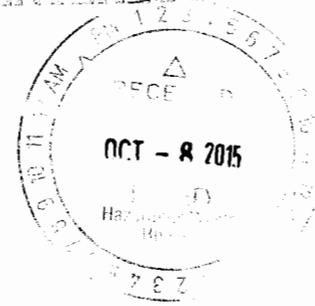




Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221

OCT 8 2015



Mr. Val Cannon, Manager
Quality Assurance
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Re: Issuance of CBFO Corrective Action Report 16-001 Identified During Audit
A-15-24

Dear Mr. Cannon:

Carlsbad Field Office Audit A-15-24 of the Argonne National Laboratory Central Characterization Program was performed September 29 – October 1, 2015. Enclosed is Corrective Action Report (CAR) 16-001 addressing the condition adverse to quality identified during the audit.

Please provide a documented response for the CAR, ensuring that the required actions indicated in CAR Block 12 are addressed, including a schedule for completion of corrective actions. Please return your response to me on or before the due date identified in Block 14a of the CAR form.

If you have any questions regarding the CAR, please contact me at (575) 234-7483.

Sincerely,

Martin P. Navarrete, CBFO
Senior Quality Assurance Specialist



cc: w/enclosure

M. Brown, CBFO	ED*
J. R. Stroble, CBFO	ED
D. Miehl, CBFO	ED
G. Birge, CBFO	ED
N. Castaneda, CBFO	ED
T. Carver, CBFO	ED
S. Ross, EM-43	ED
R. Purucker, DOE-CH	ED
D. Dietzel, DOE-CH	ED
K. Joshi, DOE-CH	ED
P. Briedenbach, NWP	ED
J. Blankenhorn, NWP	ED
J. Britain, NWP	ED
F. Sharif, NWP/CCP	ED
D.E. Gulbransen, NWP/CCP	ED
R. Reeves, NWP/CCP	ED
A.J. Fisher, NWP/CCP	ED
I. Joo, NWP/CCP	ED
J. Carter, NWP/CCP	ED
W. Root, NWP/CCP	ED
B. Allen, NWP/QA	ED
S. Punchios, NWP/QA	ED
A. Boyea, NWP/QA	ED
T. Peake, EPA	ED
L. Bender, EPA	ED
E. Feltcorn, EPA	ED
R. Joglekar, EPA	ED
J. Kieling, NMED	ED
R. Maestas, NMED	ED
S. Holmes, NMED	ED
C. Smith, NMED	ED
V. Daub, CTAC	ED
R. Allen, CTAC	ED
P. Martinez, CTAC	ED
B. Pace, CTAC	ED
R. Bradford, CTAC	ED
P. Y. Martinez, CTAC	ED
P. Hinojos, CTAC	ED
G. White, CTAC	ED
Site Documents	ED
CBFO QA File	HC**
CBFO M&RC	HC

* ED = electronic distribution

** HC = hard copy

INSTRUCTIONS FOR PROVIDING CORRECTIVE ACTION PLAN

WASTE ISOLATION PILOT PLANT
U.S. DEPARTMENT OF ENERGY
Carlsbad Field OfficeINSTRUCTIONS FOR COMPLETING A CORRECTIVE ACTION PLAN IN
RESPONSE TO A CAR ADDRESSING A CONDITION ADVERSE TO QUALITY

You are requested to provide a corrective action plan in response to this corrective action report (CAR) by the due date identified in block 14a of the CAR. If this date cannot be met, provide a written request for extension to the assessment team leader (CAR block 5). This request must include justification for the delay and must be provided prior to the response due date (CAR block 14a).

The corrective action plan shall address the corrective actions indicated in CAR block 12. As appropriate, develop the plan in accordance with the following sequence and format:

In order to develop the corrective action plan, perform an investigative action to determine the extent and impact of the deficiency and to identify the root cause. Next, determine the actions required to correct the adverse condition. The plan shall include the following information, as appropriate to CAR block 12.

1. Corrective action response for CAR # 16-001
 - A. **Remedial Actions**-Describe actions required or taken to correct the specific conditions noted and any similar conditions identified during the investigations.
 - B. **Investigative Actions**-Describe the investigative actions performed to determine the extent and impact of the deficiency and the results of the investigation. This will include a determination of the acceptability of any data generated prior to resolution of the deficiency.
 - C. **Root Cause Determination**-Identify the root cause of the condition as determined through investigative actions.
 - D. **Actions to Preclude Recurrence**-Identify the corrective actions required to address the root cause of the condition in order to preclude recurrence.
2. For each action above, identify the individual assigned responsibility for completion of the action and the anticipated (or actual, if complete) completion date.
3. The response must identify the individual having the overall responsibility for completion of the corrective actions.

