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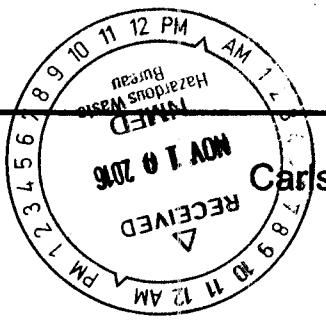
Department of Energy



memorandum

Carlsbad Field Office
Carlsbad, New Mexico 88221

DATE: NOV 1 0 2016

REPLY TO ATTN OF: CBFO:OQA:MPN:BA:16-3500:UFC 2300.00

SUBJECT: Recertification Audit A-17-04 of the AMWTP Transuranic Waste Characterization and Certification Program

TO: Mr. Jim Malmo, DOE-ID

Please be advised that an audit team from the Department of Energy (DOE) Carlsbad Field Office (CBFO) will conduct a recertification audit of the Advanced Mixed Waste Treatment Project (AMWTP) at the Sawtelle Street Facility in Idaho Falls, Idaho, and at the Idaho National Laboratory near Idaho Falls, ID, December 12 – 16, 2016.

The AMWTP characterization activities for contact-handled transuranic Summary Category Group (SCG) S3000 homogeneous solids waste and SCG S5000 debris waste will be evaluated during the audit.

The audit will be conducted in accordance with the attached audit plan. Representatives from the DOE CBFO and the New Mexico Environment Department (NMED) may be present to observe the audit. In addition, the U.S. Environmental Protection Agency may conduct an independent inspection of the AMWTP and/or an inspection of the CBFO audit process.

Your representatives are requested to coordinate with the audit team to develop the necessary documentation for the audit team to gain access to the AMWTP facilities, conduct the audit, and have appropriate access to necessary documentation and records. Please provide meeting rooms for the entrance and exit meetings, and work rooms for the audit team and observers. The audit team will need a full set of documentation applicable to the AMWTP characterization activities for waste to be transported to the Waste Isolation Pilot Plant, including procedures.

If you have any questions or comments concerning the audit, please contact me at (575) 234-7483.

Martin P. Navarrete
Senior Quality Assurance Specialist

Attachment

161114

cc: w/attachment

S. Ross, EM-3.113	*ED
B. Forinash, EM-4.21	ED
J. Snook, EA-31	ED
M. Brown, CBFO	ED
J.R. Stroble, CBFO	ED
D. Miehls, CBFO	ED
N. Castaneda, CBFO	ED
G. Birge, CBFO	ED
T. Carver, CBFO	ED
H. Cruickshank, CBFO	ED
J. Zimmerman, DOE-ID	ED
M. Willcox, DOE-ID	ED
T. Jenkins, DOE-ID	ED
A. Bergman, DOE-ID	ED
G. Byram, AMWTP	ED
T. Clements, AMWTP	ED
E. Dumas, AMWTP	ED
J. Floerke, AMWTP	ED
G. Tedford, AMWTP	ED
A. Morse, AMWTP	ED
J. Walsh, EPA	ED
T. Peake, EPA	ED
E. Feltcorn, EPA	ED
R. Joglekar, EPA	ED
J. Kieling, NMED	ED
R. Maestas, NMED	ED
D. Biswell, NMED	ED
V. Daub, CTAC	ED
P. Martinez, CTAC	ED
C. Castillo, CTAC	ED
M. Leroch, CTAC	ED
G. Knox, CTAC	ED
D. Harvill, CTAC	ED
G. White, CTAC	ED
J. Vernon, CTAC	ED
Site Documents	ED
CBFO QA File	
CBFO M&RC	

*ED denotes electronic distribution

CARLSBAD FIELD OFFICE AUDIT PLAN

Audit Number: A-17-04

Organization: Advanced Mixed Waste Treatment Project (AMWTP)

Organizations to be Notified:
Fluor Idaho
New Mexico Environment Department
U.S. Environmental Protection Agency
Defense Nuclear Facilities Safety Board

Date and Location:
December 12 – 16, 2016
Idaho National Laboratory (INL), Idaho Falls, Idaho, and the AMWTP Sawtelle St. Facility, Idaho Falls, Idaho.

