



Department of Energy  
 Carlsbad Field Office  
 P. O. Box 3090  
 Carlsbad, New Mexico 88221

SEP 22 2011



ENTERED

Mr. D. K. Ploetz, Manager  
 Central Characterization Project  
 Retrieval, Characterization and Transportation  
 Washington TRU Solutions, LLC  
 P.O. Box 2078  
 Carlsbad, New Mexico 88221-2078

Subject: Evaluation of the Documentation Supporting Closure of CAR 11-044 from CBFO Audit A-11-23

Dear Mr. Ploetz:

The results of the Carlsbad Field Office (CBFO) evaluation of documentation supporting completion of the corrective actions associated with Corrective Action Report (CAR) 11-044, from CBFO Audit A-11-23, Sandia National Laboratories Central Characterization Project Certification, are documented on the enclosed CAR Continuation Sheet. Corrective actions have been verified and determined to be adequate for precluding recurrence. Accordingly, CAR 11-044 is considered closed.

If you have any questions concerning this evaluation, please contact me at (575) 234-7548.

Sincerely,

Courtland G. Fesmire, P.E.  
 Quality Assurance Engineer

Enclosure

cc: w/enclosure

- |                        |    |                                |    |
|------------------------|----|--------------------------------|----|
| R. Unger, CBFO         | ED | R. Joglekar, EPA               | ED |
| J. R. Stroble, CBFO    | ED | S. Ghose, EPA                  | ED |
| T. Morgan, CBFO        | ED | R. Lee, EPA                    | ED |
| M. Sensibaugh, WTS/CCP | ED | J. Kieling, NMED               | ED |
| V. Cannon, WTS/CCP     | ED | T. Hall, NMED                  | ED |
| A. J. Fisher, WTS/CCP  | ED | S. Holmes, NMED                | ED |
| I. Quintana, WTS/CCP   | ED | T. Kesterson, DOE OB WIPP NMED | ED |
| M. Walker, WTS/CCP     | ED | D. Winters, DNFSB              | ED |
| Y. Salmon, WTS/CCP     | ED | P. Gilbert, LANL-CO            | ED |
| J. Carter, WTS/CCP     | ED | G. Lyshik, LANL-CO             | ED |
| J. Hoff, WTS           | ED | P. Hinojos, CTAC               | ED |
| M. Mullins, WTS        | ED | P. Gomez, CTAC                 | ED |
| J. Todd, DOE-SNL       | ED | G. White, CTAC                 | ED |
| M. Spoerner, SNL       | ED | C. Castillo, CTAC              | ED |
| T. Peake, EPA          | ED | WIPP Operating Record          | ED |
| M. Eagle, EPA          | ED | CBFO QA File                   |    |
| E. Feltcorn, EPA       | ED | CBFO M&RC                      |    |

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**CAR CONTINUATION SHEET**

1. CAR No: 11-044

2. Activity No: A-11-23

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**Block# 17 Acceptance of Corrective Action Completion:**

CBFO has reviewed the Corrective Action Report (CAR) 11-044 closure package, including objective evidence and supporting documentation submitted via URS letter CP:11:01580 UFC:2300.00, from D. K. Ploetz to Martin Navarrete, subject: "TRANSMITTAL OF DOCUMENTATION SUPPORTING COMPLETION OF CORRECTIVE ACTIONS ASSOCIATED WITH CBFO CORRECTIVE ACTION REPORT 11-044."

The following summarizes the methods used in verifying completion of each action as detailed in the corrective action plan (CAP) previously submitted and approved. Italicized text, taken verbatim from the Corrective Action Plan, is used to show the correlation between the corrective action and the method used for verification.

**REMEDIAL ACTIONS:**

*CCP has taken the following remedial action in response to the CAR condition:*

*CCP has obtained correspondence from the Sandia STR addressing site-specific training requirements for CCP personnel. The correspondence lists the CCP positions: VE, Dose-to-Curie, RH Sampling, and Headspace Gas Sampling. The correspondence states that training requirements do not apply to CCP personnel since Sandia has determined that escort-in-lieu-of-training applies to them.*

**Verification:**

Verified through review of objective evidence submitted that Central Characterization Project (CCP) obtained correspondence from Sandia National Laboratories (an email dated August 15, 2011, from Michael Spoerner to Irene Quintana) stating that there are no site-specific training requirements for any CCP positions since CCP personnel are under escort-in-lieu-of-training.

**INVESTIGATIVE ACTIONS:**

Investigative Actions were evaluated and found to be acceptable as documented in CBFO CAP acceptance memo CBFO:OQA:MPN:MAG:11-0691:UFC 2300.00.

**ROOT CAUSE DETERMINATION:**

Root Cause Determination was evaluated and found to be acceptable as documented in CBFO CAP acceptance memo CBFO:OQA:MPN:MAG:11-0691:UFC 2300.00. Formal documentation stipulating there were no site-specific training requirements was submitted with the CAR closure package. (See Verification of Remedial Actions above.)

**ACTIONS TO PRECLUDE RECURRENCE:**

*CCP will issue Lessons Learned 2011-12 to CCP VPMs and SPMs on the separation of controls for safety from the CCP certified program.*

**Verification:**

Verified that CCP issued Lessons Learned 2011-13 (not Lessons Learned 2011-12, as incorrectly referred to in the CAP). Lessons Learned 2011-13 was submitted as objective evidence, dated August 18, 2011, and titled, "Compliance with Safety Requirements is Mandatory for all WTS Employees and Subcontractors, Regardless of Work Location."

The audit team reviewed a sampling of CCP Required Reading Documentation forms and verified that

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**CAR CONTINUATION SHEET**

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CCP vendor project managers, site project managers, and other CCP project personnel signed and dated the required reading documentation.

**CONCLUSION**

Based on the results of the review of the objective evidence included in the CAR 11-044 closure package, it is recommended that CAR 11-044 be closed.

Verification Performed By: Cindi Castillo

Cindi Castillo  
Quality Assurance Auditor  
CBFO Technical Assistance Contractor

9/7/11  
Date