



Department of Energy
Carlsbad Field Office
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JAN 22 2014

JAN 17 2014

NMED
Hazardous Waste Bureau

Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Bldg. 1
Santa Fe, New Mexico 87505-6303



Subject: Transmittal of Revised Documents and CBFO's Response to NMED Comments on Final Audit Report A-13-23 of the LANL/CCP

Dear Mr. Kieling:

This letter transmits the Carlsbad Field Office's (CBFO) response to the New Mexico Environment Department (NMED) comments received regarding the Final Audit Report for Audit A-13-23 of the Los Alamos National Laboratory Central Characterization Program (LANL/CCP). Also included are the Revised Final Audit Report and applicable C6 Checklists to address the comments, as well as additional electronic copies of procedures that were requested.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision according to a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Please contact Mr. Michael R. Brown, Director, Office of Quality Assurance, at (575) 234-7476, should you have any questions concerning these documents.

Sincerely,


Jose R. Franco, Manager
Carlsbad Field Office

Enclosures



cc: w/Report Narrative

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V. Cannon, NWP/CCP	ED	WWIS Database Administrato rs	ED
A.J. Fisher, NWP/CCP	ED	R. Chavez, RES	ED
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J. Hoff, NWP/QA	ED	*ED denotes electronic distribution	
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T. Peake, EPA	ED	WIPP Operating Record	*EF
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**RESPONSE TO NMED COMMENTS ON THE LANL/CCP
FINAL AUDIT REPORT A-13-23**

The NMED letter dated December 20, 2013, for Final Audit Report A-13-23 included thirteen comments related to the report and associated C6 checklists (depicted in italics). The actions taken to address the comments are provided in the following responses.

The C6 Checklist Version Dated May 8, 2012

1. *Questions 9, 149, and 162 of the C6 Checklist indicate that the procedure given, CCP-TP-120, answers the question. Procedure CCP-TP-120 was not included in the Audit Report in hardcopy or electronically.*

Response: The Audit Report has been revised (see attached) to reference CCP procedure CCP-TP-120. A copy of CCP-TP-120 is being provided on compact disc.

2. *Question 44 of the C6 Checklist indicates that the procedural citation, CCP-QP-005, Section 4.4.1[D.3] answers the question. In Revision 22 (the Revision that was in effect for the May 8, 2012 Version of the Permit) this citation does not exist. The correct citation should be CCP-QP-005, Section 4.3.1[D.3] for Revision 22 of the procedure.*

Response: The reference to the section in CCP-QP-005 for Question 44 has been revised (see attached) to correct the citation to Section 4.3.1[D.3].

3. *Questions 68 and 69 of the C6 Checklist indicate that the procedural citation given, CCP-PO-002, Section 5.5 answers the question. This procedure was not included in the Audit Report in hardcopy or electronically.*

Response: The Audit Report has been revised (see attached) to reference CCP procedure CCP-PO-002. A copy of CCP-PO-002 is being provided on compact disc.

4. *Question 144 of the C6 Checklist has the following comment in the Comment column: "ANL/CCP only performs HSG sampling. Analysis is performed by INL." ANL/CCP should be replaced with LANL/CCP.*

Response: The reference to ANL/CCP for Question 144 has been replaced with LANL/CCP (see attached).

5. *Question 144 Part F of the C6 Checklist was answered with N/A. The question asks if there are procedures to ensure radiography and visual examination include a list of prohibited items that the operator could identify to verify that these items are not present in the container. For radiography, CCP-TP-053, Table 1 is a list of prohibited items and for visual examination, CCP-TP-113, Table 1 is also a list of prohibited items. These should be included in Question 144.*

Response: Question 144 Part F has been revised (see attached) to include references to Table 1 in CCP-TP-053 and CCP-TP-113.

**RESPONSE TO NMED COMMENTS ON THE LANL/CCP
FINAL AUDIT REPORT A-13-23**

The C6 Checklist Version Dated March 13, 2013

1. *Questions 9 and 149 of the C6 Checklist indicates that the procedural citation given, CCP-TP-120 was not included in the Audit Report in hardcopy or electronically.*

Response: The Audit Report has been revised (see attached) to reference CCP procedure CCP-TP-120. A copy of CCP-TP-120 is being provided on compact disc.

2. *Questions 26, 31, 32, 33, 34, 35, 36, 37, 59, 70, 144, 296, 297, 298, 300, 301, 304, 304a, and 314 of the C6 Checklist indicate that the procedure given, CCP-TP-069, answers the question. The procedure, CCP-TP-069 was not included in the Audit Report in hardcopy or electronically.*

Response: The Audit Report has been revised (see attached) to reference CCP procedure CCP-TP-069. A copy of CCP-TP-069 is being provided on compact disc.

3. *Question 44 of the C6 Checklist instructs the reader to refer to the May 8, 2012 Checklist. Provided question 44 of the May 8, 2012 is corrected (#2 in section above) the response to this question is acceptable.*

Response: Question 44 on the May 8, 2012 version of the C6 checklist was revised (see attached) to correct the cited section in CCP-QP-005.

4. *Questions 68 and 69 of the C6 Checklist instructs the reader to refer to the May 8, 2012 Checklist. Provided questions 68 and 69 of the May 8, 2012 are corrected (#3 in section above) the responses to these questions are acceptable.*

Response: The Audit Report has been revised (see attached) to reference CCP procedure CCP-PO-002. A copy of CCP-PO-002 is being provided on compact disc.

Clarification to "Section 5.4.4 Headspace Gas Checklist (May 8, 2012)" of the narrative report

- *Fourth paragraph reads "[Headspace Gas] HSG sampling and analysis were performed at the [Idaho National Labs] INL until the Permit modification of March 13, 2013. Certification audits of INL analytical programs and processes were performed annually."*

With regard to LANL, headspace gas sampling is performed on site. Those samples are then shipped to Idaho National Labs for analysis. The current Permit no longer requires HSG sampling and analysis.

**RESPONSE TO NMED COMMENTS ON THE LANL/CCP
FINAL AUDIT REPORT A-13-23**

Response: The cited paragraph has been revised to strike the words “sampling” and “and.”

Additional comments regarding AK summary reports

In CCP-AK-LANL-010, Revision 5, on page 63 there is a misspelled word, “builing” and it should be “building”.

In CCP-AK-LANL-006, revision 12, on page 95 there are two misspelled words, “sillica”, which should be “silica” and “acceclerator” which should be “accelerator”.

In CCP-AK-LANL-014, Revision 1, on page 35, there is a misspelled word, “Inaddition” which should be the two words “In addition”.

Response: The spelling errors have been brought to the attention of responsible personnel in CCP for correction in the next revision of the AK summary reports.

Review Process Notes (for informational purposes):

*For the May 8, 2012 version of the Audit Report, the following procedures were utilized:
CCP-PO-001, Rev. 20; CCP-QP-005, Rev. 22; CCP-TP-005, Rev. 24; CCP-TP-028, Rev. 7; CCP-TP-030, Rev. 31; CCP-TP-033, Rev. 19; CCP-TP-093, Rev. 15; and CCP-TP-162, Rev. 1.*

*For the March 13, 2013 version of the Audit Report, the following procedures were utilized:
CCP-PO-001, Rev. 21; CCP-QP-005, Rev. 23; CCP-TP-005, Rev. 25; CCP-TP-028, Rev. 8; CCP-TP-030, Rev. 32; CCP-TP-033, Rev. 20; CCP-TP-093, Rev. 17; and CCP-TP-162, Rev. 2.*

Response: It is understood that these comments are for NMED internal use only.

REVISED

**U.S. DEPARTMENT OF ENERGY
CARLSBAD FIELD OFFICE**

FINAL AUDIT REPORT

OF THE

**LOS ALAMOS NATIONAL LABORATORY
CENTRAL CHARACTERIZATION PROGRAM**

LOS ALAMOS, NEW MEXICO

AUDIT NUMBER A-13-23

July 23 – 25, 2013

TRU WASTE CHARACTERIZATION AND CERTIFICATION



Prepared by:

Berry D. Pace

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Date:

1/2/14

Approved by:

Michael R. Brown

Michael R. Brown, CBFO
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Date:

1/08/2014

1.0 EXECUTIVE SUMMARY

Carlsbad Field Office (CBFO) Audit A-13-23 was conducted to evaluate the continued adequacy, implementation, and effectiveness of established programs for transuranic (TRU) waste characterization activities performed for the Los Alamos National Laboratory (LANL) by the Nuclear Waste Partnership LLC (NWP) Central Characterization Program (CCP). The audit team evaluated the programs, procedures and processes for characterizing and transporting contact-handled (CH) Summary Category Group (SCG) S3000 homogeneous solids, SCG S4000 soils/gravel, and SCG S5000 debris wastes. The audit was conducted relative to the requirements of the Waste Isolation Pilot Plant (WIPP) Hazardous Waste Facility Permit (Permit) and the *CBFO Quality Assurance Program Document (QAPD)*.

Audit activities were conducted at LANL facilities in Los Alamos, NM, and at the Skeen-Whitlock Building in Carlsbad, NM, July 23 – 25, 2013. Overall, the audit team concluded that the LANL/CCP technical and quality assurance (QA) programs evaluated were adequately established for compliance with applicable upper-tier requirements, effectively implemented, and successful in achieving the desired results.

The audit team identified four concerns during the audit as described in the interim audit report. No Permit Waste Analysis Plan (WAP)-related conditions adverse to quality were identified.

2.0 SCOPE AND PURPOSE

2.1 Scope

The following general areas were audited, as required by Attachment C6, Section C6-3 of the WAP:

- Results of previous audits
- Changes in programs or operations
- New programs or activities being implemented
- Changes in key personnel

The following WAP-related QA elements were audited:

- Personnel Qualification and Training
- Nonconformances
- Records

The following WAP-related waste characterization technical elements were audited for CH SCG S3000 homogeneous solids, SCG S4000 soils/gravel and SCG S5000 debris wastes:

- Acceptable Knowledge (AK), including waste certification (i.e., Waste Stream Profile Forms)
- Project-Level Data Validation and Verification (V&V)
- Solids Sampling and Analysis (SS&A)
- Headspace Gas Sampling and Analysis (HSG S&A)
- Real-time Radiography (RTR)
- Visual Examination (VE)
- WIPP Waste Information System/Waste Data System (WWIS/WDS)

Evaluation of adequacy of LANL/CCP documents was based on the current revisions of the following documents:

- *CBFO Quality Assurance Program Document*, DOE/CBFO-94-1012
- Waste Isolation Pilot Plant Hazardous Waste Facility Permit NM4890139088-TSDF

Programmatic and technical checklists were developed from the current revisions of the following documents:

- *CCP Transuranic Waste Characterization Quality Assurance Project Plan* (QAPjP), CCP-PO-001
- Related LANL/CCP technical and QA implementing procedures

For the purpose of reporting the results of this audit, in an agreement reached with the New Mexico Environment Department (NMED) (reference CBFO memorandum CBFO:OQA:DSM:MAG:13-1431 dated May 30, 2013), the audit team used C6 checklists dated May 8, 2012, and March 13, 2013, to ensure that the requirements and activities associated with chemical sampling and analysis were appropriately evaluated, since those activities had been conducted at LANL between the dates of the last recertification audit (A-12-12) and the elimination of chemical sampling and analysis in the Permit Modification issued March 13, 2013. That is, the C6 checklists dated May 8, 2012, were used to evaluate chemical sampling and analysis activities performed subsequent to the last recertification audit (A-12-12) through March 13, 2013, and the C6 checklists dated March 13, 2013, were used to evaluate activities not associated with chemical sampling and analysis. To ensure clarity, this report identifies where the May 8, 2012, version of the C6 checklist was used.

2.2 Purpose

Audit A-13-23 was conducted to assess the continued adequacy and implementation of requirements for LANL/CCP waste characterization activities for the certification of CH SCG S3000 homogeneous solids, SCG S4000 soils/gravel and SCG S5000 debris waste for compliance to WAP requirements. The audit team also evaluated specific QA elements relating to WAP requirements.

3.0 AUDIT TEAM AND OBSERVERS

AUDITORS/TECHNICAL SPECIALISTS

Dennis S. Miehls	Management Representative, CBFO Office of Quality Assurance
Berry Pace	Audit Team Leader, CBFO Technical Assistance Contractor (CTAC)
Cindi Castillo	Auditor, CTAC
Earl Bradford	Auditor, CTAC
Katie Martin	Auditor, CTAC
Priscilla Martinez	Auditor, CTAC
Kirk Kirkes	Technical Specialist, CTAC
Porf Martinez	Technical Specialist, CTAC
Dick Blauvelt	Technical Specialist, CTAC
Rhett Bradford	Technical Specialist, CTAC
Paul Gomez	Technical Specialist, CTAC

OBSERVERS

Jose Franco	CBFO Office of General Manager
Tom Morgan	CBFO Office of the National TRU Program
Norma Castaneda	CBFO Office of the National TRU Program
Trais Kliphuis	NMED
Ricardo Maestas	NMED
Steve Holmes	NMED
Siona Briley	NMED
Tim Hall	NMED
Joe Harvill	CTAC
Randall Allen	CTAC

4.0 AUDIT PARTICIPANTS

The LANL/CCP individuals involved in the audit process are identified in Attachment 1. A pre-audit meeting was held on July 23, 2013, in the Universal Research Services (URS) corporate offices in Los Alamos, NM, and at the Skeen-Whitlock Building in Carlsbad, NM. Audit team central work space was provided by LANL/CCP at the URS corporate offices. Daily management briefings were held with LANL/CCP management and staff to discuss audit progress and any concerns that arose. A post-audit meeting

was held on July 25, 2013, at the URS corporate offices and in the Skeen-Whitlock Building.

5.0 SUMMARY OF AUDIT RESULTS

5.1 Program Adequacy, Implementation, and Effectiveness

The audit team concluded that the LANL/CCP TRU waste characterization, certification and transportation programs evaluated are adequately established for compliance with upper-tier requirements, effectively implemented, and satisfactory in achieving the desired results. The specific program elements and areas evaluated are described below. Attachment 2 is a list of personnel contacted during the audit by subject area. Attachment 3 contains the objective evidence compiled during the audit (provided in boxes). Attachment 4 is the table of audited documents. Attachment 5 is a list of processes and equipment evaluated during the audit. Attachment 6 is the procedure revision matrix.

5.2 General Activities

5.2.1 Results of Previous Audits

The results of CBFO Recertification Audit A-12-12 of LANL/CCP were examined. No WAP-related conditions adverse to quality (CAQ) requiring a corrective action report (CAR) were issued as a result of Audit A-12-12.

5.2.2 Changes in Programs or Operations

No significant changes in CCP programs or operations have occurred at LANL since CBFO Audit A-12-12.

5.2.3 New Programs or Activities Being Implemented

No new WAP-related programs or new activities have been implemented since CBFO Audit A-12-12.

5.2.4 Changes in Key Personnel

No significant changes in key personnel were made at LANL since CBFO Audit A-12-12.

5.3 WAP-related Quality Assurance Activities

As discussed in section 2.0, WAP-related QA program elements were evaluated using WAP checklists C6-1 and C6-3, dated May 8, 2012.

The following sections describe the methods used to select objective evidence, briefly cite the objective evidence used to assess compliance with the WAP and CBFO QAPD, and provide the results of the assessment.

The following WAP-related QA elements were evaluated by the audit team.

5.3.1 Personnel Qualification and Training

The audit team conducted interviews with responsible personnel and reviewed implementing procedure CCP-QP-002, Rev. 35, *CCP Training and Qualification Plan*, to determine the degree to which the procedure adequately addresses upper-tier requirements. Results of the review indicate that the procedure adequately addresses upper-tier requirements.

Personnel training records associated with VE (including the Off-site Source Recovery Project (OSRP)), RTR, HSG sampling, AK, site project managers (SPMs), and transportation were examined to verify adherence to and implementation of associated requirements and to verify that personnel were appropriately trained/qualified. The audit team reviewed qualification cards and other pertinent qualification documentation, including attendance sheets/briefings on newly revised AK summaries for RTR and VE operators, capability demonstration tests and training container documentation, eye exams, and other items.

No WAP-related deficiencies regarding personnel training and qualification were identified during the audit. Review of CCP-QP-002, Rev. 35, and objective evidence assembled and evaluated by the audit team provided evidence that the applicable requirements for personnel qualification and training are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.3.2 Nonconformances

The audit team reviewed implementing procedure CCP-QP-005, Rev. 23, *CCP TRU Nonconforming Item Reporting and Control*, to determine the degree to which the procedure adequately addresses upper-tier requirements. Results of the review indicate that the procedure adequately addresses upper-tier requirements.

The audit team interviewed the CCP/Carlsbad project office quality assurance engineer and randomly selected the following nonconformance reports (NCRs) for review.

NCR-LANL-1008-12, R0	NCR-LANL-1030-12, R0	NCR-LANL-1035-12, R0
NCR-LANL-1036-12, R0	NCR-LANL-1534-12, R0	NCR-LANL-1548-12, R0
NCR-LANL-0184-13, R0	NCR-LANL-0192-13, R0	NCR-LANL-0193-13, R0
NCR-LANL-0197-13, R0	NCR-LANL-0589-13, R0	NCR-LANL-1231-12, R0
NCR-LANL-1233-12, R0	NCR-LANL-1328-12, R1	NCR-LANL-1532-12, R0
NCR-LANL-1608-12, R0	NCR-LANL-1611-12, R0	NCR-LANL-1620-12, R0

NCR-LANL-1624-12, R1	NCR-LANL-1626-12, R0	NCR-LANL-1852-12, R0
NCR-LANL-1856-12, R0	NCR-LANL-1857-12, R0	NCR-LANL-1859-12, R0
NCR-LANL-1905-12, R1	NCR-LANL-1969-12, R1	NCR-LANL-1971-12, R0
NCR-LANL-0012-13, R0	NCR-LANL-0037-13, R0	NCR-LANL-0038-13, R0
NCR-LANL-0548-13, R0		

The team concluded that deficiencies are appropriately documented and tracked through resolution as required. Five of the NCRs selected (NCR-LANL-1010-12, R0; NCR-LANL-1026-12, R0; NCR-LANL-1535-12, R0; NCR-LANL-1538-12, R0; and NCR-LANL-1790-12, R0) documented non-administrative deficiencies first identified at the SPM level. As required, these NCRs were verified as having been reported to the Permittee within seven days, as required by the Permit. All the NCRs examined were verified to have been entered, managed and tracked in both the CCP data center and the NCR Logs for 2012 and 2013, and through the required reconciliation reporting mechanism.

No WAP-related deficiencies regarding nonconformances were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that the applicable requirements for nonconformances are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.3.3 Records

The audit team conducted interviews and reviewed implementing procedures relative to the control and administration of QA records to determine the degree to which the procedures adequately address upper-tier requirements. The audit team reviewed procedures CCP-QP-008, Rev. 21, *CCP Records Management*, and CCP-QP-028, Rev. 15, *CCP Records Filing, Inventorying, Scheduling, and Dispositioning*. Results of the review indicate that the procedure adequately addresses upper-tier requirements. Control of QA records was verified through review of the CH Records Inventory and Disposition Schedule (RIDS) dated 8/2/12.

No WAP-related deficiencies related to records were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that the applicable requirements for records are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.4 Technical Activities

Each technical area audited is discussed in detail in the following sections. The methods used to select objective evidence are discussed, the objective evidence used to assess compliance with the HWFP WAP is cited briefly, and the results of the assessment are provided.

5.4.1 Table C6-1, WAP Checklist (May 8, 2012)

As discussed in section 2.0, overall WAP activities were evaluated using WAP checklists C6-1, dated May 8, 2012. Checklist C6-3, dated March 13, 2013, was used for the evaluation of project-level data V&V requirements associated with RTR.

The audit was performed to assess LANL/CCP's ability to manage and perform TRU waste characterization and certification activities for CH SCG S3000 homogeneous solids, SCG S4000 soils/gravel and SCG S5000 debris wastes. The C6-1 WAP checklist addresses general program requirements from an overall management perspective. The general requirements checklist addresses both technical requirements and specific WAP-related QA programmatic requirements that, when collectively implemented, ensure effective overall management of TRU waste characterization and certification activities. Requirements are integrated into controlled documents to ensure the waste characterization strategy as defined in the WAP is accomplished and documented in accordance with controlled processes and procedures.

Technical activities evaluated for characterization and certification activities consisted of data-generation and project-level data V&V, AK, RTR, VE, SS&A, HSG S&A (including Performance Demonstration Program [PDP] participation), and preparation of Waste Stream Profile Forms (WSPFs) for CH SCG S3000 homogeneous solids, SCG S4000 soils/gravel and SCG S5000 debris wastes. Objective evidence was selected and reviewed to evaluate the implementation of the associated characterization activities. Batch data reports (BDRs), sampling records, and personnel qualification and training documentation were included in the evaluation. Where possible, the audit included direct observation of actual waste characterization activities. Each characterization process involves:

- Collecting raw data
- Collecting quality assurance/quality control samples or information
- Reducing the data to a useable format, including a standard report
- Review of the report by the data generation facility and the site project office
- Comparing the data against program data quality objectives (DQOs)
- Reporting the final waste characterization information to the WIPP

The flow of data from the point of generation to inclusion in the WSPF for each characterization technique was reviewed to ensure that all applicable requirements were captured in the site operating procedures. Specific procedures audited and the objective evidence reviewed are described in the following sections.

During the audit, LANL/CCP demonstrated compliance with the waste characterization requirements of the WAP through documentation and by performing characterization activities.

The audit team reviewed the following CCP documents/procedures to determine the degree to which they adequately address project-level data V&V upper-tier requirements:

- CCP-PO-001, Rev. 20, *CCP Transuranic Waste Characterization Quality Assurance Project Plan*
- CCP-TP-001, Rev. 20, *CCP Project Level Data Validation and Verification*
- CCP-TP-002, Rev. 25, *CCP Reconciliation of DQOs and Reporting Characterization Data*
- CCP-TP-003, Rev. 19, *CCP Data Analysis for S3000, S4000, and S5000 Characterization*
- CCP-TP-005, Rev. 24, *CCP Acceptable Knowledge Documentation*
- CCP-TP-093, Rev. 17, *CCP Sampling of TRU Waste Containers*
- CCP-TP-162, Rev. 2, *CCP Random Selection of Containers for Solids and Headspace Gas Sampling and Analysis*
- CCP-TP-180, Rev. 3, *CCP Analytical Sample Management*

Results of the review indicate that the procedures adequately address upper-tier requirements.

The random selection of containers for waste streams LA-MHD10.001 and LA-MSG04.001 was reviewed, along with the quarterly repeat of data-generation level reviews. Both were determined to be compliant with project-level requirements. Training records for SPMs identified in selected WSPFs and BDRs were reviewed to verify required qualifications and training.

The following WSPF/Characterization Information Summaries (CISs) and associated BDRs were reviewed:

WSPF LA-MHD.10.001 and CIS Lots 1 through 6

WSPF LA-MSG04.001 and CIS Lot 1

WSPF LA-MIN04.001 and CIS Lots 1 through 7

Visual Examination BDRs:

LAVE550081 LAVE550100 LA12-OSR-VE-045 LA13-OSR-VE-003

Headspace Gas BDRs:

LAHSG1207 LAHSG1208 LAHSG1301

ECL12039M ECL12048M ECL13003M

Real-time Radiography BDRs:

LA-HERTR-12-0066 LA-HERTR-12-0096 LA-HERTR-12-0111

LA-HERTR-12-0116

Solid/Soils Sampling and Analysis BDRs:

SSG12-00006 ALD12028V ALD12028S ALD12028N ALD12028M

As discussed in section 2.0, WWIS/WDS activities were evaluated using WAP checklists C6-1, dated March 13, 2013, since the requirements for WWIS/WDS remained unchanged with the Permit modification on March 13, 2013.

The audit team reviewed CCP procedure CCP-TP-030, Rev. 32, *CH TRU Waste Certification and WWIS/WDS Data Entry*, to determine the degree to which it adequately addresses upper-tier requirements. Results of the review indicate that the procedure adequately addresses upper-tier requirements.

The audit team interviewed responsible personnel, examined related data and observed entry of information into the WWIS/WDS by a Waste Certification Assistant and Waste Certification Official. Record reviews included container information summaries, pages from selected BDRs reflecting analyses values, WWIS/WDS Container Data Reports, and submittals for WWIS review and approval.

The audit team reviewed the following complete WWIS/WDS waste certification packages for CH waste.

LA00000093130	LA00000093160	LA00000093224 (SCG S3000)
LA00000089982	LA00000089985	LA00000089986 (SCG S4000)
LA00000057049	LA00000092725	LAS855309 (SCG S5000)

No WAP deficiencies related to Table C6-1 were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that Table C6-1 requirements are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.4.2 Table C6-2, Solids and Soils/Gravel Sampling Checklist (May 8, 2012)

Solids and soils/gravel sampling is not performed at LANL. Containers requiring sampling were transported to the Idaho National Laboratory (INL) for sampling and analysis. The Permit modification approved on March 13, 2013, eliminated the requirement for solids sampling.

5.4.3 Table C6-3, Acceptable Knowledge Checklist (May 8, 2012)

As discussed in section 2.0, AK activities were evaluated using WAP checklists C6-3, dated May 8, 2012.

The audit team reviewed the following CCP documents/procedures as they relate to AK to determine the degree to which they adequately address applicable upper-tier requirements.

- CCP-PO-001 R20, *CCP Transuranic Waste Characterization Quality Assurance Plan*
- CCP-QP-005 R22, *CCP TRU Nonconforming Item Reporting and Control*
- CCP-QP-021 R8, *CCP Surveillance Program*
- CCP-TP-001 R20, *CCP Project Level Data Validation and Verification*
- CCP-TP-002 R25, *CCP Reconciliation of DQOs and Reporting Characterization Data*
- CCP-TP-003 R19, *CCP Data Analysis for S3000, S4000, and S5000 Characterization*
- CCP-TP-005 R24, *CCP Acceptable Knowledge Documentation*
- CCP-TP-120 R15, *CCP Container Management*
- WP 13-QA.03 R22, *Quality Assurance Independent Assessment Program*

Results of the review indicate that the procedures adequately address upper-tier requirements.

The audit team examined AK Summary Reports and approved WSPFs for waste streams LA-MIN04-S.001, S3000 homogeneous solids associated with activities in TA-55; LA-MSG04.001, S4000 soils/gravel associated with activities in TA-21; and LA-MHD10.001, S5000 debris associated with activities in Technical Area (TA)-39.

The audit team also examined the following completed attachments for each stream as required by CCP procedure CCP-TP-005: Attachment 1, *AK Documentation Checklist*; Attachment 4, *AK Information List*; Attachment 5, *Hazardous Constituents List*; Attachment 6, *Waste Form, Waste Material Parameters, Prohibited Items and Packaging*, along with the justification for waste material parameter weight estimates; and Attachment 8, *Waste Container List*, with memos supporting the addition of containers to the waste stream as applicable.

The team examined numerous AK Source Documents and Source Document Summaries supporting the information in the associated AK Summary Reports, including examples of discrepancies identified between the AK record, characterization activities, and resultant AK reevaluations.

The audit team also reviewed NCRs initiated to address prohibited items identified during RTR of waste drums. These included NCRs to address excess liquids, sealed containers greater than 4 liters, and the presence of impenetrable objects. The WAP-required traceability exercise was conducted for six containers in total from the three streams, including containers from HSG sampling for the referenced debris stream and

solids sampling from the referenced solids and soil streams. In addition to specific BDRs for the drums and boxes selected, the team also examined HSG and Solids Sampling Random Container Selection memos, HSG Summary Reports, Solids Summary Reports, container input forms, historical and current database records, AK Accuracy Reports, independent assessment results, and waste stream characterization checklists used to reconcile characterization results with the AK record for waste containers placed in a shipping lot.

No WAP deficiencies related to Table C6-3 were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that Table C6-3 requirements are adequately established, effectively implemented and achieving the desired results.

5.4.4 Table C6-4, Headspace Gas Checklist (May 8, 2012)

As discussed in section 2.0, HSG sampling activities were evaluated using WAP checklists C6-4, dated May 8, 2012.

The audit team reviewed the following CCP procedures to determine their adequacy in addressing upper-tier requirements.

- CCP-TP-056, Rev. 5, *CCP HSG Performance Demonstration Plan*
- CCP-TP-082, Rev. 8, *CCP Preparing and Handling Waste Containers for Headspace Gas Sampling*
- CCP-TP-093, Rev. 15, *CCP Sampling of TRU Waste Containers*
- CCP-TP-098, Rev. 3, *CCP Installation of the NucFil HSG Sample Port*
- CCP-TP-106, Rev. 7, *CCP Headspace Gas Sampling Batch Data Report Preparation*
- CCP-TP-162, Rev. 1, *CCP Random Selection of Containers for Solids and Headspace Gas Sampling and Analysis*

Results of the review indicate that the procedures adequately address upper-tier requirements.

HSG sampling and analysis were performed at the INL until the Permit modification of March 13, 2013. Certification audits of INL analytical programs and processes were performed annually.

The audit team interviewed responsible personnel and examined the following HSG Sampling BDRs:

LAHSG1204	LAHSG1205	LAHSG1206	LAHSG1207
LAHSG1208	LAHSG1301	LAHSG1302	

The data in the BDRs supported four debris waste streams and were determined to be complete, accurate and compliant with requirements.

Two NCRs generated as a result of HSG sampling were examined to verify that deficiencies were appropriately documented, reported, and resolved in accordance with requirements. Both NCRs dealt with an unanswered question in the Independent Technical Review (ITR) checklist regarding drum age criteria (DAC). In both instances, the deficiencies were verified to be appropriately documented and resolved in accordance with nonconformance reporting requirements. The repetitive nature of the NCRs was evaluated by CCP QA as required, and was determined not to constitute a significant condition adverse to quality.

Training records for personnel identified in the BDRs were reviewed and the audit team determined that personnel were appropriately trained and qualified. Completed BDRs were verified for compliance with QA and quality control sampling and reporting requirements. Accuracy and completion of chain-of-custody forms, sample tags, needle blank results, container data, and temperature equilibration information were verified to be compliant for each BDR.

Equipment cleanliness documentation was examined and verified to be complete and compliant as required. Proper DAC reporting for containers in each BDR was evaluated. The audit team identified one container that did not meet the DAC requirement. This condition was documented in NCR-LANL-1532-12, associated with BDR LAHSG1204. The container was resampled after the DAC was achieved and results were documented in BDR LAHSG1301. Documentation regarding a field reference standard results report issued in 2007 was also examined and verified to be correct.

No WAP deficiencies related to Table C6-4 were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that Table C6-4 requirements are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.4.5 Table C6-3, Radiography Checklist (March 13, 2013)

As discussed in section 2.0, RTR activities were evaluated using WAP checklists C6-3, dated March 13, 2013.

The audit team reviewed the following implementing procedures to determine the degree to which they adequately address upper-tier requirements.

- CCP-QP-002, Rev. 35, *CCP Training and Qualification Plan*
- CCP-TP-028, Rev. 8, *CCP Radiographic Test and Training Drum Requirements*
- CCP-TP-053, Rev. 13, *CCP Standard Real-Time Radiography (RTR) Inspection Procedure*

- CCP-TP-198, Rev. 7, *CCP HE-RTR Operating Procedure*

Results of the review indicate that the procedures adequately address upper-tier requirements.

The audit team examined personnel training and qualification documentation including RTR Operator/ITR qualification cards, test drum and training container documentation, and the associated List of Qualified Individuals (LOQI) dated July 22, 2013. The audit team also verified RTR operators received waste stream-specific AK Summary briefings. The audit team evaluated RTR operator-required test and training drum audio/video media for six RTR operators and determined that all RTR operators were properly trained and qualified to perform their assigned tasks.

The audit team evaluated RTR operations performed on two RTR systems used in TA-54. The audit team observed RTR operations on the RTR2 unit in TA-54, building 54-497, including the RTR characterization scan for CH SCG S5000 debris waste container 93617. The audit team also observed RTR operations on the High-Energy RTR system in TA-54, building 54-578, including the RTR characterization scan for CH SCG S3000 solids waste container 93999. The audit team verified the use of current RTR operating procedures and AK summaries. Both RTR units contained the required hardware to effectively characterize CH SCGs S3000 solids, S4000 soils/gravel, and S5000 debris wastes. The audit team interviewed RTR operators and examined RTR operational logbooks LANL-NDE-RTR2-1010 and LANL-NDE-HERTR02-003 to verify that logbook entries were correct and had been reviewed by the vendor project manager as required.

The audit team examined the following CH RTR BDRs.

High Energy RTR BDRs:

LA-HERTR-12-0073 LA-HERTR-12-0109 LA-HERTR-13-0019
LA-HERTR-13-0048

RTR2 BDRS

LA-RTR2-12-0088 LA-RTR2-12-0091 LA-RTR2-12-0126
LA-RTR2-12-0136

In addition, audio/video media of selected containers were reviewed to verify the accuracy of data recorded on RTR data sheets.

No WAP deficiencies related to Table C6-3 were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that Table C6-3 requirements are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

5.4.6 Table C6-4, Visual Examination Checklist (March 13, 2013)

As discussed in section 2.0, RTR activities were evaluated using WAP checklists C6-4, dated March 13, 2013.

The audit team reviewed the following CCP VE procedures to determine the degree to which they adequately address upper-tier requirements:

- CCP-PO-001, Rev. 21, *CCP Transuranic Waste Characterization Quality Assurance Project Plan*
- CCP-QP-002, Rev. 35 *CCP Training and Qualification Plan*
- CCP-TP-069, Rev. 6 *CCP Sealed Source Visual Examination and Packaging*
- CCP-TP-113, Rev. 17 *CCP Standard Contract Handled Waste Visual Examination*

Results of the review indicate that the procedures adequately address upper-tier requirements.

The audit team conducted interviews with responsible personnel and examined records documenting VE of debris waste, including source waste in the OSRP.

The team examined the following VE BDRs.

LAVE500503	LAVE550076	LAVE550080	LAVE550090
LAVE550101	LAVE550113	LAVE4120014	
LA12-OSR-VE-044	LA12-OSR-VE-050	LA13-OSR-VE-001	
LA13-OSR-VE-010			

No visual examination activities were being conducted at the time of the audit. The audit team toured VE facilities in TA-54 building 412 and the Waste Characterization Remediation and Repackaging Facility (WCRRF) to interview operators, verify procedure accessibility, and evaluate the use of logbooks. Method 2 is used by CCP at LANL for conducting VE (i.e., two qualified operators visually examine waste and place it into containers). Records of VE operator training were examined, which confirmed that personnel are appropriately trained and qualified. The audit team also verified the appointments of VE Experts as required.

No WAP deficiencies related to Table C6-4 were identified during the audit. The procedures reviewed and objective evidence assembled and evaluated during the audit provided evidence that Table C6-4 requirements are adequately established for compliance with upper-tier requirements, satisfactory in the implementation of these requirements, and effective in achieving the desired results.

6.0 CORRECTIVE ACTIONS, OBSERVATIONS, AND RECOMMENDATIONS

6.1 Corrective Action Reports

During the audit, the audit team may identify CAQs, as defined below, and document such conditions on CARs.

Condition Adverse to Quality (CAQ) – Term used in reference to failures, malfunctions, deficiencies, defective items, and nonconformances.

Significant Condition Adverse to Quality – A condition which, if uncorrected, could have a serious effect on safety, operability, waste confinement, TRU waste site certification, compliance demonstration, or the effective implementation of the Quality Assurance (QA) program.

No WAP-related conditions adverse to quality necessitating a CAR were identified during the audit.

6.2 Deficiencies Corrected During the Audit

During the audit, the audit team may identify CAQs. Audit team members, the audit team leader (ATL), and the CBFO QA representative evaluate the CAQs to determine if they are significant using the following definitions:

CAQ – Term used in reference to failures, malfunctions, deficiencies, defective items, and nonconformances.

Significant CAQ – A condition which, if uncorrected, could have a serious effect on safety, operability, waste confinement, TRU waste site certification, compliance demonstration, or the effective implementation of the QA program.

Once a determination is made that the CAQ is not significant, the audit team member, in conjunction with the ATL and the CBFO QA representative, determines if the CAQ is an isolated case requiring only remedial action and therefore can be corrected during the audit. Upon determination that the CAQ is isolated, the audit team member, in conjunction with the ATL and the CBFO QA representative, evaluates/verifies any objective evidence/actions submitted or taken by the audited organization and determines if the condition was corrected in an acceptable manner. Once it has been determined that the CAQ has been corrected, the CBFO QA representative categorizes the condition as corrected during audit (CDA) according to the definition below.

CDAs – Isolated deficiencies that do not require a root cause determination or actions to preclude recurrence. Correction of the deficiency can be verified prior to the end of the audit. Examples include one or two minor changes required to correct a procedure (isolated), one or two forms not signed or not dated

(isolated), and one or two individuals that have not completed a reading assignment.

No WAP-related conditions adverse to quality corrected during the audit were identified during the audit.

7.0 SUMMARY OF OBSERVATIONS AND RECOMMENDATIONS

During the audit, the audit team may identify potential problems or suggestions for improvement that should be communicated to the audited organization. The CBFO QA representative evaluates these conditions and classifies them as Observations or Recommendations using the following definitions.

Observation – A condition that, if not controlled, could result in a CAQ.

Recommendation – Suggestion that is directed toward identifying opportunities for improvement and enhancing methods of implementing requirements.

Once a determination is made, the CBFO QA representative categorizes the condition appropriately.

7.1 Observations

No WAP-related Observations were identified during the audit.

7.2 Recommendations

No WAP-related Recommendations were identified during the audit.

8.0 LIST OF ATTACHMENTS

Attachment 1: Personnel Contacted During the Audit

Attachment 2: Personnel Contacted During the Audit by Subject Area

Attachment 3: Objective Evidence Compiled During the Audit (provided in boxes)

Attachment 4: Table of Audited Documents

Attachment 5: List of Processes and Equipment Evaluated During the Audit

Attachment 6: Procedure Revision Matrix

PERSONNEL CONTACTED DURING THE AUDIT

PERSONNEL CONTACTED DURING AUDIT A-13-23				
NAME	TITLE/ORG	PREAUDIT MEETING	CONTACTED DURING AUDIT	POST AUDIT MEETING
Baca, R.	Records Coordinator/NWP/CCP	X	X	X
Cameron, W.	Container Management Specialist/CCP/LANL		X	
Chavarria, A.	QA Engineer/NWP/QA	X		
Elliot, A.	RTR Operator/NWP/CCP	X	X	X
Fisher, A. J.	Technical Advisor/NWP/CCP	X		
Gammon, E.	OSRP/LANL	X	X	
Groover, T.	Site Project Manager/NWP/CCP	X	X	X
Hemsing, D.	VE Lead/NWP/CCP		X	
Ledford, W.	QA Specialist/NWP/QA			X
Mueller, T.	QA Analyst/NWP/QA	X		
Papp, M.	Acceptable Knowledge Expert/NWP/CCP/Tech Spec	X	X	
Pearcy, S.	Records Manager/NWP/CCP	X	X	X
Ramirez, M.	Waste Certification Official/NWP/CCP	X		
Schoen, J.	Acceptable Knowledge Expert/NWP/CCP/Tech Spec	X		
Sensibaugh, M.	Project Manager/NWP/CCP	X	X	
Simmons, C.	Site Project Manager/NWP/CCP	X	X	X
Simpson, K.	RTR Subject Matter Expert/NWP/CCP/VJT	X	X	X
Stallings, A.	NDE Cognizant Engineer/NWP/CCP	X	X	
Stepzinski, J.	Vendor Project Manager/NWP/CCP	X	X	X
Thompson, J.	VEE/NWP/CCP		X	
Wachter, J.	Technical Director/NWP/CCP/MCS	X	X	
Waldram, V.	Site Project Manager/NWP/CCP	X		X
Witkowski, I.	OSRP/LANL	X	X	

PERSONNEL CONTACTED DURING THE AUDIT BY SUBJECT AREA

Personnel Qualification and Training	Cheryl Armijo Michele Billett
Control of Nonconforming Items	Laura Jones
Records	Sheila Percy
WIPP Waste Information System (WWIS Data Entry)	Creta Kirkes Mike Ramirez
Waste Certification/Project Level Data V&V	Veronica Waldram
Solids Sampling and Analysis	N/A
Acceptable Knowledge	Jim Schoen Mike Papp Veronica Waldram Mak Taylor Shiela Percy Wayne Ledford Laura Jones Michelle Billet Val Cannon Austin Perita Trey Greenwood
Headspace Gas Sampling and Analysis	Veronica Waldram
Real-Time Radiography	Kenneth Simpson Andrew Stallings Aaron Elliott Ranada Baca Eric Lyles Michael Simmons
Visual Examination	David Helsing James Thompson Craig Simmons Joe Stepzinski Terri-Anne Groover Ioana Witkowski Elleg Gammon

Objective Evidence Reviewed During the Audit

The objective evidence supporting Audit A-13-23 is included in the box(es) submitted with this report. Included in the box(es) is a "Content Map" describing the location (using color coding) and identity of all required objective evidence supporting the performance of the audit.

TABLE OF AUDITED DOCUMENTS

NUMBER	PROCEDURE NUMBER	REVISION NUMBER	PROCEDURE TITLE
1.	CCP-AK-LANL-014	1	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, Waste Stream LA-MHD10.001
2.	CCP-AK-LANL-006	12	CCP AK Summary Report for LANL TA-55 Mixed TRU Waste Stream LA-MIN04-S.001
3.	CCP-AK-LANL-010	5	CCP AK Summary Report for LANL TA-21 DP West Facility Waste Stream LA-MSG04.001
4.	CCP-PO-001	20	CCP Transuranic Waste Characterization Quality Assurance Project Plan
5.	CCP-PO-001	21	CCP Transuranic Waste Characterization Quality Assurance Project Plan
6.	CCP-PO-002	27	CCP Transuranic Waste Certification Plan
6-7.	CCP-PO-012	13	CCP/LANL Interface Document
7-8.	CCP-QP-002	35	CCP Training and Qualification Plan
8-9.	CCP-QP-005*	22	CCP TRU Nonconforming Item Reporting and Control
9-10.	CCP-QP-005	23	CCP TRU Nonconforming Item Reporting and Control
10-11.	CCP-QP-008	21	CCP Records Management
11-12.	CCP-QP-021	8	CCP Surveillance Program
12-13.	CCP-QP-028	15	CCP Records Filing, Inventorying, Scheduling, and Dispositioning
13-14.	CCP-TP-001	20	CCP Project Level Data Validation and Verification
14-15.	CCP-TP-002	25	CCP Reconciliation of DQOs and Reporting Characterization Data
15-16.	CCP-TP-003	19	CCP Data Analysis for S3000, S4000, and S5000 Characterization
16-17.	CCP-TP-005*	24	CCP Acceptable Knowledge Documentation
17-18.	CCP-TP-005	25	CCP Acceptable Knowledge Documentation
18-19.	CCP-TP-008	9	CCP Solids Sampling Procedure
19-20.	CCP-TP-028*	7	CCP Radiographic Test Drum and Training Container Construction
20-21.	CCP-TP-028	8	CCP Radiographic Test Drum and Training Container Construction
21-22.	CCP-TP-030*	31	CCP CH TRU Waste Characterization and WWIS Data Entry
22-23.	CCP-TP-030	32	CCP CH TRU Waste Characterization and WWIS Data Entry
23-24.	CCP-TP-033*	19	CCP Shipping of CH TRU Waste
24-25.	CCP-TP-033	20	CCP Shipping of CH TRU Waste
25-26.	CCP-TP-053	13	CCP Standard Real-Time Radiography (RTR) Inspection Procedure
26-27.	CCP-TP-056	5	CCP HSG Performance Demonstration Plan
28.	CCP-TP-069	6	CCP Sealed Source Visual Examination and Packaging
27-29.	CCP-TP-082	8	CCP Preparing and Handling Waste Containers for Headspace Gas Sampling
28-30.	CCP-TP-093*	15	CCP Sampling of TRU Waste Containers
29-31.	CCP-TP-093	17	CCP Sampling of TRU Waste Containers

NUMBER	PROCEDURE NUMBER	REVISION NUMBER	PROCEDURE TITLE
30. <u>32.</u>	CCP-TP-098	3	CCP Installation of the NucFil HSG Sample Port
31. <u>33.</u>	CCP-TP-101	6	CCP Off-Site Source Recovery Project Sealed Source Radiological Characterization
32. <u>34.</u>	CCP-TP-106	7	CCP Headspace Gas Sampling Batch Data Report Preparation
33. <u>35.</u>	CCP-TP-113	17	CCP Contact-Handled Standard Waste Visual Examination
36. <u>36.</u>	CCP-TP-120	16	CCP Container Management
34. <u>37.</u>	CCP-TP-162*	1	CCP Random Selection of Containers for Solids and Headspace Gas Sampling and Analysis
35. <u>38.</u>	CCP-TP-162	2	CCP Random Selection of Containers for Solids and Headspace Gas Sampling and Analysis
36. <u>39.</u>	CCP-TP-180	3	CCP Analytical Sample Management
37. <u>40.</u>	CCP-TP-198	7	CCP HE-RTR Operating Procedure
38. <u>41.</u>	DOE/CBFO 94-1012	11	CBFO Quality Assurance Program Document (QAPD)
39. <u>42.</u>	WP 13-QA.03	22	Quality Assurance Independent Assessment Program

* Indicates procedures used to evaluate activities performed prior to March 13, 2013.

List of Processes and Equipment Evaluated

WIPP #	Process/Equipment Description	Applicable to the Following Waste Streams/Groups of Waste Streams	Currently Approved by NMED	Currently Approved by EPA
NEW PROCESSES OR EQUIPMENT				
NONE				
APPROVED PROCESSES OR EQUIPMENT				
The following processes and equipment were evaluated during CBFO Audit A-13-23				
N/A	Headspace Gas Sampling Procedure – CCP -TP-093 Description – Headspace Gas Sampling Note: Headspace gas sampling is no longer required by the Permit as of March 13, 2013.	Debris (S5000)	YES	N/A
11RR2	Real-Time Radiography (RTR) Procedure(s) – CCP-TP-053 and CCP-TP-028 Description – Real-Time Radiography Mobile Characterization System RTR [built by VJ Technologies] – 55-gallon drums	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES
11HERTR3	High Energy Real Time Radiography (HERTR) Procedures CCP-TP-053 and CCP-TP-028 Description – High Energy Real-Time Radiography (RTR) [built by VJ Technologies] 55-gallon drums and SWBs	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES
11VE1	CH Visual Examination Procedure – CCP-TP-113 Description – CH Characterization Performed Utilizing Visual Examination and Acceptable Knowledge	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES
11VE2	Off-Site Source Recovery Program Procedure(s) – CCP-TP-069 and CCP-TP-101 Description – Characterization Performed Utilizing Visual Examination and Acceptable Knowledge	Debris (S5000)	YES	YES
N/A	Acceptable Knowledge Procedure – CCP-TP-005 Description – Acceptable Knowledge	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES
N/A	Data Verification and Validation Procedure(s) – CCP-TP-001, CCP-TP-002, CCP-TP-003, CCP-TP-103, CCP-TP-162	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES

List of Processes and Equipment Evaluated

WIPP #	Process/Equipment Description	Applicable to the Following Waste Streams/Groups of Waste Streams	Currently Approved by NMED	Currently Approved by EPA
N/A	WWIS/WDS Procedure – CCP-TP-030 Description – CH TRU Waste Characterization and WWIS Data Entry	Solids (S3000) Soils/Gravel (S4000) Debris (S5000)	YES	YES

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
1	CCP-PO-001	CCP Transuranic Waste Characterization Quality Assurance Project Plan	20	21	Revised to clarify hierarchy of documents, adding Washington TRU Solutions (WTS) 13-1, Quality Assurance Program Description; and made other administrative changes as needed.
2	CCP-PO-002	CCP Transuranic Waste Certification Plan	26	27	Revised to incorporate Revision 7.3 and Revision 7.4 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> , which incorporates the changes resulting for the Class 2 Permit modification entitled "Revised Waste Analysis Plan Waste Characterization Methods" which was approved by New Mexico Environment Department (NMED) on March 13, 2013, incorporate organizational changes to reflect the transition to Nuclear Waste Partnership (NWP), to clarify the hierarchy of quality assurance (QA) program documents, and minor editorial changes.
3	CCP-PO-012	CCP/LANL Interface Document	10	12	R11 - Revised to incorporate NWP transition changes. R12 - In response to CAR-LANL-0003-12, revised to clarify roles associated with providing M&TE Certificates of Calibration to CCP.

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
4	CCP-QP-002	CCP Training and Qualification Plan	32	35	<p>R33 - As a corrective action in response to CBFO CAR 12-033, revised the document to remove references to Lead SPMs and Alternate SPMs, so that any qualified SPM may perform the actions in Section 3.1.</p> <p>R34 - Revised to incorporate Standing Order CCP-SO-086, Clarification of the Time Period for Performance of the RTR Semiannual Training Container Required by CCP-QP-002; incorporate changes to DOE/WIPP-02-3214, Remote-Handled TRU Waste Characterization Program Implementation Plan; update the title of the organization, as appropriate; and minor editorial changes.</p> <p>R35 - Revised to implement the Permit Modification Request Class 2 approved by New Mexico Environment Department (NMED) dated March 13, 2013 and CAR-CCP-0003-13.</p>
5	CCP-QP-005	CCP TRU Nonconforming Item Reporting and Control	21	23	<p>R22 - Revised to add definitions; to move 2.5.1 to 4.2.1 [F]; to clarify 4.4.15 and Attachment 1, 4.2.10, and CBFO notification in 4.3.1; to add new 4.9.3 to address removal of Hold Tags when voiding a NCR; to incorporate freeze file changes; to modify Attachment 1; to correct some typos and editorial mistakes.</p> <p>R23 - Revised to incorporate Nuclear Waste Partnership (NWP) transition changes; ensure chronological order; to change Notes that include action steps to action steps; to remove contradiction with CCP-PO-024, <i>CCP/INL Interface Document</i>, in 4.5.10 [A.2]; to add Attachment 2, Instructions for Completing Attachment 1, CCP Nonconformance Report, and Attachment 6, NCR Hold Tag Guidance, incorporating portions of Standing Order CCP-SO-036 and to implement the Permit Modification Request Class 2 approved by New Mexico Environment Department (NMED) dated March 13, 2013.</p>

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
6	CCP-QP-008	CCP Records Management	19	21	R20 - Revised to clarify editorial changes, transmitting of records, and destruction of QA records. R21 - Revised to incorporate Nuclear Waste Partnership (NWP) transition changes.
7	CCP-QP-021	CCP Surveillance Program	7	8	Revised to incorporate Nuclear Waste Partnership (NWP) transition changes.
8	CCP-QP-028	CCP Records Filing, Inventorying, Scheduling, and Dispositioning	14	15	Revised to incorporate Nuclear Waste Partnership (NWP) transition changes.
9	CCP-TP-001	CCP Project Level Data Validation and Verification	19	21	R20 – Revised to remove references to P-TS. Also revised due to CAR-LANL-0005-12 and CBFO CAR 12-033. R21 – Revised to address Class 2 Hazardous Waste Facility Permit Modification, which was approved by New Mexico Environmental Department (NMED) on March 13, 2013.
10	CCP-TP-002	CCP Reconciliation of DQOs and Reporting Characterization Data	24	25	Revised to include timeframe for transmitting the waste stream profile form package to records. Also revised to make editorial changes needed.
11	CCP-TP-003	CCP Data Analysis for S3000, S4000, and S5000 Characterization	18	19	Revised to incorporate Nuclear Waste Partnership (NWP) transition changes.
12	CCP-TP-005	CCP Acceptable Knowledge Documentation	24	24	
13	CCP-TP-008	CCP Solids Sampling Procedure	9	9	Obsolete
14	CCP-TP-028	CCP Radiographic Test Drum and Training Container Construction	6	8	R7 - Revised to allow Vendor Project Manager (VPM) to assemble Training Containers. R8 - Revised to include section 4.3 Reconfiguration of Previously Assembled Training Containers.