Audit Team:

Martin Navarrete	Management Representative, Carlsbad Field Office (CBFO) Office of Quality Assurance (QA)
Dennis Miehls	CBFO QA Representative
Greg Knox	Audit Team Leader, CBFO Technical Assistance Contractor (CTAC)
Jim Vernon	Audit Team Leader-in-training, CTAC (C6 QA, WWIS/WDS, Software Control)
Porf Martinez	Auditor, CTAC (Organization/QA Program)
Harley Kirschenmann	Auditor, CTAC (AK)
Cindi Castillo	Auditor, CTAC (VE)
Charlie Riggs	Auditor, CTAC (RTR)
Jack Walsh	Auditor, CTAC (NDA)
Roger Vawter	Auditor, CTAC (C6 QA, Quality Improvement, Inspection & Testing, Audits/Assessments Procurement, Work Processes)
Kathy Hood	Auditor, CTAC (C6 QA, Records, Documents, Training)
Ricardo Chavez	Auditor-in-training, CTAC
John Fernandez	Auditor-in-training, CTAC
Paul Gomez	Technical Specialist, CTAC (PL V&V)
Dick Blauvelt (telecon.)	Technical Specialist, CTAC (AK, Waste Certification, Load Management)
Randy Fitzgerald	Technical Specialist, CTAC (AK)
Rhett Bradford	Technical Specialist, CTAC (VE)
Prissy Yanez	Technical Specialist, CTAC (RTR)
Jim Oliver	Technical Specialist, CTAC (NDA)
B.J. Verret	Technical Specialist, CTAC (Container Management Shipping Documentation, Retrieval Inspection)

Audit Scope:

The audit team will evaluate the continued adequacy, implementation, and

effectiveness of the AMWTP technical and QA activities performed for characterizing contact-handled transuranic waste. The QA and technical activities implemented at AMWTP for contact-handled (CH) Summary Category Group (SCG) S3000 homogeneous solids waste and CH SCG S5000 debris waste will be audited to requirements in the Waste Isolation Pilot Plant (WIPP) Hazardous Waste Facility Permit (HWFP), the CBFO *Quality Assurance Program Document*, and the *Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant*. The specific items to be audited are listed below.

Activities to be Audited:

The following general areas from Attachment C6, Section C6-3, of the WIPP HWFP will be audited:

Results of Previous Audits
Changes in Programs or Operations
New Programs or Activities Being Implemented
Changes in Key Personnel

The following QA processes will be audited:

Organization/QA Program Implementation
Personnel Qualification and Training
Quality Improvement (nonconformance reporting and corrective action)
Document Control
Records
Work Processes
Procurement
Inspection and Testing (control of measurement and test equipment for data collection)
Audits/Assessments
Container Management
Software Control

The following waste characterization technical elements will be audited:

Acceptable Knowledge (AK), including waste certification (i.e., Waste Stream Profile Forms)
Project-Level Data Validation and Verification (PL V&V)
Real-time Radiography (RTR)
Visual Examination (VE)
Nondestructive Assay (NDA)
WIPP Waste Information System/Waste Data System (WWIS/WDS)
Load Management

For additional details, see the attached Processes and Equipment to be reviewed during Audit A-17-04 of AMWTP.

Governing Documents/Requirements:

Evaluation of adequacy of AMWTP documents will be based on the current revisions of the following documents:

- CBFO *Quality Assurance Program Document*, DOE/CBFO-94-1012
- Waste Isolation Pilot Plant Hazardous Waste Facility Permit NM4890139088-TSDF
- *Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant*, DOE/WIPP-02-3122

Programmatic and technical checklists will be developed from the current revisions of the following documents:

- *AMWTP Certification Plan for INL Contact-Handled Transuranic Waste,* MP-TRUW-8.1 *(Fluor Idaho PLN-5198)*
- *AMWTP Quality Assurance Project Plan*, MP-TRUW-8.2 *(Fluor Idaho PLN 5199)*
- Related AMWTP QA and technical implementing procedures

Schedule of Audit Activities:

A pre-audit conference is scheduled for 8:30 a.m., Monday, December 12, 2016, in the AMWTP Sawtelle St. Facility, Idaho Falls, Idaho.

