



**Department of Energy**  
 Carlsbad Field Office  
 P. O. Box 3090  
 Carlsbad, New Mexico 88221



SEP 2 2010

**CERTIFIED MAIL - RETURN RECEIPT**

Mr. Steve Zappe, Project Leader  
 Hazardous Materials Bureau  
 New Mexico Environment Department  
 2905 Rodeo Park Drive East, Building 1  
 Santa Fe, New Mexico 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule, September 2010

Dear Mr. Zappe:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of September 2010. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Module II, *General Facility Conditions*, Paragraph II.C.2.b, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Ava L. Holland, CBFO Director, Office of Quality Assurance, at (575) 234-7423.

Sincerely,

David C. Moody  
 Manager

Enclosure

- cc: w/enclosure
- J. Bearzi, NMED                   \*ED
- J. Kieling, NMED                 ED
- O. Vincent, CBFO                 ED
- A. Holland, CBFO                 ED
- WIPP Operating Record         ED
- CBFO QA File
- CBFO M&RC
- \*ED denotes electronic distribution





**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
September 2010**

ORGANIZATION/SCOPE	F	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	
	Y	C	O	V	E	A	E	R	R	A	U	U	U	E	C	V	C	N	B	R	R	Y	N	L	G	P	T	V	C	N	B	R	R	Y	N	L	G	P
Office of NTP CCH Transportation Management Assessment at Hanford	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Office of NTP COP Characterization Process Management	9	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
Office of NTP Fitness for Duty and Driver Mnaagement Transportation Contract Carriers, CAST Specialty Trans Visionary Solutions, LLC																																						
Office of NTP Waste Stream Profile Form Approval Process with WRES																																						
Office of NTP Idaho Analytical Labs Assessment																																						
Office of NTP Confirmation Program with WRES																																						
Office of NTP TRANSCOM Inventory																																						
Office of NTP Owned Procedure Management Processes																																						
Office of NTP Assessment of TRU Waste Shipment Monitoring																																						
Office of NTP and Operations Technical/Program Review of the Waste Data System																																						
Office of Quality Assurance Evaluation of GAP Rejection Rate on CARs issued to WTS																																						
Office of Quality Assurance QA Organization Resources																																						
Office of Regulatory Compliance WTS Earned Value Management System Approach for Intent Guidelines 2, 4, 6, 7 & 9 of the ANS' EIA-748-A Standard																																						
Office of Regulatory Compliance Training Needs																																						
ISM Effectiveness																																						
Office of Safety & Health Emergency Management Exercise Assessment																																						
Office of Science and International Programs																																						
Office of Site Operations Management Oversight																																						

**CARLSBAD FIELD OFFICE  
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September 2010**

ORGANIZATION SCOPE	F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS
	Y	C	O	E	A	E	A	A	A	U	U	U	E	Y	C	O	E	A	E	A	A	U	U	U	E		
	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	1	1	1	1		
	9	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0		
CCP				C																						A-10-05, T. Putnam June 22 - 24, 2010	
						C																				A-10-11, B. Pace March 2 - 4, 2010	
													C													A-10-25, B.J. Verret September 21 - 23, 2010	
				C																						A-10-04, B. Pace January 26 - 28, 2010	
HANFORD																										A-09-18, E. Bradford June 9 - 11, 2009	
																										S-10-32, B.J. Verret July 13 - 14, 2010	
																										S-10-35 July 14 - 15, 2010	
																										S-10-17, T. Putnam March 31 - April 1, 2010	
																										A-10-07, P. Martinez April 6 - 8, 2010	
																										April, 2011 (TBD)	
																										S-10-19, T. Putnam March 30 - 31, 2010	
INL/CCP																										S-10-20, P. Gomez February 23 - 24, 2010	
																										A-10-16, T. Bowden June 8 - 10, 2010	
																										A-10-17, P.Y. Martinez June 8 - 10, 2010	
																										A-10-33, R. Castillo July 27 - 28, 2010	
																										S-10-34, J. Schuetz July 20 - 21, 2010	
																										S-10-01, P.Y. Martinez October 13 - 15, 2009	
																										A-10-03, P. Martinez October 6 - 8, 2009	
LANL/CCP																										A-10-14, G. Knox April 27 - 29, 2010	

