



Department of Energy
 Carlsbad Field Office
 P. O. Box 3090
 Carlsbad, New Mexico 88221



SEP 09 2011

Mr. John Kieling, Program Manager
 Hazardous Materials Bureau
 New Mexico Environment Department
 2905 E. Rodeo Park Drive, Building 1
 Santa Fe, New Mexico 87502-6110

Subject: Carlsbad Field Office Monthly Assessment Schedule

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office Assessment Schedule for the period of September, 2011. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by NMED, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Mr. Randy Unger, Director of the CBFO Office of Quality Assurance at (575) 234-7065.

Sincerely,

Edward Ziemianski
 Interim Manager

Enclosure

cc: w/enclosure
 J. Bearzi, NMED *ED
 S. Holmes, NMED ED
 O. Vincent, CBFO ED

WIPP Operating Record ED
 CBFO QA File
 CBFO M&RC
 *ED denotes electronic distribution

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SEP 09 2011

Mr. Steve Zappe

-2-

bcc: w/enclosure

L. Chism, CBFO *ED

D. Miehl, CBFO ED

M. Navarrete, CBFO ED

B. Kehrman, WTS ED

M. Mager, CTAC ED

bcc: w/o enclosure

S. McCauslin, CBFO ED

O. Franco, WTS ED

*ED denotes electronic distribution

**CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
September 2011**

ORGANIZATION/SCOPE	FY	O	N	D	J	F	M	A	M	J	J	A	S	FY	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS		
	1009	C	O	E	A	E	A	P	A	U	U	U	E	1112	C	O	E	A	E	A	P	A	U	U	E	1112			
AMWTP	AMWTP S2000 VE Surveillance																										8-11-09 G. Grier August 30, 2011		
	AMWTP Recertification Audit (annual)																										P	4-12-09, P. Martinez October 18-20, 2011	
ANL	ANL/CCP - East/VE and Radiological Sampling Process for RH S5000 Debris Waste				C																								
	ANL/CCP Recertification Audit (annual)																										P	August 2012 (TBD)	
	ANL/CCP Gravimetric and Dimensional Measurement Process Surveillance																												
BAPL	BAPL/CCP Certification Audit																											April 2012 (TBD)	
	BAPL/CCP RH VE & Radiological Characterization Surveillance																												
	BAPL/CCP HSG/DTC Surveillance																												
CBFO	Compliance to DOE O 225-1 - Implementation DOE Oversight Policy CBFO - Audit (3yr)																											May 2013 (TBD)	
	CBFO POP Program Management Audit (3yr)																										P	A-11-22 T. Bowden September 14-20, 2011	
	CBFO QAPD Implementation Audit NGA (1 - 18) (annual)																											May 2012 (TBD)	
	CBFO Independent Audit (3yr) Office of Environmental Management QA audit of CBFO WTS and CCP																										P	January 2012 (TBD)	
	CBFO Records Inventory Disposition Schedule Review Follow-up Surveillance (CAR 08-027)																										P	May 2012 (TBD)	
CBFO MANAGEMENT ASSESSMENTS	Office of Business CBFO Risk Management Plan																												
	Office of Business Records Management																												
	Office of Business Communication between Budget and Contracting Teams																												
	Office of Business Performed to satisfaction with its customer service Interviews are conducted with CBFO directors WTS CTAC Visitory and CAST				C																								
	Office of the Manager Effectiveness of CBFO Corrective Actions																										C		
	Office of NTP Management Assessment of the TRU Waste Confirmation Process																										C		
	Office of NTP CCP/Transportation Management Assessment at Hanford																										C		
	Office of NTP CCP Characterization Process Management																										C		
	Office of NTP Review of the WTS/RES Waste Confirmation Process																										P	MA-11-07 S. Casey August 30 - Sept 30, 2011	
	Office of Quality Assurance Evaluation of CAP Rejection Rate on CARs issued to WTS																										C		

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	Y	C	O	E	A	E	A	P	A	J	J	U	E	C	O	V	E	A	B	A	P	A	U	U	U	P		
	0	9	9	9	0	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	1	1	1	1	2		
CBFO MANAGEMENT ASSESSMENTS	Office of Quality Assurance CBFO QA Self-Assessment																									C		
	Office of Environmental Safety and Health Organizational Structure, Objectives and Interfaces for the Office of Environmental Safety and Health																										MA-11-02 D Ferguson July 1 - August 31, 2011	
	Office of Regulatory Compliance Training Needs																										C	
	Initial Work Control Review																										P	
	ISM Effectiveness																										C	
	Office of Safety & Health Emergency Management Exercise Assessment																										C	
	Office of Safety & Health Radiation Safety Program at WIPP																										C	
	Office of Site Operations Verification of Closure of Contractor's Pre-Start Findings for TRUPACT-III Readiness Assessment																										C	
	Office of Site Operations Verification of Closure of Contractor's Post-Start Findings for TRUPACT-III Readiness Assessment																											P
	Office of Site Operations Facility Rep Program																											C
Office of Science & International Programs Management Assessment - Adequacy and Effectiveness of Office Processes and Identification of Process Improvements																											C	
CCP	CCP/Intersite Shipment Transportation Audit																										C	
	CCP Acceptable Knowledge Records Surveillance																										P	
	CCP QA Audit (ALL SITES) (annual)																										P	
	CCP Transportation Audit - (ALL SITES) (annual)																										P	
	GEVNC/CCP Audit																										C	
HANFORD	Hanford/CCP HSG Surveillance																										C	
	Hanford/CCP VE Follow-up Surveillance																										C	
	Hanford Close-Out Surveillance																										C	
HANFORD/CCP	Hanford/CCP Initial Certification Audit																										C	
	Hanford/CCP Recertification (Annual)																										P	
	Hanford/CCP Transportation																										C	
INL/CCP	INL/CCP RH Sampling																										C	
	INL/CCP Recertification (annual)																										P	