Audit team caucuses will be held at 3:30 p.m., Monday, December 12, through Thursday, December 15, 2016, and at 1:00 p.m. on Friday, December 16, 2016.

The audit team leader will meet with AMWTP management (if needed) to discuss audit concerns and audit progress at 8:30 a.m., Tuesday, December 13 through Friday, December 16, 2016, location TBD.

A post-audit conference is scheduled for 3:00 p.m., Friday, December 16, 2016, in the AMWTP Sawtelle St. Facility, Idaho Falls, Idaho.

All meeting locations will be identified on the daily audit schedule.

Approved By: _____ **Date:** 9 Nov 2016
Greg Knox, CTAC
Audit Team Leader

Approved By: _____ **Date:** 11/9/2016
Michael R. Brown, Director
CBFO Office of Quality Assurance

Processes and Equipment to be Reviewed During Audit A-17-04 of AMWTP

WIPP #	Site Equipment #	Process/Equipment Description	Components	Software	NDA Calibrated Range, Operating Range and TMU
AMWTP New Processes or Equipment					
9WAGSI	WAGS-610	Waste Assay Gamma Spectrometer (WAGS) WAGS- PDP Registration #AM07/AMG2 Method described in INST-01-123 (TPR-8093)	• Broad Energy Germanium (BEGe) gamma detectors • Barium Transmission Source	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis - Uranium (MGA-U)	The calibration of the WAGS was verified and documented in CCP-INL-WAGS-001 and CCP-INL-WAGS-003,Waste Assay Gamma Spectrometer (WAGS) Calibration, Confirmation, and Verification Reports, The determination of TMU for the WAGS is documented CCP-INL-WAGS -002, Total Measurement Uncertainty for the WAGS System
9SGRSI	SGRS-610	SWEPP Gamma-Ray Spectrometer (SRGS) SGRS- PDP Registration # AM06/AMG1 Method described in INST-01-122 (tPR-8092)	• Broad Energy Germanium (BEGe) gamma detectors	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis- Uranium (MGA-U)	The calibration of the WAGS was verified and documented in CCP-INL- SGRS-001 SWEPP Gamma-Ray Spectrometer (SGRS) Calibration , Confirmation, and Verification Report, The determination of TMU for the SGRS is documented CCP-INL- SGRS--002, Total Measurement Uncertainty for the SGRS System
9VEI2	N/A	Visual Examination: ARP Packaging Stations (VEA and VEP) Method described in INST-TRUW-8.13.4 (TPR-7997)	N/A	Waste Tracking System (WTS)	N/A

WIPP #	Site Equipment #	Process/Equipment Description	Components	Software	NDA Calibrated Range, Operating Range and TMU
AMWTP Previously Certified Processes or Equipment					
NONDESTRUCTIVE ASSAY					
9DA1	Z-211-102	Canberra Integrated Waste Assay System (IWAS) for assay and isotopics on 55-gallon and 83/85-gallon drums DAS –102 - PDP Registration # AM01/AMN1 Method described in procedure INST-OI-14 (TPR-8094)	• Broad Energy Germanium (BEGe) gamma detectors • 122 helium-3 tubes used in passive neutron coincidence counting modality and the active neutron differential die-away modality • Cf-252/Cs-137 Add-A-Source (AAS) correction source • 14 MeV neutron generator • Fast Neutron Detector Packs (FNDP)	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis-Uranium (MGA-U)	The calibration of IWAS system was verified and documented in the site acceptance reports CI-IDA-NDA-0051 through CI-IDA-NDA-0054 The calibration of the IWAS was verified and documented in CI-IDA-NDA-0035. Calibration Verification and Confirmation Procedure for the IWAS at AMWTP The determination of TMU for the IWAS unit is documented in CI-IDA-NDA-0055, Total Measurement Uncertainty for the AMWTP Integrated Waste Assay Systems, Revision 1, July 30, 2003.
9DA2	Z-211-103	Canberra Integrated Waste Assay System (IWAS) for assay and isotopics on 55-gallon and 83/85-gallon drums DAS-103 - PDP Registration # AM02/AMN2 Method described in procedure INST-OI-14 (TPR-8094)	• Broad Energy Germanium (BEGe) gamma detectors • 122 helium-3 tubes used in passive neutron coincidence counting modality and the active neutron differential die-away modality • Cf-252/Cs-137 Add-A-Source (AAS) correction source • 14 MeV neutron generator • Fast Neutron Detector Packs (FNDP)	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis-Uranium (MGA-U)	The calibration of IWAS system was verified and documented in the site acceptance reports CI-IDA-NDA-0051 through CI-IDA-NDA-0054 The calibration of the IWAS was verified and documented in CI-IDA-NDA-0035. Calibration Verification and Confirmation Procedure for the IWAS at AMWTP The determination of TMU for the IWAS unit is

