation Audit				C																				C			A-10-05, T. Putnam June 22 - 24, 2010	



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	09	08	08	08	09	09	09	09	09	09	09	09	09	10	09	09	09	10	10	10	10	10	10	10	10	10	11	10	10	10	11	11	11	11	11	11	11	11		
ORNL	ORNL/CCP Recertification Audit (annual)				C																																			A-10-08, P. Martinez February 9 - 11, 2010
	ORNL/CCP RH Recertification Audit										C																													A-09-22, P. Martinez June 23 - 25, 2009
PDP	PDP HSG Supplier Audit (Air Liquide) (3yr)																																							2011 (TBD)
	Triennial HSG PDP SPC Supplier Evaluation Audit at SwRI																						C																AN-10-01, B.J. Verret May 10 - 13, 2010	
	NDA PDP Source Custodian Activities (one-time)														C																								S-09-37, B. Pace September 14 - 22, 2009	
	Triennial RCRA PDP SPC Supplier Evaluation Audit @ ERA																								C															SN-10-01, B.J. Verret June 14 - 17, 2010
SECURITY WALLS	Security Walls Audit (3yr)											C																											S-09-24, B. Pace July 21 - 23, 2009	
SN/CO	SN/CO QAPD Implementation Audit (annual)			C													C																						A-10-09, T. Bowden November 17 - 19, 2009	
SRS	SRS RH Transportation (one-time)										C																												S-09-29, T. Putnam June 16 - 17, 2009	
	SRS/CCP RTR unit 4 Audit (one-time)										C																												A-09-16, C. Riggs June 23 - 25, 2009	
	SRS/CCP Recertification Audit (annual)			C														C																					A-10-01, P. Y. Martinez October 26 - 28, 2009	
TRANSPORTATION	Visionary Solutions Transportation Surveillance (annual)						C																																S-10-18, T. Putnam March 16 - 18, 2010	
	Cast Transportation Surveillance (annual)							C																															S-10-06, B.J. Verret December 15 - 17, 2009	
TRANSCOM	Transcom Surveillance (annual)																																							S-10-30, T. Putnam CANCELLED
WTS	WTS Graded Approach Surveillance (3yr)																																							S-09-27, N. Frank September 22 - 24, 2009
	Washington TRU Solutions CBFO Records Holding Facility Program																																							S-10-XX, September 7 - 9, 2010
	WTS Centralized Procurement Surveillance (3yr)																																							S-09-18, P. Martinez March 10 - 12, 2009





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	Y	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E	Y	C	O	E	A	E	A	P	A	U	U	U	E		P
	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	

Approved by: David C. Moody  
CBFO Manager

Date: 8/5/10