Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, NM 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule – November 2015

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office (CBFO) Monthly Assessment Schedule for the month of November, 2015. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, General Facility Conditions, Section 2.3.2.2, Observation of Audit.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision according to a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Michael R. Brown, Director, CBFO Office of Quality Assurance at (575) 234-7476.

Sincerely,

Todd Shrader, Manager
Carlsbad Field Office

Enclosure

cc: w/enclosure
S. Holmes, NMED *ED
R. Maestas, NMED ED
C. Smith, NMED ED
L. Bender, EPA ED
CBFO QA File
CBFO M&RC
*ED denotes electronic distribution
<table>
<thead>
<tr>
<th>ORGANIZATION/SCOPE</th>
<th>REMARKS &amp; COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMWTP Recertification Audit (annual)</td>
<td>A-16-01, C. Castillo</td>
</tr>
<tr>
<td>ANUP/CCP Recertification Audit (annual)</td>
<td>A-15-24, K. Martin</td>
</tr>
<tr>
<td>CBFO PDP Program Management Audit (3yr)</td>
<td>September 2017 (TBD)</td>
</tr>
<tr>
<td>CBFO QAPD Implementation Audit NQA (4, 5, 6, 7) (2yr)</td>
<td>2017 (TBD)</td>
</tr>
<tr>
<td>CBFO Mail and Records Center Surveillance (one-time)</td>
<td>S-15-04, J. Schuetz</td>
</tr>
<tr>
<td>CBFO FY 2016 IEP Comparison to the New Contractor Oversight Plan Surveillance (one-time)</td>
<td>February 2016 (TBD)</td>
</tr>
<tr>
<td>CBFO QAPD Implementation Audit NQA (17) (2Yr)</td>
<td>September 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Integrated WIPP Emergency Management Program Surveillance (3 yr)</td>
<td>February 2016 (TBD)</td>
</tr>
<tr>
<td>NWP WIPP Fire Protection Program Surveillance</td>
<td>S-16-02, July 2016 (TBD)</td>
</tr>
<tr>
<td>CBFO Contractor Oversight Surveillance</td>
<td>April 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Underground Fire Response Surveillance</td>
<td>February 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Nuclear Maintenance Management Program Surveillance</td>
<td>2016 (TBD)</td>
</tr>
</tbody>
</table>

*Notes: Assessments with TBD dates will be conducted as WIPP recovery activities occur

P: Planned, C: Field Activities Complete
Red: Changes since last approved schedule
### CARLSBAD FIELD OFFICE
### ASSESSMENT SCHEDULE
### NOVEMBER 2015

