

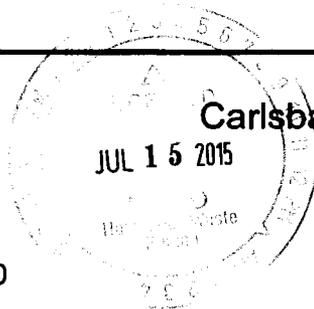


United States Government

Department of Energy

memorandum

Carlsbad Field Office
Carlsbad, New Mexico 88221



DATE: JUL 15 2015
REPLY TO
ATTN OF: CBFO:OQA:MPN:SG:15-0784:UFC 2300.00

SUBJECT: Rejection of Corrective Actions for Closure of CBFO CAR 15-005 AMWTP Records Errors in Training Admin. Identified During Audit A-15-01

TO: Benjamine Roberts, DOE-ID

Attached are the results of the Carlsbad Field Office (CBFO) verification of the approved Corrective Action Plan (CAP) activities associated with Corrective Action Report (CAR) 15-005. The results of the closure verification indicate that the approved CAP investigative actions have not been adequately performed. Therefore, CAR 15-005 is considered open pending additional actions.

If you have any questions or comments concerning the closure verification activities, please contact me at (575) 234-7483.

Martin P. Navarrete
Senior Quality Assurance Specialist

Attachment

cc: w/attachment

- | | | | |
|----------------------|------|-------------------------------------|----|
| M. Brown, CBFO | * ED | R. Maestas, NMED | ED |
| J.R. Stroble, CBFO | ED | S. Holmes, NMED | ED |
| D. Miehl, CBFO | ED | C. Smith, NMED | ED |
| N. Castaneda, CBFO | ED | V. Daub, CTAC | ED |
| G. Birge, CBFO | ED | R. Allen, CTAC | ED |
| J. Zimmerman, DOE-ID | ED | P. Martinez, CTAC | ED |
| J. Wells, DOE-ID | ED | B. Pace, CTAC | ED |
| T. Jenkins, DOE-ID | ED | H. Kirschenmann, CTAC | ED |
| D. Haar, AMWTP | ED | G. Knox, CTAC | ED |
| G. Byram, AMWTP | ED | C. Castillo, CTAC | ED |
| G. Tedford, AMWTP | ED | P. Hinojos, CTAC | ED |
| A. Morse, AMWTP | ED | G. White, CTAC | ED |
| T. Peake, EPA | ED | Site Documents | ED |
| L. Bender, EPA | ED | CBFO QA File | |
| E. Feltcorn, EPA | ED | CBFO M&RC | |
| R. Joglekar, EPA | ED | *ED denotes electronic distribution | |
| J. Kieling, NMED | ED | | |

150718



CAR CONTINUATION SHEET

1. CAR No: 15-005

2. Activity No: A-15-01

3. Page 1 of 1

Block #17 Acceptance of Corrective Action Completion:

CBFO Surveillance S-15-15 was performed to verify the actions performed by AMWTP as identified in the approved Corrective Action Plan (CAP) developed to address Carlsbad Field Office (CBFO) Corrective Action Report (CAR) 15-005. The CAP was submitted via Idaho Treatment Group, LLC, letter C-2014-0378, dated November 20, 2014, from Danny Nichols, President and AMWTP Project Manager, to Mr. Martin Navarrete, U.S. Department of Energy, Carlsbad Field Office. Approval of the CAP was transmitted to Mr. Benjamine Roberts, December 15, 2014, via Memorandum CBFO:QAD:MPN:LEC:14-2933:UFC 2300.00.

Italicized text, taken verbatim from the CAP, is used to reflect the correlation between the actions required by the CAR and the method used for evaluation.

REMEDIAL ACTIONS

Training records identified from this extent of condition will be properly corrected for retention in Training records. Actionee: T. Goldberg Due Date: 04-30-2015

Verification:

Accepted

Note: Of the approximately 600 records corrected, the team identified 3 records that still contained errors. These errors were deemed minor and were corrected by AMWTP during the surveillance.

INVESTIGATIVE ACTIONS

- Extent of condition will be performed to identify incomplete records and/or where incorrect methods were used for correcting record entries. Project records were reviewed and training records will be identified and corrected from this extent of condition. Issues dealing with Work Planning and Control records were previously identified and corrective actions are addressed in CAR 88559 and 89342. Actionee: T. Goldberg Due Date: 04-01-2015.*

Verification:**REJECTED**

The team reviewed a sample of 201 documents from the population of records identified during the required extent of condition activities as not requiring correction. Of these records, approximately 12% (24 records) were found to contain errors similar to the deficiencies identified in CAR 15-005.

ROOT CAUSE DETERMINATION

Not requested for this CAR.

Verification:

Not required by the CAR.

ACTIONS TO PRECLUDE RECURRENCE

- Tailgate briefing will be developed and presented to training personnel on reviewing and correcting training records.*
- OJT instructors will be retrained with an emphasis on properly completing and revising training records.*

Verification:

Accepted.

ACCEPTANCE

Based on review of the objective evidence of the failure of the extent of conditions investigation, it is recommended that CAR 15-005 not be closed at this time pending additional actions.

Verification Performed By: Greg Knox

Date: 12 JUN 2015