NOTE: The documentation to support corrective action completion is not to be submitted with the corrective action plan and shall not be submitted until the corrective action plan is approved.

CORRECTIVE ACTION REPORT

1. CAR No.: 16-001	2. Activity Report No.: A-15-24	3. Page 1 of 2
4. Controlling document: WP 13-1, R35 CCP-TP-500, R15	5. CBFO Assessment Team Leader: Martin Navarrete	
6. Responsible organization: ANL/CCP		
7a. CAQ/CAR Owner (Office Director): N/A	7b. CAQ was discussed with: Wes Root/Spencer Pattee	
8. Requirement that is involved: See Continuation Sheet		
9. Condition Adverse to Quality (CAQ): See Continuation Sheet		
10. Suggested actions (Optional): N/A		
11a. Significant CAQ? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11b. Work Suspension recommended? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11c. RCRA related? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11d. Accelerated corrective action required? (If 'Yes', go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> 11e. Does this CAQ affect waste streams BNINW216 or BNINW218? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		12. Type of actions required: Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Root Cause Determination? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13a. Trend Code: WP01	13b. CAR Initiator: <u>Pascilla Y. Martinez</u> (printed name) P. Y. Martinez	Date: 10-5-15
14a. Response due date: <u>Nov. 6, 2015</u>		
14b. Required corrective action completion date: <u>N/A</u>		
15. Concurrence:		
a. Assessment Team Leader (if applicable): <u>Martin Navarrete</u> (printed name) MARTIN NAVARETE		Date: <u>10-8-15</u>
b. CBFO Quality Assurance Director: <u>Michael R. Brown</u> (printed name) Michael R. Brown		Date: <u>10/8/15</u>
16. Acceptance of Proposed Corrective Actions: _____ (printed name)		Date: _____
17. Acceptance of Corrective Action Completion: _____ (printed name)		Date: _____
18. Closure: _____ (printed name)		Date: _____

CAR CONTINUATION SHEET

1. CAR No: 16-001

2. Activity No: A-15-24

3. Page 2 of 2

Block # 8 - Requirement That Is Involved

CCP-TP-500, Rev. 15, *Remote-Handled Waste Visual Examination*, Section 4.2.5 [B] states, "Method 2: VEO 2 [B.2] Print name, sign, AND date Attachment 1 to annotate that the VE has been completed."

WP 13-1, Rev. 35, *NWP Quality Assurance Program Description*, Section 2.1 states, "Work shall be performed to established, approved, and documented technical standards, administrative controls, and other hazard controls adopted to meet regulatory or contract requirements. Work shall be performed under controlled conditions using approved instructions, procedures, drawings, or other appropriate means. Items shall be identified and controlled to ensure their proper use."

Block # 9 - Condition Adverse to Quality (CAQ)

Per procedure CCP-TP-500, Rev. 15, *Remote-Handled Waste Visual Examination*, Section 4.2.5 [B.2], Attachment 1, Visual Examination Data Form, is signed and dated to annotate that the visual examination (VE) has been completed; however, indirect load containers 1348 and 1379 from batch data report ANLRHVE14004 were completed and then characterized again using the same Attachment 1 (i.e., removing items from the waste description, striking the first instance of the operators' signatures, and operators re-signing the form), and there is no procedural instruction to perform this process.



COPY

OCT - 5 2015

Mr. Martin P. Navarrete
Senior Quality Assurance Specialist
Carlsbad Field Office
P.O. Box 3090
Carlsbad, NM 88221-3090

Subject: ISSUANCE OF PROPOSED CAR 16-001 IDENTIFIED DURING ANL/CCP
RECERTIFICATION AUDIT A-15-24

Dear Mr. Navarrete:

Enclosed for your review and validation is the subject proposed Corrective Action Report (CAR) addressing the potential condition adverse to quality identified during Recertification Audit A-15-24 of the Argonne National Laboratory Central Characterization Program (ANL/CCP), conducted September 29 – October 1, 2015.

Please review the condition per CBFO Management Procedure 3.1, section 5.1, and respond with your conclusion.

If you have any questions or concerns regarding the proposed CAR, please contact me at (575) 234-7282.

Sincerely,

Katie Martin
Lead Auditor
CBFO Technical Assistance Contractor

Enclosure

cc w/enclosure:
P. Hinojos, CTAC

UNIQUE #	DOES FILE	DATE REC'D	ADDRESSEES
1502437	20000	OCT 05 2015	M. Navarrete w/ P.H. Hinojos