<table>
<thead>
<tr>
<th>ORGANIZATION/SCOPE</th>
<th>REMARKS &amp; COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>NWP Combustible Control Program Surveillance</td>
<td>March 2016 (TBD) Surveillance will be performed after completion of JONs and implementation of revised Plans &amp; Procedures</td>
</tr>
<tr>
<td>NWP Conduct of Operations Surveillance</td>
<td>April 2016 (TBD) Surveillance will be performed after completion of JONs and implementation of revised Plans &amp; Procedures</td>
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<tr>
<td>NWP Radiation Protection Program Surveillance</td>
<td>June 2016 (TBD) Surveillance will be performed after completion of JONs and implementation of revised Plans &amp; Procedures</td>
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<td>NWP Nuclear Safety Program Surveillance</td>
<td>February 2016 (TBD) Surveillance will be performed after completion of JONs and implementation of revised Plans &amp; Procedures</td>
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<tr>
<td>NWP Integrated Safety Management System Surveillance</td>
<td>March 2016 (TBD) Surveillance will be performed after completion of JONs and implementation of revised Plans &amp; Procedures</td>
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<td>NWP USQ Surveillance (3 yr)</td>
<td>S-16-10, J. Schuetz December 15-17, 2015</td>
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<tr>
<td>CCP/Intersite Shipment Transportation Audit (annual)</td>
<td>A-16-XX, B.J. Verret 2016 TBD</td>
</tr>
<tr>
<td>CCP QA Audit (ALL SITES) (annual)</td>
<td>March 2016 (TBD)</td>
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<tr>
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<th>REMARKS &amp; COMMENTS</th>
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<tr>
<td>Hanford/CCP</td>
<td>A-16-XX, J. Schuetz March 2016 (TBD)</td>
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<td>INL/CCP CH Records Close-Out Audit (one-time)</td>
<td>A-16-XX, T. Ackman January 2016 (TBD)</td>
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<td>A-16-XX, T. Ackman May 2016 (TBD)</td>
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<td>LANL/CCP Recertification Audit (annual)</td>
<td>A-16-XX, P.Y. Martinez March 2016 (TBD)</td>
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<td>S-15-02, B.J. Verret November 4-6, 2014 November 2017 (TBD)</td>
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<td>NFT/EPD Packaging Maintenance Surveillance (3yr)</td>
<td>2016 (TBD)</td>
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<tr>
<td>Compliance to DOE O 228.1 - Implementation DOE Oversight Policy NWP - Audit (3yr.)</td>
<td>A-16-06, J. Schuetz January 12-14, 2016</td>
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<td>NWP Calibration Audit (2 yr.)</td>
<td>S-15-38, B. Hunt March 11-12, 2015</td>
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<td>NWP NFT/EPD First Article Inspection of the Critically Control Overpack (CCO) Procurement (one-time)</td>
<td>March 2018 (TBD)</td>
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<td>NWP Centralized Procurement Audit (3yr)</td>
<td>2016 TBD</td>
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<tr>
<td>NWP CHAMPS Surveillance (one-time)</td>
<td>April 2018 (TBD)</td>
</tr>
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<td>NWP (10 CFR Part 71) Subpart H Audit (3yr)</td>
<td>S-16-XX, C. Riggs February 2016 (TBD)</td>
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<td>NWP CMR Surveillance (3yr)</td>
<td>2016 (TBD)</td>
</tr>
<tr>
<td>NWP Commercial Grade Dedication Surveillance (3yr)</td>
<td>July 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Configuration Management Audit (3 yr)</td>
<td>April 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Confined Space/Hearing and Fall Protection Surveillance (3yr)</td>
<td>A-16-XX, C. Castillo May 2016 (TBD)</td>
</tr>
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<td>NWP Dosimetry/RADCON Program Surveillance (3yr)</td>
<td>October 2016 (TBD)</td>
</tr>
<tr>
<td>NWP Emergency Management Audit (3 yr)</td>
<td>2016 (TBD)</td>
</tr>
<tr>
<td>NWP Engineering Fire Protection/Emergency Response Surveillance (3yr)</td>
<td>2016 (TBD)</td>
</tr>
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<tr>
<td>November 2015</td>
<td>CARLSBAD FIELD OFFICE ASSESSMENT SCHEDULE</td>
</tr>
<tr>
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<tr>
<td></td>
<td>NWP Engineering Surveillance (DSA/Nuclear Safety) 3yr</td>
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<tr>
<td></td>
<td>NWP Environmental Surveillance (meteorology) 3yr</td>
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<td>NWP Environmental Surveillance (NESHAP) 3yr</td>
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<td></td>
<td>NWP Graded Approach Surveillance 3yr</td>
</tr>
<tr>
<td></td>
<td>NWP Ground Control Surveillance 3yr</td>
</tr>
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<td></td>
<td>NWP Hazardous Transportation Vendors/RCRA Hazardous Materials Transporter Surveillance 3yr</td>
</tr>
<tr>
<td></td>
<td>NWP ISH Program Surveillance 3yr</td>
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<tr>
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<td>*NWP Maintenance/Work Control Processes Surveillance (annual)</td>
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<tr>
<td></td>
<td>NWP Mine Ventilation Surveillance 3yr</td>
</tr>
<tr>
<td></td>
<td>NWP Monitoring Programs Surveillance (Seismic &amp; Subsidence) 3yr</td>
</tr>
<tr>
<td></td>
<td>Root Cause Analysis Type B Packaging Surveillance (one-time)</td>
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<tr>
<td></td>
<td>NWP Safety Surveillance (HAZCOM) 3yr</td>
</tr>
<tr>
<td></td>
<td>*NWP Safety Surveillance (Job Hazard Analysis) 3yr</td>
</tr>
<tr>
<td></td>
<td>*NWP Safety Surveillance (Mining) 3yr</td>
</tr>
<tr>
<td></td>
<td>NWP Suspect/Counterfeit Items Surveillance 3yr</td>
</tr>
<tr>
<td></td>
<td>NWP Transport Trailer Maintenance Surveillance</td>
</tr>
<tr>
<td></td>
<td>NWP Waste Data System Surveillance (annual)</td>
</tr>
</tbody>
</table>

