



ENTERED

Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221

AUG 10 2016



Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, New Mexico 87505

Subject: Carlsbad Field Office Monthly Assessment Schedule – August 2016

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office Monthly Assessment Schedule for the month of August, 2016. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision according to a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Michael R. Brown, Director, CBFO Office of Quality Assurance, at (575) 234-7476.

Sincerely,

Todd Shrader, Manager
Carlsbad Field Office

Enclosure

cc: w/enclosure
R. Maestas, NMED *ED
C. Smith, NMED ED
CBFO QA File
CBFO M&RC
*ED denotes electronic Distribution



Mr. John E. Kieling

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bcc: w/enclosure

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**CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
August 2016**

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH	AI	AJ	AK	AL	AM	AN	AO	AP											
																																										FY	1	2	3	4	5	6	7	8	9	10
ORGANIZATION/SCOPE		FY	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	REMARKS & COMMENTS																		
2	AMWTP	AMWTP Recertification Audit (annual)	C																																													A-17-04 October 4-6 2016				
		AMWTP CARs 15-002 & 15-005 Closure Verification Surveillance (Supplemental Evaluation)									C																																					S-15-15 June 2-4, 2015				
4	ANL/CCP	ANL/CCP Recertification Audit (annual)														C																																	A-17-XX November 2016 TBD			
5	CBFO	CBFO Required Training Surveillance																																														S-16-22 Aug. 15-Sept. 30, 2016				
		CBFO DOE 436.1 Requirements (Environmental Management System) Surveillance (Supplemental Evaluation)																																															S-15-35 April 28-30, 2015			
		CBFO Document Control Surveillance																																															S-16-29 September 20-22, 2016			
		CBFO Integrated Evaluation Plan Surveillance																																															S-16-50 Aug. 30 - Sept. 1, 2016			
		CBFO Implementation of MP 4 15 and 4 17 Surveillance																																															S-16-52 September 27-29 2016			
		CBFO MP 10.9, Surveillance, Operational Awareness, and Issues Management Surveillance																																															S-16-46 September 6-8, 2016			
		CBFO PDP Program Management Audit (3yr)																																															September 2017 (TBD)			
		CBFO PDP/Software QA Program Surveillance																																															S-16-37 May 10-12, 2016			
		CBFO Mail and Records Center Surveillance (Supplemental Evaluation)																																															S-15-04 November 18-20, 2014			
		CBFO QAPD Implementation Audit NQA (4, 5 & 7) (2yr)																																																	P FY16 - Cancelled - (Will be covered by the EM-43 DOE HQ Audit of CBFO September 2016)	
CBFO QAPD Implementation Audit NQA (17) & (18) (2Yr)																																																	P FY16 - Cancelled - (Will be covered by the EM-43 DOE HQ Audit of CBFO September 2016)			
16	Verification of JON action closure will be scheduled as JON actions are completed																																																			
17	CBFO Activities Supporting JON Verification	NWP USQ Surveillance (3 yr)																																																S-16-10 December 15-17, 2015		
		CBFO Issue Collection & Evaluation (ICE) System Surveillance (3 yr) (Rev 3b & Rev 4)																																																		S-16-18 September 13-15, 2016
		CBFO JON Procedure Revision Surveillance																																																		S-15-51 May 19-21, 2015
		CBFO JON Training Verification Surveillance																																																		S-15-50 July 7-9, 2015

*Note: Assessments with TBD dates will be conducted as WIPP recovery activities occur

P - Planned, C - Field Activities Complete
Red - Changes since last approved schedule

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ASSESSMENT SCHEDULE
August 2016**