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
15	CCP-TP-030	CCP CH TRU Waste Certification and WWIS/WDS Data Entry	30	32	R31 - Revised to address CAR-CCP-0003-12 and various editorial changes. Also revised to better streamline the NCR/CAR QA request. R32 - Revised to implement the Permit Modification Request Class 2 approved by New Mexico Environment Department (NMED) dated March 13, 2013 and <i>Contact-Handled Transuranic Waste Authorized Methods for Payload Control (CH-TRAMPAC)</i> changes.
16	CCP-TP-033	CCP Shipping of CH TRU Waste	19	20	Revised to incorporate Nuclear Waste Partnership (NWP) transition changes.
17	CCP-TP-053	CCP Standard Real-Time Radiography (RTR) Inspection Procedure	11	13	R12 – Revised to change format of attachments. Clarified format of container weights and clarified steps for NCRs on Attachment 2, CCP Radiography Data Sheet (Example). R13 – Revised to incorporate Nuclear Waste Partnership (NWP) transition changes, to add software used, and other editorial changes.
18	CCP-TP-056	CCP HSG Performance Demonstration Plan	5	5	Obsolete
19	CCP-TP-069	CCP Sealed Source Visual Examination and Packaging	5	6	Revised to clarify Visual Examination (VE) process and paperwork.
20	CCP-TP-082	CCP Waste Container Filter Vent Operation	8	8	
21	CCP-TP-093	CCP Sampling of TRU Waste Containers	16	17	Revised to respond to CAR-12-040 to enhance the numbering of the Chain of Custody. Obsolete
22	CCP-TP-098	CCP Installation of the NucFil HSG Sample Port	3	3	Obsolete

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
23	CCP-TP-103	CCP Data Reviewing, Validating and Reporting Procedure for the High Efficiency Neutron Counter Using NDA 2000	10	11	Revised to add Mobile ISOCS Large Container Counter (MILCC) to the procedure. Changed procedure name to be inclusive of all nondestructive assay (NDA) counters at Los Alamos National Laboratory (LANL) using NDA 2000.
24	CCP-TP-106	CCP Headspace Gas Sampling Batch Data Report Preparation	7	8	Revised to incorporate freeze file. Obsolete
25	CCP-TP-113	CCP Standard Contact-Handled Waste Visual Examination	16	17	Revised to incorporate the Nuclear Waste Partnership (NWP) transition changes.
<u>26</u>	<u>CCP-TP-120</u>	<u>CCP Container Management</u>	<u>14</u>	<u>16</u>	<u>R15 - Revised to require that the Vendor Project Manager (VPM) notify the Site Project Manager (SPM) when VPM Administrative Hold Indicators are being hung on containers in response to CAR-SRS-0002-12.</u> <u>R16 - Revised to incorporate permit modifications</u>
26	CCP-TP-162	CCP Random Selection of Containers for Solids and Headspace Gas Sampling and Analysis	1	2	Revised to incorporate Nuclear Waste Partnership (NWP) transition changes and freeze file changes. Obsolete
27	CCP-TP-180	CCP Analytical Sample Management	2	3	Revised to change to CCP format, removed laboratory QA officer steps, revised pre-receipt planning steps, simplified sample checkout and temperature monitoring instructions, updated laboratory name and references, updated example form in Appendix D, and made other editorial corrections. Obsolete

Procedure Revision Matrix

No	Procedure Number	Procedure Title	Revision During Last Annual Audit	Revision During Current Annual Audit	Brief Description of Procedure Changes
28	CCP-TP-198	CCP HE-RTR Operating Procedure	5	7	<p>R6 – Revised to incorporate VJT’s recommendation for modifying the operating procedure to ensure the system is in standby mode (not completely powered down) and the Temperature Control Unit (TCU) is kept running at all times.</p> <p>R7 – Revised to incorporate Nuclear Waste Partnership (NWP) transition changes and other various changes.</p>
29	DOE/CBFO 94-1012	CBFO Quality Assurance Program Document (QAPD)	11	11	
30	DOE/WIPP 02-3122	Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant	7.2	7.4	<p>R7.3 - incorporates the changes resulting for the Class 2 Permit modification entitled “Revised Waste Analysis Plan Waste Characterization Methods” which was approved by New Mexico Environment Department (NMED) on March 13, 2013</p> <p>R7.4 - incorporate organizational changes to reflect the transition to Nuclear Waste Partnership (NWP), to clarify the hierarchy of quality assurance (QA) program documents, and minor editorial changes.</p>
					<p>R20 – Revised to delete requirements to enter external assessment findings in CTS throughout the document and update organization names in accordance with MD 1.1.</p> <p>R21 – Removed last bullet of lead assessor responsibilities and last bullet of surveillant responsibilities in Section 2, added provisions to document findings corrected during assessment on the WIPP Form in accordance with WP 04-IM1000 in Section 5.0 and 6.0 (CBFO CAR 12-020), Deleted attachment 9, and added reference to WP 09-CN3025 in Section 4.3 and deleted Attachment 10, Concerns Form and its mention in Section 5.0.</p>
31	WP 13-QA.03	Quality Assurance Independent Assessment Program	19	22	

**Table C6-1 Waste Analysis Plan (WAP) Checklist
LANL/CCP Recertification Audit A-13-23
July 23-25, 2013**

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Waste Analysis Plan (WAP) General Checklist for use at DOE's Generator/Storage Sites

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
WASTE STREAM IDENTIFICATION						
<u>1</u>	Does the generator/storage site define "waste stream" as waste materials that have common physical form that contain similar hazardous constituents, and that are generated from a single process or activity? (Attachment C Section C-0a)	CCP PO 001 S. C-0a CCP-TP-005 S. 4.4.11 NOTE	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3)	Y	
<u>2</u>	Are procedures in place to ensure that the generator/storage site assigns one of the Summary Category Groups (S3000-homogeneous solids, S4000-soils/gravel, S5000-debris waste) to each waste stream? (Section C-1b)	CCP-TP-005 S. 4.4.14, S. 4.4.15 CCP-TP-002 S. 4.3, Att. 2	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S2.0 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN-04-S.001, CCP-AK-LANL-006 R12, S2.4 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3) Waste Stream Profile Form and attachments for waste stream LA-MHD10.001 (AK-4) Waste Stream Profile Form and attachments for waste stream LA-MIN04-S.001 (AK-5) Waste stream Profile Form and attachments for waste stream LA-MSG04.001 (AK-6)		
3	Are procedures in place to ensure that the generator/storage site assigns Waste Matrix Code Groups (e.g., solidified inorganics, solidified organics, salt waste, soils, combustible waste, filters, graphite, heterogeneous debris waste, inorganic nonmetal waste, lead/cadmium metal, uncategorized metal) to each waste stream? (Section C-0a)	CCP-TP-005 S. 4.4.13 NOTE CCP-TP-002 S. 4.3, Att. 2	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S2.0 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S2.4 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Waste Stream Profile Form and attachments for waste stream LA-MHD10.001 (AK-4) Waste Stream Profile Form and attachments for waste stream LA-MIN04-S.001 (AK-5) Waste stream Profile Form and attachments for waste stream LA-MSG04.001 (AK-6)		
<u>4</u>	Are procedures in place to ensure that the generator/storage site assigns a Waste Stream WIPP Identifier (ID) to each waste stream? (Section C3-12b(1))	CCP-TP-002 S. 4.3, Att. 2	Y	Waste Stream Profile Form and attachments for waste stream LA-MHD10.001 (AK-4) Waste Stream Profile Form and attachments for waste stream LA-MIN04-S.001 (AK-5) Waste stream Profile Form and attachments for waste stream LA-MSG04.001 (AK-6)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
4a	<p>Are procedures in place for generator/storage sites to submit an AK Sufficiency Determination (Determination Request) to the Permittees to meet all or part of the waste characterization requirements including:</p> <ul style="list-style-type: none"> All information specified in Permit Attachment C4, Section C4-3d Identification of relevant hazardous constituents, and correctly identifies all toxicity characteristic and listed hazardous waste numbers All hazardous waste number assignments must be substantiated by supporting data and, if not, whether this lack of substantiation compromises the interpretation Resolution of data discrepancies between different AK sources must be technically correct and documented The AK Summary includes all the identification of waste material parameter weights by percentage of the material in the waste stream, and determinations are technically correct All prohibited items specified in the TSDf-WAC should be addressed, and conclusions drawn are technically adequate and substantiated by supporting information If the AK record includes process control information specified in Permit Attachment C4, Section C4-3b, the information should include procedures, waste manifests, or other documentation demonstrating that the controls were adequate and sufficient. The site must provide the supporting information necessary to substantiate technical conclusions within the Determination Request, and this information must be correctly interpreted. <p>(Section C-0b, Section C4-3d)</p>	CCP-TP-005 S. 4.7	Y	N/A	N/A	No AK Sufficiency determinations are being sought for any waste streams at LANL at this time
4b	<p>If a generator/storage site does not submit a Determination Request or if the Determination Request is not approved, are procedures in place for the generator/storage site to perform radiography or VE on 100% of the containers in a waste stream and chemical sampling and analysis on a representative sample of the waste stream using headspace gas sampling and analysis (for debris waste) or solids sampling and analysis (for homogeneous solid or soil/gravel waste) as specified in Permit Attachments C1 and C2?</p> <p>(Section C-0b)</p>	CCP-PO-001, S. C-0b CCP-TP-001, S. 3.1 CCP-TP-002, (All)	Y	LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5) LAHSG1301, ECL13003M,	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)		
4c	Are procedures in place to ensure that the generator/storage sites complete a Waste Stream Profile Form (WSPF) and Characterization Information Summary (CIS) as specified in Permit Attachment C3, Sections C3-12b(1) and C3-12b(2)? (Section C-0c)	CCP-TP-002, S. 11.1	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
5	Are procedures in place to ensure that the generator/storage site divides waste streams into waste stream lots if all of the waste within a waste stream is not accessible for sampling and analysis, as required, at one time? If so, is the division of waste streams into waste stream lots based on staging, transportation and handling issues? (Section C-1a)	CCP-PO-001, S. C-1a CCP-TP-002, (All)	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
6	Are procedures in place to ensure that the generator/storage site assigns EPA hazardous waste numbers associated with the waste? If so, do these assigned EPA hazardous waste numbers correspond to the permitted EPA hazardous waste numbers in Table C-9? Are there any assigned EPA hazardous waste numbers that are not permitted EPA hazardous waste numbers on the Table C-9? If so, did the generator/storage site reject the waste for shipment to and disposal at WIPP? Did the generator assign a state hazardous waste codes or numbers? If so, is it assigned to waste that is permitted at WIPP? (Section C-1b)	CCP-TP-005 S. 4.4.16- 4.4.21, Att. 5	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-3001, CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2) CCP AK Summary Report for LANL TA-21	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				<p>DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p>		
<u>I</u>	<p>Are procedures in place to ensure that Summary Category Groups are defined as follows:</p> <p>S3000- Homogeneous solids are solid material, inorganic process residues, inorganic sludges, salt waste, and pyrochemical salt waste excluding soils, that do not meet NMED criteria for classification as debris and are at least 50 percent by volume homogeneous solids or comprise the majority of the waste stream</p> <p>S4000- Waste streams that are at least 50 percent by volume soil/gravel, or comprise the majority of the waste stream</p> <p>S5000- Waste streams that are at least 50 percent volume materials that meet the NMED criteria for debris, or comprise the majority matrix of materials. The criteria for debris are solid materials intended for disposal that exceed 2.36 inch particle size and is a manufactured object, plant or animal matter, or natural geologic material. Particles smaller than 2.36 inches in size may be considered debris if the debris is a manufactured object and if it is not a particle of S3000 or S4000 material.</p> <p>(Section C-0a)</p>	CCP-TP-005 S. 4.4.14	Y	<p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S2.0 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S2.4 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3)</p> <p>Waste Stream Profile Form and attachments for waste stream LA-MHD10.001 (AK-4)</p> <p>Waste Stream Profile Form and attachments for waste stream LA-MIN04-</p>	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				S.001 (AK-5) Waste stream Profile Form and attachments for waste stream LA-MSG04.001 (AK-6)		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
g	<p>Does the generator/storage facility have procedures in place to ensure that the following waste characterization parameters will be obtained:</p> <ul style="list-style-type: none"> Determination whether TRU mixed waste streams comply with the applicable provisions of the TSDF-WAC Determination whether TRU mixed wastes exhibit a hazardous characteristic per 20.4.1.200 NMAC (incorporating 40 CFR 261 Subpart C) Determination whether TRU mixed wastes are listed per 20.4.1.200 NMAC (incorporating 40 CFR 261 Subpart D) Estimation of waste material parameter weights <p>(Section C-2)</p>	<p>CCP-TP-005 S. 4.4.16 S. 4.4.17 S. 4.4.27 S. 4.4.31 S. 4.4.34[K], [L] & [M]</p> <p>CCP-TP-001, S. 4.2</p> <p>CCP-TP-002, S. 4.0</p>	Y	<p>LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)</p> <p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)</p> <p>Bullet 1 CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3.3, 5.4.4, 5.4.5 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55</p>	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				<p>Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3.4, 8.4.3.5, 8.4.4 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3.3, 6.4.4, 6.4.5 (AK-3)</p> <p>AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)</p> <p>NCRs for prohibited items (AK-18)</p> <p>Bullets 2&3 CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2)</p> <p>CCP AK Summary</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				<p>Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p> <p>Bullet 4 CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.1.2, table 2 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.1.2, table 19 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.1.2, table 13 (AK-3)</p> <p>Memo attached to AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001,</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				LA-MIN04-S.001, and LA-MSG04.001 (AK-14)		
<u>9</u>	Are procedures in place to ensure that waste streams identified to contain incompatible materials or materials incompatible with waste containers cannot be shipped unless treated to remove the incompatibility? (Section C-1c)	CCP-TP-005, S. 4.4.31 & 4.4.34[K] CCP-TP-001, S. 2.7 & 4.0 CCP-TP-120, S4.1, att. 2 CCP-QP-005, S. 2.3.1 – 2.3.3	Y	AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14) NCRs for prohibited items (AK-18) IDC database with "reject" field (AK-19) Container inspection reports (AK-23) "Hold" tag (AK-24)	Y	
<u>10</u>	Are procedures in place to ensure that the generator/storage site uses acceptable knowledge and, as necessary, headspace-gas sampling and analysis, radiography, (visual examination), and homogeneous waste sampling and analysis as specified in Table C-5? (Section C-3)	CCP-TP-005 S. 4.4, S. 4.5 CCP-PO-001, S. C-3 CCP-TP-005, S. 4.5 CCP-TP-001, S. 4.2 CCP-TP-002, S. 4.2	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207,	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7) CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA- MHD10.001, CCP-AK- LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3) Traceability BDRs LA-HERTR-12-0076 (AK-31) LA-HERTR-12-0066 (AK-32) LA-RTR2-10-0022 (AK-33) LA-RTR2-11-0116 (AK-34) LA-RTR2-13-0029 (AK-35) LAHSG1207		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				(AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49)		
UNACCEPTABLE WASTE						
12	<p>Are procedures in place to ensure that the generator/storage site ensures, through administrative and operational procedures and characterization techniques, that waste containers do not include the following unacceptable waste:</p> <ul style="list-style-type: none"> liquid waste is not acceptable at WIPP. Liquid in the quantities delineated below is acceptable <ul style="list-style-type: none"> Observable liquid shall be no more than 1 percent by volume of the outermost container at the time of radiography or visual examination Internal containers with more than 60 milliliters or 3 percent by volume observable liquid, whichever is greater, are prohibited Containers with Hazardous Waste number U134 assigned shall have no observable liquid Overpacking the outermost container that was examined during radiography or visual examination or redistributing untreated liquid 	CCP-TP-001, S. 4.2 CCP-TP-002, Att. 1 & 2	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0008, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045,	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<p>within the container shall not be used to meet the liquid volume limits</p> <ul style="list-style-type: none"> • non-radionuclide pyrophoric materials • hazardous wastes not occurring as co-contaminants with TRU wastes (non-mixed hazardous wastes) • wastes incompatible with backfill, seal and panel closures materials, container and packaging materials, shipping container materials, or other wastes • wastes containing explosives or compressed gases (continued below) 			<p>LA12-OSR-VE-003 (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)</p>		
<u>12a</u>	<ul style="list-style-type: none"> • wastes with polychlorinated biphenyls (PCBs) not authorized under an EPA PCB waste disposal authorization • wastes exhibiting the characteristic of ignitability, corrosivity, or reactivity (EPA Hazardous Waste Numbers of D001, D002, or D003) • waste that has ever been managed as high-level waste and waste from tanks specified in Table C-8, unless specifically approved through a Class 3 permit modification • any waste container from a waste stream (or waste stream lot) which has not undergone either radiographic or visual examination of a statistically representative subpopulation of the wastes stream in each shipment pursuant to Permit Attachment C7 • any waste container from a waste stream which has not been preceded by an appropriate, certified Waste Stream Profile Form (see Section C-1d) <p>(Section C-1c)</p>	<p>CCP-TP-001, S. 4.2</p> <p>CCP-TP-002, Att. 1 & 2</p>	Y	<p>LA-MHD10.001/CIS 1-6, (GEN-1)</p> <p>LA-MSG04.001/CIS (GEN-2)</p> <p>LA-MIN04.001/CIS1-7 (GEN-3)</p> <p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006,</p>	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)		
WASTE ACCEPTANCE CONTROL						
14	Are procedures in place to ensure that the generator/storage site uses a Waste Stream Profile Form (WSPF) which includes, at a minimum, the information indicated on the attached WSPF found in Figure C-1 and a Characterization Information Summary (CIS) prior to waste disposal at the WIPP? (Section C-1d)	CCP-TP-002, (All)	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
16	Are procedures in place to ensure that additional WSPFs are provided to WIPP and NMED for waste streams or portions of waste streams that are reclassified based upon waste characterization information? (Section C-1d)	CCP-TP-002, (All)	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
16a	Are criteria in place to determine the specific circumstances under which a WSPF is revised versus when a new WSPF is required? (Section C-1d)	CCP-TP-002 S. 4.7, Att. 2	Y	N/A	N/A	None of the waste streams reviewed by the AK auditors have required a WSPF revision
LABORATORY QUALIFICATION						
17	Are procedures in place to ensure that the generator/storage site conduct analyses using laboratories that are qualified through participation in the Performance Demonstration Program (PDP) for headspace gas sampling and analysis, and PDP homogeneous waste sampling and analysis? (Section C-3a(3))	N/A	N/A	N/A	N/A	A-13-23 is not a laboratory qualification audit.
18	Are procedures in place to ensure that the generator/storage sites conduct analyses using laboratories that implement the analytical methods through laboratory-documented standard operating procedures (SOPs) that ensure that analytical QAOs are met? (Section C-3a(3))	N/A	N/A	N/A	N/A	A-13-23 is not a laboratory qualification audit.
19	Are procedures in place to ensure that documented laboratory QA/QC programs include the following: <ul style="list-style-type: none"> • Facility organization • List of equipment/instrumentation • Operating procedures 	N/A	N/A	N/A	N/A	A-13-23 is not a laboratory qualification audit.

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<ul style="list-style-type: none"> Laboratory QA/QC procedures Quality assurance review Laboratory records management (Section C-4a(4))					
GENERAL SAMPLING AND ANALYTICAL REQUIREMENTS						
<u>20</u>	Are procedures in place to ensure that headspace gas sampling and analysis shall be used to: <ul style="list-style-type: none"> Determine the types and concentrations of VOCs in the void volume of waste containers VOC constituents shall be compared to those assigned by Acceptable Knowledge (Section C-3a(1))	CCP-TP-005, S. 4.5.3	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)	Y	
<u>22</u>	Are procedures in place to ensure that compounds not on the list of target analytes are reported as tentatively identified compounds (TICs) and that the TIC will be added to the target analyte list if it appears in the 20.4.1.200 NMAC (incorporating 40 CFR 261) Appendix VIII list and if they are reported in 25% of the waste containers sampled from a given waste stream? (Section C-3a(1))	CCP-TP-002, Att. 5 & 6 CCP-TP-003, S. 4.3	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)	Y	Regarding HSG, the listing of compounds is monitored by the project office.

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
<u>23</u>	Are procedures in place to ensure that a randomly selected set of samples will be collected through core sampling or other EPA approved sampling from the population of waste containers for homogeneous and soil/gravel waste streams? Are procedures in place that a sufficient number of samples are collected to evaluate the toxicity characteristic of a waste stream at a 90 percent Upper Confidence limit as specified in Attachment C2? (Section C-3a(2))	CCP-TP-162, (All)	Y	LA-MSG04.001/CIS (GEN-2) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7) Subsequent Solids Random Sample Selection Memorandum for the Second Random Selection Lot of Containers of Mixed Contaminated Soil, Waste Stream LA- MSG04.001, Being Characterized by the Central Characterization Program at Los Alamos National Laboratory (GEN-9)	Y	Solids sampling is not performed at LANL/CCP. Containers are shipped to INL for sampling.
<u>24</u>	Are procedures in place to ensure that total analyses or TCLP of VOCs, SVOCs, and RCRA-regulated metals are performed on all core samples to determine if the waste exhibits a toxicity characteristic? (Section C-3a(2))	N/A	N/A	N/A	N/A	Solids sampling and analysis are not performed at LANL/CCP.
<u>25</u>	Are procedures in place to ensure that Acceptable Knowledge is used in waste characterization activities to delineate TRU mixed waste streams, to assess whether TRU mixed wastes comply with the TSDF-WAC, to assess whether TRU mixed waste exhibits a hazardous characteristic (20.4.1.200 NMAC, incorporating 40 CFR 261 Subpart C), and to assess whether TRU wastes are listed (20.4.1.200 NMAC, incorporating 40 CFR 261 Subpart D), and to estimate waste material parameter weights? (Section C-3b)	CCP-TP-005 S.4.4.11 S. 4.4.16 S. 4.4.17 S. 4.4.27 S. 4.4.31 S. 4.4.34[K], [L] & [M]	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA- MHD10.001, CCP-AK- LANL-014 R1, S2.0 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12,	Y	

WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
	Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
			<p>S2.4 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3.3, 5.4.4, 5.4.5 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3.4, 8.4.3.5, 8.4.4 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3.3, 6.4.4, 6.4.5 (AK-3)</p> <p>AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				<p>NCRs for prohibited items (AK-18)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.1.2, table 2 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.1.2, table19 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.1.2, table 13 (AK-3) Memo attached to AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)		
26	Are procedures in place to ensure that radiography and/or visual examination are used as necessary to: <ul style="list-style-type: none"> • Examine a waste container to determine the physical form • Identify observable liquid in excess of TSDF-WAC limits and containerized gases • Verify the physical form matches the waste stream description (Section C-3c)	N/A	N/A	N/A	N/A	For RTR/VE refer to C6-1 dated March 13, 2013

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
<u>27</u>	<p>Are procedures in place to ensure that the following characterization activities shall occur for newly generated wastes:</p> <ul style="list-style-type: none"> • Acceptable Knowledge for all wastes, with sampling and analysis as necessary to augment AK including; <ul style="list-style-type: none"> - Either visual examination during packaging or radiography (or VE in lieu of radiography) after packaging for all waste containers, ensuring this occurs prior to any treatment designed to supercompact waste - Headspace gas analysis for randomly selected containers, except for qualifying waste containers belonging to LANL sealed sources waste streams - Total VOC, SVOC, and Metals analyses for a selected number of homogeneous solids and soil/gravel waste containers as specified in Attachment C2 - Evaluation of any TICs found in headspace gas and totals analyses <p>(Section C-3d(1))</p>	<p>CCP-TP-005 S. 4.4 -4.5</p> <p>CCP-TP-002, (All)</p> <p>CCP-TP-162, (All)</p>	Y	<p>LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)</p> <p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)</p> <p>Subsequent Solids Random Sample Selection Memorandum for the Second Random Selection Lot of Containers of Mixed Contaminated Soil, Waste Stream LA- MSG04.001, Being Characterized by the</p>	Y	None of the waste streams examined by the AK Auditors were newly generated

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Central Characterization Program at Los Alamos National Laboratory (GEN-9) Headspace Gas Random Sample Selection Memorandum for the First Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD10.001, Being Characterized by the Central Characterization Project at Los Alamos National Laboratory (GEN-10) Subsequent Headspace Gas Random Sample Selection Memorandum for the Second Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD 10.001, Being Characterized by the Central Characterization Project at Los Alamos National (GEN-11)		
27a	Are procedures in place to ensure that the visual examination during packaging for all waste containers includes the documentation of packaging configuration, type and number of filters, and rigid liner vent hole presence and diameter necessary to determine the appropriate DAC in accordance with Permit Attachment C1, Section C1-1? (Section C-3d(1))	CCP-TP-113, S. 4.3.2 [C3] & Att. 2	Y	LAVE500503 LAVE550076 LAVE550080 LAVE550090 LAVE550101 LAVE550113 LAVE4120014 LA12-OSR-VE-044 LA12-OSR-VE-050 LA13-OSR-VE-001 LA13-OSR-VE-010 (VE-1)	Y	
28	Are procedures in place to ensure that the following characterization activities shall	CCP-TP-005 S. 4.4 -4.5	Y	LA-MHD10.001/CIS 1-6, (GEN-1)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<p>occur for retrievably stored wastes:</p> <ul style="list-style-type: none"> • Acceptable Knowledge for all wastes, with sampling and analysis as necessary to augment AK including; <ul style="list-style-type: none"> - Visual examination or radiography for all waste containers - Headspace gas analysis for randomly selected containers except for qualifying waste containers belonging to LANL sealed sources waste streams - Total VOC, SVOC, and Metals analyses for a statistically selected number of homogeneous solids and soil/gravel waste containers as specified in Attachment C2 - Evaluation of any TICs found in headspace gas and totals analyses <p>(Section C-3d(2))</p>	<p>CCP-TP-002, (All)</p> <p>CCP-TP-162, (All)</p>		<p>LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)</p> <p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)</p> <p>Subsequent Solids Random Sample Selection Memorandum for the Second Random Selection Lot of Containers of Mixed Contaminated Soil, Waste Stream LA- MSG04.001, Being Characterized by the Central Characterization Program at Los Alamos</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				<p>National Laboratory (GEN-9)</p> <p>Headspace Gas Random Sample Selection Memorandum for the First Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD10.001, Being Characterized by the Central Characterization Project at Los Alamos National Laboratory (GEN-10)</p> <p>Subsequent Headspace Gas Random Sample Selection Memorandum for the Second Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD10.001, Being Characterized by the Central Characterization Project at Los Alamos National Laboratory (GEN-11)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				(AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3) Traceability BDRs LA-HERTR-12-0076 (AK-31) LA-HERTR-12-0066 (AK-32) LA-RTR2-10-0022 (AK-33) LA-RTR2-11-0116 (AK-34) LA-RTR2-13-0029 (AK-35) LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48)		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				ALD11025M (AK-49) Random Container Selection Memos for HSG S&A for waste stream LA-MHD10.001 (AK-26) HSG Summary Reports for waste stream LA-MHD10.001 (AK-27) Random Container Selection Memos for SS&A for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-28) SS&A Summary Reports for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-29) AK Characterization Checklists and documentation for waste streams LA-MHD10.001, LA-MIN04-S.001 and LA-MSG04.001 (AK-30)		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
DATA GENERATION, VERIFICATION, VALIDATION, DOCUMENTATION, AND QUALITY ASSURANCE						
<u>30</u>	<p>Are procedures in place to ensure that the following Data Quality Objectives are met:</p> <ul style="list-style-type: none"> Use Acceptable Knowledge to delineate TRU mixed waste streams, assess whether TRU mixed wastes comply with the applicable requirements of the TSDF-WAC, assess whether TRU mixed wastes exhibit a hazardous characteristic, assess whether TRU mixed wastes are listed and to estimate waste material parameter weights Use Headspace gas sampling and analysis, as necessary, to identify and quantify VOCs in waste containers to resolve the assignment of EPA hazardous waste numbers Perform totals analyses of homogeneous solids and soils/gravel wastes to establish if the waste is hazardous based on the toxicity characteristics levels in 20.4.1.200 NMAC through a comparison of the upper confidence limits (UCL₉₀) of the mean concentrations to resolve the assignment of hazardous waste numbers Use radiography or visual examination to determine physical waste form, the absence of prohibited items, and additional waste characterization techniques that may be used based on Summary Category Groups <p>(Section C-4a(1))</p>	CCP-TP-005 S.4.4.11 S. 4.4.16 S. 4.4.17 S. 4.4.27 S. 4.4.31 S. 4.4.34[K], [L] & [M]	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	
		CCP-TP-002, (All)		CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S2.0 (AK-1) CCP AK Summary Report for LANL TA-55		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S2.4 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3) CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3.3, 5.4.4, 5.4.5 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3.4, 8.4.3.5, 8.4.4 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3.3, 6.4.4, 6.4.5 (AK-3) AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-		

WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
	Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
			<p>MSG04.001 (AK-14)</p> <p>NCRs for prohibited items (AK-18)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p> <p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.1.2, table 2 (AK-1)</p>		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.1.2, table 19 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.1.2, table 13 (AK-3) Memo attached to AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)		
31	Are procedures in place to ensure that the following Quality Assurance Objectives are adequately defined and assessed for each characterization method: <ul style="list-style-type: none"> Precision as a measure of the mutual agreement among multiple measurements. Accuracy as the degree of agreement between a measurement result and a true or known value. Completeness is a measure of the amount of valid data obtained from a method compared to the total amount of data obtained that is expressed as a percentage. Comparability is the degree to which one data set can be compared to another data set. Representativeness as an expression of the degree to which data represent characteristics of a population. 	CCP-TP-106 Attachment 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	Solids sampling and analysis are not performed at LANL/CCP. For RTR/VE refer to C6-1 dated March 13, 2013

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	(Section C-4a(2))					
32	<p>With respect to data generation, are procedures in place to ensure that the generator/storage site's waste characterization program meets the following general requirements:</p> <ul style="list-style-type: none"> Analytical data packages and batch data reports must be reported accurately in a pre-approved format, must be maintained in permanent files, and must be traceable? All data must receive a technical review by another qualified analyst? <p>(Section C3-10a)</p>	CCP-TP-106 S. 4.1 and S. 5.0 Attachments 1 and 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	<p>Solids sampling and analysis are not performed at LANL/CCP.</p> <p>For RTR/VE refer to C6-1 dated March 13, 2013</p>
33	<p>Are procedures in place to ensure that the generator/storage site performs validation of waste characterization data for each waste container?</p> <p>(Section C-4)</p>	<p>CCP-TP-001, S. 4.2</p> <p>CCP-TP-106 S. 4.1 and Attachment 2</p>	Y	<p>LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)</p> <p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N,</p>	Y	<p>LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs.</p> <p>Solids sampling and analysis are not performed at LANL/CCP.</p> <p>For RTR/VE refer to C6-1 dated March 13, 2013</p>

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
34	Are procedures in place to ensure that the generator/storage site has a pre-approved format for reporting waste characterization data? (Section C-4a(4))	CCP-TP-001, S. 4.2 CCP-TP-106 S. 4.1; Attachments 1 and 2	Y	ALD12028M (GEN-7) LAHSG1204, LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1) LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs. Solids sampling and analysis are not performed at LANL/CCP. For RTR/VE refer to C6-1 dated March 13, 2013

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
35	Are procedures in place to ensure that the generator/storage site prepares analytical, testing, and sampling batch data reports to meet the requirements of their own site-specific QAPjP and/or SOPs? (Section C-4a(4))	CCP-TP-001, S. 4.2 CCP-TP-106 S. 4.1; Attachments 1 and 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs. Solids sampling and analysis are not performed at LANL/CCP. For RTR/VE refer to C6-1 dated March 13, 2013

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
<u>36</u>	<p>Are procedures in place to ensure that all raw data is collected and managed at the data generation level in accordance with the following criteria:</p> <ul style="list-style-type: none"> All raw data shall be signed and dated in reproducible ink by the individual collecting the data, or signed and dated using electronic signatures All data shall be recorded clearly, legibly, and accurately in field and laboratory records and include all applicable sample identification numbers All changes to original data shall be lined out, initialed, and dated by the individual making the change. Original data may not be obliterated or otherwise be made unreadable All data shall be transferred and reduced from field and laboratory records completely and accurately All field and laboratory records shall be maintained as specified in Table C-6 of Attachment C Data shall be organized into standard reporting formats for reporting purposes. All electronic and video data must be stored to ensure that waste container, sample and QC data are readily retrievable <p>(Section C3-10a)</p>	CCP-TP-106 S. 4.1; S. 5.0 Attachments 1 and 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	<p>LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs.</p> <p>Solids sampling and analysis are not performed at LANL/CCP.</p> <p>For RTR/VE refer to C6-1 dated March 13, 2013</p>
<u>37</u>	<p>Are procedures in place to ensure that 100% of batch data reports are subject to independent technical review by an individual qualified to review the data who was not involved in the generation or recording of the data under review. The reviewer shall release the data through signature with an associated review checklist prior to characterization of the associated waste and shipment to the WIPP. The review shall ensure the following, as applicable:</p> <ul style="list-style-type: none"> Data generation and reduction were conducted according to the methods used and reported in the proper units and significant figures Calculations have been verified by a valid calculation program, a spot check of verified calculation programs, and/or a 100 percent check of all hand calculations The data have been reviewed for transcription errors The testing, sampling, and analytical QA documentation for BDRs is complete and includes, as applicable, raw data, DAC and equilibrium calculations and times, calculation records, chain of custody forms, calibration records, QC sample results and copies or originals of gas canister sample tags. All QC sample results are within established control limits, and if not, the 	CCP-TP-106 S. 4.1; S. 5.0 Attachment 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	<p>LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs.</p> <p>Solids sampling and analysis are not performed at LANL/CCP.</p> <p>For RTR/VE refer to C6-1 dated March 13, 2013</p>

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<p>data has been appropriately qualified</p> <ul style="list-style-type: none"> Reporting flags were assigned correctly Sample holding times and preservation requirements were met, or exceptions documented Radiography tapes are reviewed on a waste container basis at a minimum of once per testing batch or once per day of operation, whichever is less frequent. The radiography tape will be reviewed against the data on the radiography form to ensure that data are complete and correct Field sampling records are complete QAOs have been met <p>(Section C3-10a(1))</p>					
40	<p>Are procedures in place to ensure that 100 percent of all batch data reports receive a Site Project Manager signature release with an associated review checklist prior to characterization of the associated waste and shipment to the WIPP. This release shall ensure the following:</p> <ul style="list-style-type: none"> The Site Project Manager or designee shall determine the validity of the drum age criteria (DAC) assignment made at the data generation level based upon an assessment of the data collection and evaluation necessary to make the assignment. Testing batch QC checks were properly performed. Radiography data are complete and acceptable based on evidence of videotape review of one waste container per day or once per testing batch, whichever is less frequent Sampling batch QC checks were properly performed, and meet the established QAOs and are within established data usability criteria Analytical batch QC checks were properly performed and meet the established QAOs and are within established data usability criteria Online batch QC checks were properly performed and meet the established QAOs and are within established data usability criteria Proper procedures were followed to ensure representative samples of headspace gas and homogeneous solids and soil/gravel were taken Data generation level independent technical review, validation, and verification have been performed as evidenced by the completed review checklists and appropriate signature releases. Independent technical reviewers were not involved in the generation or 	<p>CCP-TP-001, S. 4.2</p> <p>CCP-TP-162, (All)</p>	Y	<p>LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4)</p> <p>LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5)</p> <p>LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6)</p> <p>SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)</p> <p>Subsequent Solids Random Sample Selection Memorandum</p>	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<p>recording of the data under review.</p> <ul style="list-style-type: none"> Batch Data review checklists are complete Batch Data Reports are complete and data properly reported Verify that data are within established data assessment criteria and meet all applicable QAOs <p>(Section C3-10(b)(1))</p>			<p>for the Second Random Selection Lot of Containers of Mixed Contaminated Soil, Waste Stream LA-MSG04.001, Being Characterized by the Central Characterization Program at Los Alamos National Laboratory (GEN-9)</p> <p>Headspace Gas Random Sample Selection Memorandum for the First Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD10.001, Being Characterized by the Central Characterization Project at Los Alamos National Laboratory (GEN-10)</p> <p>Subsequent Headspace Gas Random Sample Selection Memorandum for the Second Random Sample Lot of Containers of Mixed Heterogeneous Debris Waste, Waste Stream LA-MHD10.001, Being Characterized by the Central Characterization Project at Los Alamos National Laboratory (GEN-11)</p>		
42	Are procedures in place to ensure that a repeat of the data review process at the data generation level will be performed on a minimum of one randomly chosen waste container every quarter to determine if the verification and validation is performed according to documented procedures?	CCP-TP-001, S. 4.3	Y	CP:12:01356 Request for second quarter 2012 off-site source recovery project visual examination quarterly repeat of data	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
(Section C3-10b)				generation level review at the Los Alamos National Laboratory; CP:12:01400 Results of off-site source recovery project visual examination quarterly repeat of data generation level review: Los Alamos National Laboratory second quarter 2012; CP:12:01487 Request for third quarter 2012 off-site source recovery project visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01602 Results of off-site source recovery project visual examination quarterly repeat of data generation level review: Los Alamos third quarter 2012; CP:13:01042 Request for fourth quarter 2012 off-site source recovery project visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01083 Results of off-site source recovery project visual examination quarterly repeat of data generation level review: Los Alamos fourth quarter 2012; CP:13:01144 Request for first quarter 2013 off-site source recovery project visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01205		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Results of off-site source recovery project visual examination quarterly repeat of data generation level review: Los Alamos first quarter 2013; CP:12:01357 Request for second quarter 2012 real-time radiography quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01401 Results of real-time radiography quarterly repeat of data generation level review: Los Alamos National Laboratory second quarter 2012; CP12:01488 Request for third quarter 2012 real-time radiography quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01603 Results of real-time radiography quarterly repeat of data generation level review: Los Alamos third quarter 2012; CP:13:01043 Request for fourth quarter 2012 real-time radiography quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01081 Results of real-time radiography quarterly repeat of data generation level review: Los Alamos fourth quarter 2012; CP:13:01143 Request for first quarter 2013 real-time radiography		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01204 Results of real-time radiography quarterly repeat of data generation level review: Los Alamos first quarter 2013; CP:12:01358 Request for second quarter 2012 visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01402 Results of visual examination quarterly repeat of data generation level review: Los Alamos National Laboratory second quarter 2012; CP:12:01486 Request for third quarter 2012 visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01604 Results of visual examination quarterly repeat of data generation level review: Los Alamos third quarter 2012; CP:13:01145 Request for first quarter 2013 visual examination quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01203 Results of visual examination quarterly repeat of data generation level review: Los Alamos first quarter 2013;		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				CP:12:01355 Request for second quarter 2012 headspace gas sampling quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01403 Results of headspace gas sampling quarterly repeat of data generation level review: Los Alamos National Laboratory second quarter 2012; CP:12:01482 Request for third quarter 2012 headspace gas sampling quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:12:01601 Results of headspace gas sampling quarterly repeat of data generation level review: Los Alamos National Laboratory third quarter 2012; CP:13:01044 Request for fourth quarter 2012 headspace gas sampling quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01084 Results of headspace gas sampling quarterly repeat of data generation level review: Los Alamos National Laboratory fourth quarter 2012; CP:13:01146 Request for first quarter 2013 headspace gas sampling quarterly repeat of data generation level review at the Los Alamos National Laboratory; CP:13:01202		

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Results of headspace gas sampling quarterly repeat of data generation level review: Los Alamos National Laboratory first quarter 2013 (GEN-15)		
43	Are procedures in place and checklists are available to prepare a Site Project Manager (SPM) Summary and a Data Validation Summary (the summaries may be in the same document)? The SPM Summary includes a validation checklist for each batch that is of sufficient detail to document all aspects of a batch data report that could affect data quality. The Data Validation Summary must identify each Batch Data Report reviewed, describe how the validation was performed, identify all problems, and identify all acceptable and unacceptable data. Summaries must include release signatures. (Section C3-10b(2))	CCP-TP-001, S. 3.1.6, 3.1.8 & 4.2	Y	LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	
44	Are procedures in place to ensure that non-administrative, WAP-related nonconformances first identified at the site project manager level are reported to the Permittees within seven calendar days of identification, that nonconformance reports are prepared within 30 calendar days, and that corrective action is implemented prior to waste shipment? (Section C3-13)	CCP-QP-005 S. 4.4.3.1[D.3]	Y	NCR-LANL-1010-12, R0; NCR-LANL-1026-12, R0; NCR-LANL-1535-12, R0; NCR-LANL-1538-12, R0; NCR-LANL-1790-12, R0 were reviewed and found to include evidence of notification. (GEN-17) CH Nonconformance Report Log for Project	N/A	Verified that these NCRs were appropriately reported to the Permittee (via email to CBFO through "WIPP Notify") within the required amount of days by the Permit.

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				Level and Data Generation Level 2012/2013. (GEN-18)		
45	Are procedures in place to ensure that any waste container for which a nonconformance report (NCR) has been written will not be shipped to the WIPP facility unless the condition that led to the NCR for that container is appropriately identified, reconciled, corrected, and documented? Are nonconformance reports prepared for nonconformances identified? Are nonconformances identified and tracked, and does the Site Project Manager oversee the nonconformance report process? (Section C3-13)	CCP-QP-005, (All)	Y	NCR-LANL-1008-12, R0; NCR-LANL-1030-12, R0; NCR-LANL-1035-12, R0; NCR-LANL-1036-12, R0; NCR-LANL-1534-12, R0; NCR-LANL-1548-12, R0; NCR-LANL-0184-13, R0; NCR-LANL-0192-13, R0; NCR-LANL-0193-13, R0; NCR-LANL-0197-13, R0; NCR-LANL-0589-13, R0; NCR-LANL-1231-12, R0; NCR-LANL-1233-12, R0; NCR-LANL-1328-12, R1; NCR-LANL-1532-12, R0; NCR-LANL-1608-12, R0; NCR-LANL-1611-12, R0; NCR-LANL-1620-12, R0; NCR-LANL-1624-12, R1; NCR-LANL-1626-12, R0; NCR-LANL-1852-12, R0; NCR-LANL-1856-12, R0; NCR-LANL-1857-12, R0; NCR-LANL-1859-12, R0; NCR-LANL-1905-12, R1; NCR-LANL-1969-12, R1; NCR-LANL-1971-12, R0; NCR-LANL-0012-13, R0; NCR-LANL-0037-13, R0; NCR-LANL-0038-13, R0; NCR-LANL-0548-13, R0 (GEN-19)	Y	
SAMPLE CONTROL						
46	Are procedures in place to ensure that the site's sample handling and control program includes the following: <ul style="list-style-type: none"> Field documentation of samples including point of origin, date of sample, container identification, sample type, analysis requested, and chain-of-custody (COC) number? 	CCP-TP-093 S. 4.4.4; S. 4.5; Attachment 1	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs. Solids sampling and analysis are not performed at LANL/CCP.

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<ul style="list-style-type: none"> Proper labeling and/or tagging including proper sample numbering, sample identification, sample date, sampling conditions, and analysis requested? COC record including name of sample relinquisher, sample receiver, and date and time of sample transfer? and Proper sample handling and preservation? (Section C-4a(3))			(HG-1)		
47	Are procedures in place to ensure that the site's QAPjP or site-specific procedures includes COC forms to control the sample from the point of origin to the final analysis result reporting? (Section C-4a(3))	CCP-TP-093 S. 4; Attachment 1	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs. Solids sampling is not performed at LANL/CCP.
DATA TRANSMITTAL						
48	Are procedures in place to ensure that the generator/storage site transmits data by hard copy or electronic copy from the data generation level to the site project level? If electronic, does the generator/site have a hard copy available on demand? (Section C-4a(6))	CCP-TP-001, S. 4.2	Y	LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
50	Are procedures in place to ensure that the generator/storage site inputs the data into the WWIS manually or electronically? (Section C-4a(6))	N/A	N/A	N/A	N/A	Refer to C6-1 dated March 13, 2013
51	Are procedures in place to ensure that the generator/storage site enters the data into the WWIS in the exact format required by the database? (Section C-4a(6))	N/A	N/A	N/A	N/A	Refer to C6-1 dated March 13, 2013
51a	Are procedures in place to ensure that if a container was part of a composite headspace gas sample, the analytical results from the composite sample must be assigned as the container headspace gas data results, including associated TICs, for every waste container associated with the composite sample in the WWIS? (Section C3-12b(4))	N/A	N/A	N/A	N/A	CCP no longer uses composite sampling for characterization.
52	Are procedures in place to ensure all of the data presented on Table C-7 of the Permit is transmitted to the WWIS? (Table C-7)	N/A	N/A	N/A	N/A	Refer to C6-1 dated March 13, 2013
RECORDS AND RECORD MANAGEMENT						
55	Are procedures in place to ensure that the generator/storage site's hard copy and/or electronic data reports follow the Permittees' format requirements? (Section C-4a(4))	CCP-TP-001, S. 4.2	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208,	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)		
56	<p>Are procedures in place to ensure that hard copy or electronic Waste Stream Profile Form will include the following:</p> <ul style="list-style-type: none"> • Generator/storage site name • Generator/storage site EPA ID • Date of audit report approval by NMED (if obtained) • Original generator of waste stream • Whether waste is Contact-Handled or Remote-Handled • Waste Stream WIPP Identification Number • Summary Category Group • Waste Matrix Code Group • Waste Material Parameter Weight Estimates per unit of waste • Waste stream name • A description of the waste stream • Applicable EPA hazardous waste codes numbers • Applicable TRUCON codes • A listing of acceptable knowledge documentation used to identify the waste stream • The waste characterization procedures used and the reference and date of the procedure • Certification signature of Site Project Manager, name, title, and date signed <p>(Section C3-12b(1))</p>	CCP-TP-002, S. 4.3 and Att. 2	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
56a	Are procedures in place to ensure that hard copy or electronic Characterization Information Summary will include the following:	CCP-TP-002, S. 4.4 and Att. 3-8	Y	LA-MHD10.001/CIS 1-6, (GEN-1)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<ul style="list-style-type: none"> Data reconciliation with DQOs Headspace gas summary data listing the identification numbers of samples used in the statistical reduction, the maximum, mean, standard deviation, UCL₉₀, RTL, and associated EPA hazardous waste numbers that must be applied to the waste stream. Total metal, VOC, and SVOC analytical results for homogeneous solids and soil/gravel (if applicable). TIC listing and evaluation. Radiography and visual examination summary to document that all prohibited items are absent in the waste (if applicable). A complete listing of all container identification numbers used to generate the Waste Stream Profile Form, cross-referenced to each Batch Data Report. Complete AK summary, including stream name and number, point of generation, waste stream volume (current and projected), generation dates, TRUCON codes, Summary Category Group, Waste Matrix Code(s) and Waste Matrix Code Group, other TWBIR information, waste stream description, areas of operation, generating processes, RCRA determinations, radionuclide information, all references used to generate the AK summary, and any other information required by Permit Attachment C4, Section C4-2b. Method for determining Waste Material Parameter Weights per unit of waste. List of any AK Sufficiency Determinations requested for the waste stream. Certification through acceptable knowledge or testing and/or analysis that any waste assigned the hazardous waste number of U134 (hydrofluoric acid) no longer exhibits the characteristic of corrosivity. This is verified by ensuring that no liquid is present in U134 waste. A justification for the selection of radiography and/or VE as an appropriate method of characterizing the waste. <p>(Section C3-12b(2))</p>			LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)		
56b	Are procedures in place to assure that ongoing container characterization results are cross referenced to Batch Data Reports? (Section C3-12b)	CCP-TP-002, S. 4.4	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096 (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)		
58	Are procedures in place to ensure that project level reports are compiled into Characterization Information Summaries? (Section C3-12b)	CCP-TP-002, S. 4.4	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
59	Are procedures in place to ensure that the generator/storage site uses forms for data reporting that are pre-approved forms in site-specific documentation? (Section C3-12)	CCP-TP-106 S. 4.1; Attachments 1 and 2	Y	LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs. Solids sampling and analysis are not performed at LANL/CCP. For RTR/VE refer to C6-1 dated March 13, 2013

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
<u>60</u>	Are procedures in place to ensure that the generator/storage site's site project manager submits to the WIPP facility a summary of the waste stream information and reconciliation with data quality objectives (DQOs) once a waste stream is characterized? (Section C-4a(6))	CCP-TP-002, S. 4.6	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4) LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003, (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)	Y	
<u>61</u>	Are procedures in place to ensure that the generator/storage site project office completes a WSPF based on the Batch Data Reports? (C3-12b)	CCP-TP-002, S. 4.3	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LA-HERTR-12-0111, LA-HERTR-12-0116, LA-HERTR-12-0066, LA-HERTR-12-0096, (GEN-4)	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				LAVE550081, LAVE550100, LA12-OSR-VE-045, LA12-OSR-VE-003 (GEN-5) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M (GEN-7)		
62	Are procedures in place to ensure that the generator/storage Site Project Manager submits the WSPF to the Permittees for DOE's approval along with the accompanying Characterization Information Summary for that waste stream? (Section C-4a(6))	CCP-TP-002, S. 4.6	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3)	Y	
63	Are procedures in place to ensure that the generator/storage site maintains records related to waste characterization sampling and analysis activities in the testing, sampling or analytical facilities files, or site project files for those facilities located on-site? (Section C-4a(7))	CCP-QP-008, (ALL) CCP-QP-028, (ALL)	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)	Y	
64	Are procedures in place to ensure that the appropriate documented training and indoctrination is performed for all individuals and that procedures are documented in site specific QAPjPs and procedures? (Section C3-14)	CCP-PO-001, (ALL) CCP-QP-002, S. 4.0	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21) List of Qualified Individuals (LOQI) dated 7/22/13 (GEN-23) Qualification records for selected CCP personnel.	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
				(GEN-24)		
65	Are procedures in place to ensure that the generator/storage site requires contract waste analytical facilities to forward testing, sampling and analytical records along with testing, sampling and analytical batch data reports to the site project office for inclusion in the sites project files? (Section C-4a(7))	CCP-QP-008, S. 4.10 CCP-QP-028, (ALL)	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)	Y	
66	Are procedures in place to ensure that the generator/storage site has an appropriate records inventory and disposition schedule (RIDS) or equivalent that was prepared and approved by appropriate site personnel? (Section C-4a(7))	CCP-QP-008, S. 3.1.2 CCP-QP-028, S. 3.1.1	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)	Y	
67	Are procedures in place to ensure that the generator/storage site maintains all records relevant to an enforcement action, regardless of disposition, until they are no longer needed for enforcement action, and then dispositioned per the approved RIDS? (Section C-4a(7))	CCP-QP-008, S. 4.15.1 (NOTE) CCP-QP-028, (ALL)	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)	CCP-QP-008, S. 4.15.1 (NOTE) CCP-QP-028, (ALL)	
68	Are procedures in place to ensure that the generator/storage site maintains records that are designated as Lifetime Records for the life of the waste characterization program plus six years or that the records have been transferred to the WIPP Records Archive facility? Lifetime Records include: <ul style="list-style-type: none"> • Field sampling data forms, • Field and laboratory COC forms, • Test facility and laboratory Batch Data Reports, • Waste Stream Characterization Package, • Sampling plans, • Data reduction, validation, and reporting documentation, • Acceptable knowledge documentation, • WSPF and Characterization Information Summary (Section C-4a(7), Table C-6)	CCP-PO-001, S. C-4a(6) and Table C-2 CCP-PO-002, S. 5.5 CCP-QP-008, (ALL) CCP-QP-028, (ALL)	Y	CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)	Y	
69	Are procedures in place to ensure that the generator/storage site maintains records that are designated as Non-Permanent Records for ten years from the date of	CCP-PO-001,	Y	CH Records Inventory and Disposition Schedule	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
	<p>record generation, and then dispositioned according per the approved RIDS or transferred to the WIPP Records Archive facility?</p> <p>Non-Permanent Records include:</p> <ul style="list-style-type: none"> • Nonconformance documentation, • Variance documentation, • Assessment documentation, • Gas canister tags, • Methods performance documentation, • PDP documentation, • Sampling equipment certifications, • Calculations and related software documentation, • Training/qualification documentation, • QAPjP documentation (all revisions), • Calibration documentation, • Analytical raw data, • Procurement documentation, • QA procedures (all revisions), • Technical implementing procedures (all revisions), and • Audio/video recording (radiography, visual, etc.). <p>(Section C-4a(7), Table C-6)</p>	<p>S. C-4a(6), C-7</p> <p>CCP-PO-002, S. 5.5</p> <p>CCP-QP-008, (ALL)</p> <p>CCP-QP-028, (ALL)</p>		(RIDS) dated 08/2/2012. (GEN-21)		
<u>70</u>	<p>Are procedures in place to ensure that the generator/storage site has raw data that is identifiable and legible, and provides documentary evidence of quality?</p> <p>(Section C-4a(7))</p>	<p>CCP-TP-093 (All) CCP-TP-106 (All)</p>	Y	<p>LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)</p>	Y	<p>LANL/CCP only performs HSG sampling. Analysis is performed by INL/CCP Labs.</p> <p>Solids sampling and analysis are not performed at LANL/CCP.</p> <p>For RTR/VE refer to C6-1 dated March 13, 2013</p>
<u>71</u>	<p>Are procedures in place to ensure that if the generator/storage site ceases to operate, that all records be transferred before closeout?</p> <p>(Section C-4a(7))</p>	<p>CCP-QP-008, S. 4.10.2</p>	Y	<p>CH Records Inventory and Disposition Schedule (RIDS) dated 08/2/2012. (GEN-21)</p>	Y	

	WAP Requirement ¹ LANL/CCP Audit A-13-23 Table C6-1 Waste Analysis Plan (WAP) Checklist ¹	Procedure Documented		Example of Implementation/Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why?)	Item Reviewed	Adequate? Y/N	
SHIPMENT						
<u>72</u>	<p>Are procedures in place to ensure that the generator/storage site accurately completes an EPA Hazardous Waste Manifest prior to shipping the waste to WIPP that contains the following information:</p> <ul style="list-style-type: none"> • Generator/storage site name and EPA ID • Generator/storage site contact name and phone number • Quantity of waste • List of up to six state and/or federal hazardous waste numbers in each line item • Listing of all container IDS • Signature of authorized generator representative <p>(Section C-5b)</p>	N/A	N/A	N/A	N/A	Refer to C6-1 dated March 13, 2013
<u>73</u>	<p>Are procedures in place to ensure that the generator/storage site accurately completes the following container specific information:</p> <ul style="list-style-type: none"> • Waste stream identification number • List of hazardous waste numbers per container • Certification data • Shipping data <p>(Section C-5b)</p>	N/A	N/A	N/A	N/A	Refer to C6-1 dated March 13, 2013

1. The WAP requirements should be presented in documents, such as procedures. Each of the questions posed under WAP requirements is meant to ask whether procedures are in place or whether documents are evident which demonstrate that the specific WAP requirement is or can be met.

**Table C6-3 Acceptable Knowledge (AK) Checklist
LANL/CCP Recertification Audit A-13-23
July 23-25, 2013**

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Acceptable Knowledge (AK) Checklist¹

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
GENERAL REQUIREMENTS						
134	Are the primary document(s) required in Permit Attachment C4 containing acceptable knowledge information available? (Section C4-2)	CCP-TP-002 S. 4.3-4.5 CCP-TP-005 S. 4.4	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3) QMIS Approval for AK Summaries for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-7) Waste Stream Profile Form and attachments for waste stream LA-MHD10.001 (AK-4) Waste Stream Profile Form and attachments for waste stream LA-MIN04-S.001	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				(AK-5) Waste stream Profile Form and attachments for waste stream LA-MSG04.001 (AK-6)		
135	Has the generator developed a methodology whereby a logical sequence of acceptable knowledge information that progresses from general facility to more detailed waste-specific information can be acquired? (Section C4-2)	CCP-TP-005 S. 4.0	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3) AK Source Document Summaries for waste stream LA-MHD10.001 (AK-8) AK Source Document Summaries for waste stream LA-MIN04-S.001 (AK-9) AK Source Document Summaries for waste stream LA-MSG04.001 (AK-10)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
136	Does the site have adequate procedures in place to ensure that the Acceptable Knowledge process is adequately implemented? Do these procedures facilitate the mandatory traceability analysis performed for each Summary Waste Category Group examined during the audit? (Section C4-2)	CCP-TP-005 S. 4.0	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3) Traceability BDRs LA-HERTR-12-0076 (AK-31) LA-HERTR-12-0066 (AK-32) LA-RTR2-10-0022 (AK-33) LA-RTR2-11-0116 (AK-34) LA-RTR2-13-0029 (AK-35) LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49) Random Container Selection Memos for HSG S&A for waste stream LA-MHD10.001 (AK-26) HSG Summary Reports for waste stream LA- MHD10.001 (AK-27) Random Container Selection Memos for SS&A for waste streams LA-MIN04-S.001 and LA- MSG04.001 (AK-28) SS&A Summary Reports for waste streams LA- MIN04-S.001 and LA- MSG04.001 (AK-29) AK Characterization		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				Checklists and documentation for waste streams LA-MHD10.001, LA-MIN04-S.001 and LA-MSG04.001 (AK-30) IDC Database screenshots for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-19) AK Attachment 8 container list for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-15) AK Tracking Spreadsheets for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-20) Container Input Forms (AK-21)		
137	Does the generator site's TRU mixed waste management program information clearly define (or provide a methodology for defining) waste categorization schemes and terminology, provide a breakdown of the types and quantities of TRU mixed waste generated/stored at the site, and describe how waste is tracked and managed at the generator site (including historical and current operations? Do procedures ensure that waste streams are adequately identified? (Section C4-2a)	CCP-TP-005 S. 4.0, 4.2.2, 4.2.4, 4.2.5, 4.11, Att. 8 CCP-TP-001 S. 2.7 S. 4.1 S. 4.2	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				<p>(AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3)</p> <p>IDC Database screenshots for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-19)</p> <p>AK Attachment 8 container list for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-15)</p> <p>AK Tracking Spreadsheets for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-20)</p>		
138	Does site documentation procedures indicate that the site will document, justify, and consistently define waste streams and assign EPA hazardous waste numbers? (Section C4-2b)	CCP-TP-005 S. 4.4.16-4.4.21, Att. 5	Y	<p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12,</p>	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				S8.4.3, tables 8, 9, 21 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3) AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
REQUIRED AND ADDITIONAL INFORMATION						
140	<p>Does the generator site document that the following must be included in the acceptable knowledge record:</p> <ol style="list-style-type: none"> 1. Map of the site with the areas and facilities involved in TRU waste generation, treatment, and storage identified 2. Facility mission description as related to TRU waste generation and management (e.g., nuclear weapons research may involve metallurgy, radiochemistry, and nuclear physics operations that result in specific waste streams) 3. Description of the operations that generate TRU waste at the site (e.g., plutonium recovery, weapons design, or weapons fabrication) 4. Waste identification or categorization schemes used at the facility (e.g., item description codes, content codes) 5. Types and quantities of TRU mixed waste generated, including historical generation through future projections 6. Correlation of waste streams generated from the same building and process, as appropriate (e.g., sludge, combustibles, metals, and glass) 7. Waste certification procedures for retrievably stored and newly generated wastes to be sent to the WIPP facility <p>(Section C4-2a)</p>	1-7 CCP-TP-005, S. 4.2.2 S., 4.4.5 Att. 1	Y	CCP-AK-LANL-014 (AK-1) CCP-AK-LANL-006 (AK-2) CCP-AK-LANL-010 (AK-3)	Y	
141	<p>Does the generator site document that the following shall be collected for each waste stream:</p> <ol style="list-style-type: none"> A. Area(s) and/or building(s) from which the waste stream was or is generated B. Waste stream volume and time period of generation (e.g., 100 standard waste boxes of retrievable stored waste generated from June 1977) 	A-F CCP-TP-005, S. 4.2.4 S. 4.4.2 Att. 1	Y	CCP-AK-LANL-014 (AK-1) CCP-AK-LANL-006 (AK-2) CCP-AK-LANL-010 (AK-3)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	<p>through December 1977)</p> <p>C. Waste generating process described for each building (e.g., batch waste stream generated during decommissioning operations of glove boxes), including processes associated with U134 waste generation, if applicable.</p> <p>D. Documentation demonstrating how the site has historically managed the waste, including the historical regulatory status of the waste (i.e., TRU mixed versus TRU non-mined waste)</p> <p>E. Process flow diagrams (e.g., a diagram illustrating glove boxes from a specific building to a size reduction facility to a container storage area). In the case of research/development, analytical laboratory waste, or the similar processes where process flow diagrams cannot be created, a description of the waste generating processes, rather than a formal process flow diagram, may be included if this modification is justified and the justification is placed in the auditable record</p> <p>F. Material inputs or other information that identifies the chemical content of the waste stream and the physical waste form (e.g., glove box materials and chemical handled during glove box operations, events or processes that may have modified the chemical or physical properties of the waste stream after generation, data obtained through visual examination of newly generated waste that later undergoes radiography; information demonstrating neutralization of U134 [hydrofluoric acid] and waste compatibility.</p> <p>(Section C4-2b)</p>			<p>A. S5.1 (AK-1) S8.1 (AK-2) S6.1 (AK-3)</p> <p>B. S5.2 (AK-1) S8.2 (AK-2) S6.2 (AK-3)</p> <p>C. S5.3 (AK-1) S8.3 (AK-2) S6.3 (AK-3)</p> <p>D. S5.4.3 (AK-1) S8.4.3 (AK-2) S6.4.3 (AK-3)</p> <p>E. Figures 4, 5 (AK-1) Fig 7, 8, 16, 17 (AK-2) Fig 12, 13 (AK-3) P036 (AK-10)</p> <p>F. S5.4.1, 5.4.3 (AK-1) S8.4.1, 8.4.3 (AK-2) S6.4.1, 6.4.3 (AK-3)</p>		
142	<p>Do site documents/procedures require that the facility will provide a summary to the Permittees that summarizes all information collected, including basis and rationale for all waste stream designations? Is an example of this summary available for audit review? If discrepant hazardous waste data exist in required information, do sites consider applying all hazardous waste numbers, but assess and evaluate the information to determine the appropriate hazardous waste number consistent with RCRA requirements? (Section C4-2b)</p>	<p>CCP-TP-005 S. 4.4 S. 4.9</p>	<p>Y</p>	<p>CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-</p>	<p>Y</p>	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				LANL-010 R6 (AK-3) AK Discrepancy Resolutions (AK-16)		
143	Do site procedures indicate that if the required AK information is not available for a particular waste stream, that the waste stream will not be eligible for an AK Sufficiency Determination? (Section C4-2)	CCP-TP-005 S. 4.2 S. 4.4 S. 4.7	Y	AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-11)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
144	<p>Have the following procedures been prepared?:</p> <p>A. Procedures for identifying and assigning the physical waste form of the waste</p> <p>B. Procedures for delineating waste streams and assigning Waste Matrix Codes</p> <p>C. Procedures for resolving inconsistencies in acceptable knowledge documentation</p> <p>D. Procedures for headspace gas sampling and analysis, visual examination and/or radiography, and homogeneous waste sampling and analysis, if applicable</p> <p>E. For newly generated waste, procedures describing process controls used to ensure prohibited items (specified in the WAP, Permit Attachment C) are documented and managed</p> <p>F. Procedures to ensure radiography and visual examination include a list of prohibited items that the operator shall verify are not present in each container (e.g. liquid exceeding TSDF-WAC limits, corrosives, ignitables, reactives, and incompatible wastes)</p> <p>G. Procedures to document how changes to Waste Matrix Codes, waste stream assignment, and associated Environmental Protection Agency hazardous waste numbers based on material composition are documented for any waste</p> <p>H. Procedures that ensure the assignment of EPA hazardous waste numbers is appropriate, consistent with RCRA requirements, and adequately considers site historical waste management</p> <p>I. Procedures for estimating waste material parameter weights (Section C4-2b)</p>	<p>A. CCP-TP-005 S. 4.4.13 S. 4.4.14</p> <p>B. CCP-TP-005 S. 4.4.11 NOTE & S. 4.4.12</p> <p>C. & G. CCP-TP-005 S. 4.9</p> <p>D. CCP-TP-093 (All)</p> <p>E. N/A</p> <p>F. N/A CCP-TP-053, Table 1 and CCP-TP-113, Table 1</p> <p>H. CCP-TP-005, S. 4.4.16 -4.4.21</p> <p>I. CCP-TP-005 S. 4.4.27</p>	Y	<p>CCP-AK-LANL-014 (AK-1) CCP-AK-LANL-006 (AK-2) CCP-AK-LANL-010 (AK-3)</p> <p>A. S5.4.1 (AK-1) S8.4.1 (AK-2) S6.4.1 (AK-3)</p> <p>B. S5.4.1.1 (AK-1) S8.4.1.1 (AK-2) S6.4.1.1 (AK-3)</p> <p>C. AK Discrepancy Resolutions (AK-16)</p> <p>D. LAHSG1204 LAHSG1205 LAHSG1206 LAHSG1207 LAHSG1208 LAHSG1301 LAHSG1302 (HG-1)</p> <p>E. N/A</p> <p>F. N/A</p> <p>G. AK Discrepancy Resolutions (AK-16)</p> <p>H. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7 (AK-1)</p>	Y	<p>LANL/CCP only performs HSG sampling. Analysis is performed by INL.</p> <p>Solids sampling and analysis are performed by INL.</p> <p>For RTR/VE reference C6-2 dated March 13, 2013</p> <p>There is no newly generated waste at LANL.</p>