*Note: Assessments with TBD dates will be conducted as NWP recovery activities occur. Planned: P, Field Activities Complete: C, Changes since last approved schedule: P.*
<table>
<thead>
<tr>
<th>ORGANIZATION/SCOPE</th>
<th>REMARKS &amp; COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>NWP &quot;WPP Form CAP&quot; Surveillance (one-time)</td>
<td>S-15-46. P.Y. Martinez</td>
</tr>
<tr>
<td>* NWP Waste Handling (annual)</td>
<td>August 11-13, 2015</td>
</tr>
<tr>
<td>*NWP Corridor Emergency Preparedness Surveillance (one-time)</td>
<td>January 8, 2015</td>
</tr>
<tr>
<td>DOE Order 420 1C Requirements Surveillance (Facility Safety) (one-time)</td>
<td>(TBD)</td>
</tr>
<tr>
<td>NWP Emergency Management Exercise Surveillance (one-time)</td>
<td>S-15-03. BJ Verret</td>
</tr>
<tr>
<td>NWP 2014 Annual Fire Door Inspection Surveillance (one-time)</td>
<td>October 14-18, 2014</td>
</tr>
<tr>
<td>NWP Internal Audit 115-01, CCP at ORNL TWPC Surveillance (one-time)</td>
<td>February 17-March 3, 2015</td>
</tr>
<tr>
<td>NWP Material Control and Stores Inventory Surveillance (annual)</td>
<td>June 2016 (TBD)</td>
</tr>
<tr>
<td>Pre-Job Briefings Surveillance (one-time)</td>
<td>October 15, 2014</td>
</tr>
<tr>
<td>NWP Installation and Initial Setup of iCAMs at Panel 6 Surveillance (one-time)</td>
<td>January 2016 (TBD)</td>
</tr>
<tr>
<td>Installation of Panel 7 Room 7 Exhaust Bulkhead Surveillance (one-time)</td>
<td>S-15-49. B. J. Verret</td>
</tr>
<tr>
<td>NWP Change-Out of 41-B-856 Moderate Efficiency Filters (one-time)</td>
<td>April 21-28, 2015</td>
</tr>
<tr>
<td>NWP High Filters Change-Out Surveillance (one-time)</td>
<td>S-15-60. K. Kirkes</td>
</tr>
<tr>
<td>Filter Unit Damper Actuation and Seal Pressure Test Surveillance (one-time)</td>
<td>June 3-4, 2015</td>
</tr>
<tr>
<td></td>
<td>S-15-59. K. Kirkes</td>
</tr>
<tr>
<td></td>
<td>May 28, 2015</td>
</tr>
<tr>
<td></td>
<td>April 2016 (TBD)</td>
</tr>
<tr>
<td></td>
<td>S-15-36. K. Kirkes</td>
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<tr>
<td></td>
<td>March 3-5, 2015</td>
</tr>
<tr>
<td></td>
<td>S-15-55. B. Boyko</td>
</tr>
<tr>
<td></td>
<td>May 15, 2015</td>
</tr>
<tr>
<td></td>
<td>March 27, 2015</td>
</tr>
<tr>
<td></td>
<td>S-15-71. J. Schuetz</td>
</tr>
<tr>
<td></td>
<td>July 28, 31, 2015</td>
</tr>
<tr>
<td></td>
<td>S-15-72. B. Boyko</td>
</tr>
<tr>
<td></td>
<td>July 22, 2015</td>
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</tbody>
</table>

