Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221

ENTERED

DEC 15 2016

DEC 27 2

Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, NM 87505-6303

Certified Mail/Return Receipt

Subject: Carlsbad Field Office Monthly Assessment Schedule – December 2016

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office (CBFO) Monthly Assessment Schedule for the month of December, 2016. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision according to a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Michael R. Brown, Director of the CBFO Office of Quality Assurance, at (575) 234-7476.

Sincerely,

Todd Shrader, Manager
Carlsbad Field Office

Enclosure

cc: w/enclosure
R. Maestas, NMED *ED
D. Biswell, NMED ED
CBFO QA File
CBFO M&RC
*ED denotes electronic distribution

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CBFO:OQA:MRB:BA:16-3528:UFC 2300.00

CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
December 2016

	ORGANIZATION/SCOPE	FY16 OCT 15	NOV 15	DEC 15	JAN 16	FEB 16	MAR 16	APR 16	MAY 16	JUN 16	JUL 16	AUG 16	SEP 16	FY17 OCT 16	NOV 16	DEC 16	JAN 17	FEB 17	MAR 17	APR 17	MAY 17	JUN 17	JUL 17	AUG 17	SEP 17	FY18 OCT 17	NOV 17	DEC 17	JAN 18	FEB 18	MAR 18	APR 18	MAY 18	JUN 18	JUL 18	AUG 18	SEP 18	REMARKS & COMMENTS
AMWTP	AMWTP Recertification Audit (annual)		C													P									P												P	A-17-04 December 12-16, 2016 *September 2017 (TBD)*
ANL/CCP	ANL/CCP Recertification Audit (annual)														C									P												P		*A-17-XX* *August 2017 (TBD)*
CBFO	CBFO Required Training Surveillance																		P																			S-17-08 *March 2017* TBD
CBFO	CBFO Document Control Audit												C												P													*A-17-XX* *September 2017 (TBD)*
CBFO	CBFO Integrated Evaluation Plan Surveillance												C																									S-16-50 Aug. 30 - Sept. 1, 2016
CBFO	CBFO Implementation of MP 4.15 and 4.17 Surveillance														C																							S-17-02 November 29-30, 2016
CBFO	CBFO MP 10.9, Surveillance, Operational Awareness, and Issues Management Surveillance												C																									S-16-46 September 6-8, 2016
CBFO	CBFO PDP Program Management Audit (3yr)																								P													A-17-XX September 2017 (TBD)
CBFO	CBFO QAPD Implementation Audit NQA (4, 5 6, 7)																				P																	A-17-12 *May 2017 (TBD)*
CBFO	CBFO QAPD Implementation Audit NQA (17)																								P													A-17-XX September 2017 (TBD)
CBFO	CBFO QAPD Rev 13 Implementation Audit																	P																				*A-17-XX* *February 2017 (TBD)*
CBFO Activities Supporting JON Verification	**Verification of JON action closure will be scheduled as JON actions are completed**																																					
CBFO Activities Supporting JON Verification	NWP USQ Surveillance (3 yr)				C																																	S-16-10 December 15-17, 2015 *December 2018 (TBD)*
CBFO Activities Supporting JON Verification	CBFO Issue Collection & Evaluation (ICE) System Compliance Surveillance *(Rev. 5)*																													P								S-18-XX February 2018 (TBD)
CBFO Activities Supporting JON Verification	CBFO Issue Collection & Evaluation (ICE) System Surveillance (3 yr) (Rev 3b & Rev 4)												C																									S-16-18 September 13-15, 2016
CCP	*CCP/Intersite Shipment Transportation Audit (annual)		Assessment cancelled for FY16 due to WIPP Recovery - Shipments currently suspended																