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		F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG		AH	AI	AJ	AK	AL	AM	AN	AO																																																															
1	ORGANIZATION/SCOPE	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
21	CCP	*CCP/Inter-site Shipment Transportation Audit (annual)											Assessment cancelled for FY15 due to WIPP Recovery - Shipments currently suspended											Assessment cancelled for FY16 due to WIPP Recovery - Shipments currently suspended											P	September 2017 (TBD)																																																																	
22	CCP	CCP QA Audit (ALL SITES) (annual)											C											C											P	A-16-12 March 29-31, 2016																																																																	
23	CCP	CCP Transportation Surveillance - (ALL SITES) (annual)											C											C											P	S-16-24 February 23, 2016																																																																	
24	HANFORD/CCP	Hanford/CCP Recertification Audit (annual)											C											C											P	A-16-11 May 31 - June 1, 2016																																																																	
25	INL/CCP	INL/CCP Recertification (annual)											C											C											P	A-16-18 June 14-16, 2016																																																																	
26	LANL/CCP	LANL/CCP Recertification Audit (annual)											C											C											P	A-16-19 May 17-19, 2016																																																																	
27	LANL/CO	LANL/CO QAPD Implementation Audit (annual)											C											C											P	A-16-10 March 1-3, 2016																																																																	
28	LANL/CO	<i>Preparation and Testing of Sorbents Mixed with Oxidizer found in TRU Waste Surveillance (Basis of Knowledge)</i>																						P												S-16-56 August 9-10, 2016																																																																	
29	LLNL/CCP	LLNL/CCP Certification Audit																																		A-17-XX FY2017 TBD																																																																	
30	NFT/EPD	NFT/EPD Packaging Maintenance Surveillance (3yr)											C																							S-15-02 November 4-6, 2014 November 2017 (TBD)																																																																	
31	NWP	NWP Calibration Audit (annual)																						C											P	A-16-06 February 2-4, 2016																																																																	
32	NWP	NWP NFT/EPD First Article Inspection of the Critically Control Overpack (CCO) Procurement (Supplemental Evaluation)											C																							S-15-38 March 11-12, 2015																																																																	
33	NWP	NWP Centralized Procurement Audit (3yr)											C																							March 2018 (TBD)																																																																	
34	NWP	NWP (10 CFR Part 71) Subpart H Audit (3yr)											C																							April 2018 (TBD)																																																																	
35	NWP	NWP CMR Surveillance (3yr)																																	P	January 2017 (TBD)																																																																	
36	NWP	NWP Commercial Grade Dedication Surveillance (3yr)																																	P	S-16-42 August 2-4, 2016																																																																	
37	NWP	<i>NWP Configuration Management Surveillance (Supplemental)</i>																																	P	S-16-54 August 16-18, 2016																																																																	
38	NWP	NWP Configuration Management Audit (3 yr)																																		TBD																																																																	

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1	ORGANIZATION/SCOPE	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
35	NWP Contractor Assurance Audit to Verify Compliance to DOE Order 226.1 (Supplemental Evaluation)																				C																		A-16-09 May 10-12, 2016	
40	NWP Dosimetry/RADCON Program Surveillance (3yr)																				C																	A-16-16 April 26-26, 2016		
41	NWP Compliance to WP 12-ER.13 Surveillance																							P														S-16-55 August 9-11, 2016		
42	NWP Emergency Management Audit (3 yr)																																					TBD		
43	*NWP Engineering Fire Protection Surveillance (3yr)																											P										S-17-01 November 1-3, 2016		
44	NWP Engineering Surveillance (DSA/Nuclear Safety) (3yr)																								P													S-16-21 September 6-8, 2016		
45	NWP Environmental RCRA/ NWP Monitoring Programs/Groundwater Audit (Biota/Land Management/Delaware Basin (annual)	C												C														P										A-17-01 November 15-17, 2016		
46	NWP Environmental Surveillance (meteorology) (3yr)													C																								S-16-04 November 17-19, 2015		
47	NWP Environmental Surveillance (NESHAP) (3yr)																																		P			August 2017 (TBD)		
48	NWP Facility Operations Training Surveillance (Supplemental Evaluation)											C																										S-15-68 September 15-17, 2015		
49	NWP Graded Approach Surveillance (3yr)													This scope was combined with the NWP Procurement Audit A-16-13																										
50	NWP Radcon Instrumentation Audit (3yr)																				C																	April 2018 (TBD)		
51	NWP Hazardous Transportation Vendors/ RCRA Hazardous Materials Transporter Surveillance (3yr)																																					S-17-02 FY2017 TBD		
52	NWP ISH Program Surveillance (3yr)																					C																S-16-12 January 12-14, 2016		
53	*NWP Maintenance/Work Control Processes Surveillance (annual)																								C													S-16-38 May 3-5, 2016		
54	NWP Mine Ventilation Surveillance (3yr)																																		P			September 2017 (TBD)		
55	NWP Records Management Surveillance (annual)																					C												P				S-16-39 June 21-23, 2016		
56	NWP RES Permittee Waste Confirmation Surveillance (3yr)																																					S-15-10 January 27-29, 2015 January 2018 (TBD)		
57	Root Cause Analysis Type B Packaging Surveillance (Supplemental Evaluation)	C																																						
58	NWP Type B Packaging Surveillance																																					2017 TBD		
59	NWP Suspect/Counterfeit Items Surveillance (3yr)																																		P			June 2017 (TBD)		
60	NWP On-Site Inspection of SRS Laboratories Surveillance																																					S-16-28 July 19-21, 2016		
61	NWP Transport Trailer Maintenance Surveillance																																		P			June 2017 (TBD)		
62	NWP Industrial Safety Air Quality Monitoring Audit (annual) (new activity)																																			P			February 2017 (TBD)	