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P. Planned; C. Field Activities Complete

Red - Changes since last approved schedule
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### ASSESSMENT SCHEDULE
### NOVEMBER 2015

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</thead>
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<tr>
<td>NWP Transition Line Roll Back to E140/S2520 Surveillance (one-time)</td>
<td>S-15-74, K Kirkes</td>
</tr>
<tr>
<td></td>
<td>July 30 - August 12, 2015</td>
</tr>
<tr>
<td>NWP U/G Roof Bolting Activities Surveillance (one-time)</td>
<td>S-15-52, K Kirkes</td>
</tr>
<tr>
<td></td>
<td>April 30 - May 13, 2015</td>
</tr>
<tr>
<td>NWP Technical Direction 03 FY15 OEA Findings Surveillance (one-time)</td>
<td>S-15-19, J Stewart</td>
</tr>
<tr>
<td></td>
<td>March 17-19, 2015</td>
</tr>
<tr>
<td>IVP Oversight PP1 activities of NWP/URS at Flanders Fan (one-time)</td>
<td>S-15-20, G Knox</td>
</tr>
<tr>
<td></td>
<td>January 6-7, 2015</td>
</tr>
<tr>
<td>IVP Oversight PP2 activities of NWP/URS/FFI at Twin Cities Fan (one-time)</td>
<td>S-15-21, G Knox</td>
</tr>
<tr>
<td></td>
<td>January 13-14, 2015</td>
</tr>
<tr>
<td>IVP Oversight PP2 activities of NWP/URS/FFI at Twin Cities Fan (one-time)</td>
<td>S-15-22, G Knox</td>
</tr>
<tr>
<td></td>
<td>January 27-28, 2015</td>
</tr>
<tr>
<td>IVP PP1 Filter Skids In-Process Surveillance (one-time)</td>
<td>S-15-23, G Knox</td>
</tr>
<tr>
<td></td>
<td>January 29-31, 2015</td>
</tr>
<tr>
<td>IVP PP1 Filter Final Fabrication Acceptance Testing (FFAT) Surveillance (one-time)</td>
<td>S-15-24, G Knox</td>
</tr>
<tr>
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<td>February 6-11, 2015</td>
</tr>
<tr>
<td>CBFO Oversight of IVS PP3 Electrical Building In-Process Inspection Activities Surveillance (one-time)</td>
<td>S-15-25, G Knox</td>
</tr>
<tr>
<td></td>
<td>February 23-25, 2015</td>
</tr>
<tr>
<td>IVP PP4-Duct In-Process Surveillance (one-time)</td>
<td>S-15-26, G Knox</td>
</tr>
<tr>
<td></td>
<td>July 29-31, 2015</td>
</tr>
<tr>
<td>IVP PP4-Duct Final Fabrication Acceptance Testing (FFAT) Surveillance (one-time)</td>
<td>S-15-27, G Knox</td>
</tr>
<tr>
<td></td>
<td>May 12-14, 2015</td>
</tr>
<tr>
<td></td>
<td>September 9-11, 2015</td>
</tr>
<tr>
<td>IVS PP4 - Duct Repair In-Process Surveillance (one-time)</td>
<td>S-15-29, G Knox</td>
</tr>
<tr>
<td></td>
<td>August 2015</td>
</tr>
<tr>
<td>IVS PP5-Controls Factory Acceptance Testing (FAT) Surveillance (one-time)</td>
<td>S-16-05, G Knox</td>
</tr>
<tr>
<td></td>
<td>November 3-5, 2015</td>
</tr>
<tr>
<td>Spare Fan SVS Surveillance (one-time)</td>
<td>S-16-06, G Knox</td>
</tr>
<tr>
<td></td>
<td>November 10-11, 2015</td>
</tr>
<tr>
<td>IVS Duct Work, Re-Work Surveillance (one-time)</td>
<td>S-15-66, G Knox</td>
</tr>
<tr>
<td></td>
<td>June 2015</td>
</tr>
<tr>
<td>Installation of the SVS Fan in S90 Surveillance (one-time)</td>
<td>S-15-67, G Knox</td>
</tr>
<tr>
<td></td>
<td>August 24-27, 2015</td>
</tr>
<tr>
<td>Investigate and video conditions of Panel 7, Room 7, in support of the Accident Investigation Board (AIB) Forensic Team (one-time)</td>
<td>S-15-23, K Kirkes</td>
</tr>
<tr>
<td></td>
<td>January 8-29, 2015</td>
</tr>
</tbody>
</table>

