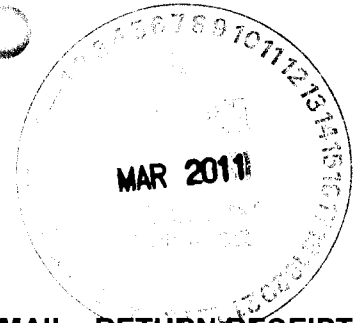




**Department of Energy**  
 Carlsbad Field Office  
 P. O. Box 3090  
 Carlsbad, New Mexico 88221

**MAR 4 2011**



**CERTIFIED MAIL - RETURN RECEIPT**

Mr. Steve Zappe, Project Leader  
 Hazardous Materials Bureau  
 New Mexico Environment Department  
 2905 Rodeo Park Drive East, Building 1  
 Santa Fe, New Mexico 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule, March 2011

Dear Mr. Zappe:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of March 2011. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Martin P. Navarrete, CBFO Acting Director, Office of Quality Assurance, at (575) 234-7483.

Sincerely,

Edward Ziemianski (for)  
 Acting Manager

Enclosure

cc: w/enclosure  
 J. Bearzi, NMED \*ED  
 J. Kieling, NMED ED  
 O. Vincent, CBFO ED  
 M. Navarrete, CBFO ED  
 WIPP Operating Record ED

CBFO QA File  
 CBFO M&RC

\*ED denotes electronic distribution



**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE		O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS		
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
AMWTP	AMWTP Recertification Audit (annual)											C												C														P	September 2011 (TBD)	
	ANL/CCP - East/VE and Radiological Sampling Process for RH S5000 Debris Waste																	C																						
ANL	ANL/CCP Recertification Audit (annual)											C												C														P	August 2011 (TBD)	
	ANL/CCP Gravimetric and Dimensional Measurement Process Surveillance																															P								S-11-06, G. Knox March 8 - 10, 2011
BAPL	BAPL/CCP Certification Audit																															P							A-11-12, P. Gomez April 19 - 21, 2011	
	BAPL/CCP RH VE & Radiological Characterization Surveillance																							C																
	BAPL/CCP HSG/DTC Surveillance																															C								
CBFO	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy CBFO - Audit (3yr.)																							C															May 2013 (TBD)	
	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy WTS - Audit (3yr.)												C																										September 2012 (TBD)	
	CBFO PDP Program Management Audit (3yr)																																							2011 (TBD)
	CBFO QAPD Implementation Audit NQA (1 - 9) (annual)					C																																		
	CBFO QAPD Implementation Audit NQA (10 -18) (annual)												C																											
	CBFO QAPD Implementation Audit NQA (1 - 18) (annual)																								C									P						May 2011 (TBD)
	CBFO TP 10.7 Operational Assessment Surveillance												C																											
CBFO Independent Audit (3yr) Office of Environmental Management QA audit of CBFO, WTS and CCP					C																																		January 2012 (TBD)	
CBFO MANAGEMENT ASSESSMENTS	Office of Business Records Management																																P						MA-11-01, M. Milligan February 7 - March 30, 2011	
	Office of Business Communication between Budget and Contracting Teams																																P						MA-11-03, K. Squires February 24 - March 30, 2011	
	Office of Business Performed to satisfaction with its customer service. Interviews are conducted with CBFO directors, WTS, CTAC, Visionary and CAST.																								C															
	Office of the Managers/Office of Safety & Health/Office of Site Operations												C																											
	Office of the Manager Effectiveness of CBFO Corrective Actions																																				C			

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE		2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	REMARKS & COMMENTS			
		OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		JUL	AUG	SEP
CBFO MANAGEMENT ASSESSMENTS	Office of NTP Management Assessment of the TRU Waste Confirmation Process																									
	Office of NTP CCP/Transportation Management Assessment at Hanford																									
	Office of NTP CCP Characterization Process Management																									
	Office of NTP Fitness for Duty and Driver Mnaagement Transportation Contract Carriers, CAST Specialty Trans. Visionary Solutions, LLC	C																								
	Office of NTP Idaho Analytical Labs Assessment										C															
	Office of NTP TRANSCOM Inventory																									
	Office of NTP and Operations Technical/Program Review of the Waste Data System																									
	Office of Quality Assurance Evaluation of CAP Rejection Rate on CARs issued to WTS																									
	Office of Quality Assurance QA Organization Resources																									
	Office of Quality Assurance CBFO QA Self-Assessment																									P MA-11-04, D. Ahearts March 8 - 10, 2011
	Office of Environmental Safety and Health Organizational Structure, Objectives and Interfaces for the Office of Environmental Safety and Health																									P MA-11-02, D. Ferguson July 1 - 30, 2011
	Office of Regulatory Compliance WTS Earned Value Management System Approach for Intent Guidelines 2, 4, 6, 7 & 9 of the ANS/EIA-748-A Standard																									
	Office of Regulatory Compliance Training Needs																									
	ISM Effectiveness																									
	Office of Safety & Health Emergency Management Exercise Assessment																									
	Office of Safety & Health Radiation Safety Program at WIPP																									
	Office of Science and International Programs																									
	Office of Site Operations Facility Rep Program																									
CCP	CCP/Inter-Site Shipment Transportation Audit																									
	CCP QA Audit (ALL SITES) (annual)																								P June 2011 (TBD)  A-11-06, P. Y. Martinez March 1 - 3, 2011	

