Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221

JAN 7 2011

JAN 2011

CERTIFIED MAIL –RETURN RECEIPT

Mr. Steve Zappe, Project Leader
Hazardous Materials Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, New Mexico 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule, January 2011

Dear Mr. Zappe:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of January 2011. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit.*

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Martin P. Navarrete, CBFO Acting Director, Office of Quality Assurance, at (575) 234-7483.

Sincerely,

Edward Ziemianski
Acting Manager

Enclosure

cc: w/enclosure

J. Bearzi, NMED	*ED
J. Kieling, NMED	ED
O. Vincent, CBFO	ED
M. Navarrete, CBFO	ED
WIPP Operating Record	ED
CBFO QA File	
CBFO M&RC	

*ED denotes electronic distribution

CBFO:OQA: MPN:MAG:11-0403:UFC 2300.00

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CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
January 2011

	ORGANIZATION/SCOPE	FY 09	OCT 08	NOV 08	DEC 08	JAN 09	FEB 09	MAR 09	APR 09	MAY 09	JUN 09	JUL 09	AUG 09	SEP 09	FY 10	OCT 09	NOV 09	DEC 09	JAN 10	FEB 10	MAR 10	APR 10	MAY 10	JUN 10	JUL 10	AUG 10	SEP 10	FY 11	OCT 10	NOV 10	DEC 10	JAN 11	FEB 11	MAR 11	APR 11	MAY 11	JUN 11	JUL 11	AUG 11	SEP 11	REMARKS & COMMENTS
AMWTP	AMWTP Recertification Audit (annual)												C													C														P	September 2011 (TBD)
ANL	ANL/CCP - East/VE and Radiological Sampling Process for RH S5000 Debris Waste																		C																						
ANL	ANL/CCP Recertification Audit (annual)												C													C													P		August 2011 (TBD)
ANL	ANL/CCP Gravimetric and Dimensional Measurement Process Surveillance																															*P*									S-11-06, G. Knox *January 2011* (TBD)
BAPL	BAPL/CCP Certification Audit																																	P							March 2011 (TBD)
BAPL	BAPL/CCP RH VE & Radiological Characterization Surveillance																										C														
BAPL	BAPL/CCP HSG/DTC Surveillance																														*C*										
CBFO	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy CBFO - Audit (3yr.)																						C																		May 2013 (TBD)
CBFO	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy WTS - Audit (3yr.)													C																											September 2012 (TBD)
CBFO	CBFO PDP Program Management Audit (3yr)																																								2011 (TBD)
CBFO	CBFO QAPD Implementation Audit NQA (1 - 9) (annual)						C																																		
CBFO	CBFO QAPD Implementation Audit NQA (10 -18) (annual)													C																											
CBFO	CBFO QAPD Implementation Audit NQA (1 - 18) (annual)																						C												P						May 2011 (TBD)
CBFO	CBFO TP 10.7 Operational Assessment Surveillance									C																															
CBFO	CBFO Independent Audit (3yr) Office of Environmental Management QA audit of CBFO, WTS and CCP					C																																			January 2012 (TBD)
CBFO MANAGEMENT ASSESSMENTS	Office of Business *Records Management*									C																							*P*								*MA-11-01, M. Milligan February 7, 2011*
CBFO MANAGEMENT ASSESSMENTS	Office of Business Performed to satisfaction with its customer service. Interviews are conducted with CBFO directors, WTS, CTAC, Visionary and CAST.																	C																							
CBFO MANAGEMENT ASSESSMENTS	Office of the Managers/Office of Safety & Health/Office of Site Operations										C																														
CBFO MANAGEMENT ASSESSMENTS	Office of the Manager Effectiveness of CBFO Corrective Actions																										C														
CBFO MANAGEMENT ASSESSMENTS	Office of NTP Management Assessment of the TRU Waste Confirmation Process																										C														