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	Y	C	O	E	A	E	A	A	A	U	U	U	E	Y	C	O	E	A	E	A	A	A	U	U	U	E	Y	C	O	E	A	E	A	A	A	U	U	U	E		Y		
WTS Graded Approach Surveillance (3yr)																																										P	September 2012 (TBD)
WTS Environmental Surveillance (Betaland management) (3yr)									C																																		May 2013 (TBD)
WTS Environmental Surveillance (NESHAP) (3yr)													C																														S-11-22 B. J. Jumper August 16 - 18, 2011
WTS RCRA/Hazardous Material Transporter Surveillance									C																																		
WTS Environmental Surveillance (RCRA) (3yr)									C																																		May 2013 (TBD)
WTS Environmental Surveillance (meteorology) (3yr)																																						P				June 2012 (TBD)	
WTS Environmental Surveillance (SDW) (3yr)																																					P					June 2012 (TBD)	
WTS Ground Control Surveillance (annual)				C											C																					P						S-12-XX R. Castle Nov. 29 - Dec 1, 2011	
WTS Hazardous Transportation Vendors Surveillance (3yr)									C																																	May 2013 (TBD)	
WTS ISH Program Surveillance										C														C													P					July 2012 (TBD)	
WTS Maintenance Surveillance (annual)								C																											P							S-12-01 N. Frank November 7 - 9, 2011	
WTS Mine Ventilation Surveillance (3yr)																																					P					April 2012 (TBD)	
WTS Monitoring Programs Audit (Betaland management/Delaware Basin (including DR931/groundwater/VOC/H2/CH4)									C															C														P					May 2012 (TBD)
WTS Monitoring Programs Surveillance (Delaware Basin Drilling) (3yr)									C																																	May 2013 (TBD)	
WTS Monitoring Programs Surveillance (Ground Water) (annual)									C																																	In scope of Monitoring Program Audit	
WTS Monitoring Programs Surveillance (Seismic) (3yr)										C																																June 2014 (TBD)	
WTS Monitoring Programs Surveillance (Subsidence) (3yr)																																				P						S-12-XX (TBD) December 15 - 18, 2011	
WTS Monitoring Programs Surveillance (Volatile Organic Compounds/H2/Methane) (3yr)									C																																	May 2013 (TBD)	
WTS QAPD Implementation Audit, Criteria (NOA-1) 1 thru 9 (annual)									C																												P					April 2012 (TBD)	
WTS QAPD Implementation Audit, Criteria (NOA-1) 10 thru 18 (annual)		C														C																				P					A-12-01 T. Bowden October 4 - 6, 2011		
WTS RADCON Program Surveillance (3yr)																																					P					July 2012 (TBD)	
WTS Records Processes Audit (annual)																																							P			March 2012 (TBD)	
WTS Records Processes Follow-Up Surveillance																																					P					S-12-01 C. Riggs October 4 - 6, 2011	

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	Y	C	V	C	A	E	A	R	R	A	N	L	G	E	Y	C	V	C	A	B	R	R	A	N	L	G	E	Y	C	V	C	A	B	R	R	A	N	L	G	E	Y														
	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1										
	0	9	9	9	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1								
WTS	WTS Safety Surveillance (Electrical Safety) (3yr)																																																	December 2013 (TBD)					
	WTS Safety Surveillance (HAZCOM) (3yr)																																																				January 2012 (TBD)		
	WTS Safety Surveillance (Job Hazard Analysis) (3yr)																																																				December 2013 (TBD)		
	WTS Safety Surveillance (Mining) (3yr)																																																				S-12-02, M. Martinez October 11 - 13 2011		
	WTS Standard Waste Box Surveillance																																																						
	WTS TRUPACT III Procurement Surveillance (one-time)																																																						
	WTS Type B Packaging Surveillance (Maintenance & Fabrication) (3yr)																																																				November 2012 (TBD)		
	WTS Waste Handling (annual)																																																				A-11-16 P. Y. Martinez August 30 - September 1 2011		
	WTS Work Control Processes Surveillance																																																					S-11-26 P. Martinez September 6 - 8 2011	
	WTS WRES Permittee Waste Confirmation Surveillance (3yr)																																																					February 2012 (TBD)	
WTS Waste Data System Surveillance (3yr)																																																							October 2013 (TBD)

Prepared by *R. Lopez*
 CBFO QA Office Director

Approved by *E. Jimenez*
 CBFO Infirm Manager

Date 8 Sep 11

Date 9/9/11