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE		O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS			
		CT	OV	EC	AN	EB	AR	PR	AY	UN	UL	UG	EP	CT	OV	EC	AN	EB	AR	PR	AY	UN	UL	UG	EP	CT	OV	EC	AN	EB	AR	PR	AY	UN	UL	UG	EP				
	CCP Transportation Audit - (ALL SITES) (annual)												C																									P	September 2011 (TBD)		
	GEVNC/CCP Audit			C														C																							
HANFORD	Hanford Recertification Audit (annual)									C																															
	Hanford/CCP HSG Surveillance																																								
	Hanford/CCP VE Follow-up Surveillance																																								
	Hanford Close-Out Surveillance																																								
HANFORD/CCP	Hanford/CCP Initial Certification Audit																																								
	Hanford/CCP Recertification (Annual)																																							A-11-10, E. Bradford April 5 - 7, 2011	
	Hanford/CCP Transportation																																								
INL/CCP	INL/CCP RH Sampling																																								
	INL/CCP Recertification (annual)										C																													June 2011 (TBD)	
	INL/CCP Labs Recertification (annual)										C																													June 2011 (TBD)	
	INL/CCP ECL Surveillance (one-time)																																							(TBD) 2011	
	INL/CCP HSG Surveillance																																								
	INL/CCP Dose-To-Cure Follow-up Surveillance																																								
	INL/CCP Solids Analytical Lab Surveillance (one-time)																																								
	INL/CCP RH VE Audit (one-time)																																								
LANL/CCP	LANL/CCP Recertification Audit (annual)																																							A-11-11, G. Knox May 17 - 19, 2011	
LANL	LANL/CO QAPD Implementation Audit (annual)																																							A-11-05, T. Bowden April 26 - 28, 2011	
ORNL	ORNL/CCP CH S4000 Soils/Gravel (one-time)																																								
	ORNL/CCP NDA Surveillance																																							S-11-18 G. Knox (TBD 2011)	
	ORNL/CCP Radiochemistry Sampling for Dose-to-Cure Surveillance (one-time)																																								

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	REMARKS & COMMENTS			
	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP		
ORNL/CCP Recertification Audit (annual)				C																				February 2012 (TBD)		
										C																
PDP																									July 2011 (TBD)	
																									May 2013 (TBD)	
																										June 2013 (TBD)
SECURITY WALLS																									July 2012 (TBD)	
SNL/CCP																									S-17-15, P. Gomez March 30 - 31, 2011	
SNL/CO			C																						November 2011 (TBD)	
SRS																										
																									May 2011 (TBD)	
																										June 2011 (TBD)
																										(TBD 2011)
TRANSPORTATION																										S-11-12, B.J. Verret March 22 - 24, 2011
																										December 2011 (TBD)