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																																										FY	1	2	3	4	5	6	7	8	9
ORGANIZATION/SCOPE		FY	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	REMARKS & COMMENTS																	
90	NWP Change-Out of 41-B-856 Moderate Efficiency Filters									C																																				S-15-56 May 15, 2015					
96	NWP High Filter Change-Out Surveillance									C																																						S-15-39 March 27, 2015			
97	Filter Unit Damper Actuation and Seal Pressure Test Surveillance																																															S-15-71 July 28 31, 2015			
98	NWP CH Surface Waste Handling Equipment Status Surveillance																																														S-16-34 March 3-4, 2016				
99	NWP Safety Stand Down - Radiological Controls Group Breakout Session Surveillance																																															S-15-72 July 22, 2015			
99	NWP Transition Line Roll Back to E140/S2520 Surveillance																																															S-15-74 July 30 - August 12, 2015			
99	NWP U/G Roof Bolting Activities Surveillance																																																S-15-52 April 30 - May 13, 2015		
99	NWP Technical Direction 03 FY15 OEA Findings Surveillance																																																S-15-19 March 17-19, 2015		
99	IVS Oversight PP1 activities of NWP/URS at Flanders Fan																																																S-15-20 January 6-7, 2015		
99	IVS Oversight PP2 activities of NWP/URS/FFI at Twin Cities Fan																																																	S-15-21 January 13-14, 2015	
99	IVS Oversight PP2 activities of NWP/URS/FFI at Twin Cities Fan																																																	S-15-22 January 27-28, 2015	
99	IVS PP1 Filter Skids In-Process Surveillance																																																		S-15-24 February 6-11, 2015
99	IVS PP1 Filter Final Fabrication Acceptance Testing (FFAT) Surveillance																																																	S-15-25 February 23-25, 2015	
99	CBFO Oversight of IVS PP3 Electrical Building In-Process Inspection Activities Surveillance																																																		S-15-26 July 29-31, 2015
99	IVS PP4-Duct In-Process Surveillance																																																		S-15-28 March 24-25, 2015
99	IVS PP4-Duct Final Fabrication Acceptance Testing (FFAT) Surveillance																																																		S-15-29 May 12-14, 2015
99	IVS PP3 Electrical Final Fabrication Acceptance Testing (FFAT) Surveillance																																																		S-15-27 September 9-11, 2015
100	NWP Installation of Exhaust Duct Supports and Exhaust Duct Tie-In Surveillance																																																		S-16-27 February 15 -21, 2016
100	IVS Mechanical/Electrical Construction Preliminary and Final Walkthrough Surveillance																																																		S-16-36 March 13 & 17, 2016
100	IVS PP4 - Duct Repair In-Process Surveillance																																																		S-15-30 August 2015
100	IVS PP5-Controls Factory Acceptance Testing (FAT) Surveillance																																																		S-16-05 November 3-5, 2015
100	Spare Fan SVS Surveillance																																																		S-16-06 November 10-11, 2015

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