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				<p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p> <p>I. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.1.2, table 2 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.1.2, table 19 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				MSG04.001, CCP-AK-LANL-010 R6, S6.4.1.2, table 13 (AK-3) Memo attached to AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)		
145	Does the generator provide procedures or written commitment to collect additional acceptable knowledge information, as available and as necessary to augment mandatory information? (Section C4-2c)	CCP-TP-005 S. 4.2.5 Att.1	Y	AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-11)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
145a	<p>For waste containers that belong to LANL sealed sources waste streams, and for which headspace gas sampling and analysis is not required, are there procedures in place to assure the collection of the following additional AK?</p> <p>A. Documentation that the waste container contents meet the definition of sealed sources per 10 CFR 30.4 and 10 CFR 35.2 (effective January 1, 2004)</p> <p>B. Documentation of the certification of the sealed sources as U.S. Department of Transportation Special Form Class 7 (Radioactive) Material per 49 CFR 173.403 (effective October 1, 2003)</p> <p>C. Documentation of contamination survey results that validate the integrity of each sealed source per 10 CFR 34.27 (effective January 1, 2004).</p> <p>D. AK documentation does not indicate the use of VOCs or VOC-bearing materials as constituents of the sealed sources.</p> <p>E. The outer casing of each sealed source must be of a non-VOC bearing material, which must be verified at the time of packaging.</p> <p>F. AK documentation that includes but is not limited to, as available and as necessary to determine the hazardous constituents associated with sealed sources, the following: source manufacturer's sales catalogues, original purchase records, source manufacturer's fabrication documents, source manufacturer's drawings, source manufacturer's fuel capture assembly reports, source manufacturer's operational procedures for cleanliness requirements, source manufacturer's shipping documents, source manufacturer's welding records, transuranic batch material records, and information from national databases (e.g., NMMSS). All of this information may not and need not be available for each source, but sufficient information must be included in the auditable record to derive an adequate understanding of source construction and history to ensure that no VOCs are present in association with the sealed source itself that would render the source hazardous. If AK data indicate that assignment of a hazardous waste number related to organic materials is required in association with a source, this specific source will be assigned to a separate waste stream and that waste stream will be subject to headspace gas sampling unless a separate AK Sufficiency Determination is approved for the waste stream.</p> <p>(Section C4-2c)</p>	CCP-TP-005 S. 4.2.6	Y	N/A	N/A	The S5000 CH TRU waste stream reviewed by the CTAC AK auditors during this recertification audit was not from the OSRP Program.
146	<p>Does the generator site document that all additional specific, relevant information used in the acceptable knowledge process will be identified and its use explained? Is all necessary information assembled and has it been appropriately used?</p> <p>(Section C4-2c)</p>	CCP-TP-005 S. 4.2.5 Att.1	Y	AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				MSG04.001 (AK-11)		
147	Does the generator site discrepancy analysis documentation (for acceptable knowledge additional and required documentation) indicate that if discrepancies are detected, the site may consider applying all hazardous waste numbers indicated in the required and additional information, but must assess and evaluate the information to determine the appropriate hazardous waste numbers consistent with RCRA requirements? (Section C4-2c)	CCP-TP-005 S. 4.9	Y	AK Discrepancy Resolutions (AK-16)	Y	
TRAINING						
148	Does the generator site have procedures to ensure that all personnel involved with acceptable knowledge waste characterization have the following training, and is this training documented? A. WIPP WAP in Permit Attachment C and the TSDF-WAC specified in this permit B. State and Federal RCRA regulations associated with solid and hazardous waste characterization C. Discrepancy resolution and reporting D. Site-specific procedures associated with waste characterization using acceptable knowledge (Section C4-3a)	CCP-QP-002, S. 4.2.1-3	Y	Acceptable Knowledge Expert (AKE) and Site Project Manager (SPM) Training files (GEN-16)	Y	
PROCEDURES						
149	Has the generator site developed the following procedures, and are these procedures technically sufficient? A. Sites must prepare and implement a written procedure outlining the specific methodology used to assemble acceptable knowledge records, including the origin of the documentation, how it will be used, and any limitations associated with the information (e.g., identify the purpose and scope of a study that included limited sampling and analysis data). B. Sites must develop and implement a written procedure to compile the required acceptable knowledge record. C. Sites must develop and implement a written procedure that ensures unacceptable wastes (e.g., reactive, ignitable, corrosive) are identified and	A-D) CCP-TP-005, S. 4.2, 4.3, 4.4 & 4.9 CCP-TP-001, S. 2.7, 4.1 & 4.2 CCP-TP-120(All) CCP-QP-005, S. 2.3.1 - 2.3.3 C: CCP-TP-120 (All)	Y	A. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	<p>segregated from TRU mixed waste populations sent to WIPP.</p> <p>D. Sites must prepare and implement a written procedure to evaluate acceptable knowledge and resolve discrepancies. For example, if different sources of information indicate different hazardous wastes are present, then sites must include all sources of information in its records and may choose to either conservatively assign hazardous waste numbers, or assign only those numbers deemed appropriate and consistent with RCRA requirements. All information used to justify assignment of hazardous waste numbers must be placed in the auditable record. Further, the assignment of hazardous waste numbers shall be tracked in the auditable record to all required documentation.</p>			<p>(AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3)</p> <p>AK Source Document Summaries for waste stream LA-MHD10.001 (AK-8)</p> <p>AK Source Document Summaries for waste stream LA-MIN04-S.001 (AK-9)</p> <p>AK Source Document Summaries for waste stream LA-MSG04.001 (AK-10)</p> <p>B. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				(AK-3) C. AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14) NCRs for prohibited items (AK-18) IDC database with "reject" field (AK-19) Container inspection reports (AK-23) "Hold" tag (AK-24) D. AK Discrepancy Resolutions (AK-16)		
149a	E. Sites must prepare and implement a written procedure to identify hazardous wastes and assign the appropriate hazardous waste numbers to each waste stream. The following are minimum baseline requirements/standards that site-specific procedures must include to ensure comparable and consistent characterization of hazardous waste: 1. Compile all of the required information in an auditable record. 2. Review the compiled information and delineate waste streams. Delineation of waste streams must comply with the WAP definition in Permit Attachment C, Section C-0a, and justify combining waste historically managed separately as TRU mixed and TRU non-mixed waste streams into a single waste stream. 3. Review the compiled information to determine if the waste stream is compliant with the TSDF-WAC 4. Review the required information to determine if the waste is listed	CCP-TP-005, S. 1.1, 4.2, 4.3 & 4.4	Y	E1. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	<p>under 20.4.1.200 NMAC (incorporating 40 CFR 261), Subpart D. Assign all listed hazardous waste numbers, unless the site chooses to justify an alternative assignment and document the justification in the auditable record.</p> <p>5. Review the required information to determine if the waste exhibits a hazardous characteristic or may contain hazardous constituents included in the toxicity characteristics specified in 20.4.1.200 NMAC (incorporating 40 CFR 261, Subpart C. If a toxicity characteristic contaminant is identified and is not included as a listed waste, sites may evaluate available data and assign the toxicity characteristic hazardous waste number consistent with RCRA requirements. All data examined to reach the hazardous waste number determination must be placed in the auditable record and must present a clear justification for the hazardous waste number analyses.</p> <p>6. Review the compiled information to provide an estimate of the material parameter weights for each container to be stored or disposed of at WIPP. For newly generated waste, procedures shall be developed and implemented to characterize hazardous waste using acceptable knowledge prior to packaging.</p>			<p>for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3)</p> <p>AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-11)</p> <p>E2. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S2.0 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S2.4 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S2.2 (AK-3)</p> <p>E3. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3.3, 5.4.4, 5.4.5</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				<p>(AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.3.4, 8.4.3.5, 8.4.4</p> <p>(AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3.3, 6.4.4, 6.4.5</p> <p>(AK-3)</p> <p>AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001</p> <p>(AK-14)</p> <p>NCRs for prohibited items</p> <p>(AK-18)</p> <p>E4&5. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3, tables 6, 7</p> <p>(AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001,</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				<p>CCP-AK-LANL-006 R12, S8.4.3, tables 8, 9, 21 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.3, tables 5, 11, 15 (AK-3)</p> <p>AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-13)</p> <p>E6. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.1.2, table 2 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S8.4.1.2, table 19 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S6.4.1.2, table 13 (AK-3)</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				Memo attached to AK Att. 6, Waste Form, Waste Material Parameters, Prohibited Items and Packaging for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-14)		
149b	<p>F. Sites shall ensure that results of audits of the TRU mixed waste characterization programs at the site are available in the records.</p> <p>G. Sites shall identify all process controls (implemented to ensure that the waste contains no prohibited items and to control hazardous waste content and/or physical form) that have been applied to retrievably stored waste and/or may presently be applied to newly generated waste. Process controls are applied <u>at the time</u> of waste generation/packaging to control waste content, whereas any activities performed <u>after</u> waste generation/packaging to identify prohibited items, hazardous waste content, or physical form are waste characterization activities, not process controls. The AK record must contain specific process control and supporting documentation identifying when these process controls are used to control waste content. See Permit Attachment C, Section C-2 for programmatic requirements related to process controls.</p> <p>(Section C4-3b)</p>	<p>F. CCP-PO-001, S. C4-3f, C4-3b</p> <p>CCP-QP-021, (All)</p> <p>WP 13-QA.03 (All)</p> <p>G. CCP-TP-005, S. 4.2 - 4.4 & Att. 1</p>	Y	<p>F. Internal Surveillance Report (AK-22)</p> <p>G. AK Source Document Summaries for waste stream LA-MHD10.001, D009 (AK-8)</p> <p>AK Source Document Summaries for waste stream LA-MIN04-S.001, P090 (AK-9)</p> <p>AK Source Document Summaries for waste stream LA-MSG04.001, P036 (AK-10)</p>	Y	
150	<p>Does the site have implemented procedures which comply with the following criteria to establish acceptable knowledge records:</p> <p>A. Acceptable knowledge information shall be compiled in an auditable record, including a road map for all applicable information.</p> <p>B. The overview of the facility and TRU mixed waste management operations in the context of the facility's mission shall be correlated to specific waste stream information.</p> <p>C. Correlations between waste streams, with regard to time of generation, waste generating processes, and site-specific facilities shall be clearly</p>	<p>AK</p> <p>A-E</p> <p>CCP-TP-005, S. 1.1, 4.2, 4.3, 4.4, 4.7, 4.10, 4.11 & Atts. 1, 4 & 8</p> <p>CCP-TP-001, S. 2.7</p>	Y	<p>A. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste</p>	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	<p>described. For newly generated wastes, the rate and quantity of waste to be generated shall be defined.</p> <p>D. A reference list shall be provided that identifies documents, databases, Quality Assurance protocols, and other sources of information that support the acceptable knowledge information.</p> <p>E. Container inventories for TRU mixed waste in retrievable storage shall be delineated into waste streams by correlating the container identification to all of the required and additional AK information.</p> <p>(Section C4-3c)</p>			<p>stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6 (AK-3)</p> <p>AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-11)</p> <p>AK Information List for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-12)</p> <p>B. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S4.3, 4.6, 5.4 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S4.2.2, 4.3, 8.4 (AK-2)</p> <p>CCP AK Summary Report</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				<p>for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S4.2.2, 4.3, 6.4 (AK-3)</p> <p>C. CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S4.6.6, 4.7, 5.1, 5.2, 5.3 (AK-1)</p> <p>CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12, S4.3.7, 4.45, 8.1, 8.2, 8.3 (AK-2)</p> <p>CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6, S4.3.5, 4.4, 6.1, 6.2, 67.3 (AK-3)</p> <p>D. AK Information List for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-12)</p> <p>E. IDC Database screenshots for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-</p>		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				MSG04.001 (AK-19) AK Attachment 8 container list for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA- MSG04.001 (AK-15) AK Tracking Spreadsheets for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA- MSG04.001 (AK-20)		
151	If the generator site submitted an AK Sufficiency Determination Request for a specific waste stream, did the site provide all of the requisite information including the identification of the applicable scenario for which approval is sought? (Section C-0b)	CCP-TP-005, S. 4.7	Y	N/A	N/A	An AK Sufficiency Determination is not being sought for any <u>additional</u> LANL waste streams at this time.
AUGMENTATION OF ACCEPTABLE KNOWLEDGE						
152	Does the generator site have written procedures for the augmentation of all acceptable knowledge information using sampling and analysis. Sampling and analysis consists of radiography, visual examination, headspace gas, and homogeneous waste sampling and analysis. Do site procedures indicate that the following sampling and analysis will be conducted based upon the results of the Determination Request Any scenario denied - 100% RTR or VE and statistical HSG or solids S&A Scenario 1 Granted -No sampling and analysis radiography/visual examination is required Scenario 2 Granted-Radiography/visual examination is not required but statistical HSG or solids S&A is required Scenario 3 Granted-100% RTR or VE is required, sampling and analysis is not required (Section C4-1, C-0b)	CCP-TP-005, S. 4.4, 4.5 & 4.7 CCP-TP-003, S. 4.0	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1 (AK-1) CCP AK Summary Report for LANL TA-55 Mixed TRU Waste, waste stream LA-MIN04-S.001, CCP-AK-LANL-006 R12 (AK-2) CCP AK Summary Report for LANL TA-21 DP West Facility, waste stream LA-MSG04.001, CCP-AK-LANL-010 R6	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				(AK-3) Traceability BDRs LA-HERTR-12-0076 (AK-31) LA-HERTR-12-0066 (AK-32) LA-RTR2-10-0022 (AK-33) LA-RTR2-11-0116 (AK-34) LA-RTR2-13-0029 (AK-35) LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49) Random Container Selection Memos for HSG S&A for waste stream LA-MHD10.001 (AK-26) HSG Summary Reports for waste stream LA- MHD10.001 (AK-27) Random Container Selection Memos for SS&A for waste streams LA-MIN04-S.001 and LA- MSG04.001		

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				(AK-28) SS&A Summary Reports for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-29) AK Characterization Checklists and documentation for waste streams LA-MHD10.001, LA-MIN04-S.001 and LA-MSG04.001 (AK-30)		
155	Does the generator site have procedures for reevaluating acceptable knowledge if the results of the waste characterization indicate that the waste to be shipped does not match the approved waste stream or if the data from radiography or visual examination for waste streams without an AK Sufficiency Determination exhibit this discrepancy? Does this procedure describe how the waste is reassigned, acceptable knowledge reevaluation, and appropriate hazardous waste numbers are assigned? (Section C4-3e)	CCP-TP-005 S. 4.8 S. 4.9 Att. 10	Y	AK Discrepancy Resolutions at Characterization and AK Reevaluations (AK-17)	Y	
156	Do site procedures indicate that debris wastes are assigned toxicity characteristic EPA numbers based on AK regardless of the quantity or concentration? (C4-3e)	CCP-TP-005 S. 4.4.17 Att. 5	Y	CCP AK Summary Report for LANL TA-39 Two-Stage Gas Gun Facility, waste stream LA-MHD10.001, CCP-AK-LANL-014 R1, S5.4.3. 2, tables 6, 7 (AK-1) AK Att. 5, Hazardous Constituents, for waste streams LA-MHD10.001, (AK-13)	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
CRITERIA FOR ASSEMBLING AN ACCEPTABLE KNOWLEDGE RECORD DELINEATING THE WASTE STREAM						
158	<p>If wastes are reassigned to a different waste matrix code based on site visual examination or radiography or Permittee confirmation activities, does the generator site have written documentation to ensure that the following steps are followed:</p> <ul style="list-style-type: none"> A. Review existing information based on the container identification number and document all differences in hazardous waste number assignments B. If differences exist in the hazardous waste numbers that were assigned, reassess and document all required acceptable knowledge information (Section C3-b) associated with the new designation C. Reassess and document all sampling and analytical data associated with the waste D. Verify and document that the reassigned waste matrix code was generated within the specified time period, area and buildings, waste generating process, and that the process material inputs are consistent with the waste material parameters identified during radiography or visual examination E. Record all changes to acceptable knowledge records F. If discrepancies exist in the acceptable knowledge information for the revised waste matrix code, document the segregation of the affected portion of the waste stream, and define the actions necessary to fully characterize the waste <p>(Section C4-3e)</p>	CCP-TP-005 S. 4.8 S. 4.9 Att. 10	Y	AK Discrepancy Resolutions at Characterization and AK Reevaluations (AK-17)	Y	
161	<p>Do site procedures ensure that headspace gas and solid/soil analytical data are used to resolve AK assignments for hazardous waste, as necessary? If a constituent is detected in headspace gas that the site believes isn't from the waste process, the site must provide documentation to support any determination that organic constituents are associated with packaging materials, radiolysis, or other uses not consistent with solvent use. If the source of the detected headspace gas solvents cannot be identified, the appropriate F listing will be assigned. If a constituent in a listed waste is present in solid/soil analytical results, the appropriate listed waste shall be added to the waste stream. F-listed waste assigned by acceptable knowledge shall not be removed based on headspace gas or solids analysis. In the case of totals/TCLP analysis, do procedures reflect the allowance for concentration assessments, wherein sites may add or remove total/TCLP and non-toxic F003 constituents found in headspace and solid/soil analyses?</p> <p>(Section C4-3e)</p>	CCP-TP-005 S. 4.5 S. 4.8 S. 4.9 CCP-TP-003 S. 4.0	Y	LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49) HSG Summary Reports for waste stream LA-	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				MHD10.001 (AK-27) SS&A Summary Reports for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-29)		
162	If sampling and analysis conducted to augment AK determines that a hazardous constituent as identified in headspace gas sampling or soil/homogeneous waste sampling is present in the waste, does the generator site indicate that they will: 1) assign the hazardous waste number to the entire waste stream as applicable, or 2) segregate drums containing detectable concentrations of solvent into a separate waste stream, and assign applicable hazardous waste numbers? (Section C4-3e)	CCP-TP-005 S. 4.5 S. 4.8 S. 4.9 CCP-TP-003 S. 4.0 CCP-TP-120 (All)	Y	LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49) HSG Summary Reports for waste stream LA-MHD10.001 (AK-27) SS&A Summary Reports for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-29) Interview with container management specialist 7/24/13 verified any nonconforming containers are tagged and segregated, then returned to LANL.	Y	
163	Does the generator site document, justify, and consistently delineate waste streams	CCP-TP-005, S. 4.4, 4.5 & Att. 1	Y	N/A	N/A	There are no applicable State

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	and assign hazardous waste numbers based on site specific permit requirements or state-enforced agreements? (Section C4-3e)					hazardous waste codes for New Mexico
164	Does the generator site have written methodologies for determining the mean concentration of solvent VOCs detected by either headspace gas analysis or homogeneous waste sampling for each waste stream or waste stream lot, and are all data ("U" flags designated as one half the MDL and "J" flags, which are less than the PRQL but greater than the MDL)? (Section C4-3e)	CCP-TP-003, Att. 3 & 4	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M, (GEN-7)	Y	
165	Do procedures ensure that spent solvent assignments are made by using the UCL ₉₀ (of mean concentration), and comparing this with the PRQLs? If the UCL ₉₀ exceeds the PRQL, is acceptable knowledge reevaluated and determine potential source of the constituent? (Section C4-3e)	CCP-TP-005 S. 4.5 S. 4.8 S. 4.9 CCP-TP-003 S. 4.0	Y	LAHSG1207 (AK-36) ECL12039M (AK-37) LAHSG1205 (AK-38) ECL12033M (AK-39) SSG12-00006 (AK-40) ALD12028V (AK-41) ALD12028S (AK-42) ALD12028N (AK-43) ALD12028M (AK-44) SSG11-00005 (AK-45) ALD11025V (AK-46) ALD11025S (AK-47) ALD11025N (AK-48) ALD11025M (AK-49) HSG Summary Reports for waste stream LA- MHD10.001	Y	

	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
				(AK-27) SS&A Summary Reports for waste streams LA-MIN04-S.001 and LA-MSG04.001 (AK-29)		
167	Does the site have written procedures for situations where concentrations of some VOCs are orders of magnitude higher than other target analytes? In these cases, elevated MDLs may be generated, and those constituents with an elevated MDL but "U" designation will not be used in mean calculations. (Section C4-3e)	CCP-TP-003, S. 4.1 & 4.2	Y	LA-MHD10.001/CIS 1-6, (GEN-1) LA-MSG04.001/CIS (GEN-2) LA-MIN04.001/CIS1-7 (GEN-3) LAHSG1301, ECL13003M, LAHSG1207, ECL12039M, LAHSG1208, ECL12048M, (GEN-6) SSG12-00006, ALD12028V, ALD12028S, ALD12028N, ALD12028M, (GEN-7)	Y	LANL/CCP only performs HSG sampling. Analysis is performed by INL.

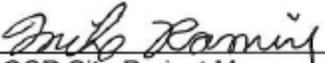
	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
DATA QUALITY REQUIREMENTS						
168 AK	<p>Are acceptable knowledge processes consistently applied among all generator sites, and does each generator site comply with the following data quality requirements for acceptable knowledge documentation:</p> <p>A. Precision - Precision is the agreement among a set of replicate measurements without assumption of the knowledge of a true value. The qualitative determinations, such as compiling and assessing acceptable knowledge documentation, do not lend themselves to statistical evaluations of precision. However, the acceptable knowledge information will be addressed by the independent review of acceptable knowledge information during internal and external audits.</p> <p>B. Accuracy - Accuracy is the degree of agreement between an observed sample result and the true value. The percentage of waste containers which require reassignment to a new waste matrix code and/or designation of different hazardous waste numbers based on sampling and analysis data and discrepancies identified by the Permittees during waste confirmation will be reported as a measure of acceptable knowledge accuracy.</p> <p>C. Completeness - Completeness is an assessment of the number of waste streams or number of samples collected to the number of samples determined to be useable through the data validation process. The acceptable knowledge record must contain 100 percent of the information (Permit Attachment C4-3) The usability of the acceptable knowledge information will be assessed for completeness during audits.</p> <p>D. Comparability - Data are considered comparable when one set of data can be compared to another set of data. Comparability is ensured through sites meeting the training requirements and complying with the minimum standards outlined for procedures that are used to implement the acceptable knowledge process. All sites must assign hazardous waste numbers in accordance with Permit Attachment C4-4 and provide this information regarding its waste to other sites who store or generate a similar waste stream.</p>	<p>A. CCP-PO-001 S. C4-3f CCP-QP-021 (All)</p> <p>WP 13-QA.03 (All)</p> <p>B. CCP-TP-005 S. 4.6</p> <p>C. CCP-TP-005 S. 4.0</p> <p>D. CCP-TP-005 S. 2.2 S. 4.4</p> <p>CCP-QP-002 S. 4.2.1</p>	Y	<p>A. Internal Surveillance Report (AK-22)</p> <p>B. AK Accuracy Reports for waste streams LA-MHD10.001 and LA-MIN04-S.001 (AK-25)</p> <p>C. AK Documentation Checklist, Attachment 1, for waste streams LA-MHD10.001, LA-MIN04-S.001, and LA-MSG04.001 (AK-11)</p> <p>D. Acceptable Knowledge Expert (AKE) and Site Project Manager (SPM) Training files (GEN-16)</p>	Y	
	<p>E. Representativeness - Representativeness expresses the degree to which sample data accurately and precisely represent characteristics of a population. Representativeness is a qualitative parameter that will be satisfied by ensuring that the process of obtaining, evaluating, and documenting acceptable knowledge information is performed in accordance with the minimum standards established in Permit Attachment C4. Sites also must assess and document the limitations of the acceptable knowledge information used to assign hazardous waste numbers (e.g., purpose and scope of information, date of publication, type and extent to which waste</p>	<p>E. CCP-TP-005 S. 2.2 S. 4.0</p> <p>CCP-QP-002 S. 4.2.1</p>	Y	<p>Acceptable Knowledge Expert (AKE) and Site Project Manager (SPM) Training files (GEN-16)</p> <p>AK Source Document Summaries for waste stream LA-MHD10.001</p>	Y	

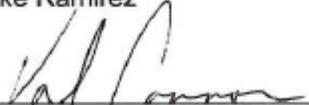
	WAP Requirement ² LANL/CCP Audit A-13-23 Table C6-3 Acceptable Knowledge (AK) Checklist ¹	Procedure Documented		Example of Implementation/ Objective Evidence, as applicable		Comment (e.g., any change in procedure since last audit, etc.)
		Location	Adequate? Y/N (Why)	Item Reviewed	Adequate? Y/N	
	parameters are addressed). (Section C3-9)			(AK-8) AK Source Document Summaries for waste stream LA-MIN04-S.001 (AK-9) AK Source Document Summaries for waste stream LA-MSG04.001 (AK-10)		
169	Does the generator site address quality control by tracking its performance with regard to the use of acceptable knowledge by: 1) assessing the frequency of inconsistencies among information, and 2) documenting the results of waste discrepancies identified by the generator/storage site during waste characterization or the Permittees during waste confirmation using radiography, review of radiography audio/video recordings, or visual examination, or review of visual examination records. In addition, the acceptable knowledge process and waste stream documentation must be evaluated through internal assessments by generator/storage site quality assurance organizations. (Section C4-3e)	CCP-TP-005 S. 4.6 S. 4.9 CCP-QP-021 (All) WP 13-QA.03 (All) CCP-PO-001 S. C4-3f	Y	AK Accuracy Reports for waste streams LA-MHD10.001 and LA-MIN04-S.001 (AK-25) AK Discrepancy Resolutions (AK-16) AK Discrepancy Resolutions at Characterization and AK Reevaluations (AK-17) Internal Surveillance Report (AK-22)	Y	

1. NMED expects a traceability analysis to be performed, the results of which should be presented on this checklist under the "Examples of Implementation" column. Further, the traceability analysis process and results should be discussed in the Final Audit Report.
2. The WAP requirements should be presented in documents, such as procedures. Each of the questions posed under WAP requirements are meant to determine whether procedures are in place or whether documents are evident which demonstrate that the specific WAP requirement is or can be met.

CCP-PO-002 Revision 27

CCP Transuranic Waste Certification Plan

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RECORD OF REVISION

Revision Number	Date Approved	Description of Revision
4	05/17/2002	Revised to reflect requirements of new Department of Energy (DOE)/WIPP 02-3122, <i>Contact-Handled Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> (CH-WAC) (WIPP).
5	02/12/2003	Added CCP-TP-046, CCP-TP-047 AND CCP-TP-048 to Attachment 1, Table A.3-3, NDA Procedures.
6	06/11/2003	Updated to Revision 5 of the Quality Assurance Program Description (QAPD).
7	11/20/2003	Supplemented the description of the CCP organization in Section 4.1.1; added work planning criteria to Section 4.1.2[B]; revised Sections 4.10 and 4.10.2 to better describe how independent assessments are scheduled and conducted; updated procedure references.
8	01/08/2004	Added Procedures into Tables A-3.3, B-1, B-3, and B-4. Removed cancelled procedure (CCP-TP-080) from Table B-4.
9	03/15/2004	Incorporate changes to Revision 1 of DOE/WIPP-02-3122, <i>Contact-Handled Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> and other editorial changes. Changed references to match WAC.
10	11/15/2004	Revised to add procedures into Tables B-1, B-2, B-3 and B-4 in Attachment 4: Procedure Tables. Editorial changes throughout document. Incorporated Revision 20 of the Transuranic Package Transporter Model II (TRUPACT-II) Safety Analysis Report (SAR), Revision 3 of the HalfPACT SAR, Revision 2 of the WIPP Waste Acceptance Criteria. Incorporated Carlsbad Field Office (CBFO) Document Release Record (DRR) comments.
11	02/24/2005	Revised to incorporate LANL Off-Site Source Recovery (OSR) Project. The Facility Quality Assurance Officer (FQAO) responsibilities were removed from this document to address Environmental Protection Agency (EPA) concerns regarding document consistency.
12	03/10/2005	Added procedures to Table A-3.3, Table B-1, and Table B-3. Added new Table B-3A, Solids Sampling Procedures.
13	05/09/2005	Incorporated changes to Revision 3 of DOE/WIPP-02-3122, CH-WAC for the WIPP. Updated 2.0 in Attachment 8. Updated web links in Section 5.
14	12/29/2005	Incorporated changes to Table 3.3.22, ²³⁹ Pu FGE Limits for Packages and Rev. 2 of the CH-TRAMPAC and editorial changes.

RECORD OF REVISION (Continued)

Revision Number	Date Approved	Description of Revision
15	03/22/2006	Revised to add procedures to Attachment 1, Radioassay Requirements for Contact-Handled Transuranic Waste, Table A-3.3, NDA Procedures and Attachment 4, Procedure Tables, and editorial corrections throughout. Updated Figure 2-1, Central Characterization Project (CCP) Organization. Changed all references to DOE O 414.1A to DOE O 414.1. Updated step 3.2.2(B) (B.1) and step 3.2.6(B)(B.2) to address Carlsbad Field Office (CBFO) Document Review Record (DRR) comments.
16	11/16/2006	Revised to incorporate Revision 5 of DOE/WIPP 02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> .
17	11/16/2006	Revised in response to concerns raised during the Idaho National Laboratory (INL) Remote-Handled (RH) Audit A-06-21. Corrected Section 4.4.1[B] and Attachment 11.
18	11/16/2006	Revised to incorporate Revision 6.0 of DOE/WIPP 02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> .
19	05/22/2007	Revised to change the references for quality planning, list CCP special processes, and add a new Section 5.7 addressing configuration management of CCP equipment.
20	11/02/2007	Revised for the addition of Remote Handled Waste shipments.
21	01/26/2009	Revised procedure lists to include new and modified procedures/titles. Also, revised to incorporate Revision 6.2 of DOE/WIPP 02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> .
22	01/12/2010	Revised to incorporate Revision 6.4 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> .
23	04/07/2010	Revised to add Hanford Non-Destructive Assay (NDA) equipment.
24	06/30/2010	Revised to incorporate Revision 6.5 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> .
25	12/29/2010	Revised to incorporate Revision 7.0 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> and minor editorial changes.
26	07/14/2011	Revised to incorporate revision 7.1 and 7.2 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> , minor editorial changes, and delete Appendix 11.

RECORD OF REVISION (Continued)

Revision Number	Date Approved	Description of Revision
27	05/31/2013	Revised to incorporate Revision 7.3 and Revision 7.4 of DOE/WIPP-02-3122, <i>Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant</i> , which incorporates the changes resulting for the Class 2 Permit modification entitled "Revised Waste Analysis Plan Waste Characterization Methods" which was approved by New Mexico Environment Department (NMED) on March 13, 2013, incorporate organizational changes to reflect the transition to Nuclear Waste Partnership (NWP), to clarify the hierarchy of quality assurance (QA) program documents, and minor editorial changes.

TABLE OF CONTENTS

1.0	INTRODUCTION	9
1.1	CBFO Organization and Responsibilities	10
1.1.1	DOE-CBFO Office Director, Office of (Quality Assurance Manager)..	10
1.1.2	DOE-CBFO Office Director, Office of National TRU Program	10
2.0	ORGANIZATION OF THE CCP	12
2.1	Organization and Responsibilities	12
2.1.1	CCP Management.....	12
2.1.2	NTPC Certification Manager	13
2.1.3	CCP Certification Manager	13
2.1.4	CCP Transportation Certification Official (TCO).....	15
2.1.5	NWP Quality Assurance (QA) Manager	16
2.1.6	Quality Assurance (QA)	16
2.1.7	CCP Waste Certification Official (WCO)	18
3.0	COMPLIANCE PLAN FOR CH-WAC	20
3.1	Organization of Requirements	20
3.1.1	DOE Operations and Safety Requirements for WIPP	21
3.1.2	NRC Transportation Safety Requirements for Type B Packages.....	21
3.1.3	NMED Hazardous Waste Facility Permit Requirements	21
3.1.4	EPA Compliance Recertification Decision Requirements	21
3.1.5	EPA Approval for PCB Disposal.....	22
3.1.6	Land Withdrawal Act Requirements (Public Law 102-579)	22
3.2	Container Properties Criteria and Requirements	23
3.2.1	Payload Container Description.....	23
3.2.2	Container Weight and Center-of-Gravity	26
3.2.3	Assembly Configurations	26
3.2.4	Removable Surface Contamination (Payload Containers).....	26
3.2.5	Container Identification/Labeling	27
3.2.6	Dunnage	29
3.2.7	Filter Vents.....	30
3.3	Radiological Properties	31
3.3.1	Radionuclide Composition	31
3.3.2	Fissile Material Quantity (²³⁹ Pu FGEs).....	33
3.3.3	TRU Alpha Activity Concentration	37
3.3.4	²³⁹ Pu Equivalent Activity.....	38
3.3.5	Radiation Dose Equivalent Rate	40
3.3.6	Decay Heat	41
3.4	Physical Properties	41
3.4.1	Observable Liquid	41
3.4.2	Sealed Containers.....	42
3.5	Chemical Properties	43
3.5.1	Pyrophoric Materials	43
3.5.2	Hazardous Waste.....	44
3.5.3	Chemical Compatibility.....	45
3.5.4	Explosives, Corrosives, and Compressed Gases	46
3.5.5	HSG VOC Concentrations	47
3.5.6	Polychlorinated Biphenyl (PCB) Concentration.....	47

3.6	Data Package Contents	48
3.6.1	Characterization and Certification Data.....	48
3.6.2	Shipping Data.....	49
4.0	WASTE ACCEPTANCE REQUIREMENTS AND CRITERIA FOR RH WASTE	51
4.1	Organization of Requirements	51
4.2	DOE Operations and Safety Requirements for WIPP	52
4.3	NRC Transportation Safety Requirements.....	52
4.4	NMED Hazardous Waste Facility Permit Requirements	53
4.5	EPA Compliance Recertification Decision Requirements	53
4.6	WIPP Land Withdrawal Act Requirements (Public Law 102-579).....	54
4.7	Container Properties	55
4.7.1	Description	55
4.7.2	Weight Limits.....	56
4.7.3	Assembly Configurations	57
4.7.4	Removable Surface Contamination.....	57
4.7.5	Identification/Labeling	58
4.7.6	Dunnage	61
4.7.7	Filter Vents	61
4.8	Radiological Properties	62
4.8.1	Radionuclide Composition	63
4.8.2	²³⁹ Pu Fissile Gram Equivalent/ ²³⁵ U Fissile Equivalent Mass (FEM) ...	65
4.8.3	TRU Alpha Activity Concentration	68
4.8.4	²³⁹ Pu Equivalent Activity.....	69
4.8.5	Radiation Dose Equivalent Rate	70
4.8.6	Decay Heat	70
4.9	Physical Properties	71
4.9.1	Observable Liquid	71
4.9.2	Sealed Containers.....	72
4.9.3	Physical Form	73
4.10	Chemical Properties	74
4.10.1	Pyrophoric Materials	74
4.10.2	Hazardous Waste.....	75
4.10.3	Chemical Compatibility.....	75
4.10.4	Explosives, Corrosives, and Compressed Gases	76
4.10.5	Headspace Gas Concentrations	77
4.10.6	Polychlorinated Biphenyls (PCBs).....	77
4.11	Data Package Contents	78
4.11.1	Characterization and Certification Data.....	78
4.11.2	Shipping Data.....	79
5.0	QUALITY ASSURANCE PLAN.....	80
5.1	Organization and Quality Assurance Program.....	80
5.1.1	Organization.....	81
5.1.2	Implementation of the QA Program.....	85
5.2	Personnel Qualification and Training	87
5.2.1	Qualification Requirements	88
5.2.2	Training Requirements.....	88
5.3	Quality Improvement.....	89
5.4	Documents.....	91

5.5	Records	93
5.6	Work Process	94
	5.6.1 Work.....	94
	5.6.2 Implementing Procedures	95
	5.6.3 Item Identification and Control	96
	5.6.4 Special Processes.....	96
	5.6.5 Handling, Storage, and Shipping.....	97
5.7	Configuration Management.....	97
	5.7.1 Equipment Configuration.....	97
	5.7.2 Software Configuration.....	98
5.8	Procurement	98
	5.8.1 Procurement Document Review and Approval	99
	5.8.2 Acceptance of Items or Services.....	99
	5.8.3 Control of Supplier Nonconformances	100
	5.8.4 Commercial Grade Items	101
5.9	Inspection and Testing.....	102
	5.9.1 Qualification of Inspection and Test Personnel	103
	5.9.2 Qualification of Nondestructive Examination Personnel.....	104
	5.9.3 Inspection Planning.....	104
	5.9.4 Test Requirements.....	104
	5.9.5 Monitoring, Measuring, Testing, and Data Collection Equipment.....	105
5.10	Management Assessments.....	106
5.11	Independent Assessments.....	107
	5.11.1 Surveillances.....	107
	5.11.2 Audits	107
5.12	Sample Control Requirements.....	108
	5.12.1 Sample Identification.....	108
	5.12.2 Handling, Storing, and Shipping Samples.....	108
	5.12.3 Disposition of Nonconforming Samples	109
5.13	Data Documentation, Control, and Validation	109
5.14	Software.....	110
5.15	Performance Demonstration Program (PDP).....	110
6.0	REFERENCES.....	111

LIST OF TABLES

Table 1. Authorized Payload Container Contents	26
Table 2. ²³⁹ Pu FGE Limits for Payload Containers	34
Table 3. PE-Ci Limits.....	39
Table 4. ²³⁹ Pu FGE Limits for a Canister Shipped in an RH-TRU 72-B Package	66
Table 5. ²³⁹ Pu FGE Limits for Drums Shipped in a 10-160B Package.....	67
Table 6. ²³⁵ U FEM Limit for a Canister Shipped in an RH-TRU 72-B Package.....	67
Table 7. PE-Ci Limits.....	69
Table A-1. Data Quality Objectives (DQOs) for Radioassay	123
Table A-2. Upper Limits for %RSD vs. Number of Replicates.....	126
Table A-2.1. NDA Procedures.....	127
Table A-3. Range of Applicability	130
Table B-1. Container Management Procedures	140
Table B-2. Radiography Procedures	140
Table B-3. VE Procedures.....	140
Table B-4. GGT Procedures.....	140
Table B-5. Certification Procedures.....	140
Table B-6. Remote-Handled Procedures	141
Table C-1. PE-Ci Weighting Factors for Selected Radionuclides.....	143

LIST OF FIGURES

Figure 1-1. CCP Document Hierarchy for TRU Waste Characterization, Certification, and Transportation	11
Figure 2-1. CCP Organization	19

LIST OF APPENDIXES

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste	117
Appendix 2 – Appendix 1 References	135
Appendix 3 – Acronyms and Abbreviations	137
Appendix 4 – Procedure Tables	140
Appendix 5 – PE-Ci Activity.....	142
Appendix 6 – Glossary	144
Appendix 7 – Payload Container Integrity Checklist.....	151
Appendix 8 – Payload Management of TRU Alpha Activity Concentration.....	155
Appendix 9 – Radiography Requirements for Contact-Handled Transuranic Waste.....	158
Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste.....	164

1.0 INTRODUCTION

The Central Characterization Program (CCP) is tasked with characterizing and certifying Transuranic (TRU) waste for disposal at the Waste Isolation Pilot Plant (WIPP). Accordingly, the CCP must comply with DOE/WIPP 02-3122, *Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant (WAC)*. The WAC establishes the specific physical, chemical, radiological, and packaging criteria for acceptance of defense TRU waste shipments at WIPP. The WAC also requires that the CCP produce documents, including a certification plan, that addresses applicable requirements and criteria pertaining to packaging, characterization, certification, and shipping of defense TRU waste to WIPP for disposal.

The CCP may provide its services to a site by contracting directly with that site. If this is the case, the scope of services provided by CCP is specified in a Statement of Work (SOW) issued by the site. The SOW also specifies health and safety requirements, quality requirements, and other requirements specific to that site. A site-specific interface document may also be prepared which provides more detail on the site-CCP interface. The CCP has the option to use data from established TRU waste characterization activities at a U.S. Department of Energy (DOE)-Carlsbad Field Office (CBFO)-certified site, per site-specific interface documents. Transportation services may be provided through the CCP Certified Program or by other DOE-CBFO certified sites.

The site has general management oversight responsibility for work performed by the CCP at the site. The site is responsible for ensuring that CCP conducts its activities in compliance with site requirements, as defined in the site-specific interface document for that location.

Figure 1-1, CCP Document Hierarchy for TRU Waste Characterization, Certification, and Transportation illustrates the hierarchy of regulatory requirements for TRU waste characterization, certification, and transportation, and reflects the flow-down of requirements from higher-level documents to site-level program documents and implementing procedures. To ensure that future changes to the WAC and other relevant requirements documents are appropriately reflected, this CCP Transuranic Waste Certification Plan (hereinafter referred to as the Plan) will be reviewed at least annually and updated as necessary.

This Plan establishes the programmatic framework and criteria within which the CCP ensures that TRU wastes can be certified as compliant with the WAC. This Plan includes the following sections:

- Section 2.0, ORGANIZATION OF THE CCP, describes the interaction between the characterization, certification, and transportation personnel, and lists the responsibilities of key CCP officials.

- Section 3.0, COMPLIANCE PLAN FOR CH-WAC, describes CCP activities and specific documents that implement and verify compliance with each requirement.
- Section 4.0, WASTE ACCEPTANCE REQUIREMENTS AND CRITERIA FOR RH WASTE, describes CCP activities and specific documents that implement and verify compliance with each requirement.
- Section 5.0, QUALITY ASSURANCE PLAN, describes how the CCP complies with DOE/CBFO-94-1012, *U.S. Department of Energy Carlsbad Field Office Quality Assurance Program Document (QAPD)* (Reference 18), the WAC (Reference 47), DOE/WIPP-02-3214, *Remote-Handled TRU Waste Characterization Program Implementation Plan (WCPiP)* (Reference 17), and provides the QA Plan for transportation as required by Title 10 *Code of Federal Regulations (CFR), Energy, Part 71, Packaging and Transportation of Radioactive Material, Subpart H, Quality Assurance*.

This Plan and associated Quality Assurance (QA) Plan (Section 5.0), CCP-PO-001, *CCP Transuranic Waste Characterization Quality Assurance Project Plan (QAPjP)*, CCP-PO-003, *CCP Transuranic Authorized Methods for Payload Control (CCP CH-TRAMPAC)*, and CCP-PO-505, *Remote-Handled Transuranic Waste Authorized Methods for Payload Control* establish the programmatic framework for the CCP's waste characterization, certification, and transportation activities. The QA Plan (Section 5.0) within this Plan implements all of the applicable CBFO QAPD requirements. These documents are submitted to the CBFO for review and approval. CCP will not characterize, certify, or ship TRU wastes to the WIPP before CBFO approval of this Plan.

1.1 CBFO Organization and Responsibilities

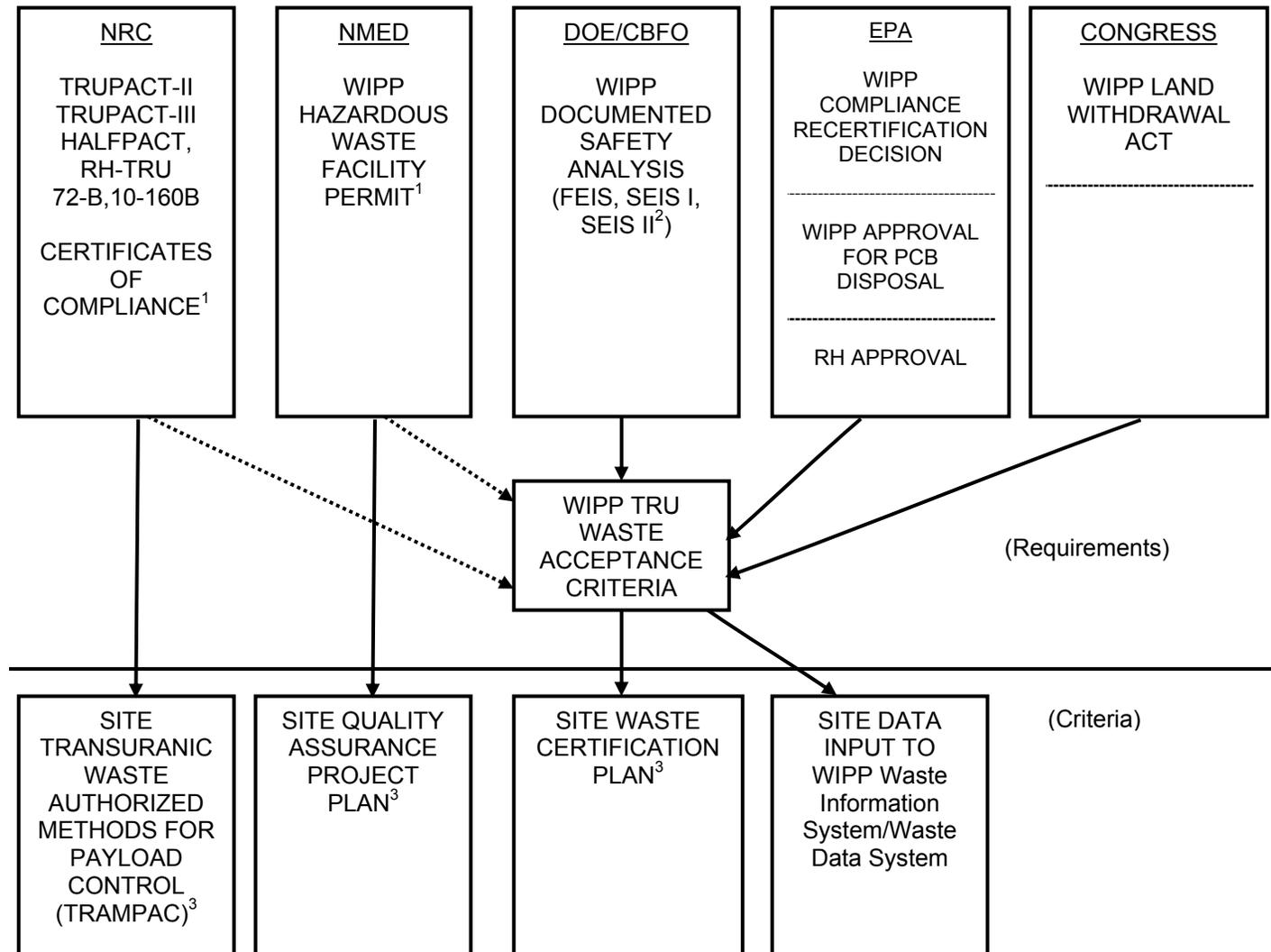
1.1.1 DOE-CBFO Office Director, Office of (Quality Assurance Manager)

- [A] The DOE-CBFO QA Manager provides independent oversight of QA activities of the CCP and approves this Plan. This will include audits and surveillances to ensure that CCP is in compliance with this Plan.

1.1.2 DOE-CBFO Office Director, Office of National TRU Program

- [A] The DOE-CBFO Office Director, Office of National TRU Program provides overall policy direction and oversees the CCP characterization and certification activities and approves this Plan.

Figure 1-1. CCP Document Hierarchy for TRU Waste Characterization, Certification, and Transportation



- Note 1: The TRAMPACs as referenced by the TRUPACT-II, TRUPACT-III, HalfPACT, and RH-TRU 72-B Certificates of Compliance, the Safety Analysis Report (SAR) as referenced by the 10-160B Certificate of Compliance, and the WIPP Hazardous Waste Facility Permit (HWFP) provide detailed requirements. This Plan provides only an overview of these requirements.
- Note 2: Final Environmental Impact Statement (FEIS), Supplemental Environmental Impact Statement (SEIS).
- Note 3: All work performed by the site for the CBFO must be performed under an approved QA program. The site-specific Transuranic Waste Authorized Methods for Payload Control (TRAMPAC) can be a separate document or can be embodied in the site waste certification plan. The 10-160B SAR does not require the preparation of a site-specific TRAMPAC. Instead, acceptable methods for payload compliance for the 10-160B package are implemented by a Nuclear Regulatory Commission (NRC)-approved site-specific Appendix to the 10-160B SAR.

2.0 ORGANIZATION OF THE CCP

The responsibilities for TRU waste management of the CCP are distributed within various organizations. This section identifies the organizations involved in the CCP and describes the responsibilities of and interactions between these organizations.

2.1 Organization and Responsibilities

2.1.1 CCP Management

- [A] CCP management has overall responsibility for successfully accomplishing activities subject to the QAPD. Management provides the necessary planning, organization, direction, control, resources, and support to achieve their defined objectives. Management is responsible for planning, performing, assessing, and improving the work.
- [B] CCP management is responsible for establishing and implementing policies, plans, and procedures that control the quality of work, consistent with the provisions of the CBFO QAPD.
- [C] Ensuring that adequate technical and QA training is provided for personnel performing activities subject to the CBFO QAPD.
- [D] CCP management is responsible for ensuring that individual workers are knowledgeable of requirements for work they perform and are provided the necessary resources and administrative controls needed to accomplish assigned tasks.
- [E] Ensuring compliance with all applicable regulations, DOE orders and requirements, and applicable federal, state, and local laws.
- [F] Ensuring that personnel adhere to procedures for the generation, identification, control, and protection of QA records.
- [G] Exercising the authority and responsibility to STOP unsatisfactory work such that cost and schedule do not override environmental, safety, or health considerations.
- [H] Developing, implementing, and maintaining plans, policies, and procedures that implement the CBFO QAPD.
- [I] Identifying, investigating, reporting, and correcting quality problems.

- [J] Members of CCP management are responsible for achieving and maintaining quality in their area. Quality achievement is the responsibility of those performing the work. Quality achievement is verified by persons or organizations not directly responsible for performing the work.
- [K] CCP management empowers employees by delegating authority and decision making to the lowest appropriate level in the organization.
- [L] Figure 2-1, CCP Organization, is a functional organization chart pertaining to TRU waste characterization and certification activities at the CCP. The following subsections identify the organizations that oversee the CCP and describe the roles and responsibilities of key positions within the CCP charged with implementing the requirements defined in this Plan.

2.1.2 NTPC Certification Manager

- [A] The National TRU Program Certification (NTPC) Manager is responsible for the day-to-day management and direction of CCP activities. The NTPC Certification Manager is responsible for:
 - [A.1] Ensuring successful CCP/site interface.
 - [A.2] Ensuring CCP plans and operations are coordinated, integrated, and consistent with DOE-CBFO programs, policies, and guidance.
 - [A.3] Coordinating CCP activities and functioning as principal point-of-contact (POC) with DOE-CBFO and other regulating agencies.
 - [A.4] Reviewing and approving this Plan.

2.1.3 CCP Certification Manager

- [A] The CCP Certification Manager is the principal POC with DOE (including CBFO and National TRU Program [NTP]) for technical activities associated with TRU waste. The CCP Certification Manager performs the Site Project Manager (SPM) duties described in Appendix E of the CBFO QAPD. The CCP Certification Manager may delegate specific individuals within CCP to perform functions that are the responsibility of an SPM. The use of the term "SPM" in this Certification Plan refers to those delegated individuals. This delegation is documented by the completion and approval of an SPM Qualification Card in accordance with CCP-QP-002.

The CCP Certification Manager coordinates with the CCP Waste Certification Official (WCO) and Transportation Certification Official (TCO) and oversees CCP activities to ensure that TRU waste is characterized and certified compliant with WIPP requirements. Specific responsibilities assigned to the CCP Certification Manager include the following:

- [A.1] Reviewing and approving this Plan.
- [A.2] Developing, maintaining, reviewing, approving, and implementing CCP procedures and plans. Development, approval, and implementation of procedures and plans will occur at the earliest time consistent with the schedule for accomplishing the activities.
- [A.3] Scheduling revisions and distributing CCP procedures and plans and forwarding these documents (if significantly revised) to DOE-CBFO for review and approval before implementation. The term “significantly revised” means non-editorial changes in accordance with the CBFO QAPD, Section 1.4.3.
- [A.4] Ensuring CCP personnel receive appropriate training and are properly qualified, so that suitable proficiency is achieved and maintained.
- [A.5] Obtaining Acceptable Knowledge (AK) information from waste generators regarding U.S. Environmental Protection Agency (EPA) hazardous waste numbers.
- [A.6] Reviewing and approving interface documents.
- [A.7] Waste selection and tracking.
- [A.8] Halting characterization or certification activities if problems affecting the quality of certification processes or work products exist.
- [A.9] Validating and verifying characterization data.
- [A.10] Reconciling verified data with data quality objectives (DQOs).
- [A.11] Evaluating and reconciling AK information with characterization data.

[A.12] Preparing and submitting SPM Data Validation Summaries, Waste Stream Profile Forms (WSPFs), Characterization Information Summaries, Waste Stream Characterization Packages, and QA/Quality Control (QC) reports to DOE-CBFO.

[B] The CCP Certification Manager may delegate any of these activities to another individual; however, the CCP Certification Manager retains ultimate responsibility for ensuring that CCP certification requirements are met.

2.1.4 CCP Transportation Certification Official (TCO)

[A] The CCP TCO documents and certifies that payload containers and assemblies to be transported meet the requirements of CCP-PO-003. Specific responsibilities of the TCO include the following:

[A.1] Reviewing the applicable CCP transportation plans and transportation procedures.

[A.2] Interfacing with the SPM, CCP Certification Manager, WCO, and QA on matters associated with waste transportation.

[A.3] Reviewing and maintaining CCP-PO-003.

[A.4] Ensuring that data used in completion of the transportation documents are accurate and demonstrate that the waste is acceptable for transportation.

[A.5] Preparing and signing Payload Container Transportation Certification Documents (PCTCDs) and Overpack Payload Container Transportation Certification Documents (OPCTCDs).

[A.6] Preparing and signing Payload Assembly Transportation Certification Documents (PATCDs).

[A.7] Assisting QA with preparation of responses to deficiency reports in transportation matters.

[A.8] Ensuring that the transportation data entered into the WIPP Waste Information System/Waste Data System (WWIS/WDS) are accurate and demonstrate that waste is acceptable for disposal at WIPP.

[A.9] Reviewing interface documents.

[A.10] Halting transportation certification activities if problems affecting the certification or work process exist.

2.1.5 NWP Quality Assurance (QA) Manager

[A] The (NWP) Nuclear Waste Partnership QA Manager has the overall responsibility and authority to perform independent assessments to verify the organization's achievement of quality and assure the effective implementation of the QA program. The NWP QA Manager has the responsibilities and authorities described in Section 1.1.5 of WP 13-1, Nuclear Waste Partnership LLC Quality Assurance Program Description (NWP QAPD).

2.1.6 Quality Assurance (QA)

[A] QA provides QA oversight and planning for TRU waste characterization and certification, verifies the implementation of the QAPjP and the QA requirements of this Plan, and provides day-to-day guidance to CCP staff on quality-related matters. QA has the authority to STOP CCP work activities if quality is not assured or controlled. QA has no assigned responsibilities unrelated to the QA Program that would prevent appropriate attention to QA matters. QA is responsible for verifying the achievement of quality by those performing the work. As shown in the organization chart in Figure 2-1, the Assurance Programs Manager reports to the NWP QA Manager, so that required authority and organizational freedom are provided, including sufficient independence from cost and schedule considerations. The Assurance Programs Manager performs the duties and has the responsibilities and authorities described for the site project QA manager in Appendix E of the CBFO QAPD. QA specific responsibilities include the following:

[A.1] Reviewing and approving CCP procedures and plans including this Plan.

[A.2] Coordinating and participating in internal and external audits and assessments to verify compliance.

[A.3] Tracking compliance and evaluating trends in compliance with QA objectives (QAOs).

[A.4] Performing assessments of testing facilities.

[A.5] Tracking and trending CCP nonconformances and corrective action reports (CARs).

- [A.6] Verifying CCP corrective actions.
- [A.7] Submitting semi-annual and other QA/QC reports to the CCP Certification Manager and DOE-CBFO.
- [A.8] Participating in the development of responses to Corrective Action Reports generated by DOE-CBFO or other external assessment organizations.
- [A.9] Reviewing and approving supplier and subcontractor QA Plans.
- [A.10] Reviewing interface documents.
- [A.11] Providing guidance to all CCP organizations concerning identification, control, and protection of QA records.
- [A.12] STOPPING work if quality is not ensured or controlled.
- [A.13] Providing day-to-day guidance on quality-related matters to CCP staff.
- [A.14] Maintaining liaison with participant QA organizations and other affected organizations.
- [A.15] Developing, establishing, and interpreting QA policy and ensuring effective implementation.
- [A.16] Interfacing, as appropriate, with the DOE-CBFO staff, participants, and other stakeholders on QA matters.
- [A.17] Assisting CCP organizations with quality planning, documentation, quality measurement, and problem identification and resolution.
- [A.18] Initiating, recommending, or providing solutions to quality problems through designated channels.
- [A.19] Ensuring that further processing, delivery, installation, or use is controlled until proper disposition of a nonconformance, deficiency, or unsatisfactory condition has occurred.
- [A.20] Coordinating with responsible management on resolution of differences of opinion involving the definition and implementation of QA Program requirements. If not resolved, progressively elevating

the issues to successively higher levels of management as necessary.

