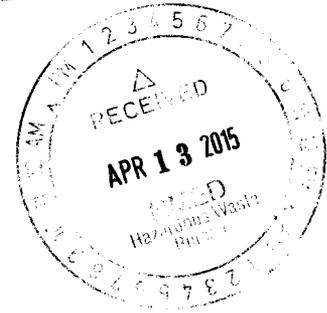




Department of Energy  
 Carlsbad Field Office  
 P. O. Box 3090  
 Carlsbad, New Mexico 88221  
**APR 13 2015**

 ENTERED



Mr. V.K. Cannon, Manager  
 Quality Assurance  
 Nuclear Waste Partnership LLC  
 P.O. Box 2078  
 Carlsbad, NM 88221-2078

Subject: Issuance of CBFO Corrective Action Report 15-033 Identified During Audit  
 A-15-09

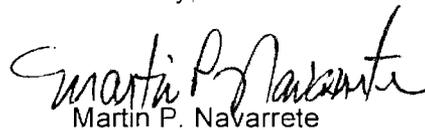
Dear Mr. Cannon:

Carlsbad Field Office (CBFO) Audit A-15-09 of the Oak Ridge National Laboratory Central Characterization Program was performed March 31- April 2, 2015. Enclosed is Corrective Action Report (CAR) 15-033 addressing a condition adverse to quality identified during the audit.

Please provide a documented response for the CAR, ensuring that the required actions indicated in CAR Block 12 are addressed, including a schedule for completion of corrective actions. Please return your response to me on or before the due date identified in Block 14a of the CAR forms.

If you have any questions or comments concerning CAR 15-033, please contact me at (575) 234-7483.

Sincerely,



Martin P. Navarrete  
 Senior Quality Assurance Specialist

Enclosure

cc: w/enclosure	
M. Brown, CBFO	* ED
J.R. Stroble, CBFO	ED
D. Miehl, CBFO	ED
M. Pinzel, CBFO	ED
N. Castaneda, CBFO	ED
S. Cange, DOE-OR	ED
L. Wilkerson, DOE-OR	ED
R. McQuinn, NWP	ED
J. Blankenhorn, NWP	ED
J. Harris, NWP	ED
F. Sharif, NWP/CCP	ED
D. E. Gulbransen, NWP/CCP	ED
A.J. Fisher, NWP/CCP	ED



Mr. V.K. Cannon

-2-

W. Ledford, NWP/CCP	ED
J. Carter, NWP/CCP	ED
B. Allen, NWP/QA	ED
S. Punchios, NWP/QA	ED
S. Escareno-Soto, NWP/QA	ED
T. Peake, EPA	ED
L. Bender, EPA	ED
E. Felcorn, EPA	ED
R. Joglekar, EPA	ED
J. Kieling, NMED	ED
R. Maestas, NMED	ED
S. Holmes, NMED	ED
C. Smith, NMED	ED
V. Daub, CTAC	ED
R. Allen, CTAC	ED
P. Martinez, CTAC	ED
B. Pace, CTAC	ED
R. Castillo, CTAC	ED
R. Bradford, CTAC	ED
T. Ackman, CTAC	ED
T. Mojica, NWP/CCP	ED
R. Reeves, NWP/CCP	ED
P. Hinojos, CTAC	ED
D. Sellmer, CTAC	ED
G. White, CTAC	ED
Site Documents	ED
CBFO QA File	
CBFO M&RC	

\*ED Denotes Electronic Distribution

## CORRECTIVE ACTION REPORT

1. CAR No.: 15-033	2. Activity Report No.: A-15-09	3. Page 1 of 2
4. Controlling document: CCP-TP-500	5. CBFO Assessment Team Leader: Martin Navarrete	
6. Responsible organization: NWP/CCP		
7a. CAQ/CAR Owner (Office Director): N/A	7b. CAQ was discussed with: Mike Sensibaugh, Ron Reeves, Tommy Mojica	
8. Requirement that is involved:  See attached continuation sheet		
9. Condition Adverse to Quality (CAQ):  See attached continuation sheet		
10. Suggested actions (Optional):		
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNINW218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required:  Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13a. Trend Code: VE-06	13b. CAR Initiator: <i>R. Bradford</i> (printed name) Rhett Bradford	Date: 4/13/15
14a. Response due date: 5/11/15		
14b. Required corrective action completion date: 5/11/15		
15. Concurrence:		
a. Assessment Team Leader (if applicable): <i>Martin Navarrete</i> (printed name) MARTIN NAVARRETE		Date: 4-13-15
b. CBFO Quality Assurance Director: <i>Michael R. Brown</i> (printed name) MICHAEL R. BROWN		Date: 4/13/2015
16. Acceptance of Proposed Corrective Actions:		Date:
(printed name) _____		_____
17. Acceptance of Corrective Action Completion:		Date:
(printed name) _____		_____
18. Closure:		Date:
(printed name) _____		_____

