Subject: Surveillance Report for Surveillance S-12-25, WTS, Graded Approach Program

Dear Mr. Hoff:

The Carlsbad Field Office (CBFO) conducted a surveillance of Washington TRU Solutions (WTS) Graded Approach Programs on September 18-20, 2012. The CBFO surveillance report is enclosed.

The surveillance team concluded that the requirement for the WTS Graded Approach Program are satisfactorily implemented and achieving the desired results.

If you have any questions, please contact me at (575) 234-7459.

Sincerely,

Richard Farrell
Quality Assurance Specialist

Enclosure

cc: w/ enclosure

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WIPP Operating Record ED
CBFO QA File
CBFO M&RC

*ED denotes electronic distribution
CBFO SURVEILLANCE REPORT

Surveillance Number: S-12-25  Date of Surveillance: September 18-20, 2012

Surveillance Title: Washington TRU Solutions LLC (WTS) Graded Approach Program

Organization Surveilled: WTS

Surveillance Team:

Carlsbad Field Office (CBFO) Management Representative: Richard Farrell, CBFO
Office of Quality Assurance (QA)

Team Leader: Berry D. Pace, CBFO Technical Assistance Contractor (CTAC)

Team Members: Greg Knox, CTAC
Norman Frank, CTAC

Surveillance Scope:

The surveillance evaluated the effectiveness of requirements associated with the WTS Graded Approach Program.

Surveillance Results:

With the exception noted in corrective action report (CAR) 12-042, the surveillance team verified that the requirements associated with the WTS Graded Approach Program are satisfactorily implemented and achieving the desired results. CAR 12-042 was initiated to document a procedural non-compliance regarding the lack of entries into the WTS Commitment Tracking System (CTS) for extension approvals to the annual system walk-down requirement prescribed in WP 09-CN3025, Annual System Health/Walkdown/Requalification.

Areas/Activities Evaluated:

The following WTS organizations were assessed to evaluate compliance with the WTS Graded Approach Program:

- Quality Assurance (grading determination approvals)
- Engineering (system walk-downs)
- Operations/Maintenance (work order/preventive maintenance)
Corrective Action Report Summary:

CAR 12-042

Objective evidence could not be provided to confirm that approved extensions (90-day) for annual system walk-downs (WH06 dated 7/31/12 and UH06 dated 1/30/12) had been entered into the WTS CTS as required by WP 09-CN3025.

Additionally, objective evidence could not be provided to confirm that 90-day extensions for annual system walk-downs had been filed in the training department for the appropriate Cognizant Engineer/Alternate Cognizant Engineer as required by WP 09-CN3025.

Observations:

None

Corrected During the Surveillance:

None

Recommendations:

None

Surveillance Team Leader: [Signature]

Date: 9/26/12

Assistant Manager/Office Director: N/A

Date: 

CBFO QA Approval: [Signature]

Richard Farrell

Date: 9/26/12