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CBFO MANAGEMENT ASSESSMENTS	Office of NTP CCP/Transportation Management Assessment at Hanford																										C														
	Office of NTP CCP Characterization Process Management																										C														
	Office of NTP Fitness for Duty and Driver Mnaagement Transportation Contract Carriers, CAST Specialty Trans. Visionary Solutions, LLC		C																																						
	Office of NTP Idaho Analytical Labs Assessment									C																															
	Office of NTP TRANSCOM Inventory						C																																		
	Office of NTP and Operations Technical/Program Review of the Waste Data System										C																														
	Office of Quality Assurance Evaluation of CAP Rejection Rate on CARs issued to WTS																										C														
	Office of Quality Assurance QA Organization Resources							C																																	
	Office of Regulatory Compliance *Organizational Structure, Objectives and Interfaces for the Office of Regulatory Compliance*																																					*P*			*MA-11-02, D. Ferguson* *July 1 - 30, 2011*
	Office of Regulatory Compliance WTS Earned Value Management System Approach for Intent Guidelines 2, 4, 6, 7 & 9 of the ANSI/EIA-748-A Standard												C																												
	Office of Regulatory Compliance Training Needs																										C														
	ISM Effectiveness															C																									
	Office of Safety & Health Emergency Management Exercise Assessment																C																								
	Office of Safety & Health Radiation Safety Program at WIPP																												C												
	Office of Science and International Programs											C																													
	Office of Site Operations Facility Rep Program																										C														
CCP	CCP/Inter-Site Shipment Transportation Audit				C																			C													P				June 2011 (TBD)
	CCP QA Audit (ALL SITES) (annual)						C														C													P							*A-11-06, P. Y. Martinez* March 2011 (TBD)
	CCP Transportation Audit - (ALL SITES) (annual)													C													C													P	September 2011 (TBD)
	GEVNC/CCP Audit				C														C																						

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HANFORD	Hanford Recertification Audit (annual)										C																														
	Hanford/CCP HSG Surveillance																								C																
	Hanford/CCP VE Follow-up-Surveillance																								C																
	Hanford Close-Out Surveillance																				C																				
HANFORD/CCP	Hanford/CCP Initial Certification Audit																					C																			
	Hanford/CCP Recertification (Annual)																																	P							April 2011 (TBD)
	Hanford/CCP Transportation																				C																				
INL/CCP	INL/CCP RH Sampling																			C																					
	INL/CCP Recertification (annual)									C														C												P					June 2011 (TBD)
	INL/CCP Labs Recertification (annual)									C														C												P					June 2011 (TBD)
	INL/CCP ECL Surveillance (one-time)																																								*(TBD) 2011*
	INL/CCP HSG Surveillance																								C																
	INL/CCP Dose-To-Curie Follow-up-Surveillance																								C																
	INL/CCP Solids Analytical Lab Surveillance (one-time)															C																									
	INL/CCP RH VE Audit (one-time)															C																									
LANL/CCP	LANL/CCP Recertification Audit (annual)								C													C													P					May 2011 (TBD)
LANL	LANL/CO QAPD Implementation Audit (annual)						C													C												P								A-11-05, T. Bowden February 22 - 24, 2011
ORNL	ORNL/CCP CH S4000 Soils/Gravel (one-time)											C																													
	ORNL/CCP Recertification Audit (annual)					C														C												P								A-11-04, P. Martinez February 8 - 10, 2011
	ORNL/CCP RH Recertification Audit										C																														

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PDP	PDP HSG Supplier Audit (Air Liquide) (3yr)																																					P			July 2011 (TBD)
PDP	Triennial HSG PDP SPC Supplier Evaluation Audit at SwRI																						C																		May 2013 (TBD)
PDP	NDA PDP Source Custodian Activities (one-time)													C																											
PDP	Triennial RCRA PDP SPC Supplier Evaluation Audit @ ERA																							C																	June 2013 (TBD)
SECURITY WALLS	Security Walls Audit (3yr)											C																													July 2012 (TBD)
SNL/CO	SNL/CO QAPD Implementation Audit (annual)			C													C													*C*											*November 2011 (TBD)*
SRS	SRS RH Transportation (one-time)										C																														
SRS	*SRS/CCP BCL RH Drum Surveillance*																																								*(TBD 2011)*
SRS	*SRS/CCP Large Container NDE Follow-Up Surveillance*																													*C*											
SRS	SRS/CCP RTR unit 4 Audit (one-time)										C																														
SRS	SRS/CCP Recertification Audit (annual)		C													C													C												October 2011 (TBD)
TRANSPORTATION	Visionary Solutions Transportation Surveillance (annual)						C														C													P							March 2011 (TBD)
TRANSPORTATION	Cast Transportation Surveillance (annual)				C													C													*C*										*December 2011 (TBD)*
TRANSCOM	Transcom Surveillance (annual)																																								(TBD)
	Washington TRU Solutions CBFO Records Holding Facility Program												C														C														(TBD)
	WTS Action Request/Work Control Process Surveillance																												C												(TBD)
	WTS Centralized Procurement Surveillance (3yr)							C																																	March 2012 (TBD)

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WTS	WTS Conduct of Operations (3yr)																C																								November 2012 (TBD)
WTS	WTS (CFR Part 71) Subpart H Surveillance (3yr)							C																																	March 2012 (TBD)
WTS	WTS CMR Surveillance (3yr)													C																											September 2012 (TBD)
WTS	WTS Commercial Grade Dedication Surveillance (one-time)																																								S-10-XX, N. Wade (TBD)
WTS	WTS Confined Space/Hearing and Fall Protection Surveillance (3yr)													C																											September 2012 (TBD)
WTS	WTS Dosimetry Program Surveillance (3yr)																		C																						January 2013 (TBD)
WTS	WTS Fire/Emergency Response Surveillance (3yr)				C														C																						January 2013 (TBD)
WTS	WTS Engineering Surveillance (DSA/Nuclear Safety) (3yr)												C																												August 2012 (TBD)
WTS	WTS Engineering Fire Protection Surveillance (3yr)			C																																					November 2011 (TBD)
WTS	WTS Environmental Surveillance (DP 831)													C				C														P									S-11-09, P. Gomez January *20*, 2011
WTS	WTS Graded Approach Surveillance (3yr)													C																											September 2012 (TBD)
WTS	WTS Environmental Surveillance (biota/land management) (3yr)																						C																		May 2013 (TBD)
WTS	WTS Environmental Surveillance (NESHAPS) (3yr)																																								2011 (TBD)
WTS	WTS RCRA/Hazardous Material Transporter Surveillance																						C																		
WTS	WTS Environmental Surveillance (RCRA) (3yr)																						C																		May 2013 (TBD)
WTS	WTS Environmental Surveillance (meteorology) (3yr)										C																														June 2012 (TBD)
WTS	WTS Environmental Surveillance (SDW) (3yr)										C																														June 2012 (TBD)
WTS	WTS Ground Control Surveillance (annual)																	C												*C*											*November 2011 (TBD)*
WTS	WTS Hazardous Transportation Vendors Surveillance (3yr)																						C																		May 2013 (TBD)
WTS	WTS ISH Program Audit																								C													P			July 2011 (TBD)
WTS	WTS Maintenance Surveillance (annual)							C														C													P						April 2011 (TBD)
WTS	WTS Mine Ventilation Surveillance (3yr)								C																																April 2012 (TBD)
WTS	WTS Monitoring Programs Audit (biota/land management/Delaware Basis/Groundwater/VOC H2 CH4)																						C													P					May 2011 (TBD)
WTS	WTS Monitoring Programs Surveillance (Delaware Basin Drilling) (3yr)																						C																		May 2013 (TBD)

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WTS	WTS Monitoring Programs Surveillance (Ground Water) (annual)							C															C													P					May 2011 (TBD)
WTS	WTS Monitoring Programs Surveillance (Seismic)								C															C													P				June 2011 (TBD)
WTS	WTS Monitoring Programs Surveillance (Subsidence) (3yr)				C																																				December 2011 (TBD)
WTS	WTS Monitoring Programs Surveillance (Volatile Organic Compounds/H2/Methane)(3yr)																						C																		May 2013 (TBD)
WTS	WTS QAPD Implementation Audit, Criteria (NQA-1) 1 thru 9 (annual)							C														C												P						April 2011 (TBD)
WTS	WTS QAPD Implementation Audit, Criteria (NQA-1) 10 thru 18 (annual)		C													C													C											October 2011 (TBD)
WTS	WTS RADCON Program Surveillance (3yr)											C																												July 2012 (TBD)
WTS	WTS Records Processes Audit																																	P						*A-11-07, C. Riggs March 15 - 17, 2011*
WTS	WTS Safety Surveillance (Electrical Safety) (3yr)																														C									*December 2013 (TBD)*
WTS	WTS Safety Surveillance (HAZCOM) (3yr)					C																																		January 2012 (TBD)
WTS	WTS Safety Surveillance (Job Hazard Analysis) (3yr)																														C									*December 2013 (TBD)*
WTS	WTS Safety Surveillance (Mining) (3yr)		C																																					October 2011 (TBD)
WTS	WTS TRUPACT III Procurement Surveillance (one-time)															C																								
WTS	WTS Type B Packaging Surveillance (Maintenance & Fabrication) (3yr)																C																							November 2012 (TBD)
WTS	WTS Waste Handling (annual)											C															C												P	September 2011 (TBD)
WTS	WTS WRES Permittee Waste Confirmation Surveillance (3yr)						C																																	February 2012 (TBD)
WTS	WTS Waste Data System Surveillance (3yr)																C												C											October 2013 (TBD)

Prepared by: Martin Navarrete
Acting CBFO QA Office Director

Date: 1-6-11

Approved by: E Germanoski
Acting CBFO Manager

Date: 1/7/11