**CAR CONTINUATION SHEET****1. CAR No: 15-033****2. Activity No: A-15-09****3. Page 2 of 2.****8. Requirement that is involved:**

CCP-PO-001, *CCP TRU Waste Characterization Quality Assurance Project Plan*, Revision 21, section C3-4b states: "Any nonconformance identified during this process is documented on an NCR (Section C3-7)."

CCP-TP-500, *CCP Remote-Handled Waste Visual Examination*, Revision 13, section 4.1.2 [D] states: "Examine the waste, **AND** record the description of the material to be placed in the package in the Waste Description field on Attachment 1."

CCP-TP-500, *CCP Remote-Handled Waste Visual Examination*, Revision 13, section 2.4.2 [A] states: "If a condition adverse to quality is identified, the individual(s) identifying the condition **SHALL** initiate a nonconformance report (NCR) in accordance with CCP-QP-005, *CCP TRU Nonconforming Item Reporting and Control*."

**9. Condition Adverse to Quality (CAQ):**

There were two instances of waste items being recorded in the Waste Description field on Attachment 1, Visual Examination Data Form, after remote-handled (RH) waste visual examination (VE) operations had been performed. In both instances, the operator did not record all of the waste items in the Waste Description field.

1. An initial VE was performed on container #ORRH00687, dated 1/8/14. Additional waste items were recorded in the Waste Description field on Attachment 1 on 2/11/14.
2. An initial VE was performed on container #ORRH00691, dated 1/27/14. Additional waste items were recorded in the Waste Description field on Attachment 1 on 2/11/14.

Further, no NCRs were initiated to support the recording of the additional waste items in the Waste Description field on Attachment 1.

INSTRUCTIONS FOR PROVIDING CORRECTIVE ACTION PLAN

WASTE ISOLATION PILOT PLANT  
U.S. DEPARTMENT OF ENERGY  
Carlsbad Field Office

INSTRUCTIONS FOR COMPLETING A CORRECTIVE ACTION PLAN IN  
RESPONSE TO A CAR ADDRESSING A CONDITION ADVERSE TO QUALITY

You are requested to provide a corrective action plan in response to this corrective action report (CAR) by the due date identified in block 14a of the CAR. If this date cannot be met, provide a written request for extension to the assessment team leader (CAR block 5). This request must include justification for the delay and must be provided prior to the response due date (CAR block 14a).

The corrective action plan shall address the corrective actions indicated in CAR block 12. As appropriate, develop the plan in accordance with the following sequence and format:

In order to develop the corrective action plan, perform an investigative action to determine the extent and impact of the deficiency and to identify the root cause. Next, determine the actions required to correct the adverse condition. The plan shall include the following information, as appropriate to CAR block 12.

1. Corrective action response for CAR # 15-033
  - A. **Remedial Actions**-Describe actions required or taken to correct the specific conditions noted and any similar conditions identified during the investigations.
  - B. **Investigative Actions**-Describe the investigative actions performed to determine the extent and impact of the deficiency and the results of the investigation. This will include a determination of the acceptability of any data generated prior to resolution of the deficiency.
  - C. **Root Cause Determination**-Identify the root cause of the condition as determined through investigative actions.
  - D. **Actions to Preclude Recurrence**-Identify the corrective actions required to address the root cause of the condition in order to preclude recurrence.
2. For each action above, identify the individual assigned responsibility for completion of the action and the anticipated (or actual, if complete) completion date.
3. The response must identify the individual having the overall responsibility for completion of the corrective actions.

**NOTE:** The documentation to support corrective action completion is not to be submitted with the corrective action plan and shall not be submitted until the corrective action plan is approved.