[A.21] Ensuring that a graded approach is used to exercise control over activities affecting quality to an extent consistent with their importance.

[A.22] Interfacing with the CCP WCO and TCO on matters related to waste certification and transportation.

[A.23] Providing inspection services for procurement, including source inspections

[A.24] Providing vendor qualification and maintenance of the NWP Qualified Suppliers List (QSL) for vendors used by the CCP.

[B] QA may delegate one or more individuals to perform the above functional responsibilities; however, QA retains ultimate responsibility for ensuring compliance with QA requirements.

2.1.7 CCP Waste Certification Official (WCO)

[A] The CCP WCO is responsible for reviewing data and information necessary to document TRU waste payload containers prepared for shipment to WIPP meet specified criteria. The WCO coordinates activities related to waste certification. Specific duties and responsibilities of the WCO include the following:

[A.1] Certifying that waste packages meet WAC requirements.

[A.2] Interfacing with the CCP Certification Manager, SPM, TCO, and QA on matters related to waste certification.

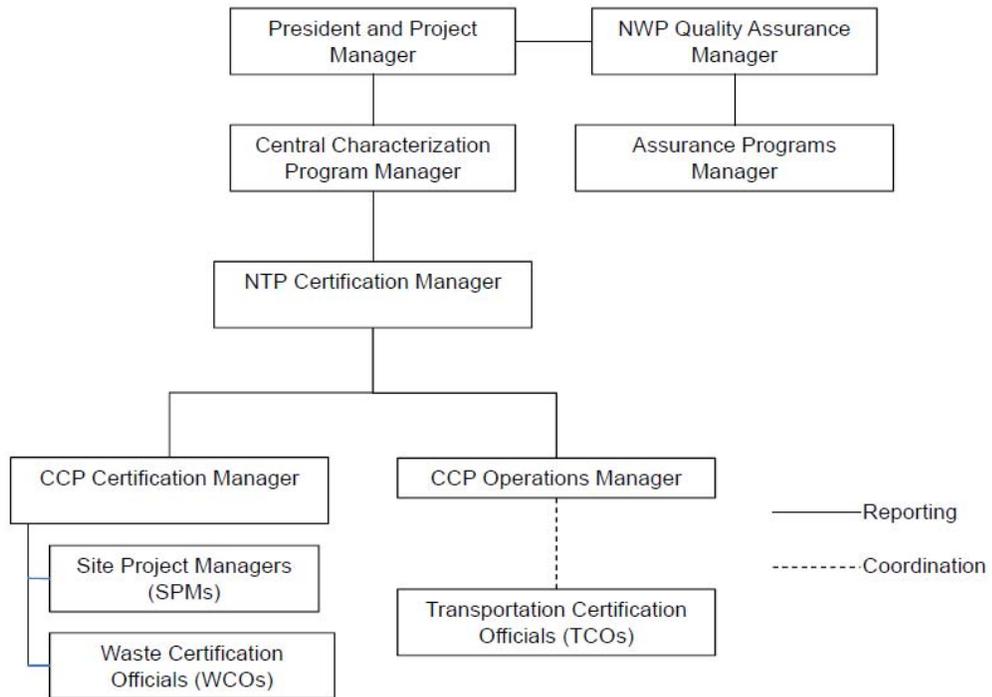
[A.3] Stopping waste certification activities if problems affecting the quality of certification processes or work products exist.

[A.4] Ensuring that certification data entered into the WIPP WWIS/WDS are accurate and demonstrate the acceptability of the waste for transport to and disposal at the WIPP.

[A.5] Reviewing the applicable CCP plans and procedures and any other waste certification-related documents.

- [A.6] Reviewing this Plan.
- [A.7] Preparing responses to deficiency reports.
- [B] The WCO may delegate one or more individuals to perform the above responsibilities; however, the WCO retains ultimate responsibility for ensuring compliance with WAC requirements.

Figure 2-1. CCP Organization



3.0 COMPLIANCE PLAN FOR CH-WAC

This section describes how the CCP complies with the requirements of the WIPP WAC and associated requirements contained in the WIPP Documented Safety Analysis (DSA) (Reference 4), the Transuranic Package Transporter II (TRUPACT-II), Transuranic Package Transporter III (TRUPACT-III), and/or HalfPACT Certificates of Compliance (References 5 and 6), the WIPP Land Withdrawal Act (LWA) (Reference 2) the WIPP HWFP (Reference 9), the Compliance Recertification Decision (Reference 10), the Initial Report for Polychlorinated Biphenyl (PCB) Disposal Authorization (Reference 11), the EPA letter of approval to land dispose non-liquid PCBs at WIPP (References 12 and 13), and the Revision to the Record of Decision for the DOE's WIPP Disposal Phase and associated WIPP National Environmental Policy Act (NEPA) database (References 14 and 15).

3.1 Organization of Requirements

WAC requirements are organized under five major categories: container properties, radiological properties, physical properties, chemical properties, and data package contents. Sections 3.2 through 3.6 correlate with the organization in the WAC for Contact-Handled (CH) TRU waste requirements and identify methods of compliance to meet each requirement. Procedures that implement the process controls, techniques, tests, and other actions to be applied to each TRU payload container, waste stream, and shipment are also identified. Revisions of requirements in referenced documents controlled by agencies or organizations other than DOE (e.g., EPA, NMED, and NRC) shall have precedence over values quoted in this Plan. Changes incorporated in future revisions of the CH-WAC will be reflected in future revisions of this Plan.

Regarding any discussions of compliance and verification methods, if a requirement is not met, personnel will initiate a Nonconformance Report (NCR) or a CAR in accordance with CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*. Corrective action will be taken in accordance with CCP-QP-029, *CCP Corrective Action Management*, to resolve nonconformances. Section 5.3 of this Plan provides additional details about the NCR/CAR process. Only waste from a properly characterized waste stream will be certified as meeting the requirements and associated criteria contained in this Plan. Waste containers for a waste stream that has not been represented by an approved WSPF will not be shipped to WIPP for disposal (Reference 9, Part 2, Section 2.3.3.10). The required characterization, certification, and shipment data will be transmitted to WIPP using the WWIS/WDS.

3.1.1 DOE Operations and Safety Requirements for WIPP

- [A] The WIPP DSA (Reference 4) addresses TRU waste handling and emplacement operations. The waste acceptance for emplacement in the WIPP will conform to the WAC.

3.1.2 NRC Transportation Safety Requirements for Type B Packages

- [A] Acceptable methods for payload compliance control are defined in the TRUPACT-II, TRUPACT-III and HalfPACT Certificates of Compliance and implemented by the CH-TRAMPAC (References 23a and 23b). For shipments to WIPP, the CCP has prepared a CCP CH-TRAMPAC (CCP-PO-003) and a CCP TRUPACT-III TRAMPAC (CCP-PO-050 *CCP Trupact-III TRU Waste Authorized Methods For Payload Control [CCP TRUPACT-III TRAMPAC]*) describing compliance with each payload parameter. The CCP CH-TRAMPAC and CCP TRUPACT-III TRAMPAC will contain sufficient detail to allow reviewers to adequately understand and evaluate the compliance methodology for each payload parameter.
- [B] The QA Program in Section 5.0 of this Plan defines the QA activities that apply to the use of NRC-approved transportation packaging in accordance with Title 10 CFR Part 71, Subpart H (Reference 24).

3.1.3 NMED Hazardous Waste Facility Permit Requirements

- [A] TRU waste is classified as TRU mixed waste if it contains hazardous constituents regulated under the New Mexico Hazardous Waste Act (Reference 25). Only TRU mixed waste and TRU waste that have been characterized in accordance with WIPP Waste Analysis Plan (WIPP WAP) and that meet the treatment, storage, and disposal facility waste acceptance criteria as presented in permit Sections 2.3.3.1 through 2.3.3.10 will be shipped to WIPP for disposal. The CCP QAPjP describes compliance with the WIPP WAP.

3.1.4 EPA Compliance Recertification Decision Requirements

- [A] Title 40 CFR §194.24(c) (Reference 26) requires the DOE to specify the limiting values for waste components to be emplaced in the repository. The EPA's Recertification Decision specifies waste components (including free water,

metals, and cellulose, plastic, and rubber) and their limits that are associated with the waste proposed for disposal at WIPP.

- [B] CCP estimates or determines the weight of cellulose, plastics, and rubber, and reports this estimate in the WWIS/WDS on a container basis. In addition, CCP quantifies and reports the activity values of each of the following radionuclides for purposes of tracking the inventory curie content: ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs . The presence or absence of these radionuclides is determined using AK documentation and radioassay in accordance with Appendix A of the WAC. The results of these determinations are reported in the WWIS/WDS on a payload container basis. TRU waste payload containers shall contain more than 100 nanocuries per gram (nCi/g) of waste of alpha-emitting TRU isotopes with half-lives greater than 20 years, as specified in Section 3.3.3.

3.1.5 EPA Approval for PCB Disposal

PCB contaminated TRU and PCB contaminated TRU waste mixed with a hazardous waste including PCB remediation waste, PCB Articles, and PCB bulk product waste may be stored and disposed at the WIPP (References 11, 12, 13, 14, and 15). Waste streams identified as containing PCBs shall be brought to the attention of the CBFO in order that a determination can be made regarding their acceptability at WIPP. Applicable waste acceptance criteria are addressed in Sections 3.2.5, 3.4.1, and 3.5.6.

3.1.6 Land Withdrawal Act Requirements (Public Law 102-579)

- [A] WIPP can only accept radioactive waste generated by atomic energy defense activities of the United States (Reference 1). A TRU waste is eligible for disposal at the WIPP if it has been generated in whole or in part by one or more of the following functions (References 27 and 28):
- Naval reactors development
 - Weapons activities, including defense inertial confinement fusion
 - Verification and control technology

- Defense nuclear materials production
- Defense nuclear waste and materials by-products management
- Defense nuclear materials security and safeguards and security investigations
- Defense research and development

Using AK, CCP determines that each waste stream to be disposed at WIPP is “defense” TRU waste.

[B] High-level radioactive waste or spent nuclear fuel shall neither be transported, emplaced, nor disposed of at the WIPP. Also, no TRU waste may be transported by or for the DOE to or from the WIPP, except in Type B packages:

- the design of which has been certified by the NRC, **AND**
- that have been determined by the NRC to satisfy its QA requirements.

3.2 Container Properties Criteria and Requirements

3.2.1 Payload Container Description

[A] Requirements

[A.1] Each payload container shall be assigned to a payload shipping category (References 23a and 23b). Authorized payload containers are listed in Table 1, Authorized Payload Container Contents. Payload containers shall meet U.S. Department of Transportation (DOT) Specification 7A, Type A, packaging requirements delineated in 49 CFR 173.465 (Reference 4, Section 2.6.2; Reference 9, Attachment A1, Section A1-1b, Reference 12, Section VI.F). Payload containers must be made of steel and be in good and unimpaired condition prior to shipment from the generator/storage sites. To demonstrate compliance with the requirement that payload containers be in good and unimpaired condition, the exterior of all payload containers shall undergo 100 percent visual inspection prior to loading into a Type B package. The results of this visual inspection

shall be documented using Appendix 7, Payload Container Integrity Checklist, of this Plan. A payload container in good and unimpaired condition, 1) does not have significant rusting, 2) is of sound structural integrity, and, 3) does not show signs of leakage. Significant rusting is a readily observable loss of metal due to oxidation (e.g., flaking, bubbling, or pitting) that causes degradation of the payload container's structural integrity. Rusting that causes discoloration of the payload container surface or consists of minor flaking is not considered significant. A payload container is not of sound structural integrity if it has breaches or significant denting/deformation. Breaching is defined as a penetration in the payload container that exposes the internals of the container. Significant denting/deformation is defined as damage to the payload container that results in creasing, cracking, or gouging of the metal, or damage that affects payload container closure. Dents or deformations that do not result in creasing, cracking, or gouging or affect payload container closure are not considered significant. CCP will report to the WWIS/WDS the number and types of payload containers planned for shipment to the WIPP.

[B] Compliance and Verification

[B.1] The CCP procures payload containers (e.g., drums, Standard Waste Box [SWBs], Standard Large Box2 [SLB2] and Ten-Drum Overpack [TDOPs]) that meet the following requirements:

- (a) SWBs, SLB2s, and TDOPs are procured to the same standards and specifications as the containers used in Type A testing.
- (b) New 55-gallon drums are procured as UN1A2 reusable drums, in accordance with applicable requirements of 49 CFR 173, which is allowable per CBFO memo CBFO:NTP:JFS97-1144UFC5822. Drums may also be procured to the same standards and specifications as the drums used in Type A testing.

[B.2] Recovered drums are inspected to ensure that they are DOT Specification 17C or 17H or meet

UN1A2 requirements for reusable drums. Permanent markings embossed on the bottom of the drums are used to verify the drum type if procurement records are not available. Alternatively, if the markings are not visible (e.g., drums that are galvanized through a dipping process, which obscures the embossing), the drums are inspected and inspection results are compared to requirements for 17C, 17H, or UN1A2 drums. Personnel examine retrievably stored containers for compliance with the applicable requirements and verify that the containers are in good condition in accordance with site-specific container management procedures (See Appendix 4, Procedure Tables, Table B-1), and CCP-TP-033, *CCP Shipping of CH-TRU Waste*. CCP-TP-033 contains Appendix D from the WAC, and is used to document compliance with the Payload Container Integrity Checklists.

[B.3] Personnel document their procurement acceptance and/or visual inspections. If packages cannot be shown to meet the above requirements by procurement records and/or physical examination, CCP personnel take corrective action (e.g., repackage the waste into a certifiable container) to resolve the nonconformance.

[B.4] Personnel will report the number and types of containers to WIPP using WWIS/WDS, in accordance with CCP-TP-030, *CCP CH-TRU Waste Certification and WWIS/WDS Data Entry*.

Table 1. Authorized Payload Container Contents

Payload Container	Contents
55-gallon drum	Either direct loaded or containing a pipe component (commonly referred to as a pipe overpack container [POC])
85-gallon drum ¹	Either direct loaded or containing a 55-gallon drum
100-gallon drum	Direct loaded
Shielded container	Containing a 30-gallon steel drum
SWB	Either direct loaded or containing up to four 55-gallon drums, up to three 85-gallon drums, up to two 100-gallon drums, or one bin
SLB2	Direct loaded or containing various individual containers (4 x 4 x 7-foot boxes and 5 x 5 x 8-foot boxes as well as other containers of smaller sizes)
TDOP	Either direct loaded or containing up to ten 55-gallon drums or up to six 85-gallon drums or one SWB

¹The term "85-gallon drum" includes 75-gallon to 88-gallon drums.

3.2.2 Container Weight and Center-of-Gravity

[A] Requirements

[A.1] See the CH-TRAMPAC for weight limits and center-of-gravity requirements (Reference 23a). See the TRUPACT-III TRAMPAC for applicable weight limits (Reference 23b).

3.2.3 Assembly Configurations

[A] Requirements

[A.1] See the CCP CH-TRAMPAC and TRUPACT-III TRAMPAC for payload assembly configuration requirements (References 23a and 23b).

3.2.4 Removable Surface Contamination (Payload Containers)

[A] Requirements

[A.1] The removable surface contamination for each CH-TRU waste payload container, payload assembly and packaging must be measured and documented prior to shipment. Removable surface contamination

on CH-TRU waste payload containers, container assemblies, and packaging shall not be greater than 20 disintegrations per minute (dpm) per 100 square centimeters (cm²) for alpha-emitting radionuclides and 200 dpm per 100 cm² for beta-gamma-emitting radionuclides (Reference 9, Attachment A1, Section A1-1d[2]; References 29 and 30).

[A.2] Fixing surface contamination to meet the above criterion is not permitted.

[B] Compliance and Verification

[B.1] A Host site Radiological Control Technician (RCT) surveys TRU waste payload containers, payload assemblies and packaging, for removable surface contamination before they are loaded for shipment. The RCT assesses removable contamination and documents the results in accordance with Host site radiological survey procedures. If the RCT determines that removable contamination exceeds 20 dpm per 100 cm² for alpha-emitting radionuclides or 200 dpm per 100 cm² for beta-gamma-emitting radionuclides, personnel determine whether surface contamination can be removed to meet established limits. If compliance with removable surface contamination limits cannot be achieved, personnel segregate and disposition noncompliant container(s) in accordance with CCP-QP-005. The survey results are added to the container data package. The WCO confirms removable surface contamination survey results in accordance with Host site radiological survey procedures. CCP-TP-033 is utilized to comply with requirements of this section.

3.2.5 Container Identification/Labeling

[A] Requirements

[A.1] Each CH-TRU waste payload container shall be uniquely identified by means of bar code labels permanently attached in conspicuous locations. (Reference 23a, Section 2.4; Reference 23b, Section 2.3). The unique payload container identification number shall include a site identifier as a prefix (References 23a and 23b, Section 6.2.1).

- [A.2] The container identification number shall be in medium to low density Code 39 bar code symbology as required by American National Standards Institute (ANSI) Standard ANSI/Association for Automatic Identification and Mobility (AIM) BC1-1995 (Reference 31) in characters at least 1-in. high, and alphanumeric characters at least 0.5-in. [inch] high. In the case of a 55-gallon, 85-gallon, or 100-gallon drum, or a shielded container, a minimum of three bar code identification labels shall be placed at approximately equal intervals around the circumference of the drum or shielded container (e.g., 120 degrees for three labels, 90 degrees for four labels) so that at least one label is clearly visible when drums or shielded containers are assembled into a payload assembly (e.g., a label must be visible after slip sheets and wrapping are applied). The bar code labels are required on the flat sides of SWBs. For TDOPs and SLB2s, a minimum of one bar code is required.
- [A.3] Payload containers shall be marked "Caution Radioactive Material" using a yellow and magenta label as specified in 10 CFR Part 835 (Reference 30).
- [A.4] Payload containers whose content are also Resource Conservation and Recovery Act (RCRA) regulated (mixed-TRU) shall be additionally marked "Hazardous Waste" as specified in 40 CFR §262.32 (Reference 33).
- [A.5] For TRU and mixed-TRU wastes containing PCBs, the payload containers shall be marked in accordance with 40 CFR §761.40 (Reference 13).
- [A.6] Additionally, DOT Type B packages (i.e., the TRUPACT-II, TRUPACT-III and HalfPACT) containing PCBs must be properly marked in accordance with the EPA letter of approval and 40 CFR §761.40 (References 12 and 13).
- [A.7] If an empty drum or shielded container is used as dunnage to complete a shipment to the WIPP, the drum shall be labeled with a unique payload identification number and "EMPTY" or "DUNNAGE."

[A.8] If a seven-pack of 55-gallon drums, a four-pack of 85-gallon drums, a three-pack of 100-gallon drums, or a SWB is shipped as dunnage to fill a TRUPACT-II, the drums or SWB will be labeled as "EMPTY" or "DUNNAGE" but will not be labeled with the unique site-specific payload container identification numbers (CINs) or included in WWIS/WDS data.

[B] Compliance and Verification

[B.1] Fifty-five gallon, 85-gallon, 100-gallon drums or shielded container certified will have a minimum of three bar code labels equally spaced around the drum that identify the site and contain a unique identification number in accordance with the Host site-specific container management procedure(s). Bar code labels will be affixed on the flat side of SWBs, while on a TDOP, a minimum of one bar code will be affixed.

[B.2] After verifying payload parameters, personnel ensure each container is marked with the appropriate site and container identification number in accordance with the host site-specific container management procedures. The TCO verifies the container marking (e.g., barcode, Radiation Material, PCB, or Hazardous Waste labels) in accordance with CCP-TP-033.

[B.3] Refer to Section 3.2.6[B] of this Plan for compliance with the dunnage requirements and verifications.

3.2.6 Dunnage

[A] Requirements

[A.1] See the CCP CH-TRAMPAC for dunnage requirements (Reference 23a).

[A.2] The use of dunnage shall be minimized.

[A.3] In the event the use of dunnage cannot be avoided, the preferred practice for maximizing the efficiency of waste handling and the utilization of disposal room capacity is to ship them in assemblies (e.g., a seven-pack assembly of 55-gallon drums).

[B] Compliance and Verification

- [B.1] The minimization of the use of dunnage is through load management. The use of dunnage drums is reviewed and approved concurrently with the review and approval of shipment assemblies by the WWIS/WDS Data Administrator on a case-by-case basis.

3.2.7 Filter Vents

[A] Requirements

- [A.1] Payload containers that have been stored in an unvented condition (i.e., no filters and/or unpunctured liner) shall be aspirated for a specific length of time as described in the CH-TRAMPAC to ensure equilibration of any gases that may have accumulated in the closed payload container (References 23a and 23b, Section 5.3.1). All payload containers (including overpacks, but not dunnage containers) shall be vented with one or more filters to control gas concentration and pressure (Reference 4, Section 2.6.2; Reference 47, Reference 23a, Section 2.5.1; Reference 23b, Section 2.4.1; Reference 9, Attachment A1, Section A1-1b[2]). Filters shall meet the specifications described in the WIPP Hazardous Waste Facility Permit and the CH-TRAMPAC, and TRUPACT-III TRAMPAC (Reference 9, Attachment A1, Section A1-1d [1]; Reference 23a, Section 2.5.1; Reference 23b, Section 2.4.1). The model number of each filter vent or combination of filter vents will be reported using the WWIS/WDS.

[B] Compliance and Verification

- [B.1] Personnel will procure approved filters for use on TRU waste containers. Filters will be selected from the DOE-CBFO-approved filter list. Filters will be procured in accordance with CCP-QP-015, *CCP Procurement*.
- [B.2] The personnel visually verify that filter vents, if present, have been installed properly. If filter vents are not installed, personnel procure filter vents that meet specifications and install the correct number of

filter vents. The WCO confirms payload venting in accordance with CCP-TP-030. When a payload container does not meet the payload container filter requirements, an NCR is initiated. Nonconforming filters are replaced as necessary.

3.3 Radiological Properties

3.3.1 Radionuclide Composition

[A] Requirements

[A.1] The radionuclide composition of each waste container being characterized must be quantified and reported for purposes of tracking the inventory curie content. The activities and masses of the following radionuclides must be reported: ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs . The estimated activities and masses, including their associated total measurement uncertainties (TMU) expressed in terms of one standard deviation for these ten radionuclides shall be reported to the WWIS/WDS on a payload container basis. For any of these ten radionuclides whose presence can be substantiated from AK, direct measurement, computations, or a combination thereof, and whose measured data are determined to be below the lower limit of detection (LLD) for that radionuclide, the site shall report the character string "< LLD" to the WWIS/WDS for the activity and mass of that radionuclide; otherwise a value of zero shall be reported. Quantitative estimates for LLD shall not be used when calculating related radiological properties of the waste such as TRU alpha activity concentration, ^{239}Pu Fissile Gram Equivalent (FGE), decay heat, etc.

[A.2] In addition, all radionuclides other than the ten WIPP-tracked radionuclides (i.e., ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs) that contribute to 95 percent of the radioactive hazard for the payload container shall be reported on the bill of lading or manifest in accordance with Title 49 CFR, *Transportation* §172.203, (Reference 36) and Title 49 CFR §173.433, (Reference 37). The activities and masses of these other radioisotopes shall also be reported to the WWIS/WDS along with their

associated TMU, expressed in terms of one standard deviation for each waste container.

[B] Compliance and Verification

- [B.1] CCP uses AK and measurements to determine radionuclide composition. The requirements for nondestructive assay (NDA) are presented in Appendix 1, Radioassay Requirements for Contact-Handled Transuranic Waste. NDA personnel quantify radionuclide values in accordance with the applicable procedures listed in Appendix 1, Table A-2.1, NDA Procedures. NDA personnel use AK data and assay measurements and calculations to create an isotopic profile of each waste container. The activities and masses of the ten WIPP-tracked radionuclides including TMU (one standard deviation) and all radionuclides other than the ten WIPP-tracked radionuclides including TMU (one standard deviation) that contribute to 95 percent of the radioactive hazard for the payload container being characterized will be reported for each container using WWIS/WDS in accordance with procedure CCP-TP-030. In addition, all radionuclides other than the ten WIPP-tracked radionuclides that contribute to 95 percent of the radioactive hazard for the payload container shall be reported on the bill of lading or manifest in accordance procedure CCP-TP-033.
- [B.2] For any of the ten WIPP-tracked radionuclides whose presence can be substantiated from AK, direct measurement, or a combination thereof, and whose measured data are determined to be below the LLD for that radionuclide, the CCP will report the character string "< LLD" to the WWIS/WDS for the activity and mass of that radionuclide; otherwise a value of zero will be reported.

3.3.2 Fissile Material Quantity (^{239}Pu FGEs)

[A] Requirements

- [A.1] For each payload container, the sum of ^{239}Pu FGE plus two times its associated TMU, expressed in terms of one standard deviation, shall comply with the limits in Table 2, ^{239}Pu FGE Limits for Payload Containers (Reference 4, Section 6.4.2 References 23a and 23b, Section 3.1.1). The values calculated for ^{239}Pu FGE and its associated TMU, expressed in terms of one standard deviation, shall be reported to the WWIS/WDS for each payload container.
- [A.2] See the CCP CH-TRAMPAC for ^{239}Pu FGE limits applicable to the TRUPACT-II and/or HalfPACT packaging (Reference 23a).
- [A.3] See the CCP CH-TRUPACT-III TRAMPAC for ^{239}Pu FGE limits applicable to the TRUPACT-III packaging (Reference 23b).

Table 2. ²³⁹Pu FGE Limits for Payload Containers

Waste Container Type	Be/BeO Limits	Special Waste Container Geometry/Material Requirements	²³⁹ Pu FGE Limit
Non-Machine Compacted Waste			
55- (excluding pipe overpacks), 85-, and 100-gallon drums	≤ 1% by weight of the waste	None	≤ 200
55-gallon drum configured as a pipe overpack (i.e., a standard, S100, S200, or S300 pipe overpack)	≤ 1% by weight of the waste	None	≤ 200
Shielded Container	≤ 1% by weight of the waste	None	≤ 200
SLB2	≤ 1% by weight of the waste	The minimum ²⁴⁰ Pu content in grams for the SLB2 waste container, denoted in the adjacent ²³⁹ Pu FGE Limit column as a parenthetical, shall be determined after the subtraction of two times the error (i.e., two standard deviations)	≤ 325 ≤ 340 (5) ≤ 360 (15) ≤ 380 (25)
SWB	≤ 1% by weight of the waste	None	≤ 325
TDOP	≤ 1% by weight of the waste	None	≤ 325
55- (excluding pipe overpacks), 85-, and 100-gallon drums	>1% by weight of the waste up to 100 kg	None	≤ 100
SWB	>1% by weight of the waste	None	≤ 100
TDOP	>1% by weight of the waste	None	≤ 100

Table 2. ²³⁹Pu FGE Limits for Payload Containers (Continued)

Waste Container Type	Be/BeO Limits	Special Waste Container Geometry/Material Requirements	²³⁹ Pu FGE Limit
Machine Compacted Waste			
Pipe overpacks (i.e., a standard, S100, S200, or S300 pipe overpack)	> 1% by weight of the waste	None	≤ 140
55- (excluding pipe overpacks), 85-, and 100-gallon drums	≤ 1% by weight of the waste	Partially compacted waste. Applies to waste that has been compacted such that the distribution and form of polyethylene in the waste does not exceed 0.646 gram/cubic centimeter (g/cm ³), i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³).	≤ 200
55- (excluding pipe overpacks), 85-, and 100-gallon drums	≤ 1% by weight of the waste	Fully compacted waste without design vertical spacing. Applies to waste that has been compacted such that the distribution and form of polyethylene in the waste exceeds 0.646 g/cm ³ , i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³).	≤ 170
55- (excluding pipe overpacks), 85-, and 100-gallon drums	≤ 1% by weight of the waste	Fully compacted waste with design vertical spacing. Applies to waste that has been compacted such that the distribution and form of polyethylene in the waste exceeds 0.646 g/cm ³ , i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³), and the dimensions of the payload containers (e.g., 100-gallon drums) ensure a minimum 0.5-in. separation between their compacted waste contents and other axially adjacent payload containers.	≤ 200
Shielded Container	≤ 1% by weight of the waste	None	≤ 200

Table 2. ²³⁹Pu FGE Limits for Payload Containers (Continued)

Waste Container Type	Be/BeO Limits	Special Waste Container Geometry/Material Requirements	Waste Container
Machine Compacted Waste			
SWB/TDOP	≤ 1% by weight of the waste	Fully compacted waste with design vertical spacing. Applies to waste that has been compacted such that the distribution and form of polyethylene in the waste exceeds 0.646 g/cm ³ , i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³), and contains one 16-gauge steel 100-gallon drum having a top and bottom design spacing of 0.75 and 0.50-inches, respectively, with no loose material or other drums of waste in the SWB/TDOP.	≤ 250
SWB/TDOP	≤ 1% by weight of the waste	Fully compacted waste with design vertical spacing. Containing one 55-, 85-, or 100-gallon drum whose design ensures a minimum of 0.5-in. vertical spacing between drum contents and the exterior top and bottom of the drum (e.g., a recessed lid) with no loose material or other drums of waste in the SWB/TDOP.	≤ 200
SWB/TDOP	≤ 1% by weight of the waste	Partially compacted waste. Containing one 55-, 85-, or 100-gallon drum whose contents have been compacted such that the distribution and form of polyethylene in the waste does not exceed 0.646 g/cm ³ , i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³) with no loose material or other drums of waste in the SWB/TDOP.	≤ 200
SWB/TDOP	≤ 1% by weight of the waste	Fully compacted waste with design vertical spacing. Applies to waste that has been compacted such that the distribution and form of polyethylene in the waste exceeds 0.646 g/cm ³ , i.e., 70% of the theoretical full density of polyethylene (0.923 g/cm ³).	≤ 185

[B] Compliance and Verification

[B.1] Personnel obtain the CH-TRU waste fissile content in accordance with the processes described in Appendix 1, Radioassay equipment is qualified under the corresponding Performance Demonstration Program (PDP) requirements. CCP calculates the fissile or fissionable radionuclide content of the CH-TRU waste container as ²³⁹Pu FGE according to approved calculation methods in accordance with CCP-TP-033.

[B.2] Personnel compile and review AK to make initial determinations about radionuclide content and concentrations. CCP confirms AK by obtaining information on the isotopic composition of the waste through radioassay of the filled payload container.

- [B.3] Personnel compute the container ^{239}Pu FGE and container ^{239}Pu FGE TMU manually or using a computational algorithm. Individual radionuclide mass quantities and TMUs are converted to ^{239}Pu FGE by multiplying the mass value (g) by ^{239}Pu FGE conversion factors (FGE/g) listed in Table 3.1.2 of the CH-TRAMPAC (Reference 23a) and Table 3.1-1 of the TRUPACT-III TRAMPAC (Reference 23b). The ^{239}Pu FGE of each payload container shall be calculated from the isotopic composition and quantity of radionuclides. The ^{239}Pu FGE value plus two times the measurement error shall be less than the applicable limit for each payload container.
- [B.4] The total ^{239}Pu FGE error is the square root of the sum of the squares of the individual ^{239}Pu FGE TMUs. Two times this error shall be added to the ^{239}Pu FGE of the Type B package payload and compared to the limit. The ^{239}Pu FGE of the radionuclides in each payload container will be reported to the WIPP using the WWIS/WDS and the TRUPACT-II and TRUPACT-III payload total FGE will be recorded on the PATCD. Payload containers shipped to the WIPP will meet both the Type B package and the WIPP repository requirements for criticality.

3.3.3 TRU Alpha Activity Concentration

[A] Requirements

- [A.1] TRU waste containers to be disposed of at the WIPP shall contain greater than 100 nCi/g of waste of alpha-emitting TRU isotopes, with half-lives greater than 20 years. Without taking into consideration the TMU, the TRU alpha activity concentration for a payload container is determined by dividing the TRU alpha activity of the waste by the weight of the waste. The weight of the waste is the weight of the material placed into the payload container (i.e., the net weight of the container). The weight of the waste is typically determined by subtracting the tare weight of the payload container (including the weight of the rigid liner and any shielding external from the waste, if applicable) from the gross weight of the payload container. In the event waste containers (e.g., 55-gallon, 85-gallon or 100-gallon drums) that have been radioassayed are overpacked in a payload container (e.g., in a SWB), CCP shall sum the

individual TRU alpha activity values of the individual waste containers and divide by the sum of the individual net waste weights (i.e., less container, shielding, and liner weights as appropriate) to determine the activity per gram for the payload container. Should CCP utilize load management by overpacking waste containers, the determination of the payload container's TRU alpha activity concentration shall be in accordance with Appendix 8, Payload Management of TRU Alpha Activity Concentration. Loading a 55-gallon pipe-overpack with cans is considered direct loading, not overpacking for the purposes of calculating the weight of the container. The TRU alpha activity concentration shall be reported to the WWIS/WDS; however, there are no reporting requirements for its associated TMU (Reference 35, Chapter 4).

[B] Compliance and Verification

[B.1] Personnel measure TRU alpha activity concentration in accordance with the NDA processes described in Appendix 1. Personnel calculate the TRU alpha activity concentration of the CH TRU waste container manually or using computational algorithms. Personnel will subtract the tare weight of the containers before calculating the TRU alpha activity concentration. Personnel validate and verify calculation programs, before the data are used in accordance with CCP-QP-022, *CCP Software Quality Assurance Plan*. Assay data are validated and verified, and submitted in batch data reports (BDRs) to the CCP Project Office. The WCO confirms the reported TRU alpha activity concentration is appropriately calculated and above the specified limit.

3.3.4 ^{239}Pu Equivalent Activity

[A] Requirements

[A.1] ^{239}Pu equivalent curie (PE-Ci) limits are shown in Table 3, PE-Ci Limits. PE-Ci quantities shall be calculated in accordance with Appendix 5 for each payload container and reported to the WIPP using the WWIS/WDS (Reference 4, Section 3.3.2.3.1 and Table 3.3-6). There are no reporting requirements for the associated TMU.

Table 3. PE-Ci Limits

Payload Container	Packing Configuration	PE-Ci Limit
55-, 85-, and 100-gallon drum	Direct loaded – all approved waste forms other than solidified/vitrified waste	≤ 80 PE-Ci
Shielded Container	Direct loaded – vented 30-gallon inner steel drum – all approved waste forms other than solidified/vitrified waste	≤ 80 PE-Ci
SLB2	Direct loaded – all approved waste forms other than solidified/vitrified waste	≤ 560 PE-Ci
SWB	Direct loaded (or a bin) – all approved waste forms other than solidified/vitrified waste	≤ 560 PE-Ci
TDOP	Direct loaded – all approved waste forms other than solidified/vitrified waste	≤ 800 PE-Ci
85-gallon drum	Overpacking an undamaged ¹ 55-gallon drum – all approved waste forms other than solidified/vitrified waste	≤ 1100 PE-Ci
SWB, TDOP	Overpacking an assembly of undamaged ¹ 55- or 85-gallon drums with no single payload container within the assembly exceeding 1100 PE-Ci – all approved waste forms other than solidified/vitrified waste	≤ 1200 PE-Ci
TDOP	Overpacking an undamaged ¹ SWB – all approved waste forms other than solidified/vitrified waste	≤ 1200 PE-Ci
Pipe Overpacks (Standard, S100, S200, and S300)	All approved waste forms	≤ 1800 PE-Ci
All	Solidified/vitrified waste	≤ 1800 PE-Ci

¹ An undamaged container provides an additional barrier should a breach occur in the overpack. When overpacking one or more damaged waste containers, direct loaded PE-Ci limits apply.

[B] Compliance and Verification

[B.1] Personnel calculate the activity of the CH-TRU waste container as PE-Ci according to the methodology in Appendix 5 of this Plan and CCP-TP-030. Personnel identify payload containers exceeding limits stated in Table 2, segregate them, and disposition them in accordance with approved nonconformance and corrective action management procedures. The WCO verifies compliance of the PE-Ci limits. Personnel will report the PE-Ci activity to the WIPP using the WWIS/WDS.

3.3.5 Radiation Dose Equivalent Rate

[A] Requirements

- [A.1] The external radiation dose equivalent rate of individual payload containers shall be:
- [A.2] ≤ 200 milliroentgen equivalent man (mrem)/hour (hr) at the surface with the exception of the S100 and S300 pipe overpacks which are limited to ≤ 179 mrem/hr and ≤ 155 mrem/hr, respectively, at the surface (References 23a and 23b, Section 3.2; Reference 4, Sections E1 and 2.1). Internal payload container shielding shall not be used to meet this criterion, except for authorized shielded payload container configurations such as the use of 55-gallon drums containing a pipe component or a shielded container (Reference 23a, Section 2.9). Total dose equivalent rate and the neutron contribution to the total dose equivalent rate shall be reported for each payload container in the WWIS/WDS.
- [A.3] See the CCP CH-TRAMPAC and TRUPACT-III TRAMPAC for associated package requirements (References 23a and 23b).

[B] Compliance and Verification

- [B.1] A Host site RCT measures surface dose rates of the individual payload containers in accordance with site radiological survey procedures using the beta-gamma and neutron dose rates for each container at the surface, and records the results for each payload container. If the combined beta-gamma and neutron dose rate exceeds the dose rate specified in step 3.3.5[A] at the surface for any container, the container is rejected, marked, and segregated. Total dose equivalent rate and the neutron contributions to the total payload container dose rate will be reported separately using the WWIS/WDS in accordance with CCP-TP-030.

3.3.6 Decay Heat

[A] Requirements

[A.1] See the CCP CH-TRAMPAC and TRUPACT-III TRAMPAC for decay heat requirements (References 23a and 23b).

[B] Compliance and Verification

[B.1] Personnel will compute the payload container decay heat and the measurement error manually or using a computational algorithm in accordance with CCP-TP-030. Personnel will ensure that the results of the calculations are equal to or less than the limits of the assigned shipping category. Individual radionuclide mass quantities and errors are converted to decay heat by multiplying the mass values (g) by decay heat conversion factors (W/g). Table 3.1-2 in the CH-TRAMPAC and TRUPACT-III TRAMPAC (References 23a and 23b) lists ²³⁹Pu FGE, decay heat, and specific activity for many radionuclides. The values calculated for decay heat and its associated TMU (expressed in terms of one standard deviation) shall be reported to the WWIS/WDS for each payload container in accordance with CCP-TP-030.

3.4 Physical Properties

3.4.1 Observable Liquid

[A] Requirements

[A.1] Liquid waste is not acceptable at the WIPP. Observable liquid containing PCBs is prohibited at the WIPP.

Liquid in the quantities delineated below is acceptable:

- Observable liquid shall be less than 1 percent¹ by volume of the outermost container at the time of radiography or visual examination (VE) (Reference 9).

¹The limit of "less than 1 percent" is taken from the CH-TRAMPAC and TRUPACT-III TRAMPAC and is more restrictive than the limit of "no more than 1 percent" in the HWFP.

- Internal containers with more than 60 milliliters (ml) or 3 percent by volume observable liquid, whichever is greater, are prohibited.
- Containers with Hazardous Waste Number U134 assigned shall have no observable liquid.
- Overpacking the outermost container that was examined during radiography or visual examination or redistributing untreated liquid within the container shall not be used to meet the liquid volume limits.

(Reference 9, Part 2, Section 2.3.3.1, Attachment C, Sections C-1c and C-3b; Reference 23a, Section 2.6.1; Reference 23b, Section 2.5.1; Reference 35, Appendix TRU Waste; Reference 12, Conditions of Approval, II.A.2).

[B] Compliance and Verification

[B.1] Initially, AK is used to determine container contents. Personnel estimate liquid volume by radiography and/or VE, in accordance with site-specific radiography and VE procedures (See Appendix 4, Tables B-2 and B-3). During VE, if personnel detect any liquid waste in non-transparent internal containers by shaking the internal container, they will assume that the internal container is completely filled and add the entire volume of the internal container to the total liquid in the container being characterized using VE. Personnel reject payload containers whose liquid volumes exceed the limits defined in 3.4.1 [A.1]. If necessary, personnel repackage noncompliant waste containers in accordance with site-specific VE procedures (See Appendix 4, Table B-3).

3.4.2 Sealed Containers

[A] Requirements

[A.1] Sealed containers that are greater than four liters (L) (nominal) are prohibited except for solid inorganic waste (Waste Material Type II.2) packaged in a metal container (Reference 23a, Section 2.8.1; Reference 23b, Section 2.7.1).

[B] Compliance and Verification

[B.1] Personnel will ensure that payload containers are verified to be free of sealed containers greater than four liters. Personnel use VE and/or Real-Time Radiography to ensure prohibited physical waste forms are not present in waste containers (See Appendix 4, Table B-2 through B-3).

Payload containers rejected for sealed containers greater than four liters or more are marked and segregated. The container is repackaged and reprocessed to verify the criteria are met. The WCO confirms the sealed container criteria in accordance with CCP-TP-030.

3.5 Chemical Properties

3.5.1 Pyrophoric Materials

[A] Requirements

[A.1] Radioactive pyrophoric materials shall be present only in small residual amounts (<1 percent by weight) in payload containers and shall be generally dispersed in the waste. Radioactive pyrophorics in concentrations greater than 1 percent by weight and all nonradioactive pyrophorics shall be reacted (or oxidized) and/or otherwise rendered nonreactive prior to placement in the payload container (References 23a and 23b, Section 4.1.1). Nonradionuclide pyrophoric materials are not acceptable at the WIPP (Reference 4, Section 11.4.1; Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Section 2.3.3.2; References 23a and 23b, Section 4.1.4).

[B] Compliance and Verification

[B.1] Personnel verify compliance with pyrophorics restriction by obtaining information documented in AK. VE and radiography verify there is no indication and document that waste does not contain pyrophorics or other prohibited material (See list of real-time radiography [RTR] and VE procedures in Appendix 4, Tables B-2 and B-3). Personnel review and evaluate AK to verify that waste-producing processes included

no pyrophorics or other prohibited materials. AK includes sampling and analysis data, documentation of waste stream descriptions, or actions to treat or stabilize the waste to eliminate specific characteristics.

3.5.2 Hazardous Waste

[A] Requirements

[A.1] Hazardous wastes not occurring as co-contaminants with TRU wastes (non-mixed hazardous wastes) are not acceptable at the WIPP. Each CH-TRU-mixed waste container shall be assigned one or more EPA hazardous waste codes as appropriate. Only EPA hazardous waste codes listed as allowable in the WIPP Hazardous Waste Facility Permit may be managed at the WIPP. Some of the waste may also be identified by unique state hazardous waste codes. These wastes are acceptable at the WIPP as long as the Treatment, Storage, and Disposal Facility WAC are met (Reference 9, Attachment C, Section C-1b; Reference 9, Part 2, Section 2.3.4). Wastes exhibiting the characteristic of ignitability, corrosivity, or reactivity (EPA hazardous waste numbers of D001, D002, or D003) are not acceptable at WIPP. In the context of this Plan, hazardous waste codes are synonymous with hazardous waste numbers (Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Sections 2.3.3.3, 2.3.3.7, and 2.3.4).

[B] Compliance and Verification

[B.1] Personnel will ensure that each individual waste payload container is assigned to a waste stream identified by acceptable EPA hazardous waste codes and documented on a DOE-approved WSPF. Personnel will report the hazardous waste codes for each container to the WIPP via the WWIS/WDS in accordance with CCP-TP-030. EPA hazardous waste codes are assigned based on AK. CCP uses CCP-TP-005, CCP Acceptable Knowledge Documentation, to compile, review, evaluate, confirm and report AK documentation. The AK Summary Report delineates waste streams and assigns hazardous waste codes. If data are insufficient to

demonstrate that the concentration of the constituent is less than the regulatory level, the EPA hazardous waste number for the identified constituent is applied to the waste stream. CCP will report hazardous waste codes in accordance with CCP-TP-002, *CCP Reconciliation of DQOs and Reporting Characterization Data*; CCP-TP-005 and CCP-TP-030.

3.5.3 Chemical Compatibility

[A] Requirements

[A.1] TRU waste containing incompatible materials or materials incompatible with payload container and packaging materials, shipping container materials, other wastes, repository backfill, or seal and panel closure materials are not acceptable for transport in the TRUPACT-II, TRUPACT-III, or HalfPACT or for disposal at the WIPP. Chemical constituents shall conform to the lists of allowable materials in Tables 4.3-1 through 4.3-8 of the CH-TRAMPAC. Other chemicals or materials not identified in these tables are allowed provided that they meet the requirements as specified in Section 4.3.1 of the CH-TRAMPAC and TRUPACT-III TRAMPAC (Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Section 2.3.3.4; References 23a and 23b, Sections 4.3 and 4.4).

[B] Compliance and Verification

[B.1] Personnel ensure compliance with the chemical compatibility requirements based on AK. Only wastes that have been shown to meet the approved chemical lists in Tables 4.3-1 through 4.3-8 of the CH-TRAMPAC and Tables 4.3-1 through 4.3-7 of the TRUPACT-III TRAMPAC are acceptable at the WIPP. The WCO confirms compliance with the chemical compatibility criteria in accordance with CCP-TP-030. If necessary, personnel repackage CH TRU waste containers not meeting the chemical compatibility requirement.

3.5.4 Explosives, Corrosives, and Compressed Gases

[A] Requirements

[A.1] Waste shall contain no explosives, corrosives, or compressed gases (pressurized containers) (Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Sections 2.3.3.5 and 2.3.3.7; References 23a and 23b, Section 4.2.1).

[B] Compliance and Verification

[B.1] Personnel ensure that explosives, compressed gases, and corrosive liquids are not present in payload containers. Chemicals (e.g., oxidizers) capable of forming explosive mixtures under some conditions are also prohibited from the waste. Waste-generation processes are assessed for safety hazards such as potential explosion hazards and potential inadvertent production of explosive materials in accordance with CCP-TP-005. Corrosives must be either excluded from the payload container or processed to neutralize the corrosive material or otherwise render it noncorrosive. CCP operating procedures describe the specific actions taken to ensure compliance with the corrosive material prohibition, (e.g., site-specific radiography and VE procedures [See Appendix 4, Tables B-2 and B-3]).

[B.2] Personnel verify compliance with the prohibited items requirement by obtaining AK information (e.g., administrative, operating, QA procedures, and safety assessments) documenting that waste does not contain explosives, corrosives, or pressurized containers. Personnel review and evaluate AK to verify that waste-producing processes included no prohibited or restricted materials. AK includes sampling and analysis data, documentation of waste stream descriptions, or actions to treat or stabilize the waste to eliminate specific characteristics. Personnel verify that prohibited materials are not in the waste container through radiography or VE (See list of RTR and VE procedures in Appendix 4, Tables B-2 and B-3).

3.5.5 HSG VOC Concentrations

[A] Requirements

[A.1] The headspace gas (HSG) of payload containers shall be determined in accordance with a site-specific TRAMPAC (References 23a and 23b, Section 5.2), as required.

[B] Compliance and Verification

[B.1] CCP Personnel ensure that the required QAOs meet the requirements specified for gas generation rates in the Gas Generation QAPjP. For those payload containers that exceed the flammable volatile organic compound (VOC) limit, a determination of compliance with the flammable (gas/VOC) concentration limit as described in the CH-TRAMPAC allows the payload container to be shipped in the Type B package under the test category.

[B.2] Test category payload containers are tested by direct measurement in accordance with gas generation testing (GGT) procedures (See Appendix 4, Table B-4) to quantify the hydrogen/methane, VOC, and total gas generation rates (as appropriate) for purposes of determining if all applicable limits are met in accordance with CCP-TP-030.

[B.3] Representative sampling of HSG may be used to quantify the hydrogen/methane, VOC, and total gas generation rates (as appropriate) for purposes of determining if all applicable limits are met in accordance with CCP-TP-030.

3.5.6 Polychlorinated Biphenyl (PCB) Concentration

[A] Requirements

[A.1] For TRU and mixed-TRU wastes containing PCBs meeting the condition of approval in Reference 12, the payload container data entered into the WWIS/WDS shall include the earliest date of waste generation (i.e., the date of removal from service for disposal), the date of waste certification for disposal, and the date the waste was sent to the WIPP for disposal (Reference 12, Section III.D.4). Additionally,

the estimated weight of the PCBs in kilograms (kg) (as recorded on the Uniform Hazardous Waste Manifest [UHWM]) and a description of the type of PCB waste (e.g., PCB Articles, PCB remediation waste), shall be entered into the WWIS/WDS (Reference 13, § 761.207 [a][2] and § 761.180). Hanford, Idaho National Laboratory, Savannah River Site, Oak Ridge National Laboratory, Knolls Atomic Power Laboratory, and Los Alamos National Laboratory are authorized to ship their TRU and TRU-mixed wastes containing PCBs to WIPP (References 14 and 15).

[B] Compliance and Verification

[B.1] Personnel use AK obtained from CCP-TP-005, and/or verification, and testing to demonstrate compliance with the PCB requirement. Personnel use nondestructive examination (NDE) (VE and RTR) procedures (See Appendix 4, Tables B-2 and B-3) during packaging of newly generated waste to identify the presence of PCBs. For retrievably stored debris waste, personnel compile, record, and evaluate AK to demonstrate compliance with the PCB limitation. The WCO verifies compliance with the PCB requirements.

3.6 Data Package Contents

3.6.1 Characterization and Certification Data

[A] Requirements

[A.1] Sites shall prepare a WSPF for each waste stream. Each WSPF shall be approved by the DOE-CBFO prior to the first shipment of that waste stream. Characterization and certification information for each payload container shall be submitted to the WWIS/WDS and approved by the WWIS/WDS Data Administrator. Sites are required to estimate the cellulose, plastics, and rubber (CPR) weights and report these estimates in the WWIS/WDS on a payload container basis. Any payload container from a waste stream that has not been preceded by an appropriate certified WSPF is not acceptable at the WIPP (Reference 9, Part 2, Section 2.3.3.10).

[B] Compliance and Verification

[B.1] Personnel will verify compliance with the data package requirements by reviewing data packages in accordance with CCP-TP-001, *CCP Project Level Data Validation and Verification*, and CCP-TP-005. Personnel will prepare and submit the WSPF to DOE-CBFO in accordance with procedure CCP-TP-002. The WCO ensures that the WWIS/WDS data are entered into the system and transmitted to the DOE-CBFO for approval before waste shipment in accordance with CCP-TP-030. Waste containers will be certified under an approved WSPF prior to shipment.

3.6.2 Shipping Data

[A] Requirements

[A.1] Sites shall prepare either a Bill of Lading or a UHWM for CH-TRU waste shipments as required by the transportation requirements. The Land Disposal Restriction (LDR) notification for CH-TRU mixed waste shipments shall state that the waste is not prohibited from land disposal (Reference 9, Attachment C, Section C-5b(2); References 23a and 23b, Section 6).

[B] Compliance and Verification

[B.1] Personnel verify compliance with the data package requirements by reviewing the data packages in accordance with CCP-TP-001 and CCP-TP-005. The TCO and WCO ensure that the WWIS/WDS data are entered into the system and transmitted to DOE-CBFO for approval before waste shipment in accordance with CCP-TP-030 and CCP-TP-033.

[B.2] The TCO prepares a PCTCD/OPCTCD for each payload container and a PATCD for each payload assembly in accordance with the CCP CH-TRAMPAC prior to loading the container into a Type B package. The TCO completes the PCTCD/OPCTCD and PATCD to certify an individual payload container and a PATCD to certify the payload assembly for shipping in accordance with CCP-TP-033, which is based on

Section 6.0 of the CCP CH-TRAMPAC. The PCTCDs, OPCTCDs, and the PATCDs are completed prior to shipping the Type B package. The LDR Exemption Notification form is completed for mixed waste shipments in accordance with CCP-TP-033. The shipping site's transportation personnel or personnel prepare a bill of lading or UHWM. For non-mixed waste shipments, a Bill of Lading is prepared. A UHWM is prepared for mixed waste shipments. If the TCO is the shipper of record, shipping data are prepared in accordance with CCP-TP-033.

- [B.3] CCP Transportation is tasked with the final review of the payload assembly and documentation. The final approval of the assembly and documentation (UHWM or Bill of Lading) is done by CCP Transportation or other certified Host site program.

4.0 WASTE ACCEPTANCE REQUIREMENTS AND CRITERIA FOR RH WASTE

This section describes how the CCP complies with the requirements of the WIPP WAC for RH waste and associated requirements contained in the WIPP DSA (Reference 4), RH TRU 72-B and 10-160B Certificates of Compliance (References 7 and 8), WIPP LWA (Reference 2), WIPP Hazardous Waste Facility Permit (Reference 9), Compliance Recertification Decision (Reference 10), Initial Report for PCB Disposal Authorization (Reference 11), EPA letter of approval to land dispose non-liquid PCBs at WIPP (References 12 and 13), Revision to the Record of Decision for the DOE's WIPP Disposal Phase and associated WIPP NEPA database (References 14 and 15), EPA's letter of approval of DOE's RH TRU Waste Characterization Program (Reference 16), and the WCPIP (Reference 17).

4.1 Organization of Requirements

The purpose of Section 4.0 and related appendices is to describe the compliance methods and rationale for the requirements and associated criteria that must be met for RH TRU waste to be transported to, managed at, and disposed of in the WIPP. The requirements/criteria and associated compliance methods are organized under five major headings: Container Properties, Radiological Properties, Physical Properties, Chemical Properties, and Data Package Contents. Sections 4.7 through 4.11 correlate with the organization in the WIPP WAC for RH TRU waste requirements and identify methods of compliance to meet each requirement. Procedures that implement the process controls, techniques, tests, and other actions to be applied to each RH-TRU payload container, waste stream, and shipment are also identified. Revisions of requirements in referenced documents controlled by agencies or organizations other than DOE (e.g., EPA, NMED and NRC) shall have precedence over values quoted in this Plan. Changes incorporated in future revisions of the WIPP WAC for RH waste will be reflected in future revisions of this Plan.

In addition to the discussion described in this section, a CCP RH-TRU Waste Certification Plan for 40 CFR Part 194 compliance is presented in Appendix 11, CCP RH TRU Waste Certification Plan for 40 CFR Part 194 Compliance to this Plan. This Appendix satisfies the WCPIP requirement for a waste certification plan that provides, among other things, a listing of the DQOs specified in the WCPIP and the identification of methods and a description of the rationale that will be used to assess compliance with those DQOs.

Regarding any discussions of compliance and verification methods, if a requirement is not met, personnel will initiate an NCR or a CAR in accordance with CCP-QP-005. Corrective action will be taken in accordance with CCP-QP-029 to resolve nonconformances. Section 5.3

provides additional details about the NCR/CAR process. Only waste from a properly characterized waste stream will be certified as meeting the requirements and associated criteria contained in this Plan. Waste containers for a waste stream which has not been represented by an approved WSPF will not be shipped to WIPP for disposal (Reference 9, Part 2, Section 2.3.3.10). The required characterization, certification, and shipping data will be transmitted to the WIPP using the WWIS/WDS.

4.2 DOE Operations and Safety Requirements for WIPP

4.2.1 The WIPP DSA addresses waste handling and emplacement operations. Waste acceptance for emplacement in the WIPP will conform to the WAC to meet the DSA (Reference 4).

4.3 NRC Transportation Safety Requirements

4.3.1 Acceptable methods for payload compliance are defined in the RH-TRU 72-B and 10-160B Certificates of Compliance (References 7 and 8).

Acceptable methods for payload compliance for the RH-TRU 72-B are implemented by the RH-TRAMPAC (Reference 41). CCP-PO-505 describes how CCP will ensure compliance with each payload parameter. The CCP RH-TRAMPAC contains sufficient detail to allow reviewers to adequately understand and evaluate the compliance methodology for each payload parameter.

The payload requirements for the 10-160B package and site-specific compliance are specified by the 10-160B SAR (Reference 38). Prior to use of the 10-160B package, CCP will prepare a CCP Appendix if applicable. For shipments in the 10-160B package, CCP verifies compliance to the requirements for the applicable site-specific appendix to the 10-160B SAR. The 10-160B SAR does not require the preparation of a site-specific "TRAMPAC."

4.3.2 The QA Program described in Section 5.0 defines the QA activities that apply to the use of NRC-approved transportation packaging in accordance with 10 CFR Part 71, Subpart H (Reference 24).

4.4 NMED Hazardous Waste Facility Permit Requirements

4.4.1 TRU waste is classified as TRU-mixed waste if it contains hazardous constituents regulated under the New Mexico Hazardous Waste Act (Reference 25). Only TRU-mixed waste and TRU waste that have been characterized in accordance with the WIPP WAP and that meet the Treatment, Storage and Disposal Facility (TSDF) WAC as presented in permit Sections 2.3.3.1 through 2.3.3.10 will be shipped to WIPP for disposal. The CCP QAPjP describes compliance with the WIPP WAP.

4.5 EPA Compliance Recertification Decision Requirements

4.5.1 Title 40 CFR § 194.24(c) requires the DOE to specify the limiting values for waste components to be emplaced in the repository (Reference 26). The EPA's Compliance Recertification Decision (Reference 10) identifies the repository limits for several waste components including free water, metals, and CPR.

4.5.2 CCP estimates or determines the weight of CPR and reports this estimate in the WWIS/WDS on a container basis. The repository limit for CPR is a maximum of 2.2×10^7 kg. In addition, CCP quantifies and reports the activity values of each of the following radionuclides for purposes of tracking the inventory curie content: ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs . The presence or absence of these radionuclides is determined using AK documentation and radiological characterization techniques performed in accordance with the WCPIP. The results of these determinations are reported in the WWIS/WDS on a payload container basis. TRU waste payload containers shall contain more than 100 nCi/g of alpha-emitting TRU isotopes with half-lives greater than 20 years, as specified in Section 4.8.3 (Reference 47).

4.5.3 EPA Approval for PCB Disposal

PCB-contaminated TRU and PCB-contaminated TRU waste mixed with a hazardous waste including PCB remediation waste, PCB articles, and PCB bulk product waste may be stored and disposed at the WIPP (References 11, 12, 13, 14 and 15). Applicable waste acceptance criteria are addressed in Sections 4.7.5 (Identification/Labeling), 4.9.1 (Observable Liquids), and 4.10.6 (Polychlorinated Biphenyls).