*Note: Assessments with TBD dates will be conducted as WIPP recovery activities.*

P: Planned, C: Field Activities Completed

**ND**: Changes since last approved schedule

Page 6 of 8
## CARLSBAD FIELD OFFICE ASSESSMENT SCHEDULE NOVEMBER 2015

<table>
<thead>
<tr>
<th>ORGANIZATION/SCOPE</th>
<th>REMARKS &amp; COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>NWP IVS Civil Excavations Surveillance (one-time)</td>
<td>S-15-55, B. Hunt May 6-7, 2015</td>
</tr>
<tr>
<td>NWP IVS Civil Construction (Install Forms and Reinforcing Steel) Surveillance (one-time)</td>
<td>S-15-58, B. Hunt May 18-20, 2015</td>
</tr>
<tr>
<td>CSFO Oversight Review of the NWP Approved Final Document Package for the IVS PP1 Filter Housing Repair Activities (one-time)</td>
<td>S-16-08, G. Knox October 13, 2015</td>
</tr>
<tr>
<td>NWP IVS FAT Test Surveillance (one-time)</td>
<td>S-15-64, G. Knox June 30 - July 1, 2015</td>
</tr>
<tr>
<td>Final Integrated Test (WIPP Site) Surveillance (one-time)</td>
<td>S-16-XX, G. Knox (TBD)</td>
</tr>
<tr>
<td>NWP SVS (Space Fan) FFAT Surveillance (one-time)</td>
<td>S-16-XX, G. Knox August 4-6, 2015</td>
</tr>
<tr>
<td>Radiological Characterization Surveys in Zone 8 (one-time)</td>
<td>S-16-09, B. Boyko October 15, 2015</td>
</tr>
<tr>
<td>ORNL/CCP Recertification Audit (annual)</td>
<td>A-16-XX, R. Castillo April 2016 (TBD)</td>
</tr>
<tr>
<td>SRS/CCP RTR &amp; NDA Characterization Processes (one-time)</td>
<td>S-15-06, B. Pace October 15, 2014</td>
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</tbody>
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P: Planned, C: Field Activities Complete

*Ref.: Changes since last approved schedule*
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<th>Activity/Scope</th>
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</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cast Transportation Audit (annual)</td>
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<td>Acquisition of Transcom Services Surveillance (one-time)</td>
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<td>Transcom Surveillance (annual)</td>
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<tr>
<td></td>
<td>WIPP Security Surveillance (3yr)</td>
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Approved by: Michael R. Brown, Director
CBFO Office of Quality Assurance

Approved by: Todd Schrader, CBFO Manager

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