



United States Government

Department of Energy

memorandum

 Carlsbad Field Office
 Carlsbad, New Mexico 88221

DATE: MAY 06 2013
 REPLY TO: CBFO: QA:MPN:ANC:13-1402:UFC 2300.00
 ATTN OF:
 SUBJECT: Issuance of CAR 13-023
 TO: Dennis Miehl, Office of Quality Assurance



An informal review of various assessment related concerns was performed, which discovered that a concern identified during Carlsbad Field Office (CBFO) audit A-13-02, was not appropriately validated as procedurally required. This condition is documented on the attached Corrective Action Report (CAR) 13-023, which is governed by CBFO Management Procedure MP 3.1, *Corrective Action Reports*.

Please provide a documented response for the CAR, ensuring that the required actions indicated in Block 12 are addressed, including schedules for completion. Please return your response to me on or before the due date identified in Block 14a.

If you have any questions or comments about the CARs, please contact me at (575) 234-7483.

Martin P. Navarrete
 Senior Quality Assurance Specialist

Attachment

cc: w/attachment	
J. Franco, CBFO	*ED
D. Miehl, CBFO	ED
J.R. Stroble, CBFO	ED
M. Navarrete, CBFO	ED
L. Bender, EPA	ED
T. Kliphuis, NMED	ED
R. Maestas, NMED	ED
S. Holmes, NMED	ED
B. Pace, CTAC	ED
R. Allen, CTAC	ED
P. Hinojos, CTAC	ED
G. White, CTAC	ED
WIPP Operating Records	ED
CBFO QA File	
CBFO M&RC	
*ED denotes electronic distribution	

130511



CORRECTIVE ACTION REPORT

1. CAR No.: 13-023		2. Activity Report No.: N/A		3. Page 1 of 2	
4. Controlling document: MP 3.1		5. CBFO Assessment Team Leader: N/A			
6. Responsible organization: CBFO OQA					
7a. CAQ/CAR Owner (Office Director): M. Navarrete		7b. CAQ was discussed with: N/A			
8. Requirement that is involved: MP 3.1, Corrective Action Reports, Rev. 12, Step 5.1.3; "The CBFO QA Director or designee shall review the condition and determine if the CAR is valid."					
9. Condition Adverse to Quality (CAQ): See CAR continuation sheet.					
10. Suggested actions (Optional): N/A					
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNINW218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			12. Type of actions required: Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
13a. Trend Code: CA-05		13b. CAR Initiator: <u><i>Martin Navarrete</i></u> (printed name) Martin Navarrete		Date: 5-3-13 13	
14a. Response due date: 5-20-13		14b. Required corrective action completion date: N/A		M.N. 5-6-13	
15. Concurrence:					
a. Assessment Team Leader (if applicable): (printed name) <u>N/A</u>				Date: <u>N/A</u>	
b. CBFO Quality Assurance Director: (printed name) <u><i>Dennis S. Nichols</i></u> Dennis S. Nichols				Date: 5-3-13	
16. Acceptance of Proposed Corrective Actions: (printed name) _____				Date: _____	
17. Acceptance of Corrective Action Completion: (printed name) _____				Date: _____	
18. Closure: (printed name) _____				Date: _____	

CAR CONTINUATION SHEET**1. CAR No: 13-023****2. Activity No: N/A****3. Page 2 of 2.****Block 9.**

Based upon recent NMED Observer Inquiries, an informal review of various assessment-related concerns was performed, which discovered that a concern identified during CBFO audit A-13-02 was not appropriately validated as procedurally required. The concern which was submitted on draft CAR 13-007 identified a procedural non-compliance with CCP-TP-001, Project Level Data Validation and Verification, Section 4.2.4, which requires; "Record the Sampling, Analysis, Sampling/Analysis, or Examination Date(s) at the top of the appropriate SPM checklist. The SPM recorded only one examination date on the CCP SPM Radiography Project Level Validation Checklist and Summary, Att. 2, for BDRs SR4RTR0196, SR4RTR0248 and SRSRTR0584. In this particular instance, RTR was performed on more than one day on the referenced BDRs, therefore the dates for each examination should have been recorded. This condition represents a departure from the procedure requirement and should have been validated as a condition adverse to quality. However, CBFO management determined that this condition did not warrant a CAR.