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
September 2010**

ORGANIZATION SCOPE		F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS																		
		Y	C	V	C	N	B	R	R	A	A	U	U	U	E	Y	C	V	C	N	B	R	R	A	A	U	U	U	P	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1							
LANL	LANL/CO QAPD Implementation Audit (annual)					C																																														A-10-10, T. Bowden February 2 - 4, 2010							
ORNL	ORNL/CCP CH S4000 Soils/Gravel (one-time)											C																																								A-09-24, J. Schuetz July 27 - 31, 2009							
	ORNL/CCP Recertification Audit (annual)					C																																															A-10-08, P. Martinez February 9 - 11, 2010						
	ORNL/CCP RH Recertification Audit												C																																								A-09-22, P. Martinez June 23 - 25, 2009						
PDP	PDP HSG Supplier Audit (Air Liquide) (3yr)																																																					2011 (TBD)					
	Triennial HSG PDP SPC Supplier Evaluation Audit at SwRI																																																				AN-10-01, B.J. Verret May 10 - 13, 2010						
	NDA PDP Source-Custodian Activities (one-time)																																																				S-09-37, B. Pace September 14 - 22, 2009						
	Triennial RCRA PDP SPC Supplier Evaluation Audit @ ERA																																																					SN-10-01, B.J. Verret June 14 - 17, 2010					
SECURITY WALLS	Security Wall's Audit (3yr)												C																																									S-09-24, B. Pace July 21 - 23, 2009					
SNL/CO	SNL/CO QAPD Implementation Audit (annual)			C																																																		A-11-XX, TSD November (TBD)					
SRS	SRS RH Transportation (one-time)												C																																								S-09-29, T. Putnam June 16 - 17, 2009						
	SRS/CCP RTR unit 4 Audit (one-time)												C																																									A-09-16, C. Riggs June 23 - 25, 2009					
	SRS/CCP Recertification Audit (annual)			C																																																			A-11-01, P. Y. Martinez October 26 - 28, 2010				
TRANSPORTATION	Visitory Solutions Transportation Surveillance (annual)																																																							S-10-18, T. Putnam March 16 - 18, 2010			
	Cast Transportation Surveillance (annual)																																																							S-10-06, B.J. Verret December 15 - 17, 2009			
TRANSCOM	Transcom Surveillance (annual)																																																										S-10-30, T. Putnam CANCELLED

**CARLSBAD FIELD OFFICE  
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	Y	C	T	V	C	A	E	R	R	A	U	U	U	E	P	C	O	E	A	E	R	R	A	U	U	U	P	Y	C	O	E	A	E	R	R	A	U	U	U	P								
	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1								
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0							
	9	9	9	9	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0							
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0						
	9	9	9	9	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0						
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
	9	9	9	9	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
	9	9	9	9	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	9	9	9	9	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
	8	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
WTS Graded Approach Surveillance (3yr)													C																															S-09-27, N. Frank September 22 - 24, 2009				
Washington TRU Solutions CBFO Records Holding Facility Program												C														P																		S-10-36, B. Price September 7 - 9, 2010				
WTS Centralized Procurement Surveillance (3yr)								C																																				S-09-18, P. Martinez March 10 - 12, 2009				
WTS Conduct of Operations (3yr)																C																												S-10-03, G. Knox November 3 - 5, 2009				
WTS (CFR Part 71) Subpart H Surveillance (3yr)								C																																				S-09-20, T. Putnam March 24 - 26, 2009				
WTS CMR Surveillance (3yr)													C																															S-09-38, T. Bowden September 29 - October 1, 2009				
WTS Commercial Grade Dedication Surveillance (one-time)																																												S-10-XX, N. Wade (TBD)				
WTS Confined Space/Hearing and Fall Protection Surveillance (3yr)													C																															S-09-34, J. Wilburn September 8 - 10, 2009				
WTS Dosimetry Program Surveillance (3yr)																				C																								S-10-08, N. Frank January 5 - 7, 2010				
WTS Fire/Emergency Response Surveillance (3yr)							C														C																							S-10-07, R. Castillo January 19 - 21, 2010				
WTS Engineering Surveillance (DSA/Nuclear Safety) (3yr)													C																															S-09-13, N. Frank August 25 - 27, 2009				
WTS Engineering Fire Protection Surveillance (3yr)							C																																					S-09-03, N. Frank November 18 - 20, 2008				
WTS Environmental Surveillance (DP 831)														C																														S-10-12, P. Gomez December 9, 2009				
WTS Monitoring Programs Audit (biota/land management/Delaware Basis/Groundwater/VOC H2 CH4)																										C																				A-10-20, P. Gomez May 10-13, 2010		
WTS Environmental Surveillance (biota/land management) (3yr)																											C																				In scope of Monitoring Program Audit May 10 - 13, 2010	
WTS Environmental Surveillance (NESHAPS) (3yr)																																															2011 (TBD)	
WTS RCRA/Hazardous Material Transporter Surveillance																											C																				S-10-24, T. Putnam May 4 - 6, 2010	
WTS Environmental Surveillance (RCRA) (3yr)																											C																				In scope of RCRA/Hazardous Material Transporter Audit May 5 - 8, 2010	
WTS Environmental Surveillance (meteorology) (3yr)														C																																	S-09-23, G. Knox June 30 - July 2, 2009	
WTS Environmental Surveillance (SDW) (3yr)														C																																	S-09-26, P. Y. Martinez June 2 - 3, 2009	
WTS Ground Control Surveillance (annual)																																																S-10-04, N. Frank December 1 - 3, 2009



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	Y	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E			
	9	8	8	8	9	9	9	9	9	9	9	9	9	0	9	9	9	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1		
WTS Waste Handling (annual)												C																											A-10-18, P.Y. Martinez September 14 - 16, 2010	
WTS WRES Permittee Waste Confirmation Surveillance (3yr)																																							C	S-09-12, N. Frank February 17 - 19, 2009
WTS WWIS Surveillance (3yr) (WDS - New software package & requirements)																																							C	S-10-13 (TBD) November 10 - 12, 2010

Prepared by: *Ava Holland*      Date: 8/31/10  
CBFO QA Office Director

Approved by: *David C. Moody*      Date: 9/1/10  
CBFO Manager