



ENTERED

Department of Energy  
Carlsbad Field Office  
P. O. Box 3090  
Carlsbad, New Mexico 88221

OCT 2011

OCT 6 2011

**CERTIFIED MAIL -RETURN RECEIPT**

Mr. John Kieling, Acting Chief  
Hazardous Materials Bureau  
New Mexico Environment Department  
2905 Rodeo Park Drive East, Building 1  
Santa Fe, New Mexico 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule, October 2011

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of October 2011. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Facility Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Mr. Randy Unger, Director of the Office of Quality Assurance, at (575) 234-7065.

Sincerely,

Edward Ziemianski  
Interim Manager

Enclosure

- cc: w/enclosure
- S. Holmes, NMED                   \*ED
- T. Hall, NMED                       ED
- D. Garcia, CBFO                   ED
- R. Unger, CBFO                   ED
- WIPP Operating Record       ED
- CBFO QA File
- CBFO M&RC
- \*ED denotes electronic distribution



**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
October 2011**

ORGANIZATION/SCOPE	F	O	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS
	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1		
AMWTP												C																										
AMWTP S3000 VE Surveillance																																						
AMWTP Recertification Audit (annual)																																					A-12-03, P. Martinez November 1 - 3, 2011	
ANL												C																										
ANL/CCP Recertification Audit (annual)																																					August 2012 (TBD)	
ANL/CCP Gravimetric and Dimensional Measurement Process Surveillance							C																															
BAPL																																						
BAPL/CCP Certification Audit								C																													April 2012 (TBD)	
BAPL/CCP HSG/DTC Surveillance							C																															
CBFO																																						
Compliance to DOE O 226.1 - Implementation DOE Oversight Policy CBFO - Audit (3yr.)																																					May 2013 (TBD)	
CBFO PDP Program Management Audit (3yr)												C																									A-11-22, T. Bowden September 19 - 22, 2011	
CBFO QAPD Implementation Audit NQA (1 - 18) (annual)								C																													May 2012 (TBD)	
CBFO Independent Audit (3yr) Office of Environmental Management QA audit of CBFO, WTS and CCP																																					January 2012 (TBD)	
CBFO Records Inventory Disposition Schedule Review Follow-up Surveillance (CAR 08-027)																																					May 2012 (TBD)	
CBFO MANAGEMENT ASSESSMENTS																																						
Office of Business CBFO Risk Management Plan								C																														
Office of Business Records Management								C																														
Office of Business Communication between Budget and Contracting Teams								C																														
Office of Business Performed to satisfaction with its customer service. Interviews are conducted with CBFO directors, WTS, CTAC, Visionary and CAST.																																						
Office of the Manager Effectiveness of CBFO Corrective Actions																																						
Office of NTP Management Assessment of the TRU Waste Confirmation Process																																						
Office of NTP CCP/Transportation Management Assessment at Hanford																																						
Office of NTP CCP Characterization Process Management																																						
Office of NTP Review of the WTS/RES Waste Confirmation Process													C																									MA-11-07, S. Casey August 30 - Sept 30, 2011
Office of Quality Assurance Evaluation of CAP Rejection Rate on CARs issued to WTS																																						
Office of Quality Assurance CBFO QA Self-Assessment								C																														
Office of Environmental Safety and Health Organizational Structure, Objectives and Interfaces for the Office of Environmental Safety and Health												C																										





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	Y	C	O	E	A	E	A	A	A	U	U	U	E	Y	C	O	E	A	E	A	A	U	U	U	E	Y	C	O	E	A	E	A	A	U	U	U	E	Y			
	1	1	1	1	1	1	1	1	1	1	1	1	1	2	1	1	1	1	2	2	2	2	2	2	2	2	2	2	2	2	3	3	3	3	3	3	3	3	3	3	
Compliance to DOE O 226.1 - Implementation DOE Oversight Policy WTS - Audit (3yr.)														P																											September 2012 (TBD)
Washington TRU Solutions CBFO Records Holding Facility Program																																									(TBD)
WTS Action Request/Work Control Process Surveillance		C																																							(TBD)
WTS Centralized Procurement Surveillance (3yr)															P																									March 2012 (TBD)	
WTS Conduct of Operations (3yr)																												P													November 2012 (TBD)
WTS (CFR Part 71) Subpart H Surveillance (3yr)															P																									March 2012 (TBD)	
WTS CMR Surveillance (3yr)																											P														September 2012 (TBD)
WTS Commercial Grade Dedication Surveillance (3yr)													C																											August 2014 (TBD)	
WTS Confined Space/Hearing and Fall Protection Surveillance (3yr)																												P												September 2012 (TBD)	
WTS Dosimetry Program Surveillance (3yr)																													P											January 2013 (TBD)	
WTS Fire/Emergency Response Surveillance (3yr)																													P											January 2013 (TBD)	
WTS Engineering Surveillance (DSA/Nuclear Safety) (3yr)																											P													August 2012 (TBD)	
WTS Engineering Fire Protection Surveillance (3yr)																P																								S-12-XX, TBD December 2011 (TBD)	
WTS Environmental Surveillance (DP 831)							C																																		
WTS Graded Approach Surveillance (3yr)																													P												September 2012 (TBD)
WTS Environmental Surveillance (biota/land management) (3yr)																																			P						May 2013 (TBD)
WTS Environmental Surveillance (NESHAP) (3yr)																																									August 2014 (TBD)
WTS RCRA/Hazardous Material Transporter Surveillance																																									
WTS Environmental Surveillance (RCRA) (3yr)																																				P					May 2013 (TBD)
WTS Environmental Surveillance (meteorology) (3yr)																																									June 2012 (TBD)
WTS Environmental Surveillance (SDW) (3yr)																																									June 2012 (TBD)
WTS Ground Control Surveillance (annual)																																									S-12-05, R. Castillo Nov. 29 - Dec. 1, 2011

WTS

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		11	1	0	0	1	1	1	1	1	1	1	1	1	1	12	1	1	1	1	2	2	2	2	2	2	2	
WTS	WTS WRES Permittee Waste Confirmation Surveillance (3yr)																											February 2012 (TBD)
	WTS Waste Data System Surveillance (3yr)		C																									October 2013 (TBD)

Prepared by: R. Unger  
CBFO QA Office Director

Date: 29 Sep 11

Approved by: E. Jemianishi  
CBFO Interim Manager

Date: 10/6/11