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Department of Energy  
Carlsbad Field Office  
P. O. Box 3090  
Carlsbad, New Mexico 88221



SEP 28 2012

Mr. Jon E Hoff, Manager  
Quality Assurance  
Washington TRU Solutions, LLC  
P. O. Box 2078  
Carlsbad, NM 88221-2078

Subject: Surveillance Report for Surveillance S-12-25, WTS, Graded Approach Program

Dear Mr. Hoff:

The Carlsbad Field Office (CBFO) conducted a surveillance of Washington TRU Solutions (WTS) Graded Approach Programs on September 18-20, 2012. The CBFO surveillance report is enclosed.

The surveillance team concluded that the requirement for the WTS Graded Approach Program are satisfactorily implemented and achieving the desired results.

If you have any questions, please contact me at (575) 234-7459.

Sincerely,

Richard Farrell  
Quality Assurance Specialist

Enclosure

cc: w/ enclosure

- |                    |      |                                     |    |
|--------------------|------|-------------------------------------|----|
| J. Franco, CBFO    | * ED | R. Maestas, NMED                    | ED |
| R. Unger, CBFO     | ED   | T. Kesterson, NMED/DOE OB           | ED |
| D. Gadbury, CBFO   | ED   | J. Marple, NMED/DOE OB              | ED |
| L. Pacheco, CBFO   | ED   | D. Winters, DNFSB                   | ED |
| F. Sharif, WTS     | ED   | M. Mager, CTAC                      | ED |
| T. Reynolds, WTS   | ED   | G. White, CTAC                      | ED |
| M. A. Mullins, WTS | ED   | B. Pace, CTAC                       | ED |
| T. Peake, EPA      | ED   | WIPP Operating Record               | ED |
| L. Bender, EPE     | ED   | CBFO QA File                        |    |
| E. Feltcorn, EPA   | ED   | CBFO M&RC                           |    |
| R. Joglekar, EPA   | ED   | *ED denotes electronic distribution |    |
| S. Ghose, EPA      | ED   |                                     |    |
| R. Lee, EPA        | ED   |                                     |    |
| J. Kieling, NMED   | ED   |                                     |    |
| T. Kliphuis, NMED  | ED   |                                     |    |
| S. Holmes, NMED    | ED   |                                     |    |



## CBFO SURVEILLANCE REPORT

**Surveillance Number:** S-12-25 **Date of Surveillance:** September 18-20, 2012

**Surveillance Title:** Washington TRU Solutions LLC (WTS) Graded Approach Program

**Organization**

**Surveilled:** WTS

**Surveillance Team:**

Carlsbad Field Office (CBFO)  
Management Representative:

Richard Farrell, CBFO  
Office of Quality Assurance (QA)

Team Leader:

Berry D. Pace, CBFO Technical  
Assistance Contractor (CTAC)

Team Members:

Greg Knox, CTAC  
Norman Frank, CTAC

**Surveillance Scope:**

The surveillance evaluated the effectiveness of requirements associated with the WTS Graded Approach Program.

**Surveillance Results:**

With the exception noted in corrective action report (CAR) 12-042, the surveillance team verified that the requirements associated with the WTS Graded Approach Program are satisfactorily implemented and achieving the desired results. CAR 12-042 was initiated to document a procedural non-compliance regarding the lack of entries into the WTS Commitment Tracking System (CTS) for extension approvals to the annual system walk-down requirement prescribed in WP 09-CN3025, *Annual System Health/Walkdown/Requalification*.

**Areas/Activities Evaluated:**

The following WTS organizations were assessed to evaluate compliance with the WTS Graded Approach Program:

- Quality Assurance (grading determination approvals)
- Engineering (system walk-downs)
- Operations/Maintenance (work order/preventive maintenance)

- Business Management/Procurement (credit card purchases)

**Corrective Action Report Summary:**

**CAR 12-042**

Objective evidence could not be provided to confirm that approved extensions (90-day) for annual system walk-downs (WH06 dated 7/31/12 and UH06 dated 1/30/12) had been entered into the WTS CTS as required by WP 09-CN3025.

Additionally, objective evidence could not be provided to confirm that 90-day extensions for annual system walk-downs had been filed in the training department for the appropriate Cognizant Engineer/Alternate Cognizant Engineer as required by WP 09-CN3025.

**Observations:**

None

**Corrected During the Surveillance:**

None

**Recommendations:**

None

Surveillance Team Leader:

  
Berry D. Face

Date:

9/26/12

Assistant Manager/Office Director:

N/A

Date:

CBFO QA Approval:

  
Richard Farrell

Date:

9/26/12