Waste streams identified as containing PCBs shall be brought to the attention of the CBFO in order that a determination can be made regarding their acceptability at WIPP.

4.6 WIPP Land Withdrawal Act Requirements (Public Law 102-579)

4.6.1 WIPP can accept only radioactive waste generated by atomic energy defense activities of the United States (Reference 2, Section 2[19]). A TRU waste is eligible for disposal at WIPP if it has been generated in whole or in part by one or more of the following functions (References 27 and 28):

- naval reactors development
- weapons activities, including defense inertial confinement fusion
- verification and control technology
- defense nuclear materials production
- defense nuclear waste and materials by-products management
- defense nuclear materials security and safeguards and security investigations
- defense research and development

Using AK, CCP determines that each waste stream to be disposed of at WIPP is "defense" TRU waste (Reference 2).

4.6.2 High-level radioactive waste or spent nuclear fuel shall neither be transported, emplaced, nor disposed of at WIPP (Reference 2, Section 12). Also, no TRU waste may be transported by or for the DOE to or from WIPP, except in packages:

- the design of which has been certified by the NRC, and
- that have been determined by the NRC to satisfy its QA requirements.

4.7 Container Properties

4.7.1 Description

[A] Requirements

[A.1] The only payload containers authorized for receipt of RH-TRU waste in the RH bay of the Waste Handling Building at WIPP include 55-gallon drums and RH-TRU waste canisters shipped in 10-160B and RH-TRU 72-B packaging, respectively (references 7 and 8). The site shall report the number and type of payload containers to WIPP using the WWIS/WDS.

[A.2] Payload containers must meet DOT Type 7A standards (Reference 4, Section 2.5.2). Prior to loading in the transportation packaging, the exterior of a payload container must undergo 100 percent visual inspection to ensure compliance with the requirement that payload containers be in good and unimpaired condition. The results of this visual inspection must be documented. Inspection of 55-gallon drums shall be documented using the payload container integrity checklist contained in Appendix 7. A payload container is in good and unimpaired condition if it does not have significant rusting, is of sound structural integrity, and does not show signs of leakage.

The RH-TRU waste canister shall comply with the specifications in the CCP RH-TRAMPAC (Reference 7).

[B] Compliance and Verification

[B.1] CCP only uses RH-TRU 72-B waste canisters for use in the RH-TRU 72-B cask as payload containers for RH waste. The only authorized payload container of RH-TRU waste for shipment in the 10-160B to WIPP is a 55-gallon drum. CCP reports the number and type of payload containers to WIPP using the WWIS/WDS in accordance with procedure CCP-TP-530, *CCP RH TRU Waste Certification and WWIS/WDS Data Entry*.

[B.2] The CCP procures canisters in accordance with procedure CCP-QP-015 to comply with specifications

of Appendix 1.3.4 of the DSA for the RH-TRU 72-B Cask.

One hundred percent visual inspection of the exterior of the payload container is performed to ensure that the payload container is in good and unimpaired condition. The results of this inspection are documented. Inspection of payload containers for compliance to requirements is performed in accordance with CCP-TP-507, *CCP Shipping of Remote-Handled Transuranic Waste*.

All payload containers are assigned to a Content Code per procedure CCP-TP-530.

4.7.2 Weight Limits

[A] Requirements

[A.1] Each payload container shall comply with the following maximum weight limit:

- Removable Lid Canister (direct loaded or drum loaded) – 4,240 lbs (Reference 39)
- Welded Lid Canister (direct loaded) – 5,250 lbs (Reference 40)
- Welded Lid Canister (drum loaded) – 5,980 lbs (Reference 40)
- NS15 Neutron Shielded Canister – 3100 lbs (Reference 41)
- NS30 Neutron Shielded Canister – 3100 lbs (Reference 41)
- 1,000 lbs (453.59 kg) per 55-gallon drum (Reference 17, Section 2.4.1; Reference 4, Section 2.5.2.2)

See the RH-TRU 72-B and/or 10-160B packaging Certificates of Compliance for applicable package weight limits (References 7 and 8).

[B] Compliance and Verification

[B.1] CCP verifies the weight limits of the canister and the RH-TRU 72-B cask are within tolerance using DOE/WIPP 02-3284, *RH Packaging Operations Manual*. The TCO certifies compliance to applicable weight limits on the Packaging Transportation Certification Document (PTCD) in accordance with CCP-TP-507.

[B.2] CCP verifies the weight limits of the payload containers and the 10-160B cask are within tolerance using DOE/WIPP 06-3336, 10-160B RH Cask Program Guidance. The TCO certifies compliance to applicable weight limits in accordance with CCP-TP-507.

4.7.3 Assembly Configurations

[A] Requirements

[A.1] See the RH-TRU 72-B and/or 10-160B packaging Certificates of Compliance for assembly configuration requirements (References 7 and 8).

[B] Compliance and Verification

[B.1] Loading of waste, either direct loading or loading with drums, into RH-TRU canisters is performed in accordance with site-specific canister loading procedures.

[B.2] Loading of waste drums, into a 10-160B Cask is performed in accordance with 10-160B loading procedures.

4.7.4 Removable Surface Contamination

[A] Requirements

[A.1] Removable surface contamination on TRU waste payload containers, payload assemblies, and packagings shall not exceed 20 dpm/100 cm² alpha and 200 dpm/100 cm² beta-gamma (Reference 9, Attachment A1, Section A1-1d[2]; References 29 and 30). The fixing of surface contamination to meet these criteria is not allowed by WIPP in accordance

with best management practices for ensuring worker radiation dose is within the as low as reasonably achievable (ALARA) guidelines.

[B] Compliance and Verification

[B.1] Compliance is achieved by measurement using radiological contamination surveys. Specifically, a Host site RCT surveys RH-TRU waste canisters for removable surface contamination prior to loading into the cask. Packaging (i.e., cask) is surveyed for removable surface contamination after completion of cask loading and prior to shipment. Survey results are then compared to removable surface contamination limits to determine compliance per procedure CCP-TP-530. If removable contamination exceeds limits, surfaces may be wiped and cleaned and resurveyed to achieve compliance. Fixing of surface contamination is prohibited.

4.7.5 Identification/Labeling

[A] Requirements

[A.1] Each payload container shall be labeled with a unique payload container identification number permanently applied in a conspicuous location. The unique payload container identification number shall include a site identifier as a prefix.

[A.2] For the RH-TRU waste canisters, payload container labeling shall be as follows:

- Each canister shall be labeled with a unique payload container identification number (ID) that includes a site identifier as a prefix.
- The characters composing the canister ID number shall be approximately 2-inches high and of a color contrasting with their background.
- A minimum of three canister ID numbers shall be placed at approximately equal intervals around the circumference of the canister and within 18-inches of the top of the canister.

- [A.3] Exceptions to the labeling/identification requirements may be granted upon request to and approval from the CBFO.
- [A.4] The 10-160B 55-gallon payload container identification shall be in medium to low density Code 39 bar code symbology as required by ANSI, standard ANSI/AIM BC1-1995 (Reference 31) in characters at least 1-in. high and alphanumeric characters at least ½-in. high. In the case of 55-gallon drums, the labels must be placed approximately 120 degrees apart so that one label is visible once the containers are assembled into a 5-drum carriage.
- [A.5] Payload containers shall be marked "Caution Radioactive Material" using a yellow and magenta label as specified in 10 CFR Part 835 (Reference 30). Those payload containers whose contents are also RCRA regulated (mixed-TRU), shall be additionally marked "Hazardous Waste" as specified in 40 CFR §262.32 (Reference 33). For TRU and TRU-mixed wastes containing PCBs, the payload containers shall be marked in accordance with 40 CFR §761.40 (References 12 and 13). Additionally, DOT Type B packages containing PCBs must be properly marked in accordance with 40 CFR §761.40 (References 12 and 13).
- [A.6] If an empty 55-gallon drum is used as dunnage to complete a payload configuration in the 10-160B package, the dunnage container shall be labeled with the following information:
- Unique payload container identification number
 - "EMPTY" or "DUNNAGE"
- [A.7] If a five-drum carriage of only dunnage 55-gallon drums is used in the 10-160B, the containers shall be labeled only "EMPTY" or "DUNNAGE," and the unique container identification number label is not required for these containers.

[B] Compliance and Verification

[B.1] CCP verifies canisters are labeled in accordance with CCP-TP-507 procedure. This procedure must include instructions to satisfy the following requirements:

- Each canister is labeled with a unique ID that includes a site identifier as a prefix.
- Characters composing the canister ID number on labels are approximately 2-inches high and of a color contrasting with their background.
- A minimum of three canister ID labels are placed on a canister at approximately equal intervals around the circumference of the canister and within 18-inches of the top of the canister.
- Alternate labeling of payload containers may be used only after a request to use an alternate labeling approach is submitted and approved by CBFO on a case-specific basis.

[B.2] CCP verifies payload containers are marked in accordance with CCP-TP-507 procedure. These procedures must contain instructions to ensure the following:

- All RH-TRU and TRU mixed payload containers are marked "Caution Radioactive Material" using a yellow and magenta label.
- All RH-TRU mixed waste payload containers are marked "Hazardous Waste."
- All RH-TRU DOT Type B packages containing PCBs are marked in accordance with 40 CFR § 761.40.

[B.3] CCP verifies 10-160B 55-gallon payload containers are labeled in accordance with procedure CCP-TP-507.

4.7.6 Dunnage

[A] Requirements

- [A.1] See the 10-160B packaging Certificate of Compliance for dunnage requirements (Reference 8).

To maximize the efficiency of operations at the WIPP, CCP will minimize the use of dunnage drums.

[B] Compliance and Verification

- [B.1] The use of dunnage is not applicable to the RH-TRU 72-B shipping package. The minimization of the use of dunnage for the 10-160B Cask is through payload configuration. The use of dunnage drums is reviewed and approved concurrently with the review and approval of shipment assemblies by the WWIS/WDS Data Administrator on a case-by-case basis.
- [B.2] CCP verifies 10-160B 55-gallon dunnage containers are labeled in accordance with procedure CCP-TP-507.

4.7.7 Filter Vents

[A] Requirements

- [A.1] Each payload container and any sealed secondary or internal containers (greater than four liters in size), in the payload container shall meet the filter vent specifications of Reference 4, Section 2.5.2; Reference 7; Reference 8; Reference 9, Attachment A1, Section A1-1b[2]; and Reference 41; Section 2.4.1. These filter vents shall meet the specification of the 10-160B SAR and RH-TRAMPAC (Reference 38; Reference 41, Section 2.4.1).

The model number of each filter vent or combination of filter vents installed on a payload container shall be reported to the WWIS/WDS database (Reference 48).

[B] Compliance and Verification

- [B.1] The TCO verifies the presence and model of filter(s) installed on individual payload containers in accordance with CCP-TP-507. CCP verifies any sealed secondary or internal containers (greater than

four liters in size), overpacked in the payload container shall be either vented or filtered to meet the specifications of Reference 7 or Appendix 1.3.5 of Reference 8 by VE using CCP-TP-500, *CCP Remote-Handled Waste Visual Examination*, or an evaluation of the AK record. CCP procures filters in accordance with CCP-QP-015 to specifications that comply with all applicable requirements for filter vents. Only filters identified on the listing of approved CBFO filter vent models are procured by CCP.

[B.2] The model numbers of each filter vent or combination of filter vents installed on a payload container (and internal containers, as applicable) are reported to the WWIS/WDS in accordance with procedure CCP-TP-530.

4.8 Radiological Properties

With respect to the required radiological properties identified within this Section, they can be divided into two distinct groups.

The first group includes the activities and masses of the ten WIPP-tracked radionuclides (i.e., ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs), and the TRU alpha activity concentration (i.e., >100 nCi/g of alpha-emitting TRU isotopes with half lives greater than 20 years), of the waste. Total activity will be quantified and tracked to ensure compliance with the LWA limits for RH-TRU waste including limiting activity to 23 curies per liter (Ci/l) per canister, limiting disposed RH-TRU waste to 5.1 million curies, and limiting surface dose rates of canister to 1000 roentgen equivalent man per hour (rem/hr). No more than 5 percent by volume of the RH-TRU waste received at WIPP may have a surface dose rate in excess of 100 rem/hr. Estimates of their activities and masses shall be derived from a system of controls certified by CBFO that includes AK, computations, measurements, and sampling (Reference 35). CCP RH-TRU Waste Certification Plan for 40 CFR Part 194, Compliance, provides the methods and requirements used to characterize the radiological composition of the RH-TRU waste.

The second group includes the remaining radionuclides contributing to the FGE, the PE-Ci, and the decay heat of the payload container. This set of radiological data is regulated both by the NRC as specified in the RH transportation documentation (References 7 and 8), and the CBFO as required by the WIPP DSA (Reference 4). PE-Ci quantities shall be calculated for each payload container in accordance with Appendix 5. Any method that complies with the Certificate of Compliance may be used to quantify the remaining radiological properties at the discretion of the shipping facility.

However, the resulting data (e.g., AK from Safeguards and Security data), the source and method from which the data was generated, and the basis for the reliability of the data shall be submitted to and approved by CBFO prior to use.

4.8.1 Radionuclide Composition

[A] Requirements

- [A.1] RH-TRU waste received at the WIPP shall not exceed 23 curies per liter maximum activity level (averaged over the volume of the canister) (Reference 2, Section 7).
- [A.2] Contents of the 10-160B may include fissile material contaminants provided the mass limits of the 10 CFR 71.15 are not exceeded and the plutonium content does not exceed 0.74 tera-bequerel (20 curies) (Reference 8). The quantity of radioactive material must not exceed 3,000 times the Type A quantity (Reference 8).
- [A.3] The activities and masses of ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs shall be established on a payload container basis for purposes of tracking their contributions to the total WIPP radionuclide inventory (Reference 35). The estimated activities and masses, including their associated TMU expressed in terms of one standard deviation, for these ten radionuclides shall be reported to the WWIS/WDS on a payload container basis. For any of these ten radionuclides whose presence can be substantiated from AK, direct measurement, computations, or a combination thereof, and for which measured data are determined to be below the LLD for that radionuclide, the site shall report the character string "< LLD" to the WWIS/WDS for the activity and mass of that radionuclide; otherwise a value of zero shall be reported (Reference 17, Section 2.4.6).
- [A.4] In addition, all radionuclides other than the ten WIPP-tracked radionuclides (i.e., ^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs), that contribute to 95 percent of the radioactive hazard for the payload container shall be reported on the RH-TRU 72-B or 10-160B bill of lading or manifest. The activities and masses of these other

radioisotopes shall also be reported to the WWIS/WDS along with their associated TMU, expressed in terms of one standard deviation, for each waste container (Reference 35).

[B] Compliance and Verification

- [B.1] CCP determines the radionuclide composition and quantity through a combination of AK and established radionuclide measurement methods (e.g., CCP-TP-504, *CCP Dose-to-Curie Survey Procedure for Remote-Handled Transuranic Waste*). The radionuclide measurement methods that may be used are described in greater detail in Appendix 11. Radionuclide measurement is either performed directly on the payload container or on all of the smaller waste containers composing the payload container. If radionuclide measurement is not performed directly on the payload container itself, then, the measurement values (and uncertainties) for the payload container are calculated from the associated measurement results for all of the smaller containers composing the payload container.

CCP uses radionuclide measurement results to calculate and quantitate the total activity averaged over the volume of the payload container in the RH-TRU 72-B Cask to determine compliance with the 23 Ci/l limit.

CCP uses radionuclide measurement results to quantitate the activity and masses of the ten WIPP-tracked radionuclides and all other radionuclides that contribute to 95 percent of the radioactive hazard in a payload container. The activities and masses of these radionuclides, including their associated TMU (expressed in terms of one standard deviation), are reported to the WWIS/WDS on a payload container basis per procedure CCP-TP-530 and are reported on the Bill of Lading or UHWM. For any of the ten WIPP-tracked radionuclides that are measured below the LLD and whose presence can be substantiated from AK, direct measurement, computations, or a combination thereof, are reported as "< LLD" for its activity and mass to the WWIS/WDS.

[B.2] The contents of the 10-160B may include fissile material contaminants provided the mass limits of the 10 CFR 71.15 are not exceeded and the plutonium content does not exceed 0.74 tera-bequerel (20 curies) (Reference 8). The quantity of radioactive material must not exceed 3,000 times the Type A quantity (Reference 8). Compliance to these requirements are accomplished and verified through procedures CCP-TP-507 and 10-160B loading procedures.

4.8.2 ^{239}Pu Fissile Gram Equivalent/ ^{235}U Fissile Equivalent Mass (FEM)

[A] Requirements

[A.1] Each canister must comply with the limits in either Table 4 or Table 6. For a canister, either the sum of the ^{239}Pu FGE plus two times its associated TMU, expressed as one standard deviation, shall comply with the applicable limits in Table 4 or the ^{235}U Fissile Equivalent Mass (FEM) weight percentage plus two times its associated TMU, with TMU expressed in terms of one standard deviation, shall comply with the applicable limit in Table 6 (Reference 7).

[A.2] See the 10-160B packaging Certificates of Compliance for applicable ^{239}Pu FGE requirements (Reference 8 and Table 5 for associated drum requirements).

[A.3] The values calculated for the ^{239}Pu FGE or ^{235}U FEM and their associated TMUs (expressed in terms of one standard deviation) shall be reported to the WWIS/WDS for each payload container.

Table 4. ²³⁹Pu FGE Limits for a Canister Shipped in an RH-TRU 72-B Package

Payload Contents	²³⁹ Pu FGE Limit (Removable/Welded Lid Canister)	²³⁹ Pu FGE Limit (Neutron Shielded Canister)
Non-Machine-Compacted Waste		
Be/BeO limited to ≤ 1 percent by weight of the waste	≤ 315	≤ 245
Be/BeO limited to ≤ 1 percent by weight of the waste including credit taken for ≥ 5g of ²⁴⁰ Pu Poisoning ¹	≤ 325	≤ 245
Be/BeO limited to ≤ 1 percent by weight of the waste including credit taken for ≥ 15g of ²⁴⁰ Pu Poisoning ¹	≤ 350	≤ 245
Be/BeO limited to ≤ 1 percent by weight of the waste including credit taken for ≥ 25g of ²⁴⁰ Pu Poisoning ¹	≤ 370	≤ 245
Be/BeO > 1 percent by weight of the waste and is chemically or mechanically bound	≤ 305	Unauthorized
Be/BeO > 1 percent by weight of the waste and is not chemically or mechanically bound	≤ 100	Unauthorized
Machine-Compacted Waste		
Be/BeO limited to ≤ 1 percent by weight of the waste	≤ 245	≤ 245
Be/BeO > 1 percent by weight of the waste	Unauthorized	Unauthorized

¹The minimum ²⁴⁰Pu content for the RH-TRU waste canister shall be determined after the subtraction of two times the error.

Table 5. ^{239}Pu FGE Limits for Drums Shipped in a 10-160B Package

Payload Contents	^{239}Pu FGE Limit
Non-Machine-Compacted Waste	
55-gallon drum (Be/BeO limited to ≤ 1 percent by weight of the waste)	≤ 200 g
55-gallon drum (Be/BeO > 1 percent by weight of the waste)	≤ 100 g
Machine-Compacted Waste	
55-gallon drum (Be/BeO limited to ≤ 1 percent of the weight of the waste)	≤ 170 g
55-gallon drum (Be/BeO limited ≤ 1 percent of the weight of the waste). 1.0-in. design spacing must be maintained between drum content and exterior top and bottom	≤ 200 g

Table 6. ^{235}U FEM Limit for a Canister Shipped in an RH-TRU 72-B Package

Payload Contents	Weight % ^{235}U FEM (Removable/Welded Lid Canister)	Weight % ^{235}U FEM (Neutron Shielded Canister)
Non-machine compacted homogenous solid/sludge with a particle size characteristic dimension of 1 in. or less that is primarily uranium (in terms of heavy metal component) with waste matrix distributed to not exceed enrichment limit (Reference 41).	≤ 0.96	Not Applicable

[B] Compliance and Verification

- [B.1] CCP determines the quantity of fissile material in a payload container using established radionuclide measurement methods performed on the contents of the payload container as described in Appendix 11. Radionuclide measurement results are used to calculate the ^{239}Pu FGE and associated uncertainty (expressed as one standard deviation) for a payload container.
- [B.2] CCP determines the presence and quantity of beryllium on a waste stream basis by AK and is documented in the associated waste stream AK Summary Report. AK documentation is collected, evaluated and reported in accordance with CCP-TP- 005 and is summarized on a waste stream basis in AK Summary Reports.

- [B.3] CCP compares the measured/calculated FGE plus two times uncertainty for a payload container and cask to the applicable FGE limits based on beryllium content.
- [B.4] CCP reports the values calculated for the FGE and its associated uncertainty for each payload container to the WWIS/WDS as two separate items in accordance with CCP-TP-530.

4.8.3 TRU Alpha Activity Concentration

[A] Requirements

- [A.1] TRU waste payload containers shall contain more than 100 nCi/g of alpha-emitting TRU isotopes with half-lives greater than 20 years (Reference 2, Section 2 [18]). Without taking into consideration the TMU, the TRU alpha activity concentration for a payload container is determined by dividing the TRU alpha activity of the waste by the weight of the waste.

The TRU alpha activity concentration shall be reported to the WWIS/WDS (Reference 35, Chapter 4; Reference 17, Section 2.4.5).

[B] Compliance and Verification

- [B.1] CCP uses established radionuclide measurement methods (see Appendix 11) to quantitate the amount of alpha-emitting TRU isotopes with half-lives greater than 20 years (i.e., TRU alpha activity) in the waste contents of payload containers. Calibrated scales are used to determine the weight of waste material in payload containers (i.e., determine the net weight). The TRU alpha activity concentration is calculated by dividing the measured TRU alpha activity (without uncertainty) in a payload container by its net weight. Calculations are performed either manually or with the use of validated computational algorithms. If containers (e.g., 55-gallon or 30-gallon drums) are loaded into a canister, the TRU alpha activity concentration for the canister is determined by dividing the summation of the individual TRU alpha activity values of the individual waste containers by the summation of the individual net weights. Methods used to determine the TRU alpha activity

concentration have a lower limit of detection of 100 nCi/g or less.

The TRU alpha activity concentration for a payload container is reported to the WWIS/WDS in accordance with CCP-TP-530.

4.8.4 ²³⁹Pu Equivalent Activity

[A] Requirements

[A.1] PE-Ci limits are shown in Table 7.

[A.2] PE-Ci quantities shall be calculated for each payload container (see Appendix B), and reported to WIPP using the WWIS/WDS (Reference 4, Section 3.3.2.3.1 and Table 3.3-6). There are no reporting requirements for the associated TMU (Reference 44).

Table 7. PE-Ci Limits

Payload Container	Packing Configuration	PE-Ci Limit
RH TRU Waste Canister	All approved waste forms other than solidified/vitrified waste	≤ 240
55-Gallon Drum (shipped in a 10-160B)		≤ 80
RH TRU Waste Canister	Solidified/vitrified waste	≤ 1,800
55-Gallon Drum (shipped in a 10-160B)		

[B] Compliance and Verification

[B.1] CCP uses established radionuclide measurement methods (see Appendix 11) to quantitate the amount of radioactive material in payload containers. The measurement results are used to calculate the PE-Ci for each payload container as specified in Appendix 5. CCP compares the calculated PE-Ci (without uncertainty) value to the applicable PE-Ci limits for a payload container, assembly or drum to determine compliance with applicable limits.

CCP reports the calculated PE-Ci quantities for each payload container to WIPP using the WWIS/WDS in accordance with CCP-TP-530.

4.8.5 Radiation Dose Equivalent Rate

[A] Requirements

[A.1] The external surface radiation dose equivalent rate of individual containers must be ≥ 200 mrem/hr and $\leq 1,000$ rem/hr (Reference 2, Sections 2 and 7).

[A.2] Total dose equivalent rate and the neutron contribution to the total dose equivalent rate shall be reported for each payload container in the WWIS/WDS (Reference 2, Section 16 and Reference 17, Section 2.4.4).

[A.3] See the RH-TRU 72-B and/or 10-160B packaging Certificates of Compliance for applicable radiation dose equivalent rate requirements (References 7 and 8).

[B] Compliance and Verification

[B.1] CCP using Host site personnel or records, measure container dose equivalent rates in accordance with site radiological survey procedures. The measurements are compared to applicable radiation dose equivalent rate limits and restrictions to determine compliance. The total dose equivalent rate and the neutron contribution to the total dose equivalent rate for each payload container are reported to the WWIS/WDS in accordance with CCP-TP-530.

4.8.6 Decay Heat

[A] Requirements

[A.1] See the RH-TRU 72-B and/or 10-160B packaging Certificates of Compliance for applicable decay heat requirements (References 7 and 8).

[B] Compliance and Verification

[B.1] CCP uses established radionuclide measurement methods (see Appendix 11) to quantitate the activity and mass of the radionuclides contained within the payload container. The measurement results are used to calculate the total decay heat (and TMU) for each payload container and payload assembly.

CCP compares the calculated decay heat value plus TMU (expressed in terms of one standard deviation) to the applicable decay heat limit for a payload container and payload assembly, as applicable, to determine compliance.

CCP reports the calculated decay heat values and associated TMU (expressed in terms of one standard deviation) for each payload container to WIPP using the WWIS/WDS in accordance with CCP-TP-530.

4.9 Physical Properties

4.9.1 Observable Liquid

[A] Requirements

[A.1] Liquid waste is not acceptable at the WIPP. Observable liquid containing PCBs is prohibited at the WIPP. Liquid in the quantities delineated below is acceptable.

- Observable liquid shall be less than 1 percent¹ by volume of the outermost container at the time of radiography or visual examination (Reference 9).
- Internal containers with more than 60 ml or 3 percent by volume observable liquid, whichever is greater, are prohibited.
- Containers with Hazardous Waste Number U134 assigned shall have no observable liquid.

¹The limit of "less than 1 percent" is taken from the RH-TRAMPAC and is more restrictive than the limit of "no more than 1 percent" in the HWFP.

- Overpacking the outermost container that was examined during radiography or visual examination or redistributing untreated liquid within the container shall not be used to meet the liquid volume limits.

For sites that use VE, the detection of any liquid in non-transparent internal containers, detected from shaking the internal container, will be handled by assuming that the internal container is filled with liquid and adding this volume to the total liquid in the container being characterized using VE (Reference 9, Part 2, Section 2.3.3.1; Reference 9, Attachment C, Sections C-1c and C-3b; Reference 41, Section 2.5.1; Reference 35; Reference 12, Conditions of Approval, II.A.2).

[B] Compliance and Verification

CCP initially uses AK to determine container contents. AK documentation is collected and compiled in accordance with DOE/WIPP-02-3214 and/or CCP-TP-005. Personnel estimate liquid volume by AK, radiography or VE of the waste. Personnel reject payload containers found to exceed the criteria in 4.9.1[A.1].

4.9.2 Sealed Containers

[A] Requirements

[A.1] Sealed containers that are greater than four liters (nominal), are prohibited except for metal containers packaging solid inorganic waste: this packaging configuration does not generate flammable gas (Reference 41, Section 2.7.1).

[B] Compliance and Verification

[B.1] CCP achieves compliance through AK, radiography or VE of the waste contents of payload containers. VE is performed in accordance with procedure CCP-TP-500 and radiography is performed in accordance with procedure CCP-TP-508, *CCP RH Standard Real-Time Radiography Inspection Procedure*. Unvented rigid containers greater than four liters in volume are identified and controlled by an

NCR in accordance with CCP-QP-005 and
disposed appropriately.

4.9.3 Physical Form

[A] Requirements

[A.1] Debris waste (S5000), shall be reported in WWIS/WDS as plastic using the volume of the waste container multiplied by 620 kg/cubic meters (m^3), up to the net weight of the waste. Soils and gravel (S4000) shall be reported to WWIS/WDS as the net weight of the waste with the waste material parameter type of "soil." Homogeneous solids (S3000) shall be reported to the WWIS/WDS as the net weight of the waste with the waste material parameter type appropriate to the waste. Debris included in containers of S3000 or S4000 waste shall be reported to WWIS/WDS as plastic with an estimated weight. Plastic packaging will also be reported to WWIS/WDS (as packaging), (Reference 17, Section 2.4.3).

[B] Compliance and Verification

[B.1] CCP using CCP-TP-530, reports the data to WWIS/WDS as follows: Debris waste (S5000), as plastic using the volume of the waste container multiplied by 620 kg/m^3 , up to the net weight of the waste. If the net weight of the waste is greater than the calculated plastic, the excess is assigned to the material parameters by the percentages described in the AK Report. Soils and gravel (S4000), as the net weight of the waste with the waste material parameter type of "soil." Homogenous solids (S3000), as the net weight of the waste with the waste material parameter type appropriate to the waste. Debris included in containers of S3000 or S4000 waste shall be reported to WWIS/WDS as plastic with an estimated weight. Plastic packaging will also be reported to WWIS/WDS (as packaging).

4.10 Chemical Properties

4.10.1 Pyrophoric Materials

[A] Requirements

[A.1] Radioactive pyrophoric materials shall be limited to residual amounts (<1 percent by weight), in payload containers and shall be generally dispersed in the waste. Radioactive pyrophorics in concentrations ≥ 1 percent by weight and all nonradioactive pyrophorics shall be reacted (or oxidized), and rendered nonreactive prior to placement in the payload container (Reference 41, Section 4.4.1). Nonradionuclide pyrophoric materials are not acceptable at WIPP (Reference 4, Section 11.4.1; Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Section 2.3.3.2).

[B] Compliance and Verification

[B.1] CCP demonstrates compliance through acceptable knowledge documentation. Radiography and VE will be used, when necessary, to examine a waste container to verify its physical form. Specifically, AK is used to demonstrate that nonradionuclide pyrophoric materials are not present in a waste stream and that pyrophoric radioactive materials are limited to residual amounts. Waste streams for which AK documentation indicates the possible presence of radioactive pyrophorics in concentrations greater than or equal to 1 percent by weight are reacted (or oxidized), and rendered nonreactive. AK documentation is collected and compiled in accordance with DOE/WIPP-02-3214 and CCP-TP-005 and is summarized on a waste stream basis in AK Summary Reports.

4.10.2 Hazardous Waste

[A] Requirements

[A.1] Hazardous wastes not occurring as co-contaminants with TRU wastes (non-mixed hazardous wastes), are not acceptable at WIPP. Each RH-TRU mixed waste container shall be assigned one or more hazardous waste numbers as appropriate. Only EPA hazardous waste numbers listed as allowable in the Hazardous Waste Facility Permit may be managed at WIPP. Some of the waste may also be identified by unique state hazardous waste codes. These wastes are acceptable at WIPP as long as the TSD waste acceptance criteria are met (Reference 9, Attachment C, Section C-1b; Reference 9, Part 2, Sections 2.3.3.3 and 2.3.4). Wastes exhibiting the characteristic of ignitability, corrosivity, or reactivity (EPA hazardous waste numbers of D001, D002, or D003), are not acceptable at WIPP (Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Sections 2.3.3.7 and 2.3.4).

[B] Compliance and Verification

[B.1] CCP assigns EPA hazardous waste numbers to waste streams based on AK. AK is the basis for demonstrating compliance that hazardous waste, if present in TRU waste, occurs only as co-contaminants with the TRU waste. A more detailed description of the AK process used to assign EPA hazardous waste numbers to a waste stream is presented in the QAPjP. AK documentation is compiled, evaluated and reported in accordance with DOE/WIPP-02-3214 and CCP-TP-005 and is summarized by waste stream in an AK Summary Report.

4.10.3 Chemical Compatibility

[A] Requirements

[A.1] TRU waste containing incompatible materials or materials incompatible with payload container and packaging materials, shipping container materials, other wastes, repository backfill, or seal and panel closure materials are not acceptable for transport in

the RH-TRU 72-B or 10-160B packages or for disposal at the WIPP. Chemical constituents shall conform to the lists of allowable materials in the RH-TRU 72-B RH-TRAMPAC and Appendix 4.10.2 of the 10-160B SAR (References 41 and 38).

[A.2] The total quantity of the trace chemicals/materials (materials that occur in the waste in quantities less than 1 percent [weight]), not listed in Table 4.3-1, in the payload container is restricted to less than 5 percent weight (Reference 41). Chemical constituents in a payload of a particular waste-specific content code shall conform to the allowable chemical list for that content code. The content code must be reported to the WWIS/WDS for each payload container (References 7 and 8).

[B] Compliance and Verification

[B.1] Personnel ensure compliance with the chemical compatibility requirements based on AK. The WCO confirms compliance with the chemical compatibility criteria in accordance with CCP-TP-530. If necessary, personnel repackage waste containers not meeting the chemical compatibility requirement.

4.10.4 Explosives, Corrosives, and Compressed Gases

[A] Requirements

[A.1] Waste shall contain no explosives, corrosives, or compressed gases (pressurized containers), (Reference 9, Attachment C, Section C-1c; Reference 9, Part 2, Sections 2.3.3.5 and 2.3.3.7; Reference 41, Section 4.2.1).

[B] Compliance and Verification

[B.1] CCP assesses compliance through acceptable knowledge documentation. Specifically, AK is used to determine if explosives, corrosives, and/or compressed gases may be present in a waste stream. Radiography and VE will be used, when necessary, to examine a waste container to verify its physical form. AK documentation is collected and compiled in accordance with procedure CCP-TP-005 and is

summarized on a waste stream basis in AK Summary Reports.

4.10.5 Headspace Gas Concentrations

[A] Requirements

[A.1] The headspace gas of payload containers shall meet the requirements of the TRAMPAC (if shipping in RH-TRU 72-B packaging), or Appendix 4.10.2 (if shipping in the 10-160B packaging) (References 9, 41, and 38 respectively).

[B] Compliance and Verification

[B.1] CCP demonstrates compliance through the following methods:

- AK that demonstrates that the concentration of flammable VOCs in the headspace of waste containers of a waste stream is less than 500 parts per million (ppm).

AK documentation is collected, evaluated and reported in accordance with DOE/WIPP-02-3214 and CCP-TP-005 and is summarized on a waste stream basis in AK Summary Reports. Headspace gas sampling is performed in accordance with approved procedures.

4.10.6 Polychlorinated Biphenyls (PCBs)

[A] Requirements

[A.1] For TRU and TRU-mixed wastes containing PCBs meeting the conditions of approval in Reference 12, the payload container data entered into the WWIS/WDS shall include the earliest date of waste generation (i.e., the date of removal from service for disposal), the date of waste certification for disposal, and the date the waste was sent to the WIPP for disposal (Reference 12, Section III.D.4). Additionally, the estimated weight of the PCBs in kilograms (as recorded on the UHWM) and a description of the type of PCB waste (e.g., PCB remediation waste, PCB bulk product waste, etc.), shall be entered into the WWIS/WDS (Reference 13, §761.207(a)(2) and

§761.180). Hanford, Idaho National Laboratory, Savannah River Site, Oak Ridge Reservation, Knolls Atomic Power Laboratory, and Los Alamos National Laboratory are authorized to ship their TRU and TRU-mixed wastes containing PCBs to WIPP (References 14 and 15).

[B] Compliance and Verification

[B.1] CCP uses AK (which may include results of sampling and analysis) to identify waste streams that may contain PCBs. For waste streams that are identified as PCB contaminated, the AK record also includes a description of the type of PCB waste present (e.g., PCB remediation waste, PCB bulk product waste). AK documentation is collected, evaluated and reported in accordance with DOE/WIPP-02-3214 and CCP-TP-005 and is summarized on a waste stream basis in AK Summary Reports. Special information identified below is entered into the WWIS/WDS for each affected payload container in accordance with procedure CCP-TP-530.

1. Date of removal from service.
2. Date of waste certification for disposal.
3. Date the waste was sent to the WIPP for disposal.
4. The estimated weight of the PCBs in kilograms.
5. Description of the type of PCB waste.

CCP only certifies and ships PCB contaminated TRU waste from sites with an approved EPA PCB waste disposal authorization.

4.11 Data Package Contents

4.11.1 Characterization and Certification Data

[A] Requirements

[A.1] Sites shall prepare a WSPF for each waste stream. Each WSPF shall be approved by the Permittees prior to the first shipment of that waste stream.

Characterization and certification information for each payload container shall be submitted to the WWIS/WDS and approved by the Data Administrator. Any payload container from a waste stream that has not been preceded by an appropriate certified WSPF is not acceptable at WIPP (Reference 9, Part 2, Section 2.3.3.10).

[A.2] See the WCPIP (Reference 17) for additional characterization and certification data requirements.

[B] Compliance and Verification

[B.1] CCP prepares and submits WSPFs to the Permittees for review and approval per the instructions given in procedure CCP-TP-002. Characterization data for each payload container used to prepare the WSPF and the Characterization Reconciliation Report is submitted to the WWIS/WDS in accordance with procedure CCP-TP-530. CPR weights are estimated and input into the WWIS/WDS as described in Section 4.5.

4.11.2 Shipping Data

[A] Requirements

[A.1] Sites shall prepare either a bill of lading or a UHWM for RH TRU waste shipments as required by the transportation requirements. The land disposal restriction notification for RH TRU mixed waste shipments shall state that the waste is not prohibited from land disposal (Reference 9, Attachment C, Section C-5b(2); Reference 41).

[B] Compliance and Verification

[B.1] CCP prepares and completes the UHWM and/or Bill of Lading in accordance with CCP-TP-507. The Land Disposal Restriction Exemption Notification is completed for mixed waste shipments in accordance with procedure CCP-TP-507 and states that the waste is not prohibited from land disposal.

5.0 QUALITY ASSURANCE PLAN

The CBFO QAPD establishes QA program requirements for the programs, projects, and activities sponsored by CBFO. The NWP QAPD describes how NWP applies the QA program requirements of the CBFO QAPD to NWP activities, including CCP. This QA plan describes and implements the NWP QAPD requirements for the CCP. It is based on the NWP QAPD as it applies to the characterization, certification, and transportation of TRU waste as performed by CCP, and therefore incorporates the applicable requirements from the regulatory and commitment QA program source documents identified in the CBFO QAPD. This QA plan also fulfills the requirements for a transportation QA plan as required in 10 CFR Part 71, Subpart H. The scope of the integrated Quality Assurance Program Requirements for Nuclear Facilities (NQA)-1 Program is to ensure that all items and activities that are important to the safe containment of TRU Waste in the WIPP are in compliance with Program objectives. Applicable criteria are also identified in the individual element descriptions contained in this QA Plan.

The QA program is developed and maintained through an ongoing process that selectively applies QA criteria as appropriate to the function or work activity being performed. The organization of this QA Plan is generally based on the CBFO QAPD elements.

The QA program is implemented in accordance with a set of Quality Procedures that are applicable to all CCP activities, independent of the location where these activities are performed. The QA program also includes Technical Procedures and other documentation, some of which are site-specific and some of which are applicable across CCP. Implementing Technical Procedures are listed in the tables in Appendix 4.

QA program document references are included, as applicable, in each of the individual QA element descriptions throughout this QA Plan.

5.1 Organization and Quality Assurance Program

*(Applicable Criteria: 10 CFR 830.122 Criterion 1
40 CFR 194.22(a)(2)(i)
ASME NQA-1-1989, Criterion 1
DOE O 414.1 Criterion 1
CBFO QAPD, Section 1.1
NWP QAPD, Section 1.1)*

This QA program applies to items and activities affecting waste characterization, certification, and transportation by the CCP. The QA program elements are integrated into CCP items and activities through reviews, assessments, inspections, and approval and control of records and documents. The CCP has identified the Program Manager, the

Certification Program Manager, SPM, Assurance Programs Manager, TCO, and WCO as being responsible for ensuring QA within CCP. The responsibilities of each of these positions, as well as other personnel involved with TRU waste characterization and certification, are summarized in this Plan (Section 2.1).

Figure 1-1 (see Section 1.0) illustrates the hierarchy and interrelationships of QA documents governing the QA program. Quality management documents are audited and/or assessed to ensure they meet CCP requirements.

Personnel plan certification activities and document the planning process. Planning documentation is subject to review by subject matter experts (SMEs). CCP planning documentation consists of this Plan, the WIPP WAP, the WAC, the QAPjP, the CH-TRAMPAC, RH-TRAMPAC, the GGT QAPjP, implementing procedures, QA plans, training plans, and facility and certification process designs.

5.1.1 Organization

*(Applicable criteria: 10 CFR Part 830.122 Criterion 1
DOE O 414.1 Criterion 1
ASME NQA-1-1989, Criterion 1
CBFO QAPD Section 1.1.1
NWP QAPD, Section 1.1)*

The organization structure, functional responsibilities, levels of authority, and lines of communication for activities affecting quality are documented in this Plan, and CCP implementing procedures. Specific duties and responsibilities assigned to CCP management are summarized in the Plan, Section 2.1.1, and in CCP-PO-001.

The QA organization is responsible for ensuring the implementation of the QA program and verifying that activities affecting quality have been correctly performed. They have sufficient authority, access to work areas, and organizational freedom to identify quality problems; initiate, recommend, or provide solutions to quality problems; verify implementation of solutions; and ensure that further processing, delivery, installation, or use is controlled until proper disposition of nonconformances, deficiencies, or unsatisfactory conditions has occurred. QA personnel have direct access to responsible management at a level where appropriate action can be effected. They report to a management level such that required authority and organizational freedom are provided, including sufficient independence from cost and schedule considerations. Specific duties and responsibilities assigned to QA are summarized in the Plan, Section 2.1.4, and in CCP-PO-001.

The organizational structure of CCP, and the assignment of responsibilities, is based on the following QA principles, such that:

- Quality is achieved and maintained by those who have been assigned responsibility for performing work.
- Quality achievement is verified by personnel or organizations that are not directly responsible for performing the work.
- The individuals or organizations responsible for establishing and executing the QA program may delegate any or all of the work, but shall retain responsibility therefore.
- Responsibility for the control of further processing, delivery, installation, or operation of nonconforming items shall be designated in writing.
- When more than one organization is involved in the execution of activities covered by this document, the responsibility and authority of each organization shall be clearly established and documented.
- The external interfaces between organizations and the internal interfaces between organizational units, and changes thereto, shall be documented.
- Interface responsibilities shall be defined and documented.

All personnel involved with TRU waste certification and packaging are responsible for achieving and maintaining the quality of their activities and products. All personnel are responsible for promptly reporting existing, developing, or potential conditions adverse to quality to responsible management for evaluation and action. Management personnel are responsible for achieving and maintaining quality in the work activities under their control.

[A] Communication and Interface Responsibilities

*(Applicable Criteria: CBFO QAPD Section 1.1.1.4
NWP QAPD, Section 1.1.10 and 1.1.11)*

CCP management communicates to all levels of the organization timely information pertinent to quality performance, including status of the quality program, status and resolution of significant quality problems, lessons learned, quality management practices and improvements, and trend analysis results.

The responsibility and authority of the CCP and each participating organization are clearly established and documented in an interface document for each site. The external interfaces between CCP participant organizations, the internal interfaces between organizational units, and interface changes are documented. Interface responsibilities are defined and documented and include the requirements for management, performance, and assessment. Interfaces between CCP and the waste generating sites are detailed in project-level Interface Agreement documents specifically written for each site. Interfaces between CCP and NWP support organizations are defined in CCP implementing procedures.

[B] Reports to Management

*(Applicable Criteria: CBFO QAPD Appendix E, Section 8
NWP QAPD, Section 1.1.8)*

QA provides the QA interface between facilities and the CCP Certification Manager and SPM. QA oversees the NCR/CAR process for CCP related deficiencies and coordinates with the CCP Certification Manager and SPM to track and notify the appropriate personnel of nonconformances, and verify corrective action completion in accordance with CCP-QP-005. QA at project sites report the results of their surveillance assessments to the Assurance Programs Manager, and together they track assessment results and corrective actions. QA prepares and transmits a semi-annual QA report to the CCP Certification Manager and the DOE.

[C] Delegation of Work

*(Applicable Criteria: CBFO QAPD Section 1.1.1.5
NWP QAPD, Section 1.1.6)*

Management empowers employees by delegating authority and decision making to the lowest appropriate level in the organization. If work is delegated, the individual making the delegation retains responsibility for the delegated work. QA is responsible for determining the effectiveness of the QA program, which is accomplished through internal reporting procedures, audits, and assessments.

[D] Resolution of Disputes

*(Applicable Criteria: CBFO QAPD Section 1.1.1.6
NWP QAPD, Section 1.1.7)*

Disputes related to QA program requirements will be resolved by QA and cognizant personnel. If not resolved, the issues will be elevated progressively to successively higher levels of management as necessary.

[E] QA Management

*(Applicable Criteria: CBFO QAPD, Section 1.1.3.A
NWP QAPD, Section 1.1.5)*

QA Management shall:

- [E.1] Schedule and conduct QA assessments.
- [E.2] Maintain liaison with participant QA organizations and other affected organizations.
- [E.3] Ensure preparation, review, and issuance of QA plans and procedures that implement the provisions of the NWP QAPD.
- [E.4] Review and approve supplier and subcontractor QA plans.
- [E.5] Track or perform trend analysis of quality problems, and report quality problem areas.
- [E.6] Provide for the administrative processing of documentation of concerning conditions adverse to quality.
- [E.7] Have direct access to responsible management at a level where appropriate action can be effected.
- [E.8] Be sufficiently independent from cost and schedule considerations.
- [E.9] Have the organizational freedom to communicate with management.
- [E.10] Have no assigned responsibilities unrelated to the QA program that would prevent appropriate attention to QA matters.

[E.11] Develop, establish, and interpret QA policy and ensure effective implementation.

[E.12] Interface, as appropriate, with the CBFO staff, participants, and other stakeholders on QA matters.

[E.13] Assist subordinate organizations with quality planning, documentation, quality measurement, and problem identification and resolution.

[E.14] Provide guidance to all applicable subordinate organizations concerning identification, control, and protection of QA records.

[F] The QA organization shall have sufficient authority, access to work areas, and organizational freedom to:

*(Applicable Criteria: CBFO QAPD, Section 1.1.1.3.B
NWP QAPD, Section 1.1.5)*

[F.1] Identify quality problems.

[F.2] Recommend solutions.

[F.3] Verify implementation of solutions.

[F.4] Ensure that unsatisfactory conditions are controlled until proper disposition has occurred.

5.1.2 Implementation of the QA Program

*(Applicable criteria: 40 CFR 194.22(a)(1)
ASME NQA-1-1989, Criterion 2
CBFO QAPD Section 1.1.2
NWP QAPD, Section 1.1.8)*

The QA program is planned, implemented, and maintained in accordance with the requirements found in the CBFO QAPD, NWP QAPD, American Society of Mechanical Engineers (ASME) NQA-1-1989, 40 CFR § 194.22, and 10 CFR § 830.122. The QA program identifies the activities and items to which it applies, and provides control over activities affecting quality to an extent consistent with their importance. The QA program has been implemented during the process of program development, start-up, and operation.

The QA program provides for the planning and accomplishment of activities affecting quality under suitable controlled conditions.

Controlled conditions include the use of appropriate equipment, suitable environmental conditions for performing waste characterization and transportation activities, and assurance that prerequisites have been satisfied. This program also provides for special controls, processes, test equipment, tools, and skills to attain the required quality and for verification of quality.

[A] Grading Items and Activities and Applying Management Controls

*(Applicable Criteria: CBFO QAPD Section 1.1.2.3
NWP QAPD, Section 1.1.8.2)*

The graded approach to application of QA controls is used by the CCP to determine the importance of the item or activity with respect to the CCP mission, regulatory requirements, hazards, and life-cycle of the item or activity. Management controls are applied commensurate with the determined importance of the item or activity. The CCP uses the graded approach in accordance with CCP-QP-001, *CCP Graded Approach*, to comply with CBFO QAPD and NWP QAPD requirements for grading items and activities and applying management controls. Revisions to CCP-QP-001 are submitted to CBFO for approval prior to implementation.

[B] Work Planning

*(Applicable Criteria: CBFO QAPD Section 1.1.2.4
NWP QAPD, Section 1.1.12)*

CCP performs and documents planning to ensure that work is accomplished under suitably controlled conditions. CCP implements planning in accordance with CCP-QP-010, *CCP Document Preparation, Approval and Control*, and CCP-QP-026, *CCP Inspection Control*. As appropriate, planning elements include:

[B.1] Definition of work scope, objectives, and a listing of the primary tasks involved.

[B.2] Identification of scientific approaches or technical methods used to collect, analyze or study results of applicable work.

[B.3] Identification of field and testing standards and quality criteria.

- [B.4] Identification of applicable implementation documents; appropriate nationally recognized standards will be used whenever possible.
- [B.5] Identification of field and testing equipment or other equipment.
- [B.6] Identification of, or provisions for the identification of, required records and the recording of objective evidence of the results of the work performed.
- [B.7] Identification of prerequisites, special controls, specific environmental conditions, processes, or skills.
- [B.8] Identification of computer software.

[C] Peer Review

*(Applicable criteria: CBFO QAPD Section 1.1.2.5
NWP QAPD, Section 1.1.12)*

When peer reviews are required, they are accomplished in accordance with CCP-TP-511, *CCP Peer Review*.

5.2 Personnel Qualification and Training

*(Applicable criteria: 10 CFR 830.122 Criterion 2
ASME NQA-1-1989, Criterion 2
DOE O 414.1 Criterion 2
CBFO QAPD Section 1.2
NWP QAPD, Section 1.2)*

The QA program provides for training and qualification, as necessary, of personnel performing activities affecting quality to ensure that suitable proficiency is achieved and maintained. Personnel performing work in support of CCP receive QA training and are qualified to ensure that proficiency is achieved and maintained in the performance of their assigned tasks. Records documenting qualifications and completed training programs are maintained and controlled. Training and qualification are performed in accordance with CCP-QP-002, *CCP Training and Qualification Plan* and CCP-QP-040, *Support Training*.

5.2.1 Qualification Requirements

*(Applicable criteria: CBFO QAPD Section 1.2.1
NWP QAPD, Section 1.2.1)*

The CCP Certification Manager and Training determine qualification standards for each job category relevant to the CCP and ensure that qualifications of personnel, including minimum education and experience, have been verified. Personnel maintain minimum qualifications in accordance with CCP-QP-002. The CCP Certification Manager determines which positions relevant to the CCP require minimum qualifications. The period of effectiveness for qualification associated with special processes and operations that require special skills and the requalification criteria are specified or referenced in CCP-QP-002. The CCP Certification Manager ensures that auditable records documenting personnel qualifications are maintained as described in CCP-QP-008, *CCP Records Management*. Records of qualified personnel, their areas of qualification, and qualification periods (as appropriate) are retained in the records files.

5.2.2 Training Requirements

*(Applicable criteria: CBFO QAPD Section 1.2.2
NWP QAPD, Section 1.2.2)*

The CCP Certification Manager and Training ensure that personnel receive indoctrination and training on the scope, purpose, and objectives and the specific QAOs of the tasks being performed. Personnel performing activities affecting quality are trained according to the training plan to ensure they achieve and maintain proficiency. Personnel receive initial and continuing training requisite with their activities and level of responsibility, as described in CCP-QP-002.

Training is designed, developed, conducted, and evaluated in accordance with requirements described in CCP-QP-002. Training programs may include classroom instruction; practical hands-on experience; supervised on-the-job training (OJT); self-paced individual study; and written, oral, or practical demonstration of worker competence. The CCP Certification Manager analyzes job positions and determines task responsibilities for personnel to ensure education, experience, and training is commensurate with minimum requirements specified. The CCP Certification Manager is responsible for ensuring that auditable records documenting the required training and qualifications are maintained in accordance with CCP-QP-002.

5.3 Quality Improvement

*(Applicable Criteria: 10 CFR 830.122 Criterion 3
ASME NQA-1-1989, Criteria 15 & 16
DOE O 414.1 Criterion 3
CBFO QAPD Section 1.3
NWP QAPD, Section 1.3)*

Quality improvement is a management process, carried out to improve items, services, products, or processes. All aspects of quality work activities and the management system are subject to continuous improvement through the assessment and feedback processes.

Conditions adverse to quality are identified promptly and corrected as soon as practical. In the case of a significant condition adverse to quality, the cause of the condition is determined and corrective action taken to preclude recurrence. The identification, cause, and corrective action for significant conditions adverse to quality are documented and reported to appropriate levels of management. Follow-up action is taken to verify implementation of corrective actions.

Items that do not conform to specified requirements are controlled to prevent inadvertent installation or use. Controls are provided for identification, documentation, evaluation, segregation when practical, and disposition of nonconforming items, and for notification to affected organizations.

Personnel continually evaluate and improve project activities. QA ensures that quality improvement is achieved by identifying and controlling conditions adverse to quality, analyzing trends, reporting and tracking nonconformances, and implementing corrective actions. These quality improvement activities detect and prevent unacceptable quality problems and thereby increase accuracy and reliability, and reduce variability. Data analysis and trending are performed in accordance with CCP-QP-014, *CCP Quality Assurance Trend Analysis and Reporting*.

A condition adverse to quality is an all-inclusive term used in reference to failures; malfunctions; deficiencies; and nonconforming items, materials, parts, or components, and processes. Personnel ensure that nonconforming items, materials, parts, or components are adequately identified and segregated from acceptable items and materials to preclude their inadvertent use. CCP and Host site personnel have the authority to stop certification, packaging, and transportation activities and/or refuse to accept work products or services (e.g., procured items, documentation, packaging, and waste shipments) that do not conform to requirements. Personnel report conditions adverse to quality to QA personnel, who ensure that the condition adverse to quality is investigated and that corrective action is taken as described in this section. Employees have

the responsibility to stop work that poses a clear and imminent danger to the safety and health of employees, subcontractors, visitors, or the environment.

Personnel notify QA of conditions adverse to quality affecting waste to be shipped to WIPP and forward CARs related to violations of the WIPP Hazardous Waste Facility Permit to QA for tracking. Conditions adverse to quality are documented, evaluated for significance, corrected, tracked, and reported in accordance with CCP-QP-029 and CCP-QP-005. All violations of the WIPP Hazardous Waste Facility Permit will be managed as significant conditions adverse to quality.

Deficiencies are uncontrolled and unapproved deviations from an approved plan, procedure, or expected result. Deficiencies specific to the CCP also include documentation or management practices that do not meet the requirements related to waste certification or payload container preparation, which are identified in the WIPP WAP, RH-TRAMPAC, CH-TRAMPAC, WAC, QAPD, and applicable federal and state regulations. Personnel are responsible for identifying any condition that affects compliance with these requirements. Assessments may often identify systems, processes, products, or services that do not meet performance criteria established in planning documents. When deficiencies are found, personnel take prompt action to rectify the situation.

Any individual who identifies a condition adverse to quality initiates an NCR or CAR in accordance with CCP-QP-005 or CCP-QP-029. If the safety or quality of the certification process could be compromised by continued use of a nonconforming item, the item is taken out of service and tagged or otherwise identified to prevent reuse or acceptance until the nonconformance is corrected. QA or the QA personnel at the facility where the nonconformance is identified ensures that an NCR is initiated and that corrective action is taken to resolve the nonconformance.

NCRs and CARs are forwarded to the CCP Project Office QA personnel. QA is responsible for validating and tracking CCP-related deficiencies to ensure that corrective action is implemented and that the corrective action resolves the nonconformance. Significant conditions adverse to quality are evaluated by QA and other affected organizations to determine if a work suspension is necessary. If necessary, work will be suspended until the condition is corrected and verified by QA. Personnel notify DOE-CBFO within seven calendar days of identification of any non-administrative nonconformance related to applicable requirements specified in the WIPP WAP, which are first identified at the SPM's signature release level. Personnel submit the NCR to DOE-CBFO within 30 calendar days of identification of the deficiency. QA ensures dissemination of information that may prevent problems or help improve

parallel processes in other waste generator or activities and re-evaluates system performance after corrective actions have been implemented. The CCP Certification Manager provides the resources necessary to accomplish corrective actions. Any containers with unresolved discrepancies associated with waste characterization cannot be certified for disposal; this includes containers affected by CAR's applicable to WIPP WAP and WAC requirements.

QA and the CCP Certification Manager are jointly responsible for identifying the following:

- Trends in nonconformances
- Root causes of nonconformances
- Specific, measurable corrective actions to resolve current problems and prevent recurrence
- Personnel responsible for implementing corrective actions
- Schedules for completing corrective actions

5.4 Documents

*(Applicable Criteria: 10 CFR 830.122 Criterion 4
ASME NQA-1-1989, Criteria 6
DOE O 414.1 Criterion 4
CBFO QAPD Section 1.4
NWP QAPD, Section 1.4)*

The preparation, issue, and change of documents that specify quality requirements or prescribe activities affecting quality are controlled to assure that correct documents are being employed. These documents, including changes, are reviewed for adequacy and approved for release by authorized personnel.

Personnel prepare and control documents supporting the quality of the CCP in accordance with CCP-QP-010. Document control coordinators will ensure that:

- Documents are controlled during the review and approval process.
- Applicable criteria for the review are identified. Criteria will consider technical adequacy, accuracy, completeness and compliance with requirements.
- Pertinent background information or data is made available to the reviewer.

- Reviews are performed by individuals other than the originator, who are also technically competent in the subject area.
- Organizations or technical disciplines affected by the document review the document.
- QA reviews documents that translate CBFO QAPD, WAC, WIPP WAP, CH-TRAMPAC, RH-TRAMPAC and WCPIP requirements.
- Review comments are resolved and evidence of review comment resolution is maintained.
- Documents are approved for release and distributed in accordance with CCP-QP-010. These documents include:
 - Program planning documents such as this Plan, the QAPjP, the TRAMPAC
 - Plans and procedures implementing TRU waste characterization, certification and packaging
 - Procedures implementing QA requirements
- Changes to documents, other than those designated as editorial changes, are reviewed by the same organizations that performed the original review and approval.

NWP controlled procedures are used for functions that NWP performs in support of CCP. These functions include procurement support, source inspection support, independent assessments, vendor audits, and QSL maintenance.