WIPP #	Site Equipment #	Process/Equipment Description	Components	Software	NDA Calibrated Range, Operating Range and TMU
					documented in CI-IDA-NDA-0055, "Total Measurement Uncertainty for the AMWTP Integrated Waste Assay Systems", Revision 1, July 30, 2003.

NONDESTRUCTIVE ASSAY (continued)					
9DA3	Z-390-100	Canberra Integrated Waste Assay System (IWAS) - DAS3 – 55 gallon drums DAS-100 – PDP Registration # AM03/AMN3 Method described in INST-FOI-01 (TPR-8025)	• Broad Energy Germanium (BEGe) gamma detectors • 122 helium-3 tubes used in passive neutron coincidence counting modality and the active neutron differential die-away modality • Cf-252/Cs-137 Add-A-Source (AAS) correction source • 14 MeV neutron generator • Fast Neutron Detector Packs (FNDP)	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis-Uranium (MGA-U)	The calibration of IWAS system was verified and documented in the site acceptance reports CI-IDA-NDA-0051 through CI-IDA-NDA-0054 The calibration of the IWAS was verified and documented in CI-IDA-NDA-0035. Calibration Verification and Confirmation Procedure for the IWAS at AMWTP The determination of TMU for the IWAS unit is documented in CI-IDA-NDA-0055, Total Measurement Uncertainty for the AMWTP Integrated Waste Assay Systems, Revision 1, July 30, 2003.

9DA4	Z-390-101	Canberra Integrated Waste Assay System (IWAS) - DAS4 – 55 gallon drums DAS-101 – PDP Registration # AM04/AMN4 Method described in INST-FOI-01 (TPR-8025)	• Broad Energy Germanium (BEGe) gamma detectors • 122 helium-3 tubes used in passive neutron coincidence counting modality and the active neutron differential die-away modality • Cf-252/Cs-137 Add-A-Source (AAS) correction source • 14 MeV neutron generator • Fast Neutron Detector Packs (FNDP)	• NDA 2000 • Canberra's Genie 2000 • Multi-Group Analysis (MGA) • Multi-Group Analysis-Uranium (MGA-U)	The calibration of IWAS system was verified and documented in the site acceptance reports CI-IDA-NDA-0051 through CI-IDA-NDA-0054 The calibration of the IWAS was verified and documented in CI-IDA-NDA-0035. Calibration Verification and Confirmation Procedure for the IWAS at AMWTP The determination of TMU for the IWAS unit is documented in CI-IDA-NDA-0055, Total Measurement Uncertainty for the AMWTP Integrated Waste Assay Systems, Revision 1, July 30, 2003.
9RBAS1	Z-212-105	Retrieval Box Assay System (RBAS) BAS-105- PDP Registration #AM05/AMN5 Method described in INST-OI-15 (TPR -8095)	• Broad Energy Germanium (BEGe) gamma detectors • 84 six foot helium-3 tubes used in passive neutron coincidence counting modality and the active neutron differential die-away modality • Eu-152 Source Gamma check source • 14 MeV neutron generator • 4 Flux monitor assemblies	• PSC RBAS.exe • PSC RWARS software package	The calibration of the RBAS was verified and documented in PSC-5431-CCR-001, Calibration Confirmation Report. The determination of TMU for the RBAS unit is documented BII-5112-TMU-001, AMWTP Retrieval Box Assay System Total Measurement Uncertainty Report.
NON-DESTRUCTIVE EXAMINATION					
9RR1	Z-213-101	Real-Time Radiography System Method described in INST-OI-12 (TPR-8089)	RTR System	Waste Tracking System (WTS)	N/A
9RR2	Z-213-106	Real-Time Radiography System Method described in procedure INST-OI-12 (TPR-8089)	RTR System	Waste Tracking System (WTS)	N/A
9RR3	RTR-RTR-1001	Real-Time Radiography System Method described in procedure IINST-OI-12 (TPR-8089)	RTR System	Waste Tracking System (WTS)	N/A

