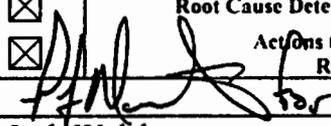
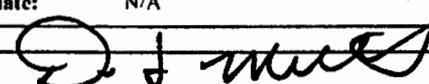


CBFO Form 3.1-1

CORRECTIVE ACTION REPORT

1. CAR No.: 11-042		2. Activity Report No.: A-11-14		3. Page 1 of 2	
4. Controlling document: CCP-QP-002, Rev. 31		5. CBFO Assessment Team Leader: Dennis S. Miehs			
6. Responsible organization: INL/CCP		7. CAQ was discussed with: Michelle Billet; Val Cannon			
8. Requirement that was violated: See Continuation Sheet					
9. Condition Adverse to Quality (CAQ): See Continuation Sheet					
10. Suggested actions (Optional):					
11a. Significant CAQ? (If 'Yes', go to block 15b)		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required:	
11b. Work Suspension recommended? (If 'Yes', go to block 15b)		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11c. RCRA related?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11d. Accelerated corrective action required? (If 'Yes', go to block 15b)		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
11e. Does this CAQ affect waste streams BNINW216 or BNIN218?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
13a. Trend Code: TQ-04		13b. CAR Initiator:  (printed name) Jack Walsh		Date: 06/28/11	
14a. Response due date: 7/14/2011		14b. Required corrective action completion date: N/A			
15. Concurrence:					
a. Assessment Team Leader:		 Dennis S. Miehs		Date: 6-28-11	
b. CBFO Quality Assurance Director (If SCAQ, work suspension, or accelerated corrective action, sign here; otherwise, mark as N/A):		N/A		Date:	
16. Acceptance of Proposed Corrective Actions:		_____		Date: _____	
(printed name)		_____		_____	
17. Acceptance of Corrective Action Completion:		_____		Date: _____	
(printed name)		_____		_____	
18. Closure:		_____		Date: _____	
(printed name)		_____		_____	

CBFO Form 3.1-2

CAR CONTINUATION SHEET

1. CAR No: 11-042

2. Activity No: A-11-14

3. Page 2 of 2.

Block # 8

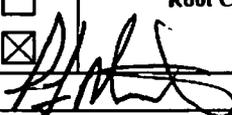
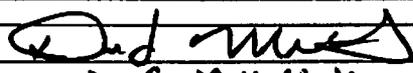
CCP-QP-002, Revision 31, Section 4.3.1[A.6], CCP AK personnel qualified in the RH waste program must also be proficient in:

- Understanding of DOE/WIPP 02-3214, *Remote-Handled TRU Waste Characterization Program Implementation Plan*
- Training on determining radiological contents

Block # 9

There is no evidence that two Acceptable Knowledge Experts (AKEs) have had the training required by DOE/WIPP 02-3214, *Remote-Handled TRU Waste Certification Program Implementation Plan*, to be qualified in the RH Waste Program. The individuals in question have signed RH AK Summary Report Attachments and have been involved in CCP RH AK Summary Reports.

CBFO Form 3.1-1 **CORRECTIVE ACTION REPORT**

1. CAR No.: 11-043	2. Activity Report No.: A-11-14	3. Page 1 of 2
4. Controlling document: CCP-TP-005, R22; HWFP C4-3c	5. CBFO Assessment Team Leader: D. Miehl	
6. Responsible organization: INL/CCP	7. CAQ was discussed with: C. Johnson, S. Smith, S. Percy	
8. Requirement that was violated: See Continuation Sheet		
9. Condition Adverse to Quality (CAQ): See Continuation Sheet		
10. Suggested actions (Optional): None.		
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNIN218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required: Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13a. Trend Code: AK-05	13b. CAR Initiator:  (printed name) Norman Frank	Date: 6/28/11
14a. Response due date: 7/14/11		
14b. Required corrective action completion date: 7/29/11		
15. Concurrence:		
a. Assessment Team Leader:  (printed name) Dennis S. Miehl		Date: 6-28-11
b. CBFO Quality Assurance Director (if SCAQ, work suspension, or accelerated corrective action, sign here; otherwise, mark as N/A):  (printed name) Dennis S. Miehl for R. Unger		Date: 6-28-11
16. Acceptance of Proposed Corrective Actions:		Date:
17. Acceptance of Corrective Action Completion:		Date:
18. Closure:		Date:

CBFO Form 3.1-2

CAR CONTINUATION SHEET

1. CAR No: 11-043

2. Activity No: A-11-14

3. Page 2 of 2

Block # 8

CCP-TP-005, R22, section 4.4.38, . . . (AKE) Submit records identified in Section 5.0 to CCP Records in accordance with CCP-QP-008, CCP Records Management.

HWFP C4-3c...The first step is to assemble all of the required acceptable knowledge information and any additional information regarding the materials and processes that generate a specific waste stream... Acceptable Knowledge information shall be compiled in an auditable record, including a road map for all applicable information.

Block # 9

AK records are not getting into the CCP Records system. For example, the auditor requested the CCP Records manager to verify that the source documents listed on Attachment 4, *Acceptable Knowledge Source Document Reference List*, for selected waste streams were in the hard copy CCP Records files. Results of the request are listed below.

- Waste Stream ID-SDA-SOIL (CCP-AK-INL-001)
Approximately 30 source documents and their associated Attachment 3, *Acceptable Knowledge Source Document Summary*, documents were missing from CCP Records. (Examples include: ID-P377, ID-P410, and RF-C502)
- Waste Stream ID-RTC-S5400 (CCP-AK-INL-520)
Approximately 13 source documents and their associated Attachment 3, *Acceptable Knowledge Source Document Summary*, documents were missing from CCP Records. (Examples include: C147, C253, and C223)
- Waste Stream ID-LL-M001-S5400 (CCP-AK-INL-018) and Waste Stream ID-LL-T004-S3141 (CCP-AK-INL-018)
Approximately 26 source documents and 249 associated Attachment 3, *Acceptable Knowledge Source Document Summary*, documents were missing from CCP Records. (Examples include: C001, C023, C035, C120, and C119)
- Waste Stream ID-HFEF-S5400-RH (CCP-AK-INL-580)
Approximately 207 source documents and their associated Attachment 3, *Acceptable Knowledge Source Document Summary*, documents were missing from CCP Records. (Examples include: C661, C754, P142, and U276)