5.5 Records

*(Applicable Criteria: 10 CFR Part 21
10 CFR Part 71
10 CFR 830.122 Criterion 4
ASME NQA-1-1989, Criterion 17
ASME NQA-2a-1990, Addenda, Part 2.7
ASME NQA-3 1989
Waste Isolation Pilot Plant Hazardous Waste Facility
Permit
DOE O 414.1 Criterion 4
CBFO QAPD Section 1.5
NWP QAPD, Section 1.5
DOE O 414.1
DOE O 266.1
DOE G-414.1-2A
SNT-TC-1A-1980
NRC Certificate Number 9212
NRC Certificate Number 9218
NRC Certificate Number 9279
NRC Certificate Number 9204
NUREG-1297 (1988)
NUREG/BR-0167 (1993)
40 CFR Part 191
40 CFR Part 194)*

Records that furnish documentary evidence of quality are specified, prepared, and maintained. Records are legible, identifiable, and retrievable. Records are protected against damage, deterioration, or loss. Requirements and responsibilities for record transmittal, distribution, retention, maintenance, and disposition are established and documented.

A QA record is an authenticated record that provides objective evidence of the quality of items and/or activities. The minimum lifetime and nonpermanent QA records are identified in the QAPjP. QA records are controlled and maintained to certify compliance with requirements and to reflect completed work. QA records are indexed, classified, controlled, and maintained by records management personnel as described in CCP-QP-008. The Records Inventory and Disposition Schedule (RIDS) is also defined in CCP-QP-028, *CCP Records Filing, Inventorying, Scheduling, and Dispositioning*.

Waste characterization data and QA/QC records related to TRU waste to be shipped to WIPP are designated as either Lifetime Records, or Non-Permanent Records. Records that are designated as Lifetime Records are maintained for the life of the waste characterization program

plus six years; **OR** transferred for permanent archival storage to the WIPP Records Archive. Waste characterization records designated as Non-Permanent Records will be maintained for ten years from the date of record generation and then dispositioned according to their approved RIDS.

5.6 Work Process

*(Applicable Criteria: 10 CFR 830.122 Criterion 5
DOE O 414.1 Criterion 5
CBFO QAPD Section 2.1
NWP QAPD, Section 2.1)*

The work processes and items supporting and affecting quality are controlled through plans and procedures identified in this Plan, the QAPjP, and the TRAMPAC.

Characterization, fabrication, installation, and inspection processes affecting the quality of items or services are controlled by procedures. Special processes that control or verify quality, such as those used in welding, heat treating, and nondestructive examination, are performed by qualified personnel using qualified procedures in accordance with specified requirements.

5.6.1 Work

*(Applicable Criteria: CBFO QAPD Section 2.1.1
ASME NQA-1-1989, Criterion 1
NWP QAPD, Section 2.1.1)*

The CCP Certification Manager ensures that activities are controlled and conducted in accordance with facility-specific procedures that describe and control work processes applicable to TRU waste characterization or certification.

Individual operating procedures provide controls for performance of special processes. Special process training and qualification requirements are described in CCP-QP-002.

Each individual performing work is responsible for ensuring that work processes are controlled and comply with established criteria. The CCP Certification Manager is responsible for ensuring that workers have the correct procedures, materials, and training to perform the required work. Instructions and procedures are maintained current with a documented and controlled method of revision. Instructions, procedures, and drawings are readily

available to personnel at locations requiring their use through either hard copy or electronic media.

5.6.2 Implementing Procedures

*(Applicable criteria: ASME NQA-1-1989, Criterion 5
CBFO QAPD Section 2.1.2
NWP QAPD, Section 2.1.2)*

Activities affecting quality are prescribed by and performed in accordance with documented instructions, procedures, or drawings of a type appropriate to the circumstances. These documents include or reference appropriate quantitative or qualitative acceptance criteria for determining that the prescribed activities have been satisfactorily accomplished.

Procedures and plans are developed, reviewed, approved, revised, and distributed in accordance with CCP-QP-010. CCP technical and QA personnel comply with the applicable technical standards and administrative controls described in procedures, which are reviewed and approved by the CCP Certification Manager and QA in accordance with CCP-QP-010. The CCP Certification Manager ensures personnel perform work following established procedures. For work processes such as procurement, source inspection, and independent assessments, applicable NWP non-CCP specific procedures are also used. CCP specific implementing procedures describe the required interfaces with applicable NWP general use procedures.

The procedures identified in this Plan, the QAPjP, and the TRAMPAC provide the following information:

- organizational and individual responsibilities
- training and qualification requirements
- technical, regulatory, and QA requirements
- step-by-step instructions for the process
- equipment specifications
- identification and control of items used or installed
- prevention of damage or loss and minimization of deterioration of items and materials during handling, storage, and shipment of items

- methods and criteria for ensuring and verifying the acceptability of equipment and materials used in the process (e.g., calibration)
- prerequisites, precautions, process parameters, and other limiting conditions
- products of the process
- quantitative and/or qualitative criteria for determining that prescribed process activities have been performed satisfactorily
- records generated by the process
- package and design control of equipment and materials

5.6.3 Item Identification and Control

*(Applicable Criteria: ASME NQA-1-1989, Criterion 8
CBFO QAPD Section 2.1.3
NWP QAPD, Section 2.1.3)*

Controls have been established to assure that only correct and accepted items are used or installed. Identification is maintained on items or in documents traceable to the items, or in a manner which assures that identification is established and maintained.

Items are identified and traced from time of receipt through end use. Physical markings, labels, tags or segregation are used to provide item identification and status. Specific details are provided in CCP-QP-017, *CCP Identification and Control of Items*.

5.6.4 Special Processes

*(Applicable Criteria: ASME NQA-1-1989, Criterion 9
CBFO QAPD Section 2.1.4
NWP QAPD, Section 2.1.5)*

Special processes that control or verify quality, such as those used in nondestructive examination, are performed by qualified personnel using qualified procedures in accordance with specified requirements.

Processes are considered to be special processes if:

- results are highly dependent on the control of the process
- results are highly dependent on the skill of the operator, or

- quality of the results cannot be readily determined by inspection or test of the product.

Implementing procedures have been developed to control special processes: NDE, NDA, DTC, Flammable Gas Analysis, GGT, and Helium Leak Detection. Training and qualification requirements for operators are identified in CCP-QP-002.

5.6.5 Handling, Storage, and Shipping

*(Applicable Criteria: ASME NQA-1-1989, Criterion 13
CBFO QAPD Section 2.1.5
NWP QAPD, Section 2.1.6)*

Handling, storage, cleaning, packaging, shipping, and preservation of items are controlled to prevent damage or loss and to minimize deterioration. Controls are provided through work and inspection procedures, shipping instructions, or other appropriate documents.

Measures are established in CCP-QP-015 and CCP-QP-023, *CCP Handling, Storage and Shipping*, to ensure that systems, components and items used for repair work for maintenance purposes or packaging purposes are adequately identified to preclude the use of incorrect or defective items. Also, where replacement of limited shelf life items is specified, measures are established to preclude use of items whose shelf life or time in operation has expired. Handling, storage, cleaning, shipping, and other means of preserving, transporting, and packaging of items are controlled in accordance with CCP-QP-023.

5.7 Configuration Management

*(Applicable Criteria: CBFO QAPD Section 2.2
NWP QAPD, Section 2.2)*

5.7.1 Equipment Configuration

CCP applies configuration management controls to characterization equipment, including vendor owned equipment, operated by CCP and its subcontractors on behalf of CBFO. In accordance with CCP-CM-001, *CCP Equipment Change Authorization and Documentation*, personnel:

- Coordinate the reviews of new equipment and changes/modifications/repairs to existing equipment.
- Establish and apply unique equipment numbering.

- Develop all required equipment change/modification/repair requests.
- Determine training needs due to equipment changes/modifications/repairs.
- Obtain appropriate approvals for equipment modifications, changes, repairs, and process drawing and document changes when required.
- Coordinate with host facility representatives in their reviews to ensure that proposed modifications comply with host facility Authorization Basis requirements.
- Oversee the implementation of approved changes.
- Ensure appropriate technical documentation is maintained on equipment changes/modifications/repairs.

5.7.2 Software Configuration

CCP applies configuration management controls to computer software and hardware/software configurations in accordance with the requirements of CCP-QP-022, as described in Section 5.14 of the Plan.

5.8 Procurement

*(Applicable Criteria: 10 CFR 830.122 Criterion 7
ASME NQA-1-1989 Criteria 4 & 7
DOE O 414.1 Criterion 7
CBFO QAPD Section 2.3
NWP QAPD, Section 2.3)*

Applicable design bases and other requirements necessary to ensure adequate quality are included or referenced in documents for procurement of items and services. Procurement documents require suppliers to have a QA program consistent with the graded application of quality requirements. Procurements are controlled to ensure conformance with specified requirements. Procurement controls provide for source evaluation and selection, evaluation of objective evidence of quality furnished by the supplier, source inspection, audit, and examination of items or services upon delivery or completion.

CCP implements procedures to ensure that procurement of items and services important to safety and quality meet requirements and perform as intended. Procurement controls are applicable to equipment and services,

including commercial grade items that directly affect testing, and data quality. Other NWP organizations provide support to the CCP for procurement process elements such as procurement planning, supplier selection and evaluation, bid evaluation, supplier performance evaluation, requisition review and processing, and procurement records. Personnel adhere to procurement and record keeping practices established in written procedures. The procurement criteria are implemented according to CCP-QP-015, NWP procedure WP 15-PC3609, *Preparation of Purchase Requisitions and Purchase Requisition Change Notices*, and the procedures specified in the following subsections.

When deemed appropriate, CCP may permit some or all supplier work to be performed under the NWP QA program, provided that the requirements are adequately implemented. In these cases, procurement documents shall specify the NWP QA implementing procedures, including CCP specific procedures that are applicable to the supplier and that CCP will provide these applicable documents to the supplier.

5.8.1 Procurement Document Review and Approval

*(Applicable Criteria: CBFO QAPD Section 2.3.5
NWP QAPD, Section 2.3.2)*

The CCP Certification Manager ensures that personnel control procurement documents in accordance with CCP-QP-015. Procurements are planned and controlled to ensure that suppliers have QA programs consistent with the intended use of the item being procured. Procurement activities shall be planned as early as possible. At a minimum, the activities shall be planned no later than the start of those procurement activities that are required to be controlled. Procurement documents supporting waste management and packaging and transportation activities must include required specifications and acceptance criteria. Procurement documents are reviewed by appropriate organizations and engineering disciplines to ensure that they contain adequate scope of work, technical requirements, supplier QA program requirements, and provisions for acceptance. Qualified personnel verify suppliers' conformance to procurement document requirements.

5.8.2 Acceptance of Items or Services

*(Applicable Criteria: CBFO QAPD Section 2.3.7
NWP QAPD, Section 2.3.4)*

The SPM ensures that personnel control items and services purchased (including supplier evaluations and inspections) in accordance with CCP-QP-015, NWP Procedure

WP 15-PC3609, and CCP-QP-001. Methods shall be established for the acceptance of an item or service being furnished by a supplier. Prior to offering an item or service for acceptance, the supplier shall verify that the item or service complies with the procurement requirements. Documentary evidence of conformance to the procurement specifications is provided before installation or use of systems, components, items, and services, and is retained in accordance with CCP-QP-015. Acceptance of quality related systems, components, items and services will be through source verification, receipt inspection, post-installation testing, or supplier certificate of conformance as appropriate to the quality level. Supplier nonconformances will be documented, tracked, and dispositioned in accordance with CCP-QP-015. An example of conditions requiring a report of nonconformance include: The item does not conform to the original requirement even though the item can be restored to a condition such that its capability to function is unimpaired (i.e., a waiver is requested).

5.8.3 Control of Supplier Nonconformances

*(Applicable Criteria: CBFO QAPD Section 2.3.8
NWP QAPD, Section 2.3.5)*

Subcontractors perform work that directly affects the quality of characterization and certification data. CCP-QP-015, describes how personnel control subcontractor services. Subcontractors may support activities under a “staff augmentation” role or for procurement of products and services. Staff augmentation subcontractors operate under the umbrella of the QA program and are subject to applicable requirements for functions that they perform. Subcontractors who support the CCP will be informed of the need to perform operations in compliance with requirements.

If subcontractors are authorized to perform procurements of quality-affecting items and services, they are required to establish procurement controls and a QA program to ensure that purchased materials, equipment, and services conform to the procurement and QA program documents. NWP adds and maintains such subcontractors on the NWP QSL, to support the scope of work and ensure that the appropriate subcontractor QA controls are applied. The controls must include provisions, as appropriate, for source evaluation and selection, objective evidence of quality furnished by the contractor or subcontractor, inspection at the contractor or subcontractor source, and examination of products on delivery. Subcontractors are subject to periodic assessments and audits at intervals consistent with the importance, complexity, and quantity of the product or services provided to ensure compliance with

procurement requirements. Subcontractors shall submit copies of CCP-related, quality affecting documents to the CCP Certification Manager.

Items and services procured are subject to control of nonconformances. Quality Levels are determined for items and services procured for use, and quality-affecting items are evaluated for adequacy prior to use through receipt inspection, source inspection, functional testing, or other appropriate means. Items that are found deficient are documented, controlled to prevent use, evaluated, and corrective actions performed.

A combination of CCP specific and NWP general use procedures are used to exercise controls over supplier nonconformances. They include:

- CCP-QP-015, *CCP Procurement*
- CCP-QP-029, *CCP Corrective Action Management*
- CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*
- WP 15-PC3609, *Preparation of Purchase Requisitions and Purchase Requisition Change Notices*

5.8.4 Commercial Grade Items

*(Applicable Criteria: CBFO QAPD Section 2.3.9
NWP QAPD, Section 2.3.6 and 2.3.7)*

Commercial grade items may be used when specified by design. Commercial grade items are identified in procurement documents using manufacturer or distributor catalog numbers or descriptions. Data collection and test instruments procured as commercial grade items that are intended for use in quality related applications are calibrated by qualified suppliers of calibration services prior to use. Commercial grade items are procured in accordance with CCP-QP-015, CCP-QP-026, WP 13-QA1003, *Quality Assurance Receipt/Source Inspections*, WP 15-PC3609, WP 13-QA3012, *Supplier Evaluation and Qualification*, and NWP Procurement Services Commercial Instruction C1015 *Supplier Selection*.

5.9 Inspection and Testing

*(Applicable Criteria: 10 CFR 830.122 Criterion 8
ASME NQA-1-1989, Criteria 10 & 14
DOE O 414.1 Criterion 8
CBFO QAPD Section 2.4
NWP QAPD, Section 2.4)*

Inspections required to, verify conformance of an item or activity to specified requirements are planned and executed. Characteristics to be inspected and inspection methods to be employed are specified. Inspection results are documented. Inspection for acceptance is performed by persons other than those who performed or directly supervised the work being inspected.

The status of inspection and test activities is identified either on the items or in documents traceable to the items where it is necessary to assure that required inspections and tests are performed and to assure that items which have not passed the required inspections and tests are not inadvertently installed, used, or operated. Status is maintained through indicators appropriate to the activity or item, such as physical location and tags, markings, travelers, stamps, inspection records, or other suitable means. The authority for application and removal of tags, markings, labels, and stamps is specified.

Equipment is tested, inspected, and maintained in accordance CCP-QP-016, *CCP Control of Measuring, Testing, and Data Collection Equipment*; CCP-QP-026, and CCP-QP-027, *CCP Test Control*. CCP personnel identify and control items (e.g., items with limited shelf or operating lives, materials, equipment, and samples) and ensure that only correct and accepted items are used according to CCP-QP-026. These procedures and documents address planning, parameters for evaluation, techniques to be used qualifications of inspection and test personnel, hold points, documentation, acceptance criteria, and organizational responsibilities.

Personnel routinely test and inspect items and processes and control, calibrate, and maintain equipment to ensure proper operation and data quality. Procedures identified above implement an inspection program that establishes criteria for inspection of activities affecting quality by, or for, the organization performing the activity, and to verify conformance with the requirements for accomplishing the activity. The verification is performed in accordance with written procedures, instructions, or drawings. Personnel performing the inspections are independent from the individuals performing the activity being inspected. Equipment modifications, repairs, and replacement are inspected in accordance with the original design and inspection requirements unless an approved

alternative exists. The inspection program also provides for identification and documentation of deficiencies discovered during the inspection. Measures are established to indicate, by the use of markings, tags, stamps, labels, routing cards, or other suitable means, the status of inspections and tests performed. These measures provide for the identification of items that have satisfactorily passed required inspections and tests, where necessary, to preclude inadvertent bypassing of the inspections and tests.

Quality related procured items are inspected by qualified personnel at receipt or at the source prior to shipment. These inspections may include dimensional verification, functional testing, verification of documentation or other appropriate methods.

5.9.1 Qualification of Inspection and Test Personnel

*(Applicable Criteria: CBFO QAPD Section 2.4.1
NWP QAPD, Section 2.4.1)*

Inspection and test personnel are trained and qualified in accordance with CCP-QP-002, CCP-QP-030, *CCP Written Practice for the Qualification of CCP Helium Leak Detection Personnel*, and CCP-QP-032, *CCP Written Practice for the Qualification of CCP Pressure Change Leak Testing Personnel*. Candidates for inspection and test positions are evaluated for previous education, experience, training, and testing as appropriate. Minimum qualifications are established, and personnel selected for these activities are documented to have experience or training commensurate with the scope, complexity, or special nature of the inspections or tests performed. Inspection and test personnel are indoctrinated in the technical and QA objectives, requirements, and controls, and formal or OJT is performed as appropriate. Qualifications are documented, and records maintained in the Records System.

Job performance of inspection and test personnel is evaluated at periodic intervals, and is performed through review of evidence of continued satisfactory performance or redetermination of capability. If personnel are found to not perform adequately, they are removed from that function until the required capability is demonstrated. Personnel that have not performed inspection or testing activities in their qualified area for more than a year are re-evaluated for the required capability.

5.9.2 Qualification of Nondestructive Examination Personnel

*(Applicable Criteria: CBFO QAPD Section 2.4.2.A
NWP QAPD, Section 2.4.1.2)*

Personnel performing NDE are trained and certified in accordance with CCP-QP-002. This procedure implements the requirements of the American Society of Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A, June 1980 edition. Training and certification of NDE personnel are documented and records maintained in the Records System.

5.9.3 Inspection Planning

*(Applicable Criteria: CBFO QAPD Section 2.4.3.1
NWP QAPD, Section 2.4.2.1)*

Inspections are planned, performed and documented in accordance with CCP-QP-026. Inspection planning includes identification of work operations to be inspected, inspection hold points, identification of characteristics to be inspected, inspection methods, acceptance criteria, sampling requirements, method of documentation of inspection results, Measuring and Testing Equipment (M&TE) to be used, and identification of statistical methods for sampling.

The types of inspections that may be performed include:

- in-process inspections and monitoring
- final inspection
- in-service inspections

Each of these types of inspections may include review of documentation, examination or verification of physical characteristics, performance of tests, or other means of verifying quality and conformance to the applicable requirements. Inspections are documented and records maintained as part of the Records System.

5.9.4 Test Requirements

*(Applicable Criteria: ASME NQA-1-1989, Criterion 11
CBFO QAPD Section 2.4.4
NWP QAPD, Section 2.4.3)*

Tests required to verify conformance of an item or computer program to specified requirements and to demonstrate satisfactory

performance for service shall be planned and executed. Characteristics to be tested and test methods to be employed are specified. Test results are documented and their conformance with acceptance criteria are evaluated.

Tests required to collect data are planned, executed, documented and evaluated. Test planning includes identification of test procedures, test requirements and acceptance limits, including required levels of precision and accuracy, identification of M&TE, test prerequisites, hold points, and test and data documentation requirements. Test results are documented and their conformance with acceptance criteria are evaluated by qualified personnel.

Testing is performed in accordance with CCP-QP-027.

5.9.5 Monitoring, Measuring, Testing, and Data Collection Equipment

*(Applicable Criteria: 10 CFR 830.122, Criterion 5
ASME NQA-1-1989, Criterion 12
CBFO QAPD Section 2.4.5
NWP QAPD, Section 2.5)*

Tools, gages, instruments, and other measuring and test equipment used for activities affecting quality are controlled and at specified periods calibrated and adjusted to maintain accuracy within necessary limits. This equipment is controlled in accordance with CCP-QP-016.

[A] Use and Control of M&TE

*(Applicable Criteria: CBFO QAPD Section 2.4.6
NWP QAPD, Section 2.5.1)*

Measuring and test equipment with the necessary range and accuracy is provided to qualified personnel for the inspection, test, and acceptance of material, parts, components, and systems. The specific controls imposed on measuring and test equipment are described in procedure CCP-QP-016, and CCP-QP-026. M&TE are labeled, and any that are found to be out of calibration are reviewed to determine the impact. Records are maintained in the Records System.

[B] Calibration

*(Applicable Criteria: CBFO QAPD Section 2.4.7
NWP QAPD, Section 2.5.1)*

Equipment accuracy is ensured by periodic calibration that is traceable to national standards or a documented equivalent basis for calibration. M&TE shall be calibrated to provide traceability of the calibration against certified equipment having known valid relationships to nationally recognized standards. If nationally recognized standards do not exist, the basis for calibration shall be documented. The specific controls imposed on measuring and test equipment are described in procedure CCP-QP-016, and CCP-QP-026.

5.10 Management Assessments

*(Applicable Criteria: 10 CFR 820.122 Criterion 9
ASME NQA-1-1989 Criterion 2
DOE O 414.1 Criterion 9
CBFO QAPD Section 3.1
NWP QAPD, Section 3.1)*

Management regularly assess the adequacy of that part of the QA program for which they are responsible to assure its effective implementation, and ensure compliance with applicable requirements. Management assessments are conducted according to CCP-QP-018, *CCP Management Assessment*. CBFO and external regulatory agencies also conduct assessments. QA tracks deficiencies identified during assessments; identifies corrective actions to resolve deficiencies according to CCP-QP-029 and CCP-QP-005, and ensures the resolutions are reported to the CCP Certification Manager and CBFO. Documentation of deficiencies identified in activities conducted at waste generating sites are also reported to the appropriate organizations at those sites, in accordance with interface documents.

Management periodically assesses the performance of its organization to determine the effectiveness of QA Program provisions that enable the organization to comply with requirements of the WIPP WAP, QAPD, WAC, and applicable procedures and documents. Managers evaluate QA Program effectiveness by focusing on the identification and resolution of both systemic and management issues and problems, and identifying strengths and weaknesses to facilitate actions to improve quality efficiency and cost-effectiveness. Management assessments may include an introspective evaluation to determine whether the entire integrated management system effectively focuses on meeting strategic goals. Management assessments are conducted as described in CCP-QP-018.

Management is responsible for the conduct of these assessments and reports at least annually on relevant findings.

5.11 Independent Assessments

*(Applicable Criteria: 10 CFR 830.122, Criterion 10
ASME NQA-1-1989, Criterion 18
DOE O 414.1, Criterion 10
CBFO QAPD Section 3.2
NWP QAPD, Section 3.2)*

Planned and scheduled audits are performed to verify compliance with all aspects of the QA program and to determine its effectiveness. These audits and surveillances are performed in accordance with written procedures or checklists by personnel who do not have direct responsibility for performing the activities being audited. Audit and surveillance results are documented and reported to and reviewed by responsible management. Follow-up actions are taken where indicated.

Documented independent assessments (audits and surveillances) are used to measure item service and quality, process adequacy and effectiveness, and to promote improvement.

5.11.1 Surveillances

*(Applicable Criteria: CBFO QAPD Section 3.2.1
NWP QAPD, Section 3.2)*

Surveillances are conducted primarily to monitor work in progress and to follow up on corrective actions. Surveillance results are reported and monitored similar to other assessment activities. At each host location, surveillances are scheduled as early in the project as practical. Surveillances are performed in accordance with CCP-QP-021, *CCP Surveillance Program*.

5.11.2 Audits

*(Applicable Criteria: CBFO QAPD Section 3.2.2
NWP QAPD, Section 3.2)*

Internal and external audits are planned and scheduled throughout the life of the CCP and are conducted by qualified personnel.

The CCP is subject to CBFO certification audits. A CBFO audit of is conducted before any waste characterized by the CCP is shipped to the WIPP and annually thereafter. In addition, the CBFO may conduct audits on a random basis. These audits are scheduled

through the CBFO QA Manager who coordinates the plans and schedule through the CCP Certification Manager.

5.12 Sample Control Requirements

*(Applicable Criteria: CBFO QAPD Section 4.1
NWP QAPD, Section 4.1)*

This section identifies the requirements for controlling samples of waste and environmental media. Control measures stated in site specific container management procedures (see Appendix 4, Table B-1) and analysis procedures include provisions for the identification, handling, storage and shipping, archiving, and identification of nonconforming drums.

Samples/drums are controlled and identified in a manner consistent with their intended use in accordance with container management procedures specific to each site (See Appendix 4, Table B-1).

5.12.1 Sample Identification

*(Applicable Criteria: CBFO QAPD Section 4.2
NWP QAPD, Section 2)*

[A] Waste containers used as samples are labeled and tracked in accordance with site specific container management procedures. Each waste container used as a sample is checked for physical marking that:

[A.1] Are applied using materials and methods that provide a clear and legible identification.

[A.2] Are not obliterated or hidden on the surface.

[B] If samples/waste containers used as samples are stored, they are controlled in accordance with container management procedures and the method requirements for characterization.

5.12.2 Handling, Storing, and Shipping Samples

*(Applicable Criteria: CBFO QAPD Section 4.3
NWP QAPD, Section 4.3)*

[A] Handling, storing, cleaning, packaging, and shipping waste containers used as samples is conducted in accordance with

established work and inspection implementing procedures, CCP-QP-023.

5.12.3 Disposition of Nonconforming Samples

*(Applicable Criteria: CBFO QAPD Section 4.4
NWP QAPD, Section 4.4)*

- [A] Waste containers used as samples that do not conform to requirements are reported on an NCR in accordance with CCP-QP-005.
- [B] The disposition of waste containers used as samples is identified and documented in accordance with CCP-QP-005.

5.13 Data Documentation, Control, and Validation

*(Applicable Criteria: CBFO QAPD Section 5.3
NWP QAPD, Section 4.6 and 4.7)*

5.13.1 Data are controlled to prevent loss and ensure integrity, security and freedom from error. Erroneous, rejected or superseded data are controlled to prevent use. Data uncertainty levels are determined prior to use. Data reduction methods are prescribed in technical procedures to allow validation of the reduction process. Data verification and validation is performed to assure accuracy, completeness and traceability in accordance with QA and technical procedures. These procedures include CCP-TP-001 and CCP-TP-002.

5.13.2 Data validation is a systematic process used to review data to ensure that the required data quality characteristics have been obtained. Results of the review may require that qualifiers be placed on the use of the data.

5.13.3 Validation methods shall be planned and documented. The documentation shall include the acceptance criteria used to determine if the data are valid.

5.13.4 All applicable data collected shall be validated. Validation shall include the following:

- [A] The relevant documentation is reviewed to evaluate the technical adequacy, the suitability for the intended use, and the adequacy of the QA record.
- [B] The results of the data review shall be documented.

[C] The reviewer shall be independent of the collection activities.

5.13.5 Data validation shall be controlled to permit independent reproducibility by another qualified individual.

5.13.6 Data considered as established fact by the scientific and engineering community, such as engineering handbook data, critical tables, etc., do not require validation.

5.14 Software

*(Applicable Criteria: ASME NQA-2a-1990 Part 2.7
CBFO QAPD Section 6
NWP QAPD, Section 6)*

Computer software and hardware/software configurations used in activities are developed, documented, verified, validated, and tested prior to use in compliance with requirements contained in the QAPD, QAPjP, and NQA-1, Subpart 2.7, *Quality Assurance Requirements of Computer Software for Nuclear Facility Applications* (ASME 1989). CCP-QP-022 describes the processes for computer software development, validation, and verification.

Software used are identified and controlled through inventory and categorization, and configuration management is maintained. CCP-QP-022 provides the controls for configuration management; software procurement and development; software life-cycle management including installation, testing, verification and validation, operation, and retirement; access controls; and required documentation. Software problems are identified and reported, and changes to software are controlled.

5.15 Performance Demonstration Program (PDP)

The CCP participates in the PDP. PDP samples are processed according to procedures applicable to the specific testing and CCP-TP-058, *CCP NDA Performance Demonstration Plan*.

6.0 REFERENCES

NOTE

The current revision of these reference documents is applicable. The Internet links are provided for informational purposes only and may change.

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11. U.S. Department of Energy. *Waste Isolation Pilot Plant Initial Report for PCB Disposal Authorization*. DOE/WIPP 02-3196. Carlsbad, New Mexico, Carlsbad Field Office, U.S. Department of Energy.
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Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste

A.1 Introduction

Radioassay techniques are used to determine the radionuclide content of waste. Radioassay methods include both nondestructive and destructive techniques. The term "radioassay" includes all types of assay techniques. NDA refers only to nonintrusive assay techniques, whereas radiochemistry (RC) is used to refer to destructive assay techniques. This appendix is intended to apply to NDA activities conducted within the WIPP CCP.

Common NDA techniques rely on detection of gamma rays, neutrons, or heat generated by the waste. NDA is performed on a waste container basis.

RC will not be performed by CCP. If plans change, then RC will be performed in compliance with the requirements of the current WAC, and this Plan will be revised.

The DOE is required to collect radiological characterization data to:

- Track the WIPP radionuclide inventory, by isotopic activity and mass, for those radionuclides listed in Section 3.3.1,
- Demonstrate that each payload container disposed of at the WIPP contains TRU waste as specified in Section 3.3.3, and
- Verify that applicable transportation and facility limits on individual payload containers and assemblies for FGE, PE-Ci, and decay heat are not exceeded, as specified in Section 3.3.2, 3.3.4 and 3.3.6.

The radioassay process quantifies at least one of the more prevalent radionuclides known to be present in the waste. The remaining listed radionuclides present in the waste in significant quantities will be identified by direct measurement of isotopic ratios as discussed in Section A.2. The isotopic ratios are then used to quantify radionuclides based on the assay value.

The requisite data on isotopic ratios and quantities will be derived from AK (see Section A.2), radioassay or both using CBFO approved NDA or RC techniques, instruments and procedures. Each site must technically justify that the AK and/or radioassay techniques, instruments and procedures used:

- Are appropriate for the specific waste stream and waste content code descriptions being assayed, and
- Will result in unbiased values for the cumulative activity and mass of the WIPP radionuclide inventory.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Existing radioassay data collected prior to the implementation of a QA program pursuant to 40 CFR §194.22(a)(1) may only be qualified in accordance with an alternate methodology that is approved by CBFO and employs one or more of the following methods:

- Peer review in accordance with NUREG-1297 (Reference A1),
- Corroborating data,
- Confirmatory testing (i.e., testing made on a representative sub-population of payload containers within a waste stream), or
- Demonstrating the equivalence of an alternative QA program (as described in Reference A2, Section 5.4).

Proposals for alternative approaches to identification and quantification of radioisotopes (e.g., quantification of isotopic ratio AK on a waste stream basis) must be submitted to CBFO for review and approval. CBFO will report such proposals to the EPA for consideration prior to issuing approval.

Controlled changes to radioassay (NDA or RC) related plans or procedures are managed through the document control process described in CCP-PO-001, *CCP Transuranic Waste Characterization Quality Assurance Project Plan*. The CCP Certification Manager and QA shall review all such changes and report to the CBFO those changes that could impact compliance with the criteria in this document. The CCP Certification Manager shall ensure that site approved changes to radioassay related plans or procedures affecting either the performance criteria or data quality of certified systems/processes are not used in the collection of waste certification data prior to CBFO's review and approval. Related testing, calibration, and training performed in accordance with these site-approved changes, however, are not precluded from being conducted prior to CBFO's review and approval. (Memorandum from CBFO to Distribution, CBFO:NTP:RMK:VW:02-2734: UFC:5822, July 29, 2002.)

The CCP program will establish or confirm isotopic ratios by direct measurements and these ratios will be used in conjunction with measured or AK data to calculate WIPP-reportable values.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

A.2 Radionuclide Isotopic Ratios

Establishing isotopic ratios for use in quantifying radionuclides is performed by direct measurement of the containers using WIPP-certified systems. Sites may opt to qualify AK as permitted by 40 CFR §194.22(b) by performing confirmatory testing using WIPP-certified radioassay systems. When a site performs direct measurements of isotopic ratios, it is expected that all containers in the waste stream will be measured, with the understanding that, in some cases, valid data may not be obtainable for given containers for technical reasons (e.g., lack of sufficient signal or poor counting statistics). All such instances will be documented and appropriately dispositioned by the measurement facility. For those few waste containers for which direct measurement does not yield useable isotopic ratio information, AK may be used. The assay programs will establish or confirm isotopic ratios by direct measurement and these ratios will be used in conjunction with the reported data to calculate WIPP-reportable values.

A.2.1 Methods for Confirmation of Isotopic Ratio AK

As a minimum, to confirm existing AK data, it is necessary to compare ratios of the two most prevalent radionuclides in the isotopic mix. For weapons and reactor grade plutonium, these are typically ^{239}Pu and ^{240}Pu . For heat source waste, the predominant radionuclides are typically ^{238}Pu and ^{239}Pu . Measured isotopic ratios for ^{241}Am may confirm existing AK by waste stream.

However, due to the fluctuation of ^{241}Am in certain waste streams, it may become necessary to measure ^{239}Pu to ^{241}Am isotopic ratios on all containers in that waste stream.

^{241}Am is the daughter of ^{241}Pu , which decays with a half-life of about 14 years. If the time since the chemical separation of the plutonium is known, the quantity of measured ^{241}Am can be used to calculate the quantity of ^{241}Pu . This assumes there was no ^{241}Am in the waste just after the chemical separation and that no ^{241}Am was added to or removed from the waste during the time since the separation. Since ^{241}Am is an indirect measurement of ^{241}Pu , it could be compared (by ratio) to any plutonium isotope (^{239}Pu or ^{240}Pu) associated with weapons and reactor grade plutonium.

For weapons grade and reactor grade waste, isotopic ratio values for ^{238}Pu can be assumed to be valid in AK data if the values for ^{239}Pu and ^{240}Pu have been confirmed. Because ^{242}Pu cannot be measured using NDA methods, the contribution of ^{242}Pu isotopic ratio is calculated by correlation techniques.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

For some of the generator sites that were involved primarily in weapons production, the fissile isotopes ^{235}U and ^{233}U and the fissionable isotope ^{238}U may not have been measured when the transuranic waste was originally assayed (i.e., using non-WIPP-certified systems), primarily because the plutonium isotopes were the radionuclides of interest to the generator site. However, other forms of AK may be available. If so, then the AK can be confirmed by data generated on a WIPP-certified system. If valid AK does not exist, then the data generated on a WIPP-certified system can only be used to detect or calculate ^{238}U , ^{235}U , and ^{233}U or to confirm their absence. Because ^{234}U cannot be measured using NDA methods, the isotopic ratios for ^{234}U may be calculated from the ^{235}U enrichment. Values or lack thereof, for ^{137}Cs can be confirmed by the data generated on a WIPP-certified system. This is typically done by measuring ^{137}Cs directly, or by comparing the NDA measured ^{241}Am 662 kiloelectron volt (keV) peak to the other ^{241}Am peaks (e.g., the 125 keV or 721 keV peaks) to determine if the 662 keV peak's intensity is consistent with the expected ^{241}Am intensity. A disproportionate response for the 662 keV peak relative to the other ^{241}Am peaks may indicate the presence of ^{137}Cs . ^{90}Sr may be calculated from the value for ^{137}Cs and AK. If detected, a waste container's concentration of ^{137}Cs can be used to derive a value of ^{90}Sr through the application of the appropriate scaling factor(s). All scaling factors used will be technically sound and based on known, documented relationships or correlations. The data report for the waste containers for which the ^{90}Sr value is derived in this manner shall reflect the use of a scaling factor(s) and provide sufficient documentation to enable its independent calculation. Finally, the gamma spectra must be carefully examined for significant presence of other radionuclides to ensure compliance with transportation requirements. Data obtained for radionuclides other than the WIPP-tracked radionuclides presented above are required to address confounding isotope issues (i.e., masking) with regard to NDA. When RC is used for confirmation radioassay instead of NDA, less reliance on calculated isotopics is required.

CCP will technically justify that the techniques used to confirm the absence or the ratio of non-measurable radionuclides are valid for the particular radioassay method used to confirm AK.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

A.2.2 Acceptable Knowledge (AK) Documentation

The use of AK information concerning the radiological composition of a waste stream will be documented either in the AK Summary Report for the waste characterization of the waste stream or in another controlled document approved by the SPM. Should this information be contained in AK package(s) prepared to meet other general waste characterization requirements, it need not be duplicated in other controlled documents that address the radiological properties of the waste stream; however, all relevant information must be included in the AK record. CCP uses procedure CCP-TP-005, *CCP Acceptable Knowledge Documentation*, to compile, review, evaluate, confirm, and report AK documentation.

The following discussion is included for the sake of completeness.

A.2.2.1 Required Elements

This section identifies the required radiological information that each TRU waste site or measurement facility must maintain for a waste stream. A TRU waste generator site or waste characterization facility may use AK to delineate the distribution of the 10 WIPP-tracked radioisotopes within a TRU waste stream and the presence or absence of isotopes. The type and quantity of supporting documentation may vary by waste stream and shall be compiled in a written record that shall include a summary identifying all sources of information used to delineate the waste stream's isotopic distribution. The basis and rationale for the delineation shall be clearly summarized in an AK report and traceable to referenced documents. Assumptions made in this delineation shall be identified. The following information shall be included as part of the AK written record:

- Map of the site with the areas and facilities involved in TRU mixed waste generation, treatment, and storage identified
- Facility mission description as related to radionuclide-bearing materials and their management, e.g., routine weapons production, fuel research and development, and experimental processes
- Description of the specific site locations (such as the area or building) and operations relative to the isotopic composition of the TRU wastes they generated, e.g., plutonium recovery, weapons fabrication, pyrochemical operations and waste incineration

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

- Waste identification or categorization schemes used at the facility relevant to the waste material's isotopic distribution, e.g., the use of codes that correlate to a specific isotopic distribution, and a description of the isotopic composition of each waste stream
- Information regarding the waste's physical and chemical composition that could affect the isotopic distribution, e.g., processes used to remove ingrown ^{241}Am or alter its expected contribution based solely on radioactive decay kinetics
- Statement of all numerical adjustments applied to derive the material's isotopic distribution, e.g., scaling factors, decay/ingrowth corrections and secular equilibrium considerations
- Specification of the isotopic ratios for the ten WIPP-tracked radionuclides (^{241}Am , ^{238}Pu , ^{239}Pu , ^{240}Pu , ^{242}Pu , ^{233}U , ^{234}U , ^{238}U , ^{90}Sr , and ^{137}Cs) and, if applicable, the radionuclides that comprise 95 percent of the radiological hazard on a waste stream, waste stream subpopulation, or container basis

A.2.2.2 Supplemental AK Information

Each generator site or measurement facility shall obtain supplemental AK information, dependent on availability. The amount and type of this information cannot be mandated, but sites shall collect information as appropriate to support their contention regarding the waste's isotopic distribution. This information will be used to compile the waste's AK written record. Supplemental AK documentation that may be used includes, but is not limited to, information from the following sources:

- Safeguards & Security, Materials Control & Accountability, and other nuclear materials control systems or programs and the data they generated
- Reports of nuclear safety or criticality, or accidents/excursions involving the use of special nuclear material (SNM) or nuclear material
- Waste packaging, waste disposal, building or nuclear material management area (NMMA) logs or inventory records, and site databases that provide information on SNM or nuclear materials
- Test plans, research project reports, or laboratory notebooks that describe the radionuclide content of materials used in experiments
- Information from site personnel (e.g., documented interviews)
- Historical analytical data relevant to the isotopic distribution of the waste stream

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

A.2.2.3 Discrepancy Resolution

If there is a discrepancy between AK information related to isotopic ratios or composition, the site will evaluate the sources of the discrepancy to determine if the discrepant information is credible. Information that is not credible or information that is limited in its applicability to WIPP characterization will be identified as such and the reasons for dismissing it will be justified in writing. Limitations concerning the information will be documented in the AK record and summarized in the AK Summary Report. In the event that the discrepancy cannot be resolved, the site will perform direct measurements for the impacted population of containers.

If discrepancies result in a change to the original determinations, the AK summary will be updated in accordance with procedure CCP-TP-005.

A.3 Data Quality Objectives (DQOs)

The DQOs for WIPP certifiable radiological characterization data are established in Section 3.3 of this Plan. They are summarized below in Table A-1, Data Quality Objectives (DQOs) for Radioassay, as they apply to individual payload containers.

Table A-1. Data Quality Objectives (DQOs) for Radioassay

Requirement	DQO	Confidence ^a
TRU α -activity concentration > 100 nCi/g ^b	A > LLD	N/A
Fissile mass \leq FGE limit	$FGE + 2\sigma_{TMU}(FGE) \leq FGE$ limit	97.5%
Decay heat (DH) \leq CH-TRAMPAC limit	$DH + 1\sigma_{TMU}(DH) \leq L_{CH-TRAMPAC}$ ^c	84%

^aConfidence means the statistical level of confidence that the limit is exceeded or not exceeded depending on the requirements of the individual data quality objectives (DQOs). The confidence is derived from the specified DQOs which assume contributions to TMU are normally distributed.

^bTRU waste determinations shall be in accordance with the Policy for the Management of TRU Alpha Activity Concentration when overpacking waste containers (see Appendix 8).

^cTRAMPAC includes both the CH-TRAMPAC and the TRUPACT-III TRAMPAC.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

There are no stipulated DQOs for PE-Ci or individual isotope activities (except as they impact the requirements listed above). However, at a minimum, radioassay programs must be capable of identifying, measuring, and reporting the presence or absence of:

- the ten radionuclides identified in Section 3.3.1 for tracking of the WIPP radionuclide inventory (see Section A.2.1),
- ^{235}U , in order to calculate FGE, as required in Section 3.3.2 for compliance with transportation requirements, and
- other radionuclides whose presence contribute to 95 percent of the radioactive hazard, as specified in Section 3.3.1, for compliance with transportation requirements.

In support of the above requirements, each site must evaluate, document and technically justify the following determinations:

Lower Limit of Detection (LLD): The LLD for each radioassay system must be determined. Instruments performing TRU/low-level waste discrimination measurements must have an LLD of 100 nCi/g or less. Site specific environmental background and container specific interferences must be factored into LLD determinations. The LLD is that level of radioactivity which, if present, yields a measured value greater than the critical level with a 95 percent probability, where the critical level is defined as that value which measurements of the background will exceed with 5 percent probability. Because the LLD is a measurement-based parameter, it is not feasible to calculate LLDs for radionuclides that are not determined primarily by measurement, e.g., ^{90}Sr . In such cases, the site shall derive the equivalent of an LLD, i.e., a reporting threshold for a radionuclide(s), when it is technically justified. This value may be based on decay kinetics, scaling factors or other scientifically based relationships and must be adequately documented in site records. For purposes of reporting radionuclide data in the WWIS/WDS, this value will be the equivalent of an LLD. References A3 and A4 provide information in developing the LLD.

Total Measurement Uncertainty (TMU): The method used to calculate the TMU for the quantities in Table A-1 must be documented and technically justified for each CBFO certified radioassay system. Compliance with this requirement will be evaluated in reviews of the TMU documentation package for each assay system by CBFO. General guidance for determining the TMU is provided in References A5 and A6.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Calibration Procedures and Frequencies: Each radioassay measurement system shall be calibrated before initial use. During calibration or re-calibration, system correction factors shall be established and algorithms adjusted such that the value of percent recovery (%R) is set equal to 100 percent; i.e., the system is calibrated to 100%R. The range of applicability of system calibrations must be specified in site procedures. The matrix/source surrogate waste combination(s) used for calibration shall be representative of the:

- activity range(s) or gram loading(s), and
- relevant waste matrix characteristics (e.g., densities, moderator content, container size) planned for measurement by the system.

Calibration(s) shall be performed in accordance with consensus standards, when such standards exist. If consensus standards are not used, full documentation of the calibration technique must be provided to and approved by CBFO prior to performing WIPP-related assays. Primary calibration standards shall be obtained from suppliers maintaining a nationally accredited measurement program. When primary standards are not available, the standards used shall be correlated with primary standards obtained from a nationally accredited measurement program. For calorimetry, calibration shall be performed in accordance with Reference A9.

Calibration Verification: Notwithstanding the need to calibrate individual components for replacement, changes or adjustments (e.g., energy calibration of a detector), verification of the radioassay measurement system's calibration shall be performed after any one of the following occurs:

- major system repairs and/or modifications
- replacement of the measurement system's components, e.g., detector, neutron generator or supporting electronic components that have the capacity to affect data
- significant changes to the system's software
- relocation of the system

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Calibration verification shall consist of demonstrating that the system is within the range of acceptable operation. Secondary standards can be used for the calibration verification if their performance has been correlated with the calibration standard. If a verification of the measurement system’s calibration or other test demonstrates that the system’s response has significantly changed, a re-calibration of the system shall be performed.

Calibration Confirmation: In order to confirm that the calibration of the NDA system was correctly established, the accuracy and precision of the system are determined after each calibration or re-calibration by performing replicate measurements of a non-interfering matrix. Calibration confirmation replicate measurements shall be performed on containers of the same nominal size as those in which actual waste is assayed and according to approved waste assay procedures. The number of replicate measurements to be performed shall be documented and technically justified. The replicate measurements shall be performed using nationally recognized standards, or certified standards derived from nationally recognized standards that span the range of use. The standards used to calculate accuracy shall not be the same as those used for the system calibration. Accuracy is reported as percent recovery (%R). The applicable range for accuracy shall not exceed ± 30 percent on a non-interfering matrix. Precision is reported as percent relative standard deviation (%RSD). The %RSD shall not exceed the values listed in Table A-2, Upper Limits for %RSD vs. Number of Replicates, for the corresponding number of replicate measurements in a non-interfering matrix.

Table A-2. Upper Limits for %RSD vs. Number of Replicates

Number of Replicates	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Max %RSD	1.8	6.6	10.0	12.3	14.0	15.2	16.2	17.1	17.7	18.3	18.8	19.3	19.7	20.0

^a The values listed are derived from the measured standard deviation of the replicate measurements using $\frac{s}{\mu} \cdot 100\% < \sqrt{\frac{(0.292) \cdot \chi^2_{0.05,n-1}}{n-1}} \cdot 100\%$ where s is the measured standard deviation, n is the number of replicates, Φ is the true value, $\chi^2_{0.05,n-1}$ is the critical value for the upper 5 percent tail of a one-sided chi-squared distribution with n-1 degrees of freedom, and 0.292 corresponds to a 95 percent upper confidence bound on the true system precision limit of 29.2 percent.

Measurement facilities may develop alternate limits for accuracy and precision subject to approval by CBFO prior to certification of waste.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

The CCP NDA standard operating procedures (Table A-2.1) demonstrate and justify that the radioassay techniques used are appropriate for specific waste streams.

Table A-2.1. NDA Procedures

Procedure Title	Procedure Number
CCP Waste Assay Gamma Spectrometer (WAGS) and SWEPP Gamma-Ray Spectrometer (SGRS) Calibration Procedure	CCP-TP-010
CCP Waste Assay Gamma Spectrometer (WAGS) Operating Procedure	CCP-TP-019
CCP Mobile IQ3 System Calibration Procedure	CCP-TP-046
CCP Mobile IQ3 Gamma Scanner Operation	CCP-TP-047
CCP Mobile IQ3 System Data Reviewing, Validating and Reporting Procedure	CCP-TP-048
CCP Operating the High Efficiency Neutron Counter Using NDA 2000	CCP-TP-063
CCP Calibrating the High Efficiency Neutron Counter Using NDA 2000	CCP-TP-064
CCP Gamma Energy Assay (GEA) Calibration, Confirmation, and Verification Procedure	CCP-TP-070
CCP Gamma Energy Assay (GEA) Operating Procedure	CCP-TP-071
CCP Gamma Energy Assay (GEA) Data Review, Validation, and Reporting Procedure	CCP-TP-072
CCP Off-Site Source Recovery Project Sealed Source Radiological Characterization	CCP-TP-101
CCP Data Reviewing, Validating and Reporting Procedure for the High Efficiency Neutron Counter Using NDA 2000	CCP-TP-103
Operating the CCP High Efficiency Neutron Counter Using NDA 2000	CCP-TP-107
Calibrating the CCP High Efficiency Neutron Counter Using NDA 2000	CCP-TP-108
CCP Data Reviewing, Validating and Reporting Procedure	CCP-TP-109
CCP SWEPP Gamma-Ray Spectrometer (SGRS) Operating Procedure	CCP-TP-115
CCP SuperHENC Operating Procedure	CCP-TP-146
CCP SuperHENC Data Reviewing, Validating and Reporting Procedure	CCP-TP-148
CCP Drum Waste Assay System Imaging Passive/Active Neutron Operations	CCP-TP-166
CCP Drum Waste Assay Imaging Passive/Active Neutron Calibration	CCP-TP-167
CCP Drum Waste Assay System Imaging Passive/Active Neutron/Segmented Gamma Scanner Data Generation Level Validation	CCP-TP-168
CCP Operating the Mobile Segmented Gamma Scanner	CCP-TP-169

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Table A-2.1. NDA Procedures (Continued)

CCP SuperHENC Calibration Procedure	CCP-TP-170
CCP Calibrating the Mobile Segmented Gamma Scanner	CCP-TP-172
CCP Box Segmented Gamma System (BSGS) Operating Procedure	CCP-TP-189
CCP Box Segmented Gamma System (BSGS) Calibration Procedure	CCP-TP-190
CCP Box Neutron Assay System (BNAS) Operating Procedure	CCP-TP-191
CCP Box Neutron Assay System (BNAS) Calibration Procedure	CCP-TP-192
CCP Data Reviewing, Validating, and Reporting Procedure for the Nondestructive Assay Box Counters	CCP-TP-193
Peer Review – Sealed Sources Peer Review Report	Record TWCP-18562

A.4 Quality Control (QC)

To ensure that data of known and documented quality are generated, each participating measurement facility shall implement a documented facility QA program. Any radioassay technique used for TRU waste must be performed in accordance with calibration and operating procedures that have been written, approved, and controlled by the site or testing facility. Laboratory procedures must contain applicable QCs. Facility QA programs shall specify qualitative and quantitative acceptance criteria for the QC checks of this program and corrective action measures to be taken when these criteria are not satisfied. NDA standard operating procedures address QC elements and are listed in Table A-2.1.

A.4.1 General Requirements

Radioassay Training: Only appropriately trained and qualified personnel shall be allowed to perform radioassay and data validation/review. Standardized Training requirements for radioassay personnel shall be based upon existing industry standardized training requirements (e.g., American Society for Testing and Materials [ASTM] C1490, *Standard Guide for Selection, Training and Qualification of Nondestructive Assay [NDA] Personnel* [Reference A8]; American National Standards Institute [ANSI] N15.54, *Radiometric Calorimeters - Measurement Control Program* [Reference A9]) and shall meet the specifications in the QAPD.

Requalification of radioassay personnel shall be based upon evidence of continued satisfactory performance and must be performed at least every two years. The training program is conducted in accordance with procedure CCP-QP-002, *CCP Training and Qualification Plan*.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Software QC Requirements: All computer programs and revisions thereof used for radioassay shall meet the applicable requirements in Section 6.0 of the QAPD (Reference A2) and in accordance with procedure CCP-QP-022, *CCP Software Quality Assurance Plan*.

Comparison Programs: Sites using radioassay systems shall participate in any relevant measurement comparison program(s) sponsored or approved by the CBFO. Such programs may be conducted as part of the NDA PDP (References A7 and A10) or through other third parties (Reference: WIPP Compliance Recertification Application including Annual Reports to the EPA).

A.4.2 NDA QC Requirements

The assay procedures cited in various ASTM and ANSI standards (References A9, A11-A15) and NRC standard practices and guidelines (Reference A16) as referenced in this appendix are recommended for use at all testing facilities.

Background Measurements: Background measurements must be performed and recorded daily, unless otherwise approved by CBFO. Contributions to background due to radiation from nearby radiation producing equipment, standards or wastes must be carefully controlled or more frequent background checks must be performed. For calorimeters, basepower or baseline measurements shall be conducted at a frequency determined by each site and approved by CBFO.

Instrument Performance Measurements: Performance checks on calibrated and operable gamma and neutron NDA instruments must be performed and recorded once per operational day. Performance checks shall include efficiency checks (when applicable), matrix correction checks and, for spectrometric instruments, peak position and resolution checks.

Both radioactive sources and surrogate waste matrix containers (both non-interfering and interfering) are used. At least once per operational week an interfering matrix must be used to assess the long-term stability of the NDA instrument's matrix correction. Surrogate waste containers must reflect the type of waste, (e.g., debris, sludge) currently being assayed. To verify calibration, radioactivity standards must be selected such that, over a six-month period, the operating range of the assay system is tested in each applicable surrogate waste matrix. The use of interfering and non-interfering matrices provides a realistic assessment of the assay system's performance over time, and will assist measurement personnel in detecting potential problems relative to the matrices currently assayed by the measurement system.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

Interfering surrogate matrix containers must be constructed in such a way that the waste characteristics do not change over time.

Radioactive sources should be long-lived, easy to position relative to the detector(s), and of sufficient radioactivity to obtain good results with relatively short count times.

Performance checks for calorimetry shall be performed with electrical and/or heat standards traceable to a nationally accredited measurement program at a frequency determined by each site, consistent with Reference A17. This information is specified in site operating procedures and approved by CBFO.

Data Checks: Background (for calorimetry: baseline or base power) and performance measurements shall be reviewed and evaluated at least weekly to determine continued acceptability of the assay system and to monitor performance trends. If daily performance checks result in data that are outside the acceptable range, the required responses in Table A-3 shall be followed.

Table A-3. Range of Applicability

Category	Acceptability Range ^a	Required Response
Acceptable Range	*Data* ^c # 2σ ^b	No action required.
Warning Range	2σ ^b < *Data* # 3σ ^b	The performance check standard shall be rerun no more than two times. If the rerun performance check(s) result in data within ± 2σ, then the additional performance checks shall be documented and work may continue. If the system does not fall within ± 2σ after two rerun performance checks, then the required response for the Action Range shall be followed.
Action Range	*Data* > 3σ ^b	Work shall stop and the occurrence shall be documented and appropriately dispositioned (e.g., initiating a non-conformance report). The radioassay system shall be removed from service pending successful resolution of all necessary actions, and all assays performed since the last acceptable performance check are suspect, pending satisfactory resolution. Recalibration or calibration verification is required prior to returning the system back to service.
^a Reference A15 ^b "σ" - the standard deviation is only based on the reproducibility of the data check measurements themselves. This is not TMU. ^c Absolute Value		

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

A.5 Data Management

A.5.1 Data Review and Validation

All radioassay data must be reviewed and approved by qualified personnel prior to being reported. At a minimum, the data must be reviewed by a technical reviewer and approved by the SPM. The validation process includes verification that the applicable QCs specified in Section A.4 have been met. Radioassay data is reviewed at the data generation level in accordance with NDA operating procedures listed in Table A-2.1, while data validation and verification at the Project Office is performed in accordance with procedure CCP-TP-001, *CCP Project Level Data Validation and Verification*.

A.5.2 Data Reporting

Radioassay data must be reported to the Site Project Office on a testing batch basis. Batches are defined, for the purpose of the program, as a suite of waste containers undergoing radioassay using the same testing equipment. For NDA, the sites shall specify the size of the testing batch as needed, without regard to waste matrix.

Each radioassay testing facility is required to submit testing BDRs for each testing batch to the site project office on standard forms (either hard copy or electronic equivalent), as provided in approved site-specific documentation. Radioassay testing BDRs shall consist of the following:

- testing facility name, testing batch number, container numbers included in that testing batch, and signature release by the SPM
- table of contents
- background and performance data or control charts for the relevant time period
- data validation per the QAPD (Reference A2, Section 5.3.2) and as described in site procedures (Reference Table A-2.1)
- separate testing report sheet(s) for each container in the testing batch that includes:
 - title “Radioassay Data Sheet”
 - method used for radioassay (i.e., procedure identification)
 - date of radioassay

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

- activities and/or masses of individual radioisotopes present and their associated TMUs (curies and/or grams)
- operator signature/date
- reviewer signature/date

Other radiological properties to be documented for each container include:

- decay heat expressed in Watts (W) and its associated TMU
- total ^{239}Pu FGE expressed in grams (g) and its associated TMU
- TRU alpha activity concentration expressed in curies/gram (Ci/g) and its associated TMU, and
- total ^{239}Pu equivalent activity expressed in Ci

These calculated quantities shall be included in the radioassay BDR or other QA record or database.

When TMU is reported differently on the testing report sheet than in WWIS/WDS, the method of expressing TMU shall be specified on the testing report sheet or associated procedures/QAPjP.

Radioassay data reporting at the data generation level is performed in accordance with NDA operating procedures listed in Table A-2.1, while data validation and verification at the Project Office is performed in accordance with procedure CCP-TP-001. Data reporting in WWIS/WDS is performed in accordance with procedure CCP-TP-030.

A.5.3 Data and Records Retention

QA records are indexed, classified, controlled, and maintained by records management personnel as described in procedure CCP-QP-008, *CCP Records Management*, and the site Records System. Records management is addressed in Section 5.5 of this Plan.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

The following nonpermanent records shall be maintained at the radioassay-testing facilities or shall be forwarded to the Site Project Office for maintenance, and shall be documented and retrievable by testing batch number, in accordance with the QAPD:

- testing batch reports
- all raw data, including instrument readouts, calculation records, and radioassay QC results
- all instrument calibration reports, as applicable

A.6 Quality Characteristics Assessment

Per 40 CFR §194.22(c), there are five “quality characteristics” that must be assessed. These quality characteristics and the method by which they are assessed are described in the following sections.

A.6.1 Data Accuracy

Per 40 CFR §194.22(c)(1), *Data Accuracy* is defined as “the degree to which data agree with an acceptable reference or true value.” For NDA methods, this quality characteristic is met and maintained as described in Section A.3.