VISUAL EXAMINATION					
9VE2	N/A	Visual Examination (in lieu of RTR) (VEC) Method described in INST-OI-34 (TPR-8103)	N/A	Waste Tracking System (WTS)	N/A
9VE3	N/A	Newly Generated Waste Visual Examination Closure (VNC) Method described in INST-OI-34 (TPR-8103)	N/A	Waste Tracking System (WTS)	N/A
9VE5	N/A	Visual Examination (in lieu of RTR) (VEC) Method described in INST-FOI-17 (TPR-8041)	N/A	Waste Tracking System (WTS)	N/A
9VE6	N/A	Newly Generated Waste Visual Examination Closure (VNC) Method described in INST-FOI-17 (TPR-8041)	N/A	Waste Tracking System (WTS)	N/A
9VE7	N/A	Box Line Visual Examination (VEB) – Box to drum repackaging Method described in INST-FOI-17 (TPR-8041)	N/A	Waste Tracking System (WTS)	N/A
9VE8	N/A	Box Line Visual Examination (VEB) – Drum to new drum repackaging Method described in INST-FOI-17 (TPR-8041)	N/A	Waste Tracking System (WTS)	N/A
9VE10	N/A	Box Line Visual Examination (VEB) – Drum to new drum repackaging Method described in INST-OI-34 (TPR-8103)	N/A	Waste Tracking System (WTS)	N/A

AMWTP DEACTIVATED EQUIPMENT and Process LIST			
WIPP #	**Site Equipment #**	**Equipment Description**	**Date Deactivated**
HEADSPACE GAS			
9HG1	Z-220-001A	Nuclear Filter Technology Drum Vent System – Mass Spectrometer, Unit A	8/6/06
9HG2	Z-220-001B	Nuclear Filter Technology Drum Vent System – Mass Spectrometer, Unit B	8/6/06
9HG3	Z-220-001C	Nuclear Filter Technology Drum Vent System – Mass Spectrometer, Unit C	8/6/06
9HG7	Z-221-001D	Consonant Technology Inc. (CTI) –Gas Chromatography/Mass Spectrometry (GC/MS) System	Used for spare parts
9HG6	Z-221-001C	Consonant Technology Inc. (CTI) –Gas Chromatography/Mass Spectrometry (GC/MS) System	4/08
9HG5	Z-221-001B	Consonant Technology Inc. (CTI) –Gas Chromatography/Mass Spectrometry (GC/MS) System	4/08
9HG4	Z-221-001-A	Consonant Technology Inc. (CTI) –Gas Chromatography/Mass Spectrometry (GC/MS) System	3/13
Solids Sampling			
9DC1	Z-250-802	Drum Coring and Sample Collection Glove Box	3/13
VISUAL EXAMINATION			
9VE9	N/A	Box Line Visual Examination (VEB) – Box to Drum Repackaging	Expired in WDS February 23, 2011
9VE11	N/A	Sludge Visual Examination Closure (VSC) – S3000 to a new container Method described in INST-FOI-22	2/12