



Department of Energy
 Carlsbad Field Office
 P.O. Box 3090
 Carlsbad, New Mexico 88221

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APR 14 2014

APR 9 2014

NMED
 Hazardous Waste Bureau

CERTIFIED MAIL-RETURN RECEIPT

Mr. John E. Kieling, Chief
 Hazardous Waste Bureau
 New Mexico Environment Department
 2905 Rodeo Park Drive East, Building 1
 Santa Fe, New Mexico 87505

Subject: Carlsbad Field Office Monthly Assessment Schedule – April 2014

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office Monthly Assessment Schedule for the month of April 2014. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Mr. Michael R. Brown, Director, Office of Quality Assurance, at (575) 234-7476.

Sincerely,


 Jose R. Franco, Manager
 Carlsbad Field Office

Enclosure

cc: w/enclosure
 S. Holmes, NMED * ED
 T. Kliphuis, NMED ED
 R. Maestas, NMED ED
 C. Smith, NMED ED
 CBFO M&RC
 CBFO QA File
 *ED denotes electronic distribution



Mr. John E. Kieling

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APR 9 2014

bcc: w/enclosure

D. Bryson, CBFO	* ED
G. Basabilvazo, CBFO	ED
D. Gadbury, CBFO	ED
M. Brown, CBFO	ED
D. Miehl, CBFO	ED
M. Navarrete, CBFO	ED
B. Kehrman, NWP	ED
O. Franco, NWP	ED
W. Most, NWP	ED
D. Harvill, CTAC	ED
G. White, CTAC	ED

*ED denotes electronic distribution

CARLSBAD FIELD OFFICE ASSESSMENT SCHEDULE APRIL 2014

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH	AI	AJ	AK	AL	AM	AN	AO	AP							
																																										ORGANIZATION/SCOPE	REMARKS & COMMENTS					
1		1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
22	LANL/CCP ISOCs Surveillance (one-time)										C																																					S-13-29, G. Knox June 11 - 12, 2013
23	LANL/CCP TRU Waste Shipments to WCS Surveillance (one-time)																					P																										S-14-22, B.J. Verret April 2, 2014
24	LANL Intersite Shipment to INL Surveillance (one-time)																								P																							S-14-28, B.J. Verret July 2014 (TBD)
25	LANL/CCP Solids Sampling & Analysis Surveillance (one-time)					C																																									S-13-18, G. Knox January 10, 2013	
26	LANL/CCP Recertification Audit (annual)											C													P																						A-14-19, R. Castillo July 15-17, 2014	
27	LANL/CO QAPD Implementation Audit (annual)										C												C																								A-14-11, P.Y. Martinez March 4-6, 2014	
28	NFT/EPD NFT/EPD Packaging Maintenance Surveillance																									P																						S-14-23, B.J. Verret August 12-14, 2014
29	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy NWP - Audit (3yr.)				C																																										November 2015 (TBD)	
30	NWP CBFO Records Holding Facility Program Surveillance (3yr)												C																																	August 2016 (TBD)		
31	NWP Centralized Procurement Surveillance (3yr)																																														March 2015 (TBD)	
32	NWP CMR Surveillance (3yr)				C																																										November 2015 (TBD)	
33	NWP Commercial Grade Dedication Surveillance (3yr)																									P																					S-14-15, C. Castillo August 2014 TBD	
34	NWP Confined Space/Hearing and Fall Protection Surveillance (3yr)											C																																		July 2016 (TBD)		
35	NWP Dosimetry/RADCON Program Surveillance (3yr)													C																																April 2016 (TBD)		
36	NWP Engineering Fire Protection/Emergency Response Surveillance (3yr)																C																														S-14-06, B. Pace October 15-17, 2013 October 2016 (TBD)	
37	NWP Engineering Surveillance (DSA/Nuclear Safety) (3yr)													C																																S-13-04, B.J. Verret April 2 - 4, 2013 April 2016 (TBD)		
38	NWP Graded Approach Surveillance (3yr)																																														P September 2015 (TBD)	
39	NWP Environmental Surveillance (NESHAP) (3yr)																										P																				S-14-16, P.Y. Martinez August 12-13, 2014	
40	NWP/CCP Implementation of the WIPP Form Process (one time)															C																															S-13-33, G. Knox September 16, 2013	
41	NWP Environmental Surveillance (meteorology) (3yr)																																														July 2015 (TBD)	
42	NWP Environmental Surveillance (SDW) (3yr)																																															P May 2015 (TBD)
43	NWP Ground Control Surveillance (3yr)											C																																				February 2016 TBD
44	NWP Hazardous Transportation Vendors/ RCRA Hazardous Materials Transporter Surveillance (3yr)																C																															S-14-02, B.J. Verret October 22 - 24, 2013 October 2016 (TBD)

**CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
APRIL 2014**

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH	AI	AJ	AK	AL	AM	AN	AO	AP		
	ORGANIZATION/SCOPE		F Y	O C T	N O V	D E C	J A N	F E B	M A R	A P R	M A Y	J U N	J U L	A U G	S E P	O C T	N O V	D E C	J A N	F E B	M A R	A P R	M A Y	J U N	J U L	A U G	S E P	O C T	N O V	D E C	J A N	F E B	M A R	A P R	M A Y	J U N	J U L	A U G	S E P	REMARKS & COMMENTS				
			1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1			
45	NWP	NWP ISH Program Surveillance (3yr)				C																																						December 2015 TBD
46	NWP	NWP Maintenance/Work Control Processes Audit (annual)																																										Postponed until FY14.
47	NWP	NWP Mine Ventilation Surveillance (3yr)																																										April 2015 (TBD)
48	NWP	NWP Environmental RCRA/ NWP Monitoring Programs/Groundwater Audit (Biota/Land Management/Delaware Basin (including DP831) (annual)																					P																				A-14-13, <i>P. Y. Martinez April 29-May 1, 2014</i>	
49	NWP	NWP Configuration Management Audit																						P																			A-14-15, <i>P. Gomez May 2014 (TBD)</i>	
50	NWP	NWP VOC Hydrogen / Methane Monitoring Program Audit (annual)																C																								November 2014 (TBD)		
51	NWP	NWP - HQ PERT Procurement Assessment Corrective Action Completion Surveillance (one-time)																																								S-14-09, G. Knox January 21-23, 2014		
52	NWP	NWP/CCP Calibration Surveillance																																								A-14-07, G. Knox January 28-30, 2014		
53	NWP	WIPP Hoisting Operations Surveillance																																								S-14-12, R. Castillo <i>September 23-25, 2014</i>		
54	NWP	NWP Monitoring Programs Surveillance (Seismic & Subsidence) (3yr)												C																												August 2016 (TBD)		
55	NWP	WIPP Laboratories Audit																										P														A-14-21, T. Ackman September 16-18, 2014		
56	NWP	NWP QAPD Implementation Audit, Criteria (NQA-1) 1 thru 9 (annual)								C																																A-14-14, G. Knox <i>May 13-15, 2014</i>		
57	NWP	NWP QAPD Implementation Audit, Criteria (NQA-1) 10 thru 18 (annual)			C																																				A-14-02, J. Schuetz <i>July 15-17, 2014</i>			
58	NWP	NWP Safety Surveillance (Electrical Safety) (3yr)																																								S-14-04, <i>R. Castillo May 2014 TBD.</i>		
59	NWP	NWP Safety Surveillance (HAZCOM) (3yr)																																								P September 2015 (TBD)		
60	NWP	NWP Safety Surveillance (Job Hazard Analysis) (3yr)																																								S-14-05, P. Gomez July 8-10, 2014		
61	NWP	NWP Corridor Emergency Preparedness Surveillance																																								December 2014 (TBD)		
62	NWP	NWP Safety Surveillance (Mining) (3yr)																																								October 2014 (TBD)		
63	NWP	NWP Cyber Security Audit																																								January 2015 (TBD)		
64	NWP	Infrastructure Projects Surveillance																																									February 2015 (TBD)	
65	NWP	<i>NWP TRUPACT III Venting Activities Surveillance (one-time)</i>																																									<i>S-13-20, B. Pace March 25-27, 2014</i>	
66	NWP	NWP Type B Packaging Surveillance (Maintenance & Fabrication) (3yr)																																									January 2016 (TBD)	

**CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
APRIL 2014**

A		B																									C							
		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	AP	
	ORGANIZATION/SCOPE	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	REMARKS & COMMENTS		
67	NWP Waste Handling (annual)																															A-14-12, B. Pace <i>September 9-11, 2014</i>		
68	NWP RES Permittee Waste Confirmation Surveillance (3yr)																																February 2015 (TBD)	
69	Transport Trailer Maintenance Surveillance																																May 2015 (TBD)	
70	Communication Tour Safety Surveillance																																May 2015 (TBD)	
71	NWP Waste Data System Surveillance (3yr)																																S-14-01, J. Schuetz October 15 - 17, 2013 October 2016 (TBD)	
72	NWP Transportation Audit (one-time)																																A-14-09, C. Riggs February 4-6, 2014	
73	NWP Suspect/Counterfeit Items Surveillance (3yr)																																S-14-13, C. Castillo June 24-25, 2014	
74	<i>Root Cause Analysis on Type B Packaging Issues Surveillance</i>																																<i>S-14-24, R. Allen May 20-22, 2014</i>	
75	<i>NWP M&TE Surveillance (equipment supporting recovery activities) (one-time)</i>																																<i>S-14-27, G. Knox May 6-8, 2014</i>	
76	NWP (CFR Part 71) Subpart H Surveillance (3yr)																																April 2015 (TBD)	
77	ORNL/CCP ORNL/CCP Recertification Audit																																A-14-03, B. Pace January 14-16, 2014 January 2015 (TBD)	
78	ORNL/CCP Transportation Surveillance																																	S-14-14, B.J. Verret June 2014 (TBD)
79	SNL/CPG <i>SNL/CPG VMS to Solaris Exchange Surveillance (one-time)</i>																																	<i>S-14-19, J. Schuetz July 2014 (TBD)</i>
80	SNL/CPG SNL/CPG QAPD Implementation Audit (annual)																																	A-14-08, C. Castillo February 25-27, 2014 February 2015 (TBD)

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ASSESSMENT SCHEDULE
APRIL 2014

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH	AI	AJ	AK	AL	AM	AN	AO	AP														
	ORGANIZATION/SCOPE	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52		
81	SNL/CCP SNL/CCP RH S5000 Initial Certification Audit (annual)																							P																														A-14-28, June 2014 (TBD)	
82	SRS/CCP SRS/CCP Recertification Audit (annual)			C																											P																							A-14-04, P.Y. Martinez November 13-15, 2013 November 2014 (TBD)	
83	TRANSPORTATION Visionary Solutions Transportation Surveillance (annual)									C															P																													A-14-24, B.J. Verret May 2014 (TBD)	
84	TRANSPORTATION Cast Transportation Surveillance (annual)										C														P																													A-14-25, B.J. Verret June 17-19-2014	
85	TRANSCOM Transcom Surveillance (annual)			C																												P																				S-14-03, BJ Verret November 5 - 7, 2013 November 2014 (TBD)			
86	WCS WCS LANL Shipment Unloading Activities Surveillance (one-time)																																																				S-14-26, B.J. Verret April 8, 2014		
87	WCS WCS Qualified Supplier List Surveillance (one-time)																																																					S-14-18, R.Castillo March 11-20, 2014	
88	WIPP SECURITY WIPP Security Surveillance (3yr)						C																																																January 2016 (TBD)
89	Prepared by: <i>Dennis J. Nichols</i>																		Date: <i>4-4-14</i>																																				
90	CBFO Quality Assurance Approval																																																						
91	Approved by: <i>Jose R. Franco</i>																		Date: <i>4/8/14</i>																																				
92	Jose R. Franco, CBFO Manager																																																						