A.6.2 Data Precision

Per 40 CFR §194.22(c)(2), *Data Precision* is defined as “a measure of the mutual agreement between comparable data gathered or developed under similar conditions expressed in terms of standard deviation.” For NDA methods, this quality characteristic is met and maintained as described in Section A.3.

A.6.3 Data Representativeness

Per 40 CFR §194.22(c)(3), *Data Representativeness* is defined as “the degree to which data can accurately and precisely represent a characteristic of a population, a parameter, variations at a sampling point, or environmental conditions.” For NDA methods, this quality characteristic for the waste stream is met and maintained through 100 percent measurement confirmation on a payload container basis. For NDA, since the entire waste container is subjected to measurement, representativeness pertaining to the actual measurement is not applicable.

Appendix 1 – Radioassay Requirements for Contact-Handled Transuranic Waste
(Continued)

A.6.4 Data Completeness

Per 40 CFR §194.22(c)(4), *Data Completeness* is defined as “a measure of the amount of valid data obtained compared to the amount that was expected.” For NDA methods, this quality characteristic is met and maintained by requiring 100 percent valid results. Any results indicating the NDA measurement was invalid require re-measurement.

A.6.5 Data Comparability

Per 40 CFR §194.22(c)(5), *Data Comparability* is defined as “a measure of confidence with which one data set can be compared to another.” For NDA and RC methods, this quality characteristic is addressed by ensuring that all data are produced under the same system of controls. These controls apply to all aspects of the data generation process, including: procurement of analytical instruments; calibration and operation of assay equipment according to industry standards; preparation and use of standardized instrument and data review procedures; and, training of equipment operators and technical/data review personnel to the QAPD, as specified in Section A.4.1. All NDA systems and methods are approved by CBFO prior to use in generating waste characterization data. Additionally, comparison of measured data with AK derived or based values, as applicable, provides a means to assess comparability on a waste stream basis. Although no specific confidence level is specified, these controls provide comparability among all data generated under this program. Sites using radioassay systems shall participate in measurement comparison programs as specified in Section A.4.1.

Appendix 2 – Appendix 1 References

- A1 U.S. Nuclear Regulatory Commission. *Peer Review for High-Level Nuclear Waste Repositories*, NUREG-1297, Washington D.C., Office of Nuclear Material Safety and Safeguards, U.S. Nuclear Regulatory Commission
- A2 U.S. Department of Energy. *Quality Assurance Program Document*. DOE/CBFO-94-1012. Carlsbad, New Mexico, Carlsbad Field Office, U.S. Department of Energy.
(<http://www.wipp.energy.gov/library/qapd/qapd.pdf>)
- A3 Currie, Lloyd A., 1968. *Limits for Qualitative Detection and Quantitative Determination*. *Anal.Chem.* 40: 586-93
- A4 EPA, 1980. *Upgrading Environmental Radiation Data*. EPA 520/1-80-012, Washington D.C., Office of Radiation Programs, U. S. Environmental Protection Agency
- A5 K. C. Smith, R. A. Stroud, K. L. Coop, and J. F. Bresson. 1998. *Total Measurement Uncertainty Assessment for Transuranic Waste Shipments to the Waste Isolation Pilot Plant*. Proceedings of the 6th Nondestructive Assay Waste Characterization Conference, Salt Lake City, Utah, Nov. 17-19, 1998, pp. 21-37
- A6 K. L. Coop, J. F. Bresson, M. E. Doherty, B. M. Gillespie, and D. R. Davidson. *Standardized Total Measurement Uncertainty Reporting for WIPP*. Nondestructive Assay Interface Working Group, Salt Lake City, Utah, May 22, 2000
- A7 U.S. Department of Energy. *Performance Demonstration Program Plan for Nondestructive Assay of Boxed Wastes for the TRU Waste Characterization Program*. DOE/CBFO-01-1006, Current Revision. Carlsbad, New Mexico, Carlsbad Field Office, U.S. Department of Energy.
(<http://www.wipp.energy.gov/Documents/NTP.htm>)
- A8 American Society for Testing and Materials. *Standard Guide for Selection, Training and Qualification of Nondestructive Assay (NDA) Personnel*, ASTM C1490, Annual Book of ASTM Standards, Philadelphia, Pennsylvania, American Society for Testing and Materials
- A9 American National Standards Institute. *Radiometric Calorimeters - Measurement Control Program*, ANSI N15.54, American National Standards Institute, Inc., 1430 Broadway, New York, NY 10018

Appendix 2 – Appendix 1 References (Continued)

- A10 U.S. Department of Energy. *Performance Demonstration Program Plan for Nondestructive Assay of Drummed Wastes for the TRU Waste Characterization Program*. DOE/CBFO-01-1005, Current Revision. Carlsbad, New Mexico, Carlsbad Field Office, U.S. Department of Energy.
(http://www.wipp.energy.gov/Documents_NTP.htm)
- A11 American Society for Testing and Materials. *Standard Test Method for Determination of Plutonium Isotopic Composition by Gamma-Ray Spectrometry*. ASTM C1030, Annual Book of ASTM Standards, Philadelphia, Pennsylvania, American Society for Testing and Materials
- A12 American Society for Testing and Materials. *Standard Test Method for Nondestructive Assay of Nuclear Material in Scrap and Waste by Passive-Active Neutron Counting Using a 252Cf Shuffler*. ASTM C1316, Philadelphia, Pennsylvania, American Society for Testing and Materials
- A13 American Society for Testing and Materials. *Standard Test Method for Nondestructive Assay of Special Nuclear Material in Low Density Scrap and Waste by Segmented Passive Gamma-Ray Scanning*. ASTM C1133, Annual Book of ASTM Standards, Philadelphia, Pennsylvania, American Society for Testing and Materials
- A14 American Society for Testing and Materials. *Standard Test Method for Nondestructive Assay of Plutonium, Tritium and 241 Am by Calorimetric Assay*. ASTM C1458, Annual Book of ASTM Standards, Philadelphia, Pennsylvania, American Society for Testing and Materials
- A15 American National Standards Institute. *Nondestructive Assay Measurement Control and Assurance*, ANSI N15.36. American National Standards Institute, Inc., 1430 Broadway, New York, NY 10018
- A16 U.S. Nuclear Regulatory Commission. 1984. *Nondestructive Assay of Special Nuclear Material Contained in Scrap and Waste*. Regulatory Guide 5.11, Washington, DC, Office of Nuclear Regulatory Research, U.S. Nuclear Regulatory Commission
- A17 American National Standards Institute. *Plutonium-Bearing Solids Calibration Techniques for Calorimetric Assay*. ANSI N15.22, American National Standards Institute, Inc., 1430 Broadway, New York, NY 10018

Appendix 3 – Acronyms and Abbreviations

AK	Acceptable Knowledge
ALARA	as low as reasonably achievable
AMAD	activity mean aerodynamic diameter
ANSI	American National Standards Institute
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
BDR	Batch Data Report
CBFO	Carlsbad Field Office
CAR	Corrective Action Report
CCP	Central Characterization Program
CFR	Code of Federal Regulations
CH	Contact-Handled
CH-TRAMPAC	Contact-Handled Transuranic Waste Authorized Methods for Payload Control
CH-TRU	Contact-Handled Transuranic
Ci	curies
Ci/g	curies/gram
CIN	Container Identification Number
cm ²	Square centimeters
cm ³	Cubic centimeters
CPR	cellulose, plastic, and rubber
DA	Destructive assay
DSA	Documented Safety Analysis
DOE	U.S. Department of Energy
DOT	U.S. Department of Transportation
DPM	disintegrations per minute
DQO	Data Quality Objective
DSA	Documented Safety Analysis
EPA	U.S. Environmental Protection Agency
FEIS	Final Environmental Impact Statement
FEM	fissile equivalent mass
FGE	fissile gram equivalent
g	gram
GGT	gas generation testing
hr	hour
HSG	Headspace Gas
HWFP	Hazardous Waste Facility Permit
ID	identification number
in.	inch
keV	Kiloelectron Volt
kg	kilogram(s)
L	Liter
LLD	lower limit of detection

Appendix 3 – Acronyms and Abbreviations (Continued)

LDR	Land Disposal Restriction
LWA	Land Withdrawal Act
M ³	cubic meter(s)
ml	milliliter(s)
mrem	milliroentgen equivalent man
M&TE	Measuring and Testing Equipment
nCi/g	nanocurie(s) per gram
NDA	nondestructive assay
NCR	Nonconformance Report
NDE	Nondestructive Examination
NEPA	National Environmental Policy Act
NMAC	New Mexico Administrative Code
NMED	New Mexico Environment Department
NMMA	nuclear material management area
NRC	U.S. Nuclear Regulatory Commission
NTP	National TRU Program
NWP	Nuclear Waste Partnership
OJT	on-the-job training
OPCTCD	Overpack Payload Container Transportation Certification Document
PATCD	Payload Assembly Transportation Certification Document
PCB	polychlorinated biphenyl
PCTCD	Payload Container Transportation Certification Document
PDP	Performance Demonstration Program
PE-Ci	²³⁹ Pu equivalent curie(s)
POC	point-of-contact
ppm	parts per million
QA	Quality Assurance
QAO	Quality Assurance Objective
QAPD	Quality Assurance Program Document
QAPjP	Quality Assurance Project Plan
QC	Quality Control
QSL	Qualified Suppliers List
%R	Percent Recovery
%RSD	percent relative standard deviation
RC	radiochemistry
RCRA	Resource Conservation and Recovery Act
RCT	Radiological Control Technician
rem	roentgen equivalent man
RH	Remote-Handled
RH-TRAMPAC	Remote-Handled Transuranic Waste Authorized Methods for Payload Control
RIDS	Records Inventory and Disposition Schedule

Appendix 3 – Acronyms and Abbreviations (Continued)

RPD	relative percent difference
RSD	relative standard deviation
RTR	Real-Time Radiography
SAR	Safety Analysis Report
SEIS	Supplemental Environmental Impact Statement
SLB2	standard large box 2
SME	subject matter expert(s)
SNM	Special Nuclear Material
SOW	Statement of Work
SPM	Site Project Manager
SWB	Standard Waste Box
TCO	Transportation Certification Official
TDOP	ten-drum overpack
TMU	total measurement uncertainty
TRAMPAC	Transuranic Authorized Methods for Payload Control
TRU	Transuranic
TRUPACT-II	Transuranic Package Transporter-II
TRUPACT-III	Transuranic Package Transporter-III
TSDF	Treatment, Storage, and Disposal Facility
VE	visual examination
VOC	volatile organic compound
UHWL	Uniform Hazardous Waste Manifest
VEE	Visual Examination Expert(s)
WAC	Waste Acceptance Criteria
WCO	Waste Certification Official
WDS	Waste Data System
WCPIP	<i>Remote-Handled TRU Waste Characterization Program Implementation Plan</i>
WIPP	Waste Isolation Pilot Plant
WIPP WAP	Waste Isolation Pilot Plant Waste Analysis Plan
WSPF	Waste Stream Profile Form
WWIS	WIPP Waste Information System

Appendix 4 – Procedure Tables

Table B-1. Container Management Procedures

Procedure Title	Procedure Number
CCP Container Management	CCP-TP-035
CCP Standardized Container Management	CCP-TP-068
CCP Container Management	CCP-TP-120

Table B-2. Radiography Procedures

Procedure Title	Procedure Number
CCP Standard Real-Time Radiography (RTR) Inspection Procedure	CCP-TP-053

Table B-3. VE Procedures

Procedure Title	Procedure Number
CCP Visual Examination Technique for Idaho National Laboratory (INL) Newly Generated TRU Waste	CCP-TP-006
CCP Sealed Source Visual Examination and Packaging	CCP-TP-069
CCP Standard Contact-Handled Waste Visual Examination	CCP-TP-113

Table B-4. GGT Procedures

Procedure Title	Procedure Number
CCP Gas Generation Testing	CCP-TP-083
CCP Execution of Long-Term Objective for the Unified Flammable Gas Test Procedure	CCP-TP-138

Table B-5. Certification Procedures

Procedure Title	Procedure Number
CCP Transuranic Authorized Methods For Payload Control (CCP-CH-TRAMPAC)	CCP-PO-003
CCP Remote-Handled Transuranic Waste Authorized Methods for Payload Control (CCP RH-TRAMPAC)	CCP-PO-505
CCP CH-TRU Waste Certification and WWIS/WDS Data Entry	CCP-TP-030
CCP RH-TRU Waste Certification and WWIS/WDS Data Entry	CCP-TP-530

Appendix 4 – Procedure Tables (Continued)

Table B-6. Remote-Handled Procedures

Procedure Title	Procedure Number
CCP Remote-Handled Waste Visual Examination	CCP-TP-500
CCP Dose-to-Curie Survey Procedure for Remote-Handled Transuranic Waste	CCP-TP-504
CCP Removable Lid Canister Loading	CCP-TP-505
CCP Shipping of Remote-Handled Transuranic Waste	CCP-TP-507
CCP RH Standard Real-Time Radiography Inspection Procedure	CCP-TP-508
CCP Remote-Handled Waste Sampling	CCP-TP-512

Appendix 5 – PE-Ci Activity

The concept of PE-Ci is intended to eliminate the dependency of radiological analyses on specific knowledge of the radionuclide composition of a TRU waste stream. A unique radionuclide composition and/or distribution are associated with most TRU waste streams at each site. By normalizing all radionuclides to a common radiotoxic hazard index, radiological analyses that are essentially independent of these variations can be conducted for the WIPP facility. ^{239}Pu , as a common component of most defense TRU wastes, was selected as the radionuclide to which the radiotoxic hazard of other TRU radionuclides could be indexed.

Modeled operational releases from the WIPP facility, including both routine and accident-related, are airborne. There are no known significant liquid release pathways during the operational phase of the facility. This, and the fact that TRU radionuclides primarily represent inhalation hazards, allows a valid relationship to be established, which normalizes the inhalation hazard of a TRU radionuclide to that of ^{239}Pu for the purpose of the WIPP radiological analyses. In effect, the radiological dose consequences of an airborne release of a quantity of TRU radioactivity with a known radionuclide distribution will be essentially identical to that of a release of that material expressed in terms of a quantity of ^{239}Pu . To obtain this correlation, the 50-year effective whole-body dose commitment or dose conversion factor for a unit intake of each radionuclide will be used.

For a known radioactivity quantity and radionuclide distribution, the ^{239}Pu equivalent activity is determined using radionuclide-specific weighting factors. The ^{239}Pu equivalent activity (AM) can be characterized by:

$$AM = \sum_{i=1}^K A_i / WF_i$$

where K is the number of TRU¹ radionuclides, A_i is the activity of radionuclide i , and WF_i is the PE-Ci weighting factor for radionuclide i .

WF_i is further defined as the ratio

$$WF_i = E_o / E_i$$

where E_o (roentgen equivalent man [rem]/ μCi) is the 50-year effective whole-body dose commitment due to the inhalation of ^{239}Pu particulates with a 1.0 μm activity median aerodynamic diameter (AMAD) and a weekly pulmonary clearance class, and E_i

¹TRU as designated in this equation refers to any radionuclide with an atomic number greater than 92 and including ^{233}U .

Appendix 5 – PE-Ci Activity (Continued)

(rem/ μ Ci) is the 50-year effective whole-body dose commitment due to the inhalation of radionuclide (*i*) particulates with a 1.0 μ m activity median aerodynamic diameter and the pulmonary clearance class resulting in the highest 50-year effective whole-body dose commitment.

Weighting factors calculated in this manner are presented in Table C-1 for radionuclides typically present in CH-TRU waste. If other TRU radionuclides are determined to be present in the payload container, their weighting factors can be obtained from the values of E_o and E_i contained in DOE/EH-0071 (Reference B1).

Table C-1. PE-Ci Weighting Factors for Selected Radionuclides

Radionuclide	Pulmonary Clearance Class ^a	Weighting Factor
²³³ U	Y	3.9
²³⁷ Np	W	1.0
²³⁶ Pu	W	3.2
²³⁸ Pu	W	1.1
²³⁹ Pu	W	1.0
²⁴⁰ Pu	W	1.0
²⁴¹ Pu	W	51.0
²⁴² Pu	W	1.1
²⁴¹ Am	W	1.0
²⁴³ Am	W	1.0
²⁴² Cm	W	30.0
²⁴⁴ Cm	W	1.9
²⁵² Cf	Y	3.9

^a(W) Weekly, (Y) Yearly

Reference for Appendix 5

- B1. U.S. Department of Energy. *Internal Dose Conversion Factors for Calculation of Dose to the Public*. DOE/EH-0071, July 1988.

Appendix 6 – Glossary

10-160B Packaging – An NRC-certified Type B transportation packaging used for transportation of TRU wastes.

Acceptable knowledge (AK) – Any information about the process used to generate waste, material inputs to the process, and the time period during which the waste was generated, as well as data resulting from the analysis of waste, conducted prior to or separate from the waste certification process authorized by EPA's Certification Decision, to show compliance with Condition 3 of the certification decision (Appendix A of this part) (40 CFR §194.2 and 194.67).

Activity – A measure of the rate at which a material emits nuclear radiation, usually given in terms of the number of nuclear disintegrations occurring in a given length of time. The common unit of activity is the curie, which amounts to 37 billion (3.7×10^{10}) disintegrations per second. The International Standard unit of activity is the becquerel and is equal to one disintegration per second.

Administrative controls – Provisions relating to organization and management, procedures, record keeping, assessment, and reporting necessary to ensure the safe operation of the facility.

Atomic energy defense activities – Activities of the Secretary of Energy (and predecessor agencies) performed in whole or in part in carrying out any of the following functions: naval reactors development; weapons activities, including defense inertial confinement fusion; verification and control technology; defense nuclear material production; defense nuclear waste and materials by-product management; defense nuclear materials security investigations; and defense research and development.

Authorization basis – Those aspects of the facility design and operational requirements relied upon by DOE to authorize the operation of nuclear facilities and processes.

Characterization – Sampling, monitoring, and analysis - whether by review of AK, nondestructive examination, NDA, or RC - to identify and quantify the constituents of a waste material.

Chemical compatibility – Assessing the properties of chemicals in a payload container (>1 weight percent); there must be no adverse safety or health hazards produced as a result of any mixtures that occur.

Appendix 6 – Glossary (Continued)

Completeness – The percentage of measurements made that are judged to be valid measurements. The completeness goal is to generate a sufficient amount of valid data based on program needs. Valid results for radioassay, and radiography data are those that were obtained when the laboratory or testing facility demonstrated that the instrumentation and method were in control; that is, that all calibration, verification, interference, and zero matrix checks met acceptance criteria.

Compressed gas – Compressed gases are those materials defined as such by 49 CFR Part 173, Subpart G.

Contact-Handled transuranic waste – Transuranic waste with a surface radiation dose equivalent rate not greater than 200 mrem/h.

Contact-Handled Transuranic Waste Authorized Methods for Payload Control (CH-TRAMPAC) – The governing document for shipments in the TRUPACT-II and the HalfPACT packagings.

Content code – A uniform system applied to waste forms to group those with similar characteristics for purposes of shipment in the TRUPACT-II, TRUPACT-III, HalfPACT, and RH-TRU 72-B packagings.

Corrosive/Corrosivity – A solid waste exhibits corrosivity if a sample of the waste is either aqueous and has a pH ≤ 2 or ≥ 12.5 , or it is a liquid and corrodes steel at a rate > 6.35 millimeter (0.250 in.) per year at a test temperature of 55°Celsius (130°Fahrenheit) (40 CFR §261.22).

Curie – A unit of activity equal to 37 billion (3.7×10^{10}) disintegrations per second.

Disposal – Permanent isolation of TRU waste from the accessible environment with no intent of recovery, whether or not such isolation permits the recovery of such waste (Reference 2, Section 2[5]).

Dose conversion factor – A numerical factor used in converting radionuclide uptake (curies) in the body to the resultant radiation dose (rem).

Dose equivalent rate – The radiation dose equivalent delivered per unit time (e.g., rem per hour).

Drum – Includes 55-gallon, 85-gallon, and 100-gallon drums as described in the CH-TRAMPAC and HWFP.

Fissile gram equivalent – An isotopic mass of radionuclide normalized to ^{239}Pu .

Appendix 6 – Glossary (Continued)

Fissile material – Any material consisting of or containing one or more radionuclides that can undergo neutron-induced fission with neutrons of essentially zero kinetic energy (e.g., thermal neutrons) such as ^{233}U , ^{235}U , and ^{239}Pu .

HalfPACT – An NRC-certified Type B transportation packaging used for transportation of CH-TRU wastes.

Hazardous waste – Those wastes which are designated hazardous by EPA (or state) regulations. For a detailed description, see 40 CFR § 261.3. Hazardous wastes are listed in 20.4.1 New Mexico Administrative Code (NMAC), subpart II (40 CFR Part 261) and/or exhibit one of the four characteristics in 20.4.1 NMAC, subpart II (40 CFR Part 261) (i.e., ignitability, corrosivity, reactivity, and toxicity).

Headspace – The total contained volume of a container minus the volume occupied by the waste material.

Headspace gas – The gas within the headspace of a container.

Internal container – A container inside the outermost container examined during radiography or VE. Drum liners, liner bags, plastic bags used for contamination control, capillary-type lab ware, and debris not designed to hold liquid at the time of original waste packaging are not internal containers (Reference 9, Part 1, Section 1.5.17).

Lower Limit of Detection – The level of radioactivity which, if present, will yield a measured value greater than the critical limit with a 95 percent probability. The critical limit is defined as that value which measurements of the background will exceed with a 5 percent probability.

Machine-Compacted Waste – Waste whose volume has been reduced using a mechanical process.

Observable liquid – Liquid that is observable using radiography or VE (Reference 9, Part 1, Section 1.5.18)

Overpack - A container put around another container.

Package – (1) A packaging plus its contents. (2) The reusable Type B shipping container (i.e., TRUPACT-II, TRUPACT-III, HalfPACT, RH-TRU 72-B, and 10-160B) loaded with TRU waste payload containers, which has been prepared for shipment in accordance with the package QA program. (3) In the regulations governing the transportation of radioactive materials, the packaging, together with its radioactive contents, as presented for transport.

Appendix 6 – Glossary (Continued)

Packaging – The reusable Type B shipping container for transport of TRU waste payload containers (i.e., TRUPACT-II, TRUPACT-III, HalfPACT, RH-TRU 72-B, and 10-160B).

Packaging Quality Assurance Program – A site-specific document that defines the quality assurance and quality control activities applicable to usage of the NRC-approved packaging. This program shall meet the requirements of 10 CFR Part 71, Subpart H.

Payload container – The outermost container (i.e., a drum, shielded container, SLB2, SWB, TDOP, or canister) for TRU waste material that is placed in a reusable Type B shipping container (i.e., a TRUPACT-II, TRUPACT-III, HalfPACT, RH-TRU 72-B, and 10-160B) for transport.

Payload assembly – An assembly of payload containers qualified for transport in a TRUPACT-II, HalfPACT, or 10-160B.

Pipe overpack – A packaging configuration consisting of a vented cylindrical pipe component surrounded by dunnage within a vented 55-gallon drum with a rigid polyethylene liner and vented lid.

Plutonium-239 equivalent activity – An equivalent radiotoxic hazard of a radionuclide normalized to ²³⁹Pu.

Precision – A measure of mutual agreement among individual measurements of the same property made under prescribed similar conditions; often expressed as a standard deviation or relative percent difference (RPD).

Pyrophoric – Materials that may ignite spontaneously in air or that emit sparks when scratched or struck, especially with materials such as steel. A flammable solid that, under transport conditions, might cause fires through friction or retained heat or that can be ignited readily and, when ignited, burns vigorously and persistently so as to create a serious transportation hazard. Included in the pyrophoric definition are spontaneously combustible materials, water reactive materials, and oxidizers. Examples of nonradioactive pyrophorics are organic peroxides, sodium metal, and chlorates.

Radioassay – Methods used to identify and quantify radionuclides in TRU waste. Radioassay includes NDA and RC.

Radiography – A nondestructive testing method that uses x-rays to inspect and determine the physical form of waste.

Radionuclide – A nuclide that emits radiation by spontaneous transformation.

Remote-Handled transuranic waste – Transuranic waste with a surface dose rate of 200 millirem per hour or greater (Reference 2, Section 2[12]).

Appendix 6 – Glossary (Continued)

Remote-Handled Transuranic Waste Authorized Methods for Payload Control (RH-TRAMPAC) – The governing document for shipments in the RH-TRU 72-B packaging (Reference 41).

RH-TRU Waste Canister – Container that is transported in the RH-TRU 72B Cask.

RH-TRU 72-B Packaging – An NRC-certified Type B transportation packaging used for transportation of RH TRU wastes.

Shielded container – A metal payload container authorized for use within the HalfPACT packaging, that has been tested by DOE to meet DOT Specification 7A Type A requirements. It is approximately the same size as a standard 55-gallon drum, contains one 30-gallon steel drum, and incorporates a nominal 1-inch layer of lead lining to shield waste forms with high gamma energies. Although the shielded container is managed during handling, shipment, storage, and disposal as a CH payload container, the waste contained in a shielded container is characterized and inventoried in the WWIS as RH waste.

Shipper – A TRU waste site that releases an NRC-approved packaging to a carrier for shipment.

Shipping category – A shipping category is defined by the following parameters: chemical composition of the waste (waste type), gas generation potential of the waste material type (quantified by the g-value for hydrogen), and gas release resistance (type of payload container and type and maximum number of confinement layers used).

Sites – Department of Energy TRU waste generator/storage sites.

Standard large box 2 – A specialized metal payload container with a top-loading and a bottom-loading option for use within the TRUPACT-III packaging, that has been tested by DOE to meet DOT Specification 7A Type A requirements.

Standard waste box – A metal payload container authorized for use within the TRUPACT-II or HalfPACT packaging, that has been tested by DOE to meet DOT Specification 7A Type A requirements.

Summary Category Group – Used to segregate TRU mixed wastes into broad groups having similar physical forms. The summary category groups include homogeneous solids (S3000) that are at least 50 percent by volume solid process residues, soil/gravel (S4000) that is at least 50 percent by volume soil/gravel, and debris (S5000) that is at least 50 percent by volume materials that meet the criteria specified in 20.4.1.800 New Mexico Administrative Code (incorporating 40 CFR §268.2[g]). Categorization is based on the Summary Category Group constituting the greatest volume of waste for a waste stream (Reference 9, Attachment C).

Appendix 6 – Glossary (Continued)

Ten-drum overpack – A metal payload container authorized for use within the TRUPACT-II packaging, that has been tested by DOE to meet DOT Specification 7A Type A requirements.

Test Category – Payload containers that do not meet the analytical category decay heat limits or whose concentration of flammable volatile organic compounds (VOCs) in the headspace exceeds 500 ppm are classified as test category (References 23a and 23b, Section 5.2.2).

Trace chemicals/materials – Chemicals/materials that occur individually in the waste in quantities less than 1 weight percent. The total quantity of trace chemicals/materials not listed as allowed materials for a given waste material type in any payload container is restricted to less than 5 weight percent (References 23a and 23b, Section 4.3.1, Reference 41, Section 4.3.1).

TRU isotope – An isotope of any element having an atomic number greater than uranium (i.e., 92).

TRU waste – Waste containing more than 100 nCi of alpha-emitting TRU isotopes per gram of waste, with half-lives greater than 20 years, except for (1) high-level radioactive waste, (2) waste that the Secretary has determined, with the concurrence of the Administrator, does not need the degree of isolation required by the disposal regulations, or (3) waste that the NRC has approved for disposal on a case-by-case basis in accordance with 10 CFR Part 61 (Reference 2, Section 2[18]).

TRU mixed waste – TRU waste that is also a hazardous waste as defined by the Hazardous Waste Act and 20.4.1.200 NMAC (incorporating 40 CFR § 261.3) (Reference 9, Part 1, Section 1.5.7).

TRUPACT-II – An NRC-certified Type B transportation packaging used for transportation of CH-TRU wastes.

TRUPACT-III – An NRC-certified Type B transportation packaging used for transportation of CH-TRU wastes in the SLB2 container.

TRUPACT-III Transuranic Waste Authorized Methods for Payload Control (TRUPACT-III TRAMPAC) – The governing document for shipments in the TRUPACT-III packaging (Reference 23b, Section 1).

Verification – The act of authenticating or formally asserting the truth that a process, item, data set, or service is, in fact, that which is claimed. Data verification is the process used to confirm that all review and validation procedures have been completed.

Appendix 6 – Glossary (Continued)

Volatile organic compounds – For the purposes of the TRU waste program, those RCRA-regulated VOCs listed in the WIPP WAP and any additional compounds tentatively identified by VOC analytical procedures used to satisfy program requirements (i.e., any compound containing carbon and hydrogen with any other element that has a vapor pressure of 77.6 milliliters (ml) of mercury (1.5 psia) or greater under actual storage conditions).

Waste Acceptance Criteria – Constraints (limits) on the physical, chemical, and radiological properties of TRU waste and its packaging as determined by WIPP's authorization basis requirements. TRU waste will not be approved for shipment to and disposal at the WIPP until it has been certified as meeting these criteria. Waste Acceptance Criteria ensure that TRU waste is managed and disposed of in a manner that protects human health and safety and the environment.

Waste Analysis Plan – The waste analysis plan includes test methods, details of planned waste analysis for complying with the general waste analysis requirements of 20.4.1.500 NMAC (incorporating 40 CFR 264.13), a description of the waste shipment screening and verification process, and a description of the QA/QC program. Sites are required to implement the applicable requirements of the WIPP WAP.

Waste characterization – The process of determining that TRU waste meets the requirements of the WAC by the acceptable performance of the activities defined by CBFO-approved site-specific plans.

Waste certification – Formal and documented declaration by sites that waste has been characterized and meets the requirements of the WAC.

Waste matrix code – A DOE-developed coding system for grouping waste streams that have similar matrix constituents, especially for treatment objectives. This coding system allows waste streams within the DOE TRU waste system that have similar physical and chemical waste form properties to be categorized together (Transuranic Waste Baseline Inventory Report - 2004, DOE/TRU-2006-3344).

Waste stream – A waste stream is waste materials that have common physical form, that contain similar hazardous constituents and that are generated from a single process or activity (Reference 9, Appendix C).

WIPP Waste Information System – A computerized data management system used by WIPP to gather, store, and process information pertaining to CH and RH-TRU waste destined for or disposed of at WIPP. The WWIS database is a subsystem of the WDS.

Appendix 7 – Payload Container Integrity Checklist

The Operator is to visually examine 100 percent of the payload container exterior to determine if the payload container meets the criteria of Section 3.2.1. At a minimum, sites shall incorporate the questions and criteria contained in the following checklist into applicable site procedures (see CCP-TP-033, *CCP Shipping of CH-TRU Waste*). This payload container inspection shall be performed and documented as a part of the TRUPACT-II, TRUPACT-III, or HalfPACT loading process. Any YES answer on the inspection checklist will result in the operator discontinuing the inspection, marking the payload container as unacceptable for shipment, and removal of the payload container from the shippable inventory. Before the rejected container can be shipped, it must undergo appropriate corrective actions (e.g., evaluation, repackaging, overpacking), as applicable. All containers must have an acceptable and complete inspection checklist documenting that it meets the DOT 7A criteria. Personnel complete the following payload container integrity checklist in accordance with procedure CCP-TP-033.

CONTAINER EXAMINATION		DISCUSSION OF CRITERIA	COMPLIANCE	
1.	Is the payload container obviously degraded?	Obviously degraded means clearly visible and potentially significant defects in the payload container or payload container surface.	YES	NO
2.	Is there evidence that the payload container is, or has been, pressurized?	Pressurization can be indicated by a fairly uniform expansion of the sidewalls, bottom or top. Past pressurization can be indicated by a notable outward deflection of the bottom or top. Verify that the payload container is not warped.	YES	NO

Appendix 7 – Payload Container Integrity Checklist (Continued)

CONTAINER EXAMINATION		DISCUSSION OF CRITERIA	COMPLIANCE	
3.	Is there any potentially significant rust or corrosion such that wall thinning, pin holes, or breaches are likely or the load bearing capacity is suspect?	<p>Rust shall be assessed in terms of its type, extent, and location. Pitting, pocking, flaking, or dark coloration characterizes potentially significant rust or corrosion. This includes the extent of the payload container surface area covered, thickness, and, if it occurs in large flakes or built-up (caked) areas. Rusted payload containers may not be accepted if:</p> <p>A.1 Rust is present in caked layers or deposits</p> <p>A.2 Rust is present in the form of deep metal flaking, or built-up areas of corrosion products</p> <p>In addition, the location of rust should be noted; for example on a drum: top lid; filter region; locking chine; top one-third, above the second rolling hoop; middle one-third, between the first and second rolling hoops; bottom one-third, below the second rolling hoop; and on the bottom.</p> <p>Payload containers may still be considered acceptable if the signs of rust show up as:</p> <p>A.1 Some discoloration on the payload container</p> <p>A.2 If rubbed would produce fine grit or dust or minor flaking (such that wall thinning does not occur).</p>	YES	NO
4.	<p>Are any of the following apparent?</p> <p>A.1 wall thinning</p> <p>A.2 pin holes</p> <p>A.3 breaches</p>	Wall thinning, pin holes, and breaches can be a result of rust/corrosion (see discussion for #3).	YES	NO
5.	Are there any split seams, tears, obvious holes, punctures (of any size), creases, broken welds, or cracks?	<p>Payload containers with obvious leaks, holes or openings, cracks, deep crevices, creases, tears, broken welds, sharp edges or pits, are either breached or on the verge of being breached. Verify that there is no warpage that could cause the container to be unstable or prevent it from fitting properly in the applicable package.</p>	YES	NO
6.	Is the load-bearing capacity suspect?	The load-bearing capacity could be reduced for excessive rust (see discussion for #3), wall thinning (see discussion for #4), breaches, cracks, creases, broken welds, etc. (see discussion for #5).	YES	NO

Appendix 7 – Payload Container Integrity Checklist (Continued)

CONTAINER EXAMINATION		DISCUSSION OF CRITERIA	COMPLIANCE	
7.	Is the payload container improperly closed?	Inspect the fastener and fastener ring (chine) if applicable for damage or excessive corrosion. Check the alignment of the fastener to ensure that it is in firm contact around the entire lid and the payload container will not open during transportation.	YES	NO
8.	Are there any dents, scrapes, or scratches that make the payload container's structural integrity questionable or prevent the top and bottom surfaces from being parallel?	Deep gouges, scratches, or abrasions over wide areas are not acceptable. If top and bottom surfaces are not parallel, this would indicate that the container is warped. Dents should be less than 1/4 in. deep by 3-inches long and between 1/2 in. to 6-inches wide. All other dents must be examined to determine impact of structural integrity.	YES	NO
9.	Is there discoloration which would indicate leakage or other evidence of leakage of material from the payload container?	Examine the payload container regions near vents, top lid fittings, bottom fittings, welds, seams and intersections of one or more metal sheets or plates. Payload containers must be rejected if evidence of leakage is present.	YES	NO
10.	Is the payload container bulged?	For the purposes of this examination, bulging is indicated by: A.1 A fairly uniform expansion of the sidewalls, bottom, or top (e.g., in the case of a drum, either the top or bottom surface protrudes beyond the planar surface of the top or bottom ring. A.2 A protrusion of the side wall (e.g., in the case of a drum, beyond a line connecting the peaks of the surrounding rolling hoops or a line between a surrounding rolling hoop and the bottom or top ring), or A.3 Expansion of the sidewall (e.g., in the case of a drum, such that it deforms any portion of a rolling hoop).	YES	NO

Appendix 7 – Payload Container Integrity Checklist (Continued)

References to Appendix 7

1. INEEL Engineering Design File “*Waste Container Integrity Evaluation for Storage*”, EDF-RWMC-705, September 25, 1996. Idaho National Engineering and Environmental Laboratory, Idaho Falls, ID
2. Title 49 CFR Part 173, Subpart 475. “*Quality Control Requirements Prior to Each Shipment of Class 7 (Radioactive) Materials.*” *Code of Federal Regulations*, Washington, D.C., Office of the Federal Register, National Archives and Records Administration. (<http://www.ecfr.gov>)
3. DOE/RL-96-57, Section 2.5.5. “*Test & Evaluation Document for the U. S. Department of Transportation Specification 7A type to Packaging*” (Formerly WHC-EP-0558)
(<http://rampac.energy.gov/certinfo/special/noncertified/dot7a/pdot7a.aspx>)

Appendix 8 – Payload Management of TRU Alpha Activity Concentration

1.0 Scope

The policies and methods for the management of TRU alpha activity concentration within each TRU waste payload container disposed of at the WIPP are set out in this appendix. They are based on the definition of TRU waste in the *WIPP LWA*, Public Law 102-579. The LWA defines TRU waste as:

“...waste containing more than 100 nanocuries of alpha emitting transuranic isotopes per gram of waste, with half lives greater than 20 years...” (Sec. 2[18]).

This appendix pertains specifically to the payload management of TRU alpha activity concentration of waste containers selected for overpacking.

2.0 Policies

The Office of National TRU Program has established the following policies for managing TRU alpha activity concentration in compliance with the LWA: (References 1, 2, and 3)

- The TRU alpha activity concentration limit for TRU waste (> 100 nCi/g) applies to the TRU waste stream as a whole.
- Waste containers belonging to a TRU waste stream may vary in their TRU alpha activity concentration, some containing > 100 nCi/g and some containing < 100 nCi/g. Using process knowledge in combination with radioassay measurements to determine the presence of TRU isotopes within the waste stream, generator sites define a TRU waste stream based on its potential to include waste containers with a TRU alpha activity concentration in excess of 100 nCi/g.
- Waste containers belonging to the same TRU waste stream may be overpacked into a payload container (e.g., SWB or TDOP) provided the TRU alpha activity concentration of the payload container exceeds 100 nCi/g.

Appendix 8 – Payload Management of Transuranic (TRU) Alpha Activity Concentration
(Continued)

3.0 Prerequisites for Implementation

- Each waste container selected for payload management must be part of the TRU waste stream identified in the AK Summary Report for that waste stream (References 2 and 3).
- Sites shall submit to the CBFO, for its review and approval, applicable plans and procedures for making TRU waste determinations based on payload management practices that involve the overpacking of waste containers (Reference 2).
- CBFO will notify the EPA of sites seeking such authorization prior to CBFO's approval of a site to manage TRU alpha activity concentration using payload management. The WIPP will not accept payload managed waste for disposal until EPA has received notice (Reference 3).

4.0 Implementation and Practice

- Each TRU waste stream selected for payload management must include in its AK Summary Report an estimate of the total waste volume and the percentage of the waste volume that is above and below 100 nCi/g (It should be noted that this information, although based on the best available AK information, is preliminary and subject to the performance of WIPP certified NDA measurements and cannot and will not be used as a measure of AK accuracy) (Reference 3).
- Each waste container selected for payload management must contain at least one TRU isotope (e.g., Pu-238, Pu-239, Pu-240, Pu-242) whose activity exceeds the LLD of the radioassay system used to characterize the waste (References 2 and 3). The applicability of LLD will vary from system to system and may be on a container basis. Sections 3.3.1 and A.3 of this document provide the applicable requirements for determining and reporting LLDs.
- Each waste container selected for payload management may only be overpacked into a payload container (e.g., SWB or TDOP) with other waste containers from the same TRU waste stream.
- The TRU alpha activity concentration of the payload container is determined according to Sections 3.3.3 and 4.3.3 of this document.

Appendix 8 – Payload Management of Transuranic (TRU) Alpha Activity Concentration
(Continued)

5.0 References

1. Public Law 102-579, 106 Stat.4777, 1992 (as amended by Public Law 104-201, 1996). *Waste Isolation Pilot Plant Land Withdrawal Act* (<http://www.wipp.energy.gov/library/cra/baselinetool/documents/regulatory%20tools/10%20WIPPLWA1996.pdf>)
2. Letter to Mr. Frank Marcinowski (Director, Office of Radiation and Indoor Air, U.S. Environmental Protection Agency) from Dr. Ines R. Triay (Manager, Carlsbad Field Office, U.S. Department of Energy), August 4, 2003
3. Letter to Dr. Ines R. Triay (Manager, Carlsbad Field Office, U.S. Department of Energy) from Mr. Frank Marcinowski (Director, Office of Radiation and Indoor Air, U.S. Environmental Protection Agency), August 8, 2003

Appendix 9 – Radiography Requirements for Contact-Handled Transuranic Waste

9.1 Radiography Requirements for Contact-Handled Waste

Radiography aids in the examination and identification of containerized waste. All activities required to achieve radiography objectives shall be described in site Program documents as identified in Appendix 4, Table B-2. These documents shall include instructions specific to the radiography systems used at the site. This appendix applies to radiography of CH waste; requirements for radiography of RH waste are found in the WCPIP.

A radiography system (e.g., real-time radiography or digital radiography/computed tomography), normally consists of an x-ray producing device, an imaging system, an enclosure for radiation protection, a waste container handling system, an audio/video recording system, and an operator control and data acquisition station. Although these six components are required, it is expected there will be some variation within a given component between sites. The radiography system shall have controls or an equivalent process which allow the operator to control image quality. On some radiography systems, it should be possible to vary the voltage between 150 and 400 kilovolts to provide an optimum degree of penetration through the waste.

To perform radiography, the waste container is scanned while the operator views the video monitor. An audio/video recording shall be made of the waste container scan and is maintained as a non-permanent record. A radiography data form shall also be used to document the Waste Matrix Code; verify there are no ignitable, reactive, or corrosive wastes present by verification that there is no observable liquid in excess of the waste acceptance criteria and there are no compressed gases; and estimated waste material parameter weights of the waste.

The estimated waste material parameter and weights for CH waste should be determined by compiling an inventory of waste items and packaging materials. The items on this inventory should be sorted by waste material parameter and combined with a standard weight look-up table to provide an estimate of waste material parameter weights.

Containers whose contents prevent full examination of the remaining contents shall be subject to visual examination unless the site certifies that visual examination would provide no additional relevant information for that container using acceptable knowledge for the waste stream.

For containers which contain classified shapes and undergo radiography, the radiography recording shall be considered classified information. The radiography data forms will not contain classified information.

Appendix 9 – Radiography Requirements for Contact-Handled Waste (Continued)

9.2 Radiography Training

The radiography system involves qualitative and semi-quantitative evaluations of visual displays. Operator training and experience are the most important considerations for assuring quality controls in regard to the operation of the radiography system and for interpretation and disposition position of radiography results. Only trained and qualified radiography operators shall be allowed to operate radiography equipment.

Standardized training and qualification requirements for radiography operators shall be based upon existing industry standard training requirements and shall comply with the training and qualification requirements of this document and the QAPD.

The site shall develop a training program that provides radiography operators with both formal and OJT. Radiography operators shall be instructed in the specific waste generating practices, typical packaging configurations, and associated waste material parameters expected to be found in each Waste Matrix Code at the site.

The OJT and apprenticeship shall be conducted by an experienced, qualified radiography operator prior to qualification of the training candidate. The training programs shall be site-specific due to differences in equipment, waste configurations, and the level of waste characterization efforts. For example, certain sites use digital radiography equipment, which is more sensitive than real-time radiography equipment. In addition, the particular physical forms and packaging configurations at each site will vary; therefore, radiography operators shall be trained on the types of waste that are generated, stored, or characterized at that particular site.

The training program shall contain the following elements:

- Project Requirements
- State and Federal Regulations
- Basic Principles of Radiography
- Radiographic Image Quality
- Radiographic Scanning Techniques
- Application Techniques
- Radiography of Waste Forms
- Standards, Codes, and Procedures for Radiography
- Site-Specific Instruction

Appendix 9 – Radiography Requirements for Contact-Handled Waste (Continued)

The training program shall also contain OJT which addresses:

- System Operation
- Identification of Packaging Configurations
- Identification of Waste Material Parameters
- Weight and Volume Estimation
- Identification of Prohibited Items

Radiography test drums shall contain items common to the waste streams to be generated and stored at the site. The test drums shall be divided into layers with varying packing densities or different drums may be used to represent different situations that may occur during radiography examination at the site. Test drums shall be representative of the waste matrix codes for which WSPF approval is sought. Test drums shall be examined and successfully identified prior to waste stream shipment. The following is a list of required elements of a radiography test drum:

- A punctured aerosol can
- Pigtailed polyliners (horsetail bag)
- Pair of coveralls
- Empty bottle
- Irregular shaped pieces of wood
- Empty one-gallon paint can
- Full container
- Aerosol can with fluid
- One-gallon bottle with three tablespoons of fluid
- One-gallon bottle with one cup of fluid (upside down)
- Leaded glove or leaded apron
- Wrench

These items shall be successfully identified by the operator as part of the qualification process. Qualifications of radiography operators shall, at a minimum, encompass the following requirements:

- Successfully pass a comprehensive exam based upon training enabling objectives. The comprehensive exam will address all of the radiography operations, documentation, characterization and procedural elements stipulated in this WAC.
- Perform a practical capability demonstration in the presence of appointed site radiography SME. The person will be an experienced radiography operator who is also qualified as an OJT trainer.

Appendix 9 – Radiography Requirements for Contact-Handled Waste (Continued)

Re-qualifications of operators are based on evidence of continued satisfactory performance (primarily audio/video recording reviews), and shall be done at least every two years. Unsatisfactory performance will result in disqualification. Unsatisfactory performance is defined as the misidentification of a prohibited item in a training drum or a score of less than 80 percent on the comprehensive exam. Retraining and demonstration of satisfactory performance are required before a disqualified operator is again allowed to operate the radiography system.

A training drum with internal containers of various sizes shall be scanned semiannually by each operator. The audio/video recording shall then be reviewed by a supervisor to ensure that operator's interpretations remain consistent and accurate. Imaging system characteristic shall be verified on a routine basis.

9.3 Quality Control

Independent replicate scans and replicate observations of the video output of the radiography process shall be performed under uniform conditions and procedures. Independent replicate scans shall be performed on one waste container per day or once per testing batch, whichever is less frequent. Independent observation of one scan (not the replicate scan), shall be made once per day or once per testing batch, whichever is less frequent, by a qualified radiography operator other than the individual who performed the first examination. A testing batch is a suite of waste containers undergoing radiography using the same testing equipment. A testing batch can be up to 20 waste containers without regard to waste matrix.

Oversight functions include periodic audio/video recording reviews of accepted waste containers by a qualified radiography operator other than the operator who dispositioned the waste container. The results of this independent verification shall be made available to the radiography operator.

9.4 Data Review and Validation

A testing BDR for data validation and QA purposes is required when radiography is used to characterize waste. A testing BDR (or equivalent), includes data pertaining to radiography for up to 20 waste containers or samples.

Appendix 9 – Radiography Requirements for Contact-Handled Waste (Continued)

All measurement data is reviewed and approved by qualified personnel prior to being reported. Reviews shall meet the requirements of the QAPD. At a minimum, the data is reviewed by an independent technical reviewer and approved by the SPM. This review is performed by an individual other than the data generator who is qualified to have performed the initial work. The independent technical reviewer shall verify, at a minimum, the following information:

- Data generation and reduction is conducted in a technically correct manner in accordance with the methods used (verification of procedure and revision).
- Data is reported in the proper units and correct number of significant figures.
- Calculations are verified by a valid calculation program, a spot check of verified calculation programs, and/or 100 percent check of all hand calculations.
- Values that are not verifiable to within rounding or significant difference discrepancies shall be rectified prior to completion of independent technical review.
- The data is reviewed for transcription errors.
- The testing QA documentation for BDRs is complete and includes, as applicable, raw data, calculation records, calibration records (or references to an available calibration package), list of containers in the batch, and QC sample results. Corrective action is taken to ensure that all BDRs are complete and include all necessary raw data prior to completion of the independent technical review.
- QC sample results are within established control limits and, if not, the data have been appropriately dispositioned using the nonconformance process. This includes complete summarized qualitative and quantitative data for all waste containers with data flags or qualifiers.
- Radiography tapes are reviewed (independent observation) on a waste container basis at a minimum of once per testing batch or once per day of operation, whichever is less frequent.
- The container contains no indication that there is liquid in excess of this waste acceptance criteria, no indication of compressed gas, no indication of incompatible wastes, and the physical form matches the Waste Matrix Code.
- The appropriate QAOs have been met.

Appendix 9 – Radiography Requirements for Contact-Handled Waste (Continued)

| All data must be approved by the SPM. The SPM shall verify, at a minimum, the following information:

- Data generation-level independent technical review, validation, and verification have been performed as evidenced by the completed review checklists and appropriate signature release. Batch data review checklists are complete.
- BDRs are complete and data are properly reported (e.g., data are reported in the correct units and with the correct number of significant figures).
- Data meet all applicable Quality Assurance Objectives.

The SPM shall provide a SPM Summary and a Data Validation Summary for each BDR. These reports may be combined and shall consist of a detailed checklist documenting that the batch has been adequately reviewed and that the data meet program objectives.

To ensure that data of known and documented quality are generated, each participating measurement facility shall implement a documented facility QA program. Facility QA programs shall specify qualitative and quantitative acceptance criteria for the QC checks of this program, and corrective actions to be taken when these criteria are not satisfied. Only appropriately trained and qualified personnel shall be allowed to perform data validation/review.

Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste

10.1 Visual Examination Requirements for Contact-Handled Waste

This appendix applies to visual examination requirements for CH waste; requirements for visual examination of RH waste are found in the WCPIP.

Contact handled waste container contents may be verified directly by performing VE on the waste container contents. Visual examination may also be performed during packaging or repackaging of waste. The CCP performs VE in accordance with the procedures found in Appendix 4, Table B-3.

VE does not require audio/video recordings of the examination; the examination is documented on a data form and certified with signatures from two qualified VE operators. If the second operator cannot verify the descriptions of the first operator, corrective actions will be taken in accordance with the established QA Program.

VE shall be conducted to describe all contents of a waste container and includes estimated or measured weights of the contents. The description shall clearly identify all discernible waste items, packaging materials, and waste material parameters in the waste container. VE activities are documented on VE data forms.

VE video/audio recordings of containers that contain classified shapes shall be considered classified information. Visual examination data forms will not contain classified information.

Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste (Continued)

10.2 Visual Examination Training

VE shall consist of a semi-quantitative and qualitative evaluation of the waste container contents and may be recorded on audio/video recording media. Standardized training for VE includes both formal classroom training and OJT. Personnel performing VE shall be instructed in the specific waste generating processes, typical packaging configurations, and the waste material parameters expected to be found in each Waste Matrix Code at the site. The OJT and apprenticeship shall be conducted by an operator experienced and qualified in VE prior to qualification of the candidate. The training shall be site-specific to include the various waste configurations at the site. For example, the particular physical forms and packaging configurations at each site will vary so operators shall be trained on types of waste that are generated, stored, or characterized at that particular site. VE operators need only be trained to the physical forms and packaging configurations used on the waste stream that they are examining and packaging. VE personnel shall be requalified once every two years.

Training shall address the following required elements:

- Project Requirements
- State and Federal Regulations
- Application Techniques
- Site-Specific Instruction

Training shall also include OJT that addresses:

- Identification of Packaging Configurations
- Identification of Waste Material Parameters
- Weight and Volume Estimation
- Identification of Prohibited Items

The SPM appoints each Visual Examination Expert (VEE) and ensures the appointment is facility-specific. The VEE shall be familiar with the waste generating processes that have taken place at the site and will also be familiar with all types of waste being characterized at that site. The VEE shall be responsible for the overall direction and implementation of the visual examination at that facility. The VEE shall receive training in the same elements as the visual examination personnel, including both formal training and OJT. Qualification of a VEE shall be based on familiarity with waste generating processes, familiarity with the types of waste being characterized, and meeting the training requirements discussed above. Consistent with other VE personnel, the VEE shall be requalified once every two years. CCP-QP-002 specifies the selection, qualification and training requirements for the VEE.

Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste (Continued)

10.3 Method

Visual examination recorded on video/audio media meet the following minimum requirements:

- The audio/video media shall record the waste packaging event for the container such that all waste items placed into the container are recorded in sufficient detail and shall contain an inventory of waste items in sufficient detail that another trained VE operator can identify the associated waste material parameters.
- The video/audio media shall capture the waste container identification number.
- The personnel loading the waste container shall be identified on the video/audio media or on packaging records traceable to the loading of the waste container.
- The date of loading of the waste container will be recorded on the video/audio media or on packaging records traceable to the loading of the waste container.

VE performed using two operators shall meet the following minimum requirements:

- At least two site personnel who witnessed the packaging of the waste shall approve the data forms or packaging records attesting to the contents of the waste container.
- The data forms or packaging records shall contain an inventory of waste items in sufficient detail that a trained VE operator can identify the associated waste material parameters.
- The container identification number shall be recorded on the data forms or packaging records.

A description of the waste container contents is recorded on a VE data form. The description clearly identifies all waste material parameters and provides enough information to estimate weights of waste material parameters. In cases where bags are not opened, a brief written description of the contents of the bags shall contain an estimate of the amount of each waste type in the bags. The written records of VE are supplemented with the audio/videotape recording, if applicable.

Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste (Continued)

10.4 Data Review and Validation

A testing BDR for data validation and QA purposes is required when VE is used to characterize waste. A BDR (or equivalent), includes data pertaining to VE for up to 20 waste containers or samples.

All measurement data is reviewed and approved by qualified personnel prior to being reported. Reviews meet the requirements of the QAPD. At a minimum, the data is reviewed by an independent technical reviewer and approved by the SPM. This review is performed by an individual other than the data generator who is qualified to have performed the initial work. The independent technical reviewer shall verify, at a minimum, the following information:

- Data generation and reduction were conducted in a technically correct manner in accordance with the methods used (verification of procedure and revision).
- Data were reported in the proper units and correct number of significant figures.
- Calculations have been verified by a valid calculation program, a spot check of verified calculation programs, and/or 100 percent check of all hand calculations.
- Values that are not verifiable to within rounding or significant difference discrepancies must be rectified prior to completion of independent technical review.
- The data have been reviewed for transcription errors.
- The testing QA documentation for BDRs is complete and includes, as applicable, raw data, calculation records and list of containers in the batch. Corrective action will be taken to ensure that all BDRs are complete and include all necessary raw data prior to completion of the independent technical review.
- The container contains no indication that there is liquid in excess of this waste acceptance criteria, no indication of compressed gas, no indication of incompatible wastes, and the physical form matches the Waste Matrix Code.
- The appropriate QAOs have been met.

Appendix 10 – Visual Examination Requirements for Contact-Handled Transuranic Waste (Continued)

| All data must be approved by the SPM. The SPM shall verify, at a minimum, the following information:

- Data generation-level independent technical review, validation, and verification were performed as evidenced by the completed review checklists and appropriate signature release. Batch data review checklists are complete.
- BDRs are complete and data are properly reported (e.g., data are reported in the correct units and with the correct number of significant figures).
- Data meet all applicable Quality Assurance Objectives.

The SPM shall provide a SPM Summary and a Data Validation Summary for each BDR. These reports may be combined and shall consist of a detailed checklist documenting that the batch has been adequately reviewed and that the data meet program objectives.

To ensure that data of known and documented quality are generated, each participating measurement facility shall implement a documented facility QA program. Facility QA programs shall specify qualitative and quantitative acceptance criteria for the QC checks of this program, and corrective actions to be taken when these criteria are not satisfied. Only appropriately trained and qualified personnel shall be allowed to perform data validation/review.

CCP-TP-069

Revision 6

CCP

Sealed Source Visual Examination and Packaging

EFFECTIVE DATE: 06/06/2013

Mike Ramirez

PRINTED NAME

APPROVED FOR USE

RECORD OF REVISION

Revision Number	Date Approved	Description of Revision
0	03/03/2005	Initial Issue.
1	04/05/2005	Revised to address Carlsbad Field Office (CBFO) Document Review Record (DRR) comments.
2	05/11/2005	Revised to address Carlsbad Field Office (CBFO) Document Review Record (DRR) adequacy review comments.
3	12/07/2005	Revised to add capability to package waste in containers other than pipe overpacks.
4	11/16/2006	Revised to implement the Waste Isolation Pilot Plant Hazardous Waste Facility Permit requirements resulting from the Section 311/Remote-Handled (RH) Permit Modification Request (PMR).
5	11/09/2010	Revised to clarify the specification of dunnage used in the payload area of a Standard Pipe Overpack Component (POC) and Standard 55-gallon drum, expand the weights in Attachment 2, Weights for Standardized OSRP POC Packaging Configurations, and includes other minor corrections.
6	06/06/2013	Revised to clarify Visual Examination (VE) process and paperwork.

TABLE OF CONTENTS

1.0 PURPOSE 4
1.1 Scope..... 4

2.0 REQUIREMENTS..... 4
2.1 References 4
2.2 Training Requirements..... 5
2.3 Precautions and Limitations..... 5
2.4 Prerequisite Actions..... 5
2.5 Definitions 6

3.0 RESPONSIBILITIES..... 7
3.1 VE Expert..... 7
3.2 VE Operator..... 7
3.3 VE Packager..... 7
3.4 VE Recorder 7
3.5 Independent Technical Reviewer (ITR)..... 7
3.6 Facility Records Custodian 8

4.0 PROCEDURE..... 9
4.1 VE and Packaging of OSRP Sealed Sources 9
4.2 VE BDR Preparation 13
4.3 VE Independent Technical Review 14
4.4 VE BDR Submittal 14

5.0 RECORDS..... 16

LIST OF ATTACHMENTS

Attachment 1 – Waste Material Parameters (WMPs) and Descriptions 17
Attachment 2 – Weights for Standardized OSRP POC Packaging Configurations 18
Attachment 3 – POC Assembly Top View Cross-Pattern Torque Sequences..... 19
Attachment 4 – Container Packaging and Visual Examination Data Record..... 20
Attachment 5 – Visual Examination Batch Data Report Cover Page 23
Attachment 6 – Visual Examination Batch Data Report Table of Contents 24
Attachment 7 – Visual Examination Independent Technical Reviewer Review Checklist... 25

1.0 PURPOSE

The purpose of this procedure is to describe how to perform the Sealed Source Visual Examination (VE) Technique, identify sealed sources, package sealed sources, and prepare and review Batch Data Reports (BDRs) generated from the Sealed Source VE process.

This procedure is designed to be performed in conjunction with Los Alamos National Laboratory (LANL) Off-site Source Recovery Project (OSRP) operating procedures that address the recovery of sources. This procedure provides the actions for the collection of VE data and information required by CCP-PO-001, *CCP Transuranic Waste Characterization Quality Assurance Project Plan* and CCP-PO-002, *CCP Transuranic Waste Certification Plan*.

1.1 Scope

Sealed Source VE is performed to identify sealed sources and their weights, verify the physical form of the sealed sources, verify the absence of prohibited items, and confirm the waste stream based on acceptable knowledge (AK). The VE results are recorded on data forms associated with this procedure.

2.0 REQUIREMENTS

2.1 References

Baseline Documents

- *Waste Isolation Pilot Plant Hazardous Waste Facility Permit, NM4890139088-TSDF, Attachment B, Waste Analysis Plan (WAP)*
- *DOE/WIPP-02-3122, Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant*
- *NRC Docket 71-9218, TRUPACT-II Safety Analysis Report*

Referenced Documents

- *CCP-PO-001, CCP Transuranic Waste Characterization Quality Assurance Project Plan*
- *CCP-PO-002, CCP Transuranic Waste Certification Plan*
- *CCP-QP-002, CCP Training and Qualification Plan*
- *CCP-QP-005, CCP TRU Nonconforming Item Reporting and Control*

- CCP-QP-008, *CCP Records Management*
- CCP-AK-LANL-008, *Central Characterization Program Acceptable Knowledge Summary Report For Los Alamos National Laboratory Off-Site Source Recovery Project Sealed Sources*

2.2 Training Requirements

- 2.2.1 Personnel performing this procedure to characterize sealed sources will be trained and qualified in accordance with CCP-QP-002, *CCP Training and Qualification Plan*.

2.3 Precautions and Limitations

2.3.1 Facility Requirements

- [A] This procedure augments, but **DOES NOT** supersede applicable requirements of the facilities in which the activities are conducted.
- [B] This procedure **DOES NOT** address radiological protection requirements. All activities described shall be conducted in accordance with work plans, procedures, or other process controls generated by the facility where the work is performed.

2.3.2 Safety Precautions

- [A] If workers observe a hazardous condition while conducting work according to this procedure, they must discontinue work, notify their immediate supervisor, group leader or other management representative, and follow instructions in accordance with the OSRP procedures required by LANL.

2.3.3 Conditions Adverse to Quality

- [A] If a worker identifies a nonconforming item or process, the individual(s) identifying the condition shall initiate a nonconformance report (NCR) in accordance with CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*.

2.4 Prerequisite Actions

- 2.4.1 VE Operator, verify the torque wrench is calibrated.

2.5 Definitions

2.5.1 **Sealed Source** – Any radioactive material that is physically encased in a capsule, rod, element, etc. that prevents the leakage or escape of the special nuclear material and that prevents removal of the radioactive material without penetration of the casing.

2.5.2 **Regulatory Definitions**

[A] 10 Code of Federal Regulations (CFR) § 30.4: Sealed source means any byproduct material that is encased in a capsule designed to prevent leakage or escape of the byproduct material.

[B] 10 CFR § 835.2: Sealed radioactive source means a radioactive source manufactured, obtained, or retained for the purpose of utilizing the emitted radiation. The sealed radioactive source consists of a known or estimated quantity of radioactive material contained within a sealed capsule, sealed between layer(s) of non-radioactive material, or firmly fixed to a non-radioactive surface by electroplating or other means intended to prevent leakage or escape of the radioactive material. Sealed radioactive sources do not include reactor fuel elements, nuclear explosive devices, and radioisotope thermoelectric generators.

3.0 RESPONSIBILITIES

3.1 VE Expert

3.1.1 Responsible for overall direction and implementation of the VE Operations.

3.2 VE Operator

3.2.1 Performs VE operations as either the VE Packager or the VE Recorder.

3.2.2 Prepares and paginates the BDR.

3.3 VE Packager

- Visually inspects OSRP sealed sources.
- Places OSRP sealed sources into containers.
- Verifies entries made by the VE Recorder on Attachment 4, Container Packaging and Visual Examination Data Record.

3.4 VE Recorder

- Acts as the second VE Operator during VE, and placement of sealed sources into payload containers.
- Verifies the VE Packager's observations by reviewing the contents of the container to ensure correct reporting.
- Documents Sealed Source VE operation data on Attachment 4.

3.5 Independent Technical Reviewer (ITR)

NOTE

Independent Technical Reviewer (ITR) review is performed by a qualified individual other than the VE Operators who performed the initial work and who was not involved in the generation or recording of the data under review.

3.5.1 Reviews the BDR and completes Attachment 7, Visual Examination Independent Technical Reviewer Review Checklist.

3.5.2 Reconciles possible errors with VE Operator(s) as necessary.

3.6 Facility Records Custodian

3.6.1 Receives, process, and transmits the VE BDR in accordance with CCP-QP-008, *CCP Records Management*.

4.0 PROCEDURE

NOTE

The steps outlined in Section 4.1 DO **NOT** have to be performed in sequence to maintain worker doses as low as reasonably achievable (ALARA).

4.1 VE and Packaging of OSRP Sealed Sources

VE Operator

- 4.1.1 Enter the Container Identifier (on all applicable pages of Attachment 4), name of the VE Packager, and name of the VE Recorder.
- 4.1.2 Indicate the container configuration used on Attachment 4, Page 1.
- 4.1.3 Record the drum lid filter and Pipe Overpack Component (POC) filter information, if applicable, on Attachment 4, Page 1. If a POC is not used, then record NA in the applicable POC-related sections.
- 4.1.4 For all items being loaded into the container, visually examine and verify by checking the box using Attachment 4, Page 1:
 - The sealed source(s) meets a regulatory definition.
 - The outer casing is made of non-Volatile Organic Compound (VOC) bearing material.
 - That each sealed source is, or is contained in, a rigid sealed container less than or equal to 4 liters in size.
 - That the items match the waste stream description, the waste matrix code, and physical form/summary category group provided by AK.
 - That there are no non-packaging items placed in the container other than the source(s).
 - Layers of confinement do not exceed those specified in CCP-AK-LANL-008, *Central Characterization Program Acceptable Knowledge Summary Report For Los Alamos National Laboratory Off-Site Source Recovery Project Sealed Sources*.
 - If POC lid and body have visible serial numbers, ensure those serial numbers match. If POC body serial number is not visible, enter NA in Attachment 4, Page 1.

4.1.5 For each item being loaded into the container, visually examine and record the following information on Attachment 4, Page 2:

- Sealed Source Description and Identifier (i.e., item identifier and isotope[s]).
- The waste material parameter (WMP) category (Attachment 1, Waste Material Parameters [WMPs] and Descriptions). If more than one waste material parameter is associated with an item, use as many lines as needed. Sealed source WMP category is "OM" for other metal.

NOTE

Data are reported with the correct number of significant figures (one decimal place).

- Estimated item weight in grams.
- Any comments.
- Line through unused item boxes or enter "NA" in each unused item boxes.

4.1.6 Load source(s) into container.

4.1.7 Indicate by checking the appropriate box, if prohibited hazardous items or conditions are present or not present for each item on Attachment 4, Page 3.

4.1.8 Sum and record the estimated weights in kilograms for all items on Attachment 4, Page 2.

4.1.9 For standard pipe overpack (12" pipe component) or standard 55-gallon drum (no POC), if additional internal dunnage was used to center and secure the sources, record the estimated weight for dunnage, the description, and WMP on Attachment 4, Page 2. Choose "None" if no additional dunnage was used. For S100, S200A, S200B, and S300 containers choose "NA".

4.1.10 Record the container total weight for the packaging configuration from Attachment 2, Weights for Standardized OSRP Packaging Configurations, on Attachment 4, Page 2.

4.1.11 Record the total estimated weight of the loaded container.

4.1.12 Record the container percent full on Attachment 4, Page 2.

4.1.13 Enter sealed source waste matrix code S5100 on Attachment 4, Page 1.

4.1.14 **IF** a POC is **NOT** used,
THEN GO TO step 4.1.21, **AND** record NA in the applicable POC-related sections of Attachment 4, Page 1.

4.1.15 Carefully align the POC lid and lower the lid onto the POC O-ring and flange (guide pins may be used).

4.1.16 After lid is in contact with O-ring and flange face, minimize any lateral or rotary movement of the lid across the mating flange face to avoid unseating O-ring from groove, or damaging the O-ring.

NOTE

If there is a problem threading the bolt, the appropriate tap in the instrument kit will be used to clean out the threads.

4.1.17 Insert bolts into all lid bolt holes and snug bolts by hand, speed wrench, or a low speed, low torque driver to facilitate initial installation of all bolts.

4.1.18 Using a cross pattern sequence as indicated in the diagram in Attachment 3, POC Assembly Top View Cross-Pattern Torque Sequence, torque all POC bolts using a calibrated torque wrench.

[A] Torque the 12" POC to 65 ft-lb (± 5 ft-lb)

[B] Torque the 6" POC to 40 ft-lb (± 4 ft-lb)

4.1.19 Re-torque each closure bolts using a circular bolt to bolt torquing sequence, ensure all bolts are properly torqued.

4.1.20 Record torque value, torque wrench identification number, and calibration due date in POC Bolt Torque section of Attachment 4, Page 1.

4.1.21 **IF** any plastic liner bags are used,
THEN CLOSE them using a "horsetail" or "twist and tape" method.

4.1.22 Replace the packing materials as follows:

[A] Replace fiberboard packing top (i.e., lid) matching pipe bolt heads, hoist ring, and filter with cutouts in fiberboard top.

[B] Install spacer(s) on top of fiberboard liner top, if applicable.

- [C] Install rigid liner lid.
- [D] Ensure the vertical distance between the bottom of the rigid liner lid (i.e., inner surface) and the upper surface (i.e., the top) of the top fiberboard shim is less than or equal to 0.5 inches.
 - [D.1] **IF** the distance exceeds 0.5 inches,
THEN remove the rigid liner lid, **AND** add a sufficient number of shims to bring the distance within range.

4.1.23 CLOSE the drum as follows:

- [A] Install drum lid.
- [B] Install closure ring as follows:
 - [B.1] Orient bolt closure ends downward and over the drum seam.
 - [B.2] Ensure that ring is properly seated on drum.
- [C] Ensure that drum closure bolt is positioned through the unthreaded drum closure ring lug and that the locking nut is positioned between the two ring lugs.
- [D] Thread drum closure bolt through the threaded drum closure ring lug.
- [E] Lightly tighten drum closure bolt, ensuring that locking nut continues to turn freely.
 - [E.1] **IF** there is a problem threading the bolt,
THEN use the appropriate tap to clean out the threads.
- [F] Torque the drum closure bolt as specified in the drum manufacture's closure instructions using a calibrated torque wrench, while tapping around circumference of drum closure ring with a soft-headed hammer or equivalent tool.

NOTE

For example, Myers Drums may be torqued to 40ft-lbs (\pm 4ft-lb) whereas Skolink drums may be torqued to 55 ft-lbs (\pm 5 ft-lb).

- [G] Ensure that ends of drum ring **DO NOT** contact each other.

[H] Record torque value, torque wrench identification number, and calibration due date in Closure Ring Torque section of Attachment 4, Page 1.

[I] Tighten the lock nut against the unthreaded drum closure ring lug.

[I.1] **IF** lock nut cannot be tightened against unthreaded drum closure ring lug,
THEN tighten lock nut against threaded drum closure ring lug.

[J] Apply Tamper Indication Device (TID) to drum.

4.1.24 Both VE Operators (the VE Packager and the VE Recorder) shall review information recorded, include comments as appropriate, **AND** print name, sign, and date the appropriate lines on Attachment 4, Page 3.

4.2 VE BDR Preparation

VE Operator

4.2.1 Assemble the VE BDR using the following forms, as needed:

- Visual Examination Batch Data Report Cover Page (Attachment 5)
- Visual Examination Batch Data Report Table of Contents (Attachment 6)
- Independent Technical Reviewer Review Checklist (Attachment 7)
- Container Packaging and Visual Examination Data Record (Attachment 4)
- Weights for Standardized OSRP POC Packaging Configurations (Attachment 2)
- List of Acceptable Knowledge Identifier(s) (Documentation and/or Source Markings)
- List of Sources in Special Form Capsules
- Copy of Radiological Contamination Surveys
- List of Special Form Documentation

- Copy of NCRs, if applicable
- Other information, if applicable

4.2.2 Assign a unique BDR tracking number using the format LAyy-OSR-VE-nnn, where yy are the last two numbers of the current year (e.g., 13 for 2013) and nnn is a sequential number starting at 001 for the first BDR of each year, **AND** record on Attachment 5, and other attachments, as applicable.

4.2.3 Record the waste stream name and number and the Container Type on Attachment 5.

4.2.4 Record the container identification numbers for each container included in the VE BDR on Attachment 5.

NOTE

Attachment 6 and pagination will **NOT** be completed until the data generation level reviews are complete.

4.2.5 Provide the VE BDR to the VE ITR.

4.3 VE Independent Technical Review

NOTE

VE ITR review is performed by a qualified individual other than the VE Operators who performed the initial work.

4.3.1 Complete the review checklist, by checking the appropriate box, Yes, No, or NA, for each item on Attachment 7, **AND** print name, sign, and date Attachment 7 for the VE BDR.

4.3.2 Reconcile possible errors with VE Operator(s) as needed to ensure precision is maintained.

4.3.3 Accept the VE BDR by printing name, signing, and dating Attachment 5.

4.3.4 Return the VE BDR to a VE Operator for pagination.

4.4 VE BDR Submittal

4.4.1 Paginate the VE BDR.

4.4.2 Complete Attachment 6.

4.4.3 Submit the completed VE BDR to the Facility Records Custodian.

Facility Records Custodian

4.4.4 Receive, process, and transmit all records in accordance with CCP-QP-008.

5.0 RECORDS

5.1 Records generated during the performance of this procedure are maintained as Quality Assurance (QA) records in accordance with CCP-QP-008. The records are the following:

5.1.1 QA/Lifetime

[A] VE BDR

[A.1] Attachment 5 – Visual Examination Batch Data Report Cover Page

[A.2] Attachment 6 – Visual Examination Batch Data Report Table of Contents

[A.3] Attachment 7 – Visual Examination Independent Technical Reviewer Review Checklist

[A.4] Attachment 4 – Container Packaging and Visual Examination Data Record

[A.5] Attachment 2 – Weights for Standardized OSRP POC Packaging Configurations

[A.6] List of Acceptable Knowledge Documentation Identifier(s)

[A.7] List of Sources in Special Form Capsules

[A.8] Copy of Radiological Contamination Surveys

[A.9] List of Special Form Documentation

[A.10] Copy of NCRs, if applicable

[A.11] Other information, if applicable

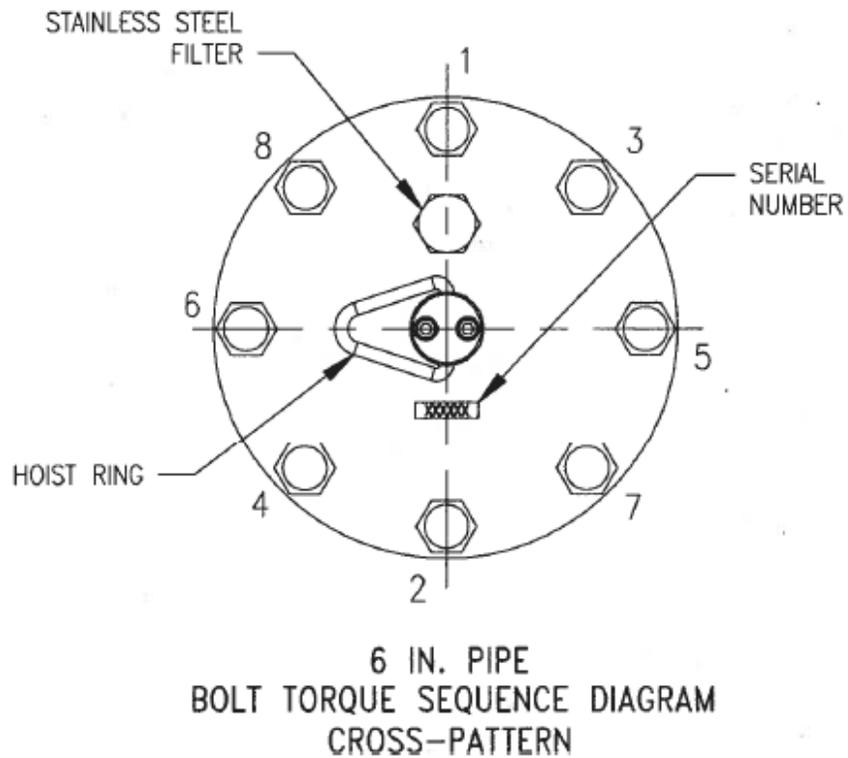
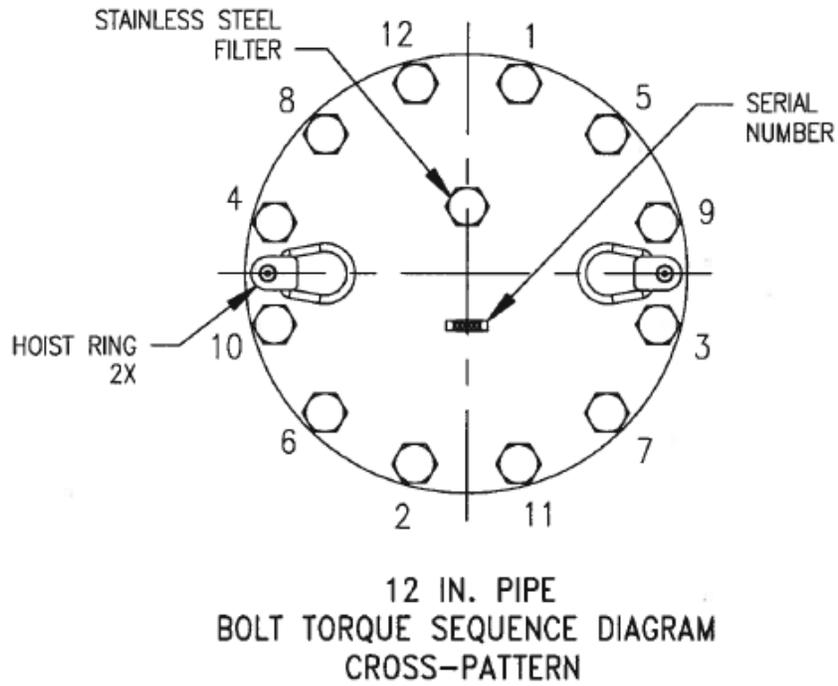
Attachment 1 – Waste Material Parameters (WMPs) and Descriptions

Waste Material Parameter (WMP)	Acronym	Description
Iron-based metals/alloys	IM	Iron and steel alloys in the waste; does not include the container materials
Aluminum-based metals/alloys	AM	Aluminum or aluminum-based alloys in the waste materials
Other metals	OM	All other metals found in the waste materials
Other inorganic materials	OI	Nonmetallic inorganic waste including concrete, glass, firebrick, ceramics, sand, and inorganic sorbents
Cellulosics	C	Materials generally derived from high-polymer plant carbohydrates; (e.g., paper, cardboard, wood, and cloth)
Rubber	R	Natural or man-made elastic latex materials; (e.g., surgeons' gloves, and leaded rubber gloves)
Plastics (waste materials)	PW	Generally man-made materials, often derived from petroleum feedstock; (e.g., polyethylene and polyvinylchloride)
Organic matrix	OR	Cemented organic resins, solidified organic liquids and sludges
Inorganic matrix	IN	Any homogeneous materials consisting of sludge or aqueous-based liquids that are solidified with cement, calcium silicate, or other solidification agents; (e.g., wastewater treatment sludge, cemented aqueous liquids, and inorganic particulates)
Soils/gravel	S	Generally consists of naturally occurring soils that have been contaminated with inorganic waste materials
Steel (packaging materials)	ST	55-gal (208-L) drums
Plastics (packaging materials)	PP	110-mil polyethylene drum liner and plastic bags

Attachment 2 – Weights for Standardized OSRP POC Packaging Configurations

Component	Estimated Component(s) Weight (kg)						
	WMP	Std. 55-gallon drum	Std. Pipe Overpack (12" pipe component)	S100 (6" pipe component plus end shield plugs and sleeve)	S200-A (12" pipe component plus 1" thick shielding insert)	S200-B (12" pipe component plus 0.6" thick shielding insert)	S300 (12" pipe component)
Std. 55-gallon drum	ST	27.7	27.7	27.7	27.7	27.7	27.7
Pipe component	ST	NA	82.0	39.2	82.0	82.0	82.0
110 mil rigid liner and liner lid with 7/8 inch hole	PP	NA	7.6	7.6	7.6	7.6	7.6
Outer cane fiberboard	C	NA	35.1	8.2	35.1	35.1	35.1
Internal POC insert (shield insert body and lid)	PP	NA	NA	9.9	NA	NA	39.2
	OM	NA	NA	NA	79.7	70.3	NA
Shield insert dunnage (Neutron Shielding, Water-Extended Polymer or equivalent)	PP	NA	NA	126.6	8.1	6.8	NA
TOTALS (kg)		27.7	152.4	219.2	240.2	229.5	191.6

Attachment 3 – POC Assembly Top View Cross-Pattern Torque Sequences



Attachment 4 – Container Packaging and Visual Examination Data Record

BDR # _____

Page 1 of 3

Container Identifier		
Printed name of VE Packager		
Printed name of VE Recorder		
Step	Requirement	
1	<p>Indicate the container configuration used (refer to Attachment 2 for configuration types. <i>check one</i>):</p> <p> <input type="checkbox"/> Standard Pipe Overpack (12" pipe component) <input type="checkbox"/> S100 <input type="checkbox"/> S200 A <input type="checkbox"/> S200 B <input type="checkbox"/> S300 <input type="checkbox"/> Standard 55-gallon drum (no POC) </p>	
2	<ul style="list-style-type: none"> • Drum lid filter model: _____ • Drum lid filter serial number: _____ • Drum lid filter manufacture date: _____ • POC lid filter model: _____ • POC lid filter serial number: _____ • POC lid filter manufacture date: _____ 	
3	<p>Verify based on VE:</p> <ul style="list-style-type: none"> • The item(s) meet a sealed source regulatory definition. Yes <input type="checkbox"/> No <input type="checkbox"/> • The outer casing is made of non-VOC bearing material, as the sources are placed in the container Yes <input type="checkbox"/> No <input type="checkbox"/> • That each sealed source/item is, or is contained in, a rigid sealed container less than or equal to 4 liters in size. Yes <input type="checkbox"/> No <input type="checkbox"/> • That the items match the waste stream description, the waste matrix code, physical form/summary category group provided by AK. Yes <input type="checkbox"/> No <input type="checkbox"/> • That there are no non-packaging items placed in the container other than the source(s). Yes <input type="checkbox"/> No <input type="checkbox"/> • Layers of confinement do not exceed those specified in CCP-AK-LANL-008, <i>Central Characterization Program Acceptable Knowledge Summary Report For Los Alamos National Laboratory Off-Site Source Recovery Project Sealed Sources</i> Yes <input type="checkbox"/> No <input type="checkbox"/> • If POC lid and body have visible serial numbers, ensure the serial numbers match Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/> 	<p>Verified</p>
4	<p>Enter the Waste Matrix Code for the sealed sources, based on VE: _____</p>	
5	<p>POC Bolt Torque</p> <ul style="list-style-type: none"> • POC bolts tightened to _____ ft-lbs • Torque Wrench ID # _____ • Calibration due date of torque wrench _____ 	
6	<p>Closure Ring Torque</p> <ul style="list-style-type: none"> • Drum ring bolts tightened to _____ ft-lbs • Torque Wrench ID # _____ • Calibration due date of torque wrench _____ 	

Attachment 4 – Container Packaging and Visual Examination Data Record (Continued)

BDR # _____

Page 2 of 3

Container Identifier				
Record or verify the requested information for each sealed source as it is loaded into the container.				
Item #	Sealed Source Description and Identifier	WMP*	Estimated Weight (indicate grams)	Comments (if any)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
Total estimated weight (kg) for each WMP listed for above items.			kg	
Total estimated weight, description, and WMP for dunnage used within the payload area of the Standard POC or Standard 55-gallon drum, if any.			NA <input type="checkbox"/>	Description
			None <input type="checkbox"/>	WMP
Total estimated weight for packaging configuration (using appropriate drum weight from Attachment 2).			kg	
Total estimated weight (kg) for loaded container.			kg	
Container percent full.			%	

If the list of items is continued on additional pages, attach and number added pages appropriately.* WMP categories are listed in Attachment 1 of CCP-TP-069; WMP should be OM for OSRP sealed sources. If a source is comprised of mixed WMPs, each WMP will be entered on a separate line.

† Conversion factors: 0.002205 pounds per gram; 453.59 grams per pound

Attachment 4 – Container Packaging and Visual Examination Data Record (Continued)

BDR # _____

Page 3 of 3

Container Identifier		
Present	Not Present	Prohibited Hazardous Items or Conditions
<input type="checkbox"/>	<input type="checkbox"/>	1. Liquid waste. Observable liquid shall be no more than 1 percent by volume of the outermost container at the time of radiography or visual examination. Internal containers with more than 60 milliliters or 3 percent by volume observable liquid, whichever is greater, are prohibited. Containers with HWN U134 shall have no observable liquid.
<input type="checkbox"/>	<input type="checkbox"/>	2. Non-radionuclide pyrophoric material.
<input type="checkbox"/>	<input type="checkbox"/>	3. Hazardous waste not occurring as co-contaminates with TRU mixed wastes.
<input type="checkbox"/>	<input type="checkbox"/>	4. Waste incompatible with backfill, seal and panel closure materials, container and packaging materials, shipping container materials, or other wastes.
<input type="checkbox"/>	<input type="checkbox"/>	5. Explosives.
<input type="checkbox"/>	<input type="checkbox"/>	6. Compressed gas or potentially pressurized containers.
<input type="checkbox"/>	<input type="checkbox"/>	7. Wastes with Polychlorinated Biphenyl (PCB) compounds not authorized under an EPA PCB Waste Disposal Authorization.
<input type="checkbox"/>	<input type="checkbox"/>	8. Wastes exhibiting the characteristic of ignitability.
<input type="checkbox"/>	<input type="checkbox"/>	9. Wastes exhibiting the characteristic of reactivity.
<input type="checkbox"/>	<input type="checkbox"/>	10. Wastes exhibiting the characteristic of corrosivity.
<input type="checkbox"/>	<input type="checkbox"/>	11. Radioactive pyrophorics greater than or equal to 1% by weight of the container that have not been rendered nonreactive.
<input type="checkbox"/>	<input type="checkbox"/>	12. Inadequately blocked or braced sharp or heavy items.
<input type="checkbox"/>	<input type="checkbox"/>	13. Sealed containers greater than 4 liters.
Comments, including the identifiers of any relevant NCRs.		
I certify that I have visually examined each item loaded into this container, and that the above information is correct. I also verify that no items other than those listed on this form have been placed into this container.		
_____	_____	_____
Printed Name VE Packager	Signature	Date
I certify that I have visually examined each item loaded into this container, and that the above information is correct. I also verify that no items other than those listed on this form have been placed into this container.		
_____	_____	_____
Printed Name VE Recorder	Signature	Date

Attachment 5 – Visual Examination Batch Data Report Cover Page

VE BDR Number:	
Waste stream number:	Container Type:

Container Identifiers included in this VE BDR			
1		11	
2		12	
3		13	
4		14	
5		15	
6		16	
7		17	
8		18	
9		19	
10		20	

VE Independent Technical Reviewer

(Printed Name)

(Signature)

(Date)

Attachment 6 – Visual Examination Batch Data Report Table of Contents

VE BDR _____

Table of Contents		
Item	Description	Page No.
1	Visual Examination Batch Data Report Cover Page (Attachment 5)	
2	Visual Examination Batch Data Report Table of Contents (Attachment 6)	
3	Visual Examination Independent Technical Reviewer Review Checklist (Attachment 7)	
4	Container Packaging and Visual Examination Data Record(s) (Attachment 4)	
5	Weights for Standardized OSRP Packaging Configurations (Attachment 2)	
6	List of Acceptable Knowledge Documentation Identifier	
7	List of Sources in Special Form Capsules	
8	Copy of Radiological Contamination Surveys	
9	List of Special Form Documentation	
10	Copy of NCRs, if applicable	
11	Other information (if any; otherwise enter NA for page number)	

Attachment 7 – Visual Examination Independent Technical Reviewer Review Checklist

Page 1 of 1

VE BDR _____

This review verifies that all quality assurance and quality control requirements are met at the data generation level as stated in this procedure.

Criteria Met?	Required Criterion
<input type="checkbox"/> Yes <input type="checkbox"/> No	1. The correct revision of CCP-TP-069 was used? Note revision number, R____.
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA	2. Deviations, if any, have been documented. (Choose NA if there were no deviations.)
<input type="checkbox"/> Yes <input type="checkbox"/> No	3. The weight data were reported in the correct units. (Note: Total weight must be reported in kilograms (Kg) and the weights of individual sealed sources are reported in grams.) Data are reported with the correct number of significant figures (one decimal place).
<input type="checkbox"/> Yes <input type="checkbox"/> No	4. The WMPs identified during VE are consistent with those assigned to these materials based on AK.
<input type="checkbox"/> Yes <input type="checkbox"/> No	5. The Waste Matrix Code is consistent with the AK.
<input type="checkbox"/> Yes <input type="checkbox"/> No	6. Data generation and reduction were conducted in a technically correct manner in accordance with CCP-TP-069, as evidenced by checks of items 1-5.
<input type="checkbox"/> Yes <input type="checkbox"/> No	7. Calibration data for torque wrenches are recorded and within the calibration period.
<input type="checkbox"/> Yes <input type="checkbox"/> No	8. Calculations have been verified by 100% check of all hand calculations. <ul style="list-style-type: none"> • Sum or waste material parameter weights • Estimated POC or waste weight
<input type="checkbox"/> Yes <input type="checkbox"/> No	9. The data were reviewed for transcription errors and the data recorded is legible, accurate, and include any identifier numbers.
<input type="checkbox"/> Yes <input type="checkbox"/> No	10. The forms in the VE BDR are complete and match the Table of Contents (Attachment 6).
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA	11. All NCRs relevant to the packaging of the loaded sealed sources were initiated and entered on the VE BDR. (Choose NA if there were no NCRs.)
<input type="checkbox"/> Yes <input type="checkbox"/> No	12. Precision: Precision maintained by reconciling any discrepancies between the operator and the independent technical reviewer with regard to identification of waste matrix code, liquids in excess of TSDF-WAC limits, and compressed gases? (Enter Yes if there were no unresolved discrepancies.)
<input type="checkbox"/> Yes <input type="checkbox"/> No	13. Accuracy and Comparability: Accuracy maintained by requiring operators to pass a comprehensive examination and demonstrate satisfactory performance in the presence of the VE expert during their initial qualification and subsequent requalification (e.g. operators on LOQI)?
<input type="checkbox"/> Yes <input type="checkbox"/> No	14. Completeness: There is a completed VE data form for each waste container in the BDR?
Comments, if "No" is checked for any criterion above:	

Independent Technical Review conducted by:

VE Independent Technical Reviewer
(Printed Name)

(Signature)

(Date)

CCP-TP-120

Revision 16

CCP Container Management

EFFECTIVE DATE: 06/18/2013

Mike Ramirez

PRINTED NAME

APPROVED FOR USE

RECORD OF REVISION

Revision Number	Date Approved	Description of Revision
0	11/25/2003	Initial Issue.
1	03/26/2004	Incorporated CBFO Comment Resolutions: Revised step 4.1.5, inserted step 4.1.6 for VPM review and approval of Attachment 3, and inserted a VPM signature line on Attachment 3. Inserted step 3.7, VE Operator responsibilities into Section 3.0. Updated Sections 3.0, 4.0 and Attachments 1 and 2 for the container processes.
2	09/16/2005	Revised to address identification and control of filters installed in waste drums, formalize the inspections of drums as part of pre-screening activities, and to ensure proper checks are performed at the various processing areas.
3	03/31/2006	Revised to clarify filter inspections and add Visual Examination (VE) Random Selection responsibilities.
4	08/10/2006	Changes as a result of CAR-LANL-0006-06.
5	09/20/2006	Revised in response to CAR No.: 06-037.
6	02/09/2007	Revised to change method of container filter selection.
7	03/06/2007	Revised Section 4.4, and added new Section 4.5 for the Flammable Gas Analysis (FGA) process.
8	05/04/2007	Revised to separate Headspace Gas (HSG) from Flammable Gas Analysis (FGA) processing and tracking on the traveler.
9	07/16/2007	Revised to allow Visual Examination (VE) of Newly Generated Waste Containers not on the Acceptable Knowledge (AK) Tracking Spreadsheet.
10	10/11/2007	Revised to clarify that VE of Newly Generated Waste Containers at LANL is not an approved, certified process and the appropriate controls for such containers.
11	01/08/2008	Revised to clarify container inspection steps.
12	06/23/2008	Revised to clarify additional requirements for the use of Vendor Project Manager (VPM) Administrative Hold Indicators. Provided clarification in Section 4.1 of Operational Scale Checks, and made editorial changes.

RECORD OF REVISION (CONTINUED)

Revision Number	Date Approved	Description of Revision
13	09/21/2009	Revised to remove Vendor Project Manager (VPM) Administrative Hold controls on Visual Examination (VE) of newly generated waste containers as it is now a certified process at Los Alamos National Laboratory (LANL).
14	02/10/2010	Revised in response to Corrective Action Report (CAR)-Los Alamos National Laboratory (LANL)-0006-09 and to clarify when a waste container must be put through container management.
15	02/11/2013	Revised to require that the Vendor Project Manager (VPM) notify the Site Project Manager (SPM) when VPM Administrative Hold Indicators are being hung on containers in response to CAR-SRS-0002-12.
16	06/18/2013	Revised to incorporate the Class 2 permit modification approved by New Mexico Environment Department (NMED) on March 13, 2013.

TABLE OF CONTENTS

1.0 PURPOSE 5
1.1 Scope..... 5

2.0 REQUIREMENTS..... 5
2.1 References 5
2.2 Training Requirements..... 5
2.3 Equipment List 5
2.4 Precautions and Limitations..... 6
2.5 Prerequisite Actions..... 6

3.0 RESPONSIBILITIES..... 7
3.1 VPM/Waste Handler/Designee 7
3.2 Site Project Manager (SPM) 7
3.3 Nondestructive Examination (NDE) Operator 7
3.4 Nondestructive Assay (NDA) Operator 7
3.5 Flammable Gas Analysis (FGA) Operator 8
3.6 Visual Examination (VE) Operator 8
3.7 Facility Records Custodian 8

4.0 PROCEDURE..... 9
4.1 Container Inspection, Weighing, and Scale Operational Check..... 9
4.2 NDE Process 14
4.3 NDA Process 15
4.4 Flammable Gas Analysis (FGA) Process..... 17
4.5 VE Process 17

5.0 RECORDS..... 20

LIST OF ATTACHMENTS

Attachment 1 – CCP Container Traveler (Label) (EXAMPLE)..... 21
Attachment 2 – Container Inspection Report..... 22
Attachment 3 – CCP Scale Operational Check and Container Weight Information..... 23
Attachment 4 – Structural Integrity and Distortion Inspections Criteria..... 24

1.0 PURPOSE

This procedure describes and implements the Central Characterization Program (CCP) management control and tracking of transuranic (TRU) waste containers during the characterization process.

1.1 Scope

This procedure applies to personnel who support CCP TRU waste characterization activities at the Los Alamos National Laboratory (LANL). The Host site may use their procedures for container movement and handling. Container tracking and management through CCP activities will be conducted using this procedure.

2.0 REQUIREMENTS

2.1 References

Baseline Documents

- CCP-PO-001, *CCP Transuranic Waste Characterization Quality Assurance Project Plan*
- CCP-PO-002, *CCP Transuranic Waste Certification Plan*
- CCP-PO-003, *CCP Transuranic Authorized Methods for Payload Control (CCP CH-TRAMPAC)*

Referenced Documents

- CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*
- CCP-QP-008, *CCP Records Management*
- CCP-TP-082, *CCP Waste Container Filter Vent Operation*

2.2 Training Requirements

2.2.1 None.

2.3 Equipment List

2.3.1 None.

2.4 Precautions and Limitations

2.4.1 If steps in the procedure CAN **NOT** be completed, then work must be STOPPED, equipment placed in a safe configuration, and the Vendor Project Manager (VPM)/Designee notified.

2.4.2 Workers who will be working in a radiation area must have read and signed that they understand the applicable Radiation Work Permit.

2.5 Prerequisite Actions

2.5.1 None.

3.0 RESPONSIBILITIES

NOTE

The CCP Container Traveler (Label) (see Attachment 1, CCP Container Traveler [Label], for an example) is a label which will be affixed to the container. The CCP Container Traveler (Label) will contain a minimum of the following information when completed: Container Identification (ID), Waste Stream ID, Gross Weight, Nondestructive Examination (NDE), Nondestructive Assay (NDA), and Flammable Gas Analysis (FGA) Completion Date.

3.1 VPM/Waste Handler/Designee

- 3.1.1 Ensure containers meet the safety requirements throughout the characterization process.
- 3.1.2 Schedules and Coordinates movement of containers to/from the characterization units and container staging/storage areas.
- 3.1.3 Assists with the segregation of deficient containers.
- 3.1.4 Ensures CCP HOLD TAGs are attached to identify waste containers.

3.2 Site Project Manager (SPM)

- 3.2.1 Ensures containers with VPM administrative hold tags are controlled electronically.

3.3 Nondestructive Examination (NDE) Operator

- 3.3.1 Records and updates the applicable NDE information on the CCP Container Traveler (Label).
- 3.3.2 Provides the container processing information (Container ID Number, Batch Data Report [BDR] Number, Nonconformance Report [NCR] Number if applicable, and reason for NCR) to the VPM/Designee.

3.4 Nondestructive Assay (NDA) Operator

- 3.4.1 Records and updates the applicable NDA information on the CCP Container Traveler (Label).

| 3.5 Flammable Gas Analysis (FGA) Operator

| 3.5.1 Records and updates the applicable FGA information on the CCP Container Traveler (Label).

3.6 Visual Examination (VE) Operator

3.6.1 Affixes, records and updates CCP Container Traveler (Label).

3.7 Facility Records Custodian

3.7.1 Receives, processes, and transmits all records generated by this procedure in accordance with CCP-QP-008, *CCP Records Management*.

4.0 PROCEDURE

NOTE

Within the constraints of this procedure and in order to meet operational needs, the VPM/Designee may redirect drums as required to allow for process efficiencies or corrective action for nonconforming conditions. The CCP Container Traveler (Label) serves as the container status indicator throughout characterization activities.

NOTE

VPM Administrative Hold Indicators are affixed to containers for various administrative reasons throughout this procedure. When a VPM Administrative Hold Indicator is applied to a container(s), the SPM must be notified and will determine if an NCR needs to be generated.

NOTE

If an NCR (CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*, Attachment 1, CCP Nonconformance Report [NCR]) is initiated at anytime in the Process (from the initial introduction of the container, into the Process, to shipment of the container to the Waste Isolation Pilot Plant [WIPP]), the affected container(s) shall have a CCP HOLD TAG applied and be physically segregated if practical. Normally, the container may continue through the characterization process. If this is **NOT** the case, limitations or actions required in the approved NCR disposition shall be included on the HOLD TAG. In NO case, shall the container proceed to shipment until the NCR disposition is complete.

NOTE

NO container may be processed without a completed container integrity inspection.

NOTE

Sections 4.1 through 4.5 may be performed independently.

4.1 Container Inspection, Weighing, and Scale Operational Check

NOTE

The inspection criteria in Attachment 2, Container Inspection Report are used to determine if the container can be safely handled. Containers that **DO NOT** meet container integrity requirements and are **NOT** safe to handle shall be returned to the Host site.

NOTE

Step 4.1.1 through step 4.1.6 SHALL be performed for each container.

NOTE

Off-Site Source Recovery Project (OSRP) containers are packaged off-site and shipped to LANL. When the containers are received, they will be weighed and inspected prior to being added to the AK Tracking Spreadsheet.

NOTE

Newly generated waste containers may go through VE prior to being added to the AK Tracking Spreadsheet. Container integrity inspections, weighing, and CCP Container Traveler (Label) application will be performed at that time. Container information collected during the VE will be forwarded to the VPM/Designee for addition to the AK Tracking Spreadsheet. Containers should not go through further certified processes until the container has been added to the AK Tracking Spreadsheet.

VPM/Waste Handlers/Designee

4.1.1 Verify containers have a Container ID Number and Waste Stream ID, **AND** are on the AK Tracking Spreadsheet, which is available at <https://sftp.wipp.energy.gov>.

[A] **IF** containers are **NOT** on the AK Tracking Spreadsheet **AND** are OSRP containers, **THEN** attach a VPM Administrative Hold Indicator to the CCP Container Traveler (Label) when it is applied.

[B] **IF** containers are newly generated, **AND** VE has been performed, **THEN** Section 4.1 may be performed prior to the container being added to the AK Tracking Spreadsheet.

NOTE

Steps 4.1.2[B] and 4.1.2[D] may be performed simultaneously.

Steps 4.1.3 and 4.1.4 may be performed at any time between Steps 4.1.1 and 4.1.7

4.1.2 Perform the following and document results on Attachment 2.

[A] Verify Container ID Number.

- [B] Perform Structural Integrity and Distortion Inspection per Attachment 4, Structural Integrity and Distortion Inspections Criteria.
 - [B.1] **IF** container meets inspection criteria,
THEN mark SAT.
 - [B.2] **IF** container does not meet inspection criteria,
THEN mark UNSAT, **AND DO NOT** accept the container.
 - [C] Verify the container is safe to handle.
 - [C.1] **IF** the container is safe to handle,
THEN mark YES.
 - [C.2] **IF** the container is unsafe to handle,
THEN mark NO, **DO NOT** accept the container,
AND return the container to the Host site.
 - [D] Inspect each container for a completed radiological label/tag, **AND** ensure the completed radiological label/tag is legible and records a radiation dose equivalent rate of less than 200 mrem/hr at surface.
 - [D.1] **IF** incomplete **OR** NO radiological label/tag is attached to the container,
THEN STOP WORK on container, **AND** request a Radiological Control Technician to perform survey.
 - [D.2] **IF** completed radiological label/tag reports greater than or equal to 200 mrem/hr at surface,
THEN mark UNSAT, STOP WORK on the container,
AND notify VPM/Designee.
 - [D.3] **IF** completed radiological label/tag reports less than 200 mrem/hr at surface,
THEN mark SAT.
- 4.1.3 Initiate the CCP Container Traveler (Label) for each waste container, record the Container ID and Waste Stream ID **OR** OSRP (in place of Waste Stream ID), **AND** initial and date.
- 4.1.4 Attach the CCP Container Traveler (Label) to the top of the waste container.

4.1.5 Perform container filter inspection, as follows:

- [A] Verify manufacture ID, **AND** record on Attachment 2.
- [B] Verify filter model number as follows, **AND** record on Attachment 2.
 - [B.1] Verify the model number is clearly marked on the filter and the model number is on the Carlsbad Field Office (CBFO)-approved filter list at www.wipp.energy.gov/ntplexport
 - [B.2] **IF** the model number is **NOT** clearly marked on the filter,
THEN verify the filter is a Nucfil Model 013, 072, or 073, as provided in training materials.
 - [B.3] **IF** the model number is **NOT** on the CBFO-approved list,
THEN schedule the waste container for a filter changeout in accordance with CCP-TP-082, *CCP Waste Container Filter Vent Operation*.
 - (a) **AFTER** filter changeout,
THEN REPEAT step 4.1.5.
- [C] Verify manufacture date **OR** serial number of filter, **AND** record on Attachment 2.

NOTE

If necessary, assistance **SHALL** be requested from qualified personnel to ensure that the filter vent is seated properly.

- [D] Ensure filter has proper gasket seating and/or tightness.
 - [D.1] **IF** the filter is **NOT** found to be adequate,
THEN schedule the container for a filter changeout in accordance with CCP-TP-082.
 - (a) **AFTER** filter changeout,
THEN REPEAT step 4.1.5.

4.1.6 **IF** the container is safe to handle **AND** meets the filter inspection criteria,
THEN accept the container for characterization activities.

4.1.7 Perform scale operational check **AND** container weighing operations.

- [A] On each day the scale is used, perform the following:
 - [A.1] **IF** the scale is an electronic scale, **THEN** either verify the scale is turned ON, **OR** turn the power ON to the scale.
 - [A.2] Record the Scale ID Number, Location, and Scale Calibration Due Date on Attachment 3, CCP Scale Operational Check and Container Weight Information.
 - [A.3] Verify the scale calibration date is valid.
 - (a) **IF** the scale calibration date is **NOT** valid, **THEN** record NO on Attachment 3, **AND** return scale to Host site for recalibration.
 - (b) **IF** the scale calibration date is valid, **THEN** record YES on Attachment 3.
 - [A.4] Check that the scale reads zero when **NOT** loaded.
 - (a) **IF** the scale **DOES NOT** read zero, **THEN** re-zero the scale in accordance with the manufacturer's instructions.
 - [A.5] Perform an operational check to verify the scale response is satisfactory as follows:
 - (a) Place a known calibrated check weight on the scale, **AND** verify the scale reads within 1.0 percent of the check weight used.
 - (a.1) **IF** the scale reads within the operational range, **THEN** record SAT on Attachment 3.
 - (a.2) **IF** the scale reads outside of the operational range, **THEN STOP WORK**, record UNSAT on Attachment 3, **AND** return scale to Host site for recalibration.
 - (b) Return the check weight to its storage location.
 - [A.6] Initial and date Attachment 3.

[B] Weigh the containers as follows:

NOTE

Steps 4.1.7[B.1] and [B.2] can be performed in either sequence.

NOTE

Steps 4.1.7[B.1] through 4.1.7[B.5] shall be performed for each container.

[B.1] Ensure the CCP Container Traveler (Label) is affixed to the container.

[B.2] Load the container onto the scale, **AND** allow the scale reading to stabilize.

[B.3] Record the container's ID number and gross weight (pounds [lbs] and kilograms [kg]) on Attachment 3 and the CCP Container Traveler (Label), as necessary.

[B.4] Initial and date the CCP Container Traveler (Label), and Attachment 3 for obtaining the certification weight.

[B.5] Remove the container from the scale.

NOTE

The preparer and approver of Attachment 2 and Attachment 3 must be different individuals.

4.1.8 Print name, sign, and date Attachment 2 and Attachment 3, **AND** submit to the VPM/Designee for review and approval.

VPM/Designee

4.1.9 Review, print name, sign, and date Attachment 2 and Attachment 3, **AND** submit to the Facility Records Custodian.

4.2 NDE Process

NDE Operator

4.2.1 Verify that the containers delivered to the NDE process are on the AK Tracking Spreadsheet.

4.2.2 **IF** the containers are **NOT** the correct containers to be processed (e.g., **NOT** listed on the AK Tracking Spreadsheet), **THEN** return the containers to the Host site in lieu of processing.

4.2.3 Verify the CCP Container Traveler (Label) is affixed to the container.

[A] **IF NO** CCP Container Traveler (Label) is affixed to the container, **THEN STOP WORK** on container, **AND** notify the VPM/Designee.

[B] **IF** the CCP Container Traveler (Label) is affixed to the container, **THEN** perform NDE in accordance with approved procedures.

4.2.4 Indicate system certification status by circling YES or NO on the CCP Container Traveler (Label), **AND** initial and date.

[A] **IF** system is **NOT** certified, **THEN** perform the following:

[A.1] Attach a VPM Administrative Hold Indicator to the container.

[A.2] Notify the SPM and provide the container ID and reason for applying the tag.

SPM

[A.3] SPM shall place the container on hold within the IDC by generating a VPM HOLD Exclusion or adding the affected container to an exclusion that already exists.

4.2.5 Document the NDE completion on the CCP Container Traveler (Label) by circling YES, **AND** initial and date.

4.2.6 Provide the container processing information (Container ID Number, BDR Number, NCR Number if applicable, reason for NCR) to the VPM/Designee.

4.3 NDA Process

NDA Operator

4.3.1 Verify that the containers delivered to the NDA process are on the AK Tracking Spreadsheet.

- 4.3.2 **IF** the containers are **NOT** the correct containers to be processed (e.g., **NOT** listed on the AK Tracking Spreadsheet), **THEN** return the container to the Host site in lieu of processing.
- 4.3.3 Verify the CCP Container Traveler (Label) is affixed to the waste container.
- [A] **IF NO** CCP Container Traveler (Label) is affixed to the container, **THEN STOP WORK** on container, **AND** notify the VPM/Designee.
- [B] **IF** the CCP Container Traveler (Label) is affixed to the container, **THEN** perform NDA in accordance with approved procedures.
- 4.3.4 Document the preliminary Pu-239 Fissile Gram Equivalent (FGE) value (plus 2-sigma) on the CCP Container Traveler (Label), **AND** initial and date.
- [A] **IF** the preliminary Pu-239 FGE value (plus 2-sigma) is **NOT** immediately available, **THEN** record Not Available (N/A) on the CCP Container Traveler (Label).
- 4.3.5 Indicate system certification status by circling YES or NO on the CCP Container Traveler (Label), **AND** initial and date.
- [A] **IF** system is **NOT** certified, **THEN** perform the following:
- [A.1] Attach a VPM Administrative Hold Indicator to the container.
- [A.2] Notify the SPM and provide the container ID and reason for applying the tag.

SPM

[A.3] SPM Shall place the container on hold within the IDC by generating a VPM HOLD Exclusion or adding the affected container to an exclusion that already exists.

4.3.6 Document the NDA completion on the CCP Container Traveler (Label) by circling YES, **AND** initial and date.

4.3.7 Provide the container processing information (e.g., Container ID Number, BDR Number, NCR Number, reason for NCR) to the VPM/Designee.

4.4 Flammable Gas Analysis (FGA) Process

FGA Operator

4.4.1 Verify the containers identified for FGA sampling are on the AK Tracking Spreadsheet.

4.4.2 Move the waste containers identified for FGA sampling to an approved sampling area.

4.4.3 Verify the CCP Container Traveler (Label) is affixed to the container.

[A] **IF** NO CCP Container Traveler (Label) is affixed to the container,
THEN STOP WORK on container, **AND** notify the VPM/Designee.

[B] **IF** the CCP Container Traveler (Label) is affixed to the container,
THEN perform FGA sampling in accordance with approved procedures.

4.4.4 Document the FGA completion on the CCP Container Traveler (Label) by circling YES, **AND** initial and date.

4.5 VE Process

VE Operator

4.5.1 **IF** container is newly generated **AND** VE has been performed,
THEN GO TO step 4.5.3.

4.5.2 Verify the container has a CCP HOLD TAG attached that requires VE or contains an un-penetrable item identified during pre-screening process.

- [A] **IF** the container **DOES NOT** meet one of the conditions required above, **THEN STOP WORK** on container, **AND** notify VPM/Designee.
- [B] **IF** the container was selected for VE, **THEN** perform VE in accordance with approved procedures.

NOTE

When a legacy waste container completes the VE process at LANL the resulting output waste container(s) are assigned a new container ID number(s). Section 4.5.3 can be performed on the output waste container(s) using the new container ID number(s) and the waste stream ID from the original container. Verification that the waste container is on the AK Tracking List is not required for completing this section. Prior to the waste container completing any subsequent characterization, verification that the waste container ID is on the AK Tracking List is necessary.

4.5.3 **WHEN** VE Operations have been completed, **THEN** ensure a new CCP Container Traveler (Label) is attached to the container, **AND** record the following:

- [A] Container ID.
- [B] Waste Stream ID.
 - [B.1] Initial and date confirming Container ID and Waste Stream ID.
- [C] Gross Weight (lbs and kg) and initials and date.

4.5.4 Indicate system certification status by circling YES or NO on the CCP Container Traveler (Label), **AND** initial and date.

- [A] **IF** system is **NOT** certified, **THEN** perform the following:
 - [A.1] Attach a VPM Administrative Hold Indicator to the container.
 - [A.2] Notify the SPM and provide the container ID and reason for applying the tag.

SPM

[A.3] SPM shall place the container on hold within the IDC by generating a VPM HOLD Exclusion or adding the affected container to an exclusion that already exists.

4.5.5 Document the VE completion on the CCP Container Traveler (Label) by circling YES, **AND** initial and date.

4.5.6 Provide the container processing information (e.g., Container ID Number, Waste Stream ID, BDR Number, NCR Number if applicable, reason for NCR) to the VPM/Designee.

Facility Records Custodian

4.5.7 Receive, process, and transmit all records generated by this procedure in accordance with CCP-QP-008.

5.0 RECORDS

- 5.1 Records generated during the performance of this procedure are maintained as quality assurance (QA) records in accordance with CCP-QP-008. The records are the following:

5.1.1 QA/Lifetime

- [A] Attachment 2, Container Inspection Report
- [B] Attachment 3, CCP Scale Operational Check and Container Weight Information

Attachment 1 – CCP Container Traveler (Label) (EXAMPLE)

Container ID: _____	_____ / _____
	Date / Initials
Waste Stream ID: _____	
Gross Weight: _____ lbs. / _____ kg.	_____ / _____
	Date / Initials
<hr/>	
NDE	
System Certified (circle one)? YES NO	
(If NO, place under VPM Administrative Hold)	_____ / _____
	Date / Initials
NDE Complete (circle one)? YES NO	_____ / _____
	Date / Initials
<hr/>	
NDA	
System Certified (circle one)? YES NO	
(If NO, place under VPM Administrative Hold)	_____ / _____
	Date / Initials
NDA Complete (circle one)? YES NO	_____ / _____
	Date / Initials
Pu 239 FGE: _____	_____ / _____
Value (Plus 2-sigma)	Date / Initials
<hr/>	
FGA	
FGA Complete (circle one)? YES NO	_____ / _____
	Date / Initials
<hr/>	
VE	
Process Certified (circle one)? YES NO	
(If NO, place under VPM Administrative Hold)	_____ / _____
	Date / Initials
VE Complete (circle one)? YES NO	_____ / _____
	Date / Initials

Attachment 4 – Structural Integrity and Distortion Inspections Criteria

CONTAINER EXAMINATION		DISCUSSION OF CRITERIA
1.	Is the payload container obviously degraded?	Obviously degraded means clearly visible and potentially significant defects in the payload container or payload container surface.
2.	Is there evidence that the payload container is, or has been, pressurized?	Pressurization can be indicated by a fairly uniform expansion of the sidewalls, bottom, or top. Past pressurization can be indicated by a notable outward deflection of the bottom or top. Verify that the drum is not warped.
3.	Is there any potentially significant rust or corrosion such that wall thinning, pin holes, or breaches are likely or the load-bearing capacity is suspect?	<p>Rust shall be assessed in terms of its type, extent, and location. Pitting, pocking, flaking, or dark coloration characterizes potentially significant rust or corrosion. This includes the extent of the payload container surface area covered, thickness, and, if it occurs in large flakes or built-up (caked) areas. Rusted payload containers may NOT be accepted if:</p> <ul style="list-style-type: none"> • Rust is present in caked layers or deposits. • Rust is present in the form of deep metal flaking, or built-up areas of corrosion products. <p>In addition, the location of rust should be noted; for example on a drum: top lid; filter region; locking chine; top one-third, above the second rolling hoop; middle one-third, between the first and second rolling hoops; bottom one-third, below the second rolling hoop; and on the bottom.</p> <p>Payload containers may still be considered acceptable if the signs of rust show up as:</p> <ul style="list-style-type: none"> • Some discoloration on the payload container • If rubbed would produce fine grit or dust or minor flaking (such that wall thinning does not occur)
4.	Are any of the following apparent? <ul style="list-style-type: none"> • wall thinning • pin holes • breaches 	Wall thinning, pin holes, and breaches can be a result of rust/corrosion (see discussion for #3).

Attachment 4 – Structural Integrity and Distortion Inspections Criteria (Continued)

CONTAINER EXAMINATION		DISCUSSION OF CRITERIA
5.	Are there any split seams, tears, obvious holes, punctures (of any size), creases, broken welds, or cracks?	Payload containers with obvious leaks, holes or openings, cracks, deep crevices, creases, tears, broken welds, sharp edges or pits, are either breached or on the verge of being breached. Verify that there is no warpage that could cause the container to be unstable or prevent it from fitting properly in the TRUPACT-II.
6.	Is the load-bearing capacity suspect?	The load-bearing capacity could be reduced for excessive rust (see discussion for #3), wall thinning (see discussion for #4), breaches, cracks, creases, broken welds, etc. (see discussion for #5).
7.	Is the payload container properly closed?	Inspect the fastener and fastener ring (chine), if applicable, for damage or excessive corrosion. Check the alignment of the fastener to ensure that it is in firm contact around the entire lid and the payload container will not open during transportation.
8.	Are there any dents, scrapes, or scratches that make the payload container's structural integrity questionable or prevent the top and bottom surfaces from being parallel?	Deep gouges, scratches, or abrasions over wide areas are not acceptable. If top and bottom surfaces are not parallel, this would indicate that the container is warped. Dents should be less than ¼ inch deep by 3 inches long and between ½ inch to 6 inches wide. All other dents must be examined to determine impact of structural integrity.
9.	Is there discoloration which would indicate leakage or other evidence of leakage of material from the payload container?	Examine the payload container regions near vents, top lid fittings, bottom fittings, welds, seams and intersections of one or more metal sheets or plates. Payload containers must be rejected if evidence of leakage is present.
10.	Is the payload container bulged?	For the purposes of this examination, bulging is indicated by: <ul style="list-style-type: none"> • A fairly uniform expansion of the sidewalls, bottom, or top (e.g., in the case of a drum, either the top or bottom surface protrudes beyond the planar surface of the top or bottom ring); • A protrusion of the side wall (e.g., in the case of a drum, beyond a line connecting the peaks of the surrounding rolling hoops or a line between a surrounding rolling hoop and the bottom or top ring); or • Expansion of the sidewall (e.g., in the case of a drum, such that it deforms any portion of a rolling hoop).