



Allen, Pam, NMENV

From: Maestas, Ricardo, NMENV
Sent: Wednesday, April 08, 2015 3:49 PM
To: Allen, Pam, NMENV
Subject: FW: LANL Audit

From: Kliphuis, Trais, NMENV
Sent: Tuesday, August 05, 2014 9:00 AM
To: Maestas, Ricardo, NMENV; Holmes, Steve, NMENV; Smith, Coleman, NMENV
Cc: Kieling, John, NMENV
Subject: FW: LANL Audit

From: Mike Brown [<mailto:mike.brown@cbfo.doe.gov>]
Sent: Tuesday, August 05, 2014 8:57 AM
To: Kliphuis, Trais, NMENV
Cc: George Basabilvazo - WIPPNet
Subject: LANL Audit

Trais Kliphuis:

Based on the phone call of August 1, 2014, I drafted this e-mail to address the topics discussed.

Currently based on the approved audit plan for the LANL audit scheduled August 19-21, CBFO will only evaluate the S4000 and S5000 summary category groups (SCGs). The SCG S3000 was suspended based on section C4-3g page C4-13 and several other sections of the WAP. Prior to adding the SCG S3000 back onto the LANL site certification, the corrective actions must be verified complete and the site must comply with all applicable parts of the WAP and WAC. Section C6-3 on page C6-3 of the WAP requires that audits be conducted annually. Because the LANL SCG S3000 will not have been audited within a year the certification will lapse. The current plan is to schedule a new baseline C-6 audit for that SCG after the Phase 2 AIB (accident Investigation Board) report is issued and the appropriate corrective actions from the AIB report and the associated CBFO and NWP corrective actions are complete.

My expectation would be that if the audit verifies there are no issues with the S4000 and S5000 SCGs that the audit would be approved by NMED for those SCGs.

Historically CBFO has asked for approval by the NMED of audits that did not contain all three SCGs and conducted recertification audits of some SCGs and the initial certification audits of other SCGs. Some examples are listed below.

Audit A-10-01 of SRS in February 2010 was a recertification audit for CH SCG S4000 and SCG S5000 and RH SCG S5000. The audit was also the initial certification for CH and RH SCG S3000. The NMED approved the audit March 16, 2010.

Audit A-12-12 at LANL was a recertification audit for CH SCG S3000 and S5000 and an initial audit for SCG S4000.

Audit A-11-10 at Hanford was a recertification audit for CH SCG S5000 and an initial certification audit for SCG S3000.

Audit A-14-03 of ORNL evaluated CH and RH SCGs S5000, CH SCG S3000 and CH SCG S4000. The RH SCGs S3000 and S4000 were not included.



I hope this answers your questions. If you need any other information please call me.

Mike Brown