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE		O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
TRANSCOM	Transcom Surveillance (annual)																																					(TBD)
	Washington TRU Solutions CBFO Records Holding Facility Program											G													C													(TBD)
WTS	WTS Action Request/Work Control Process Surveillance																																					(TBD)
	WTS Centralized Procurement Surveillance (3yr)							C																														March 2012 (TBD)
	WTS Conduct of Operations (3yr)																																					November 2012 (TBD)
	WTS (CFR Part 71) Subpart H Surveillance (3yr)							C																														March 2012 (TBD)
	WTS CMR Surveillance (3yr)												C																									September 2012 (TBD)
	WTS Commercial Grade Dedication Surveillance (one-time)																																					S-10-XX, N. Wade (TBD)
	WTS Confined Space/Heating and Fall Protection Surveillance (3yr)												C																									September 2012 (TBD)
	WTS Dosimetry Program Surveillance (3yr)																																					January 2013 (TBD)
	WTS Fire/Emergency Response Surveillance (3yr)							C																														January 2013 (TBD)
	WTS Engineering Surveillance (DSA/Nuclear Safety) (3yr)												C																									August 2012 (TBD)
	WTS Engineering Fire Protection Surveillance (3yr)																																					November 2011 (TBD)
	WTS Environmental Surveillance (DP 831)																																					
	WTS Graded Approach Surveillance (3yr)																																					September 2012 (TBD)
	WTS Environmental Surveillance (biota/land management) (3yr)																																					May 2013 (TBD)
	WTS Environmental Surveillance (NESHAPS) (3yr)																																					2011 (TBD)
	WTS RCRA/Hazardous Material Transporter Surveillance																																					
	WTS Environmental Surveillance (RCRA) (3yr)																																					May 2013 (TBD)
	WTS Environmental Surveillance (meteorology) (3yr)												C																									June 2012 (TBD)
WTS Environmental Surveillance (SDW) (3yr)												C																									June 2012 (TBD)	
WTS Ground Control Surveillance (annual)																																					November 2011 (TBD)	

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE	O	N	D	J	F	M	A	M	J	J	A	S		O	N	D	J	F	M	A	M	J	J	A	S		O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS				
	C	O	E	A	E	A	A	J	J	A	S		F	C	O	E	A	E	A	A	J	J	A	S		F	C	O	E	A	E	A	A	J	J	A	S						
WTS Hazardous Transportation Vendors Surveillance (3yr)																																										May 2013 (TBD)	
WTS ISH Program Audit																																										July 2011 (TBD)	
WTS Maintenance Surveillance (annual)						C																																				S-11-13, H. Washington March 29 - 31, 2011	
WTS Mine Ventilation Surveillance (3yr)							C																																			April 2012 (TBD)	
WTS Monitoring Programs Audit (biota/land management/Delaware Basin (including DP831)/Groundwater/VOC H2 CH4)																																										May 2011 (TBD)	
WTS Monitoring Programs Surveillance (Delaware Basin Drilling) (3yr)																																										May 2013 (TBD)	
WTS Monitoring Programs Surveillance (Ground Water) (annual)						C																																				In scope of Monitoring Program Audit	
WTS Monitoring Programs Surveillance (Seismic)							C																																			June 2011 (TBD)	
WTS Monitoring Programs Surveillance (Subsidence) (3yr)																																										December 2011 (TBD)	
WTS Monitoring Programs Surveillance (Volatile Organic Compounds/H2/Methane)(3yr)																																										May 2013 (TBD)	
WTS QAPD Implementation Audit, Criteria (NQA-1) 1 thru 9 (annual)							C																																			A-11-09, H. Washington April 12 - 14, 2011	
WTS QAPD Implementation Audit, Criteria (NQA-1) 10 thru 16 (annual)		C																																								October 2011 (TBD)	
WTS RADCON Program Surveillance (3yr)																																										July 2012 (TBD)	
WTS Records Processes Audit																																										A-11-07, C. Riggs March 15 - 17, 2011	
WTS Safety Surveillance (Electrical Safety) (3yr)																																											December 2013 (TBD)
WTS Safety Surveillance (HAZCOM) (3yr)								C																																		January 2012 (TBD)	
WTS Safety Surveillance (Job Hazard Analysis) (3yr)																																										December 2013 (TBD)	
WTS Safety Surveillance (Mining) (3yr)																																										October 2011 (TBD)	
WTS Standard Waste Box Surveillance																																										S-11-17, G. Knox March 1 - March 4, 2011	
WTS TRUPACT III Procurement Surveillance (one-time)																																											
WTS Type B Packaging Surveillance (Maintenance & Fabrication) (3yr)																																										November 2012 (TBD)	
WTS Waste Handling (annual)																																										September 2011 (TBD)	
WTS WRES Permittee Waste Confirmation Surveillance (3yr)																																										February 2012 (TBD)	

**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
February 2011**

ORGANIZATION/SCOPE	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS
	CT	OV	EC	AN	EB	AR	PR	AY	UN	UL	UG	EP	CT	OV	EC	AN	EB	AR	PR	AY	UN	UL	UG	EP	
WTS Waste Data System Surveillance (3yr)	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	October 2013 (TBD)

Prepared by: *M.P. [Signature]*  
Acting CBFO QA Office Director

Date: 3-3-11

Approved by: *[Signature] (EOR)*  
Acting CBFO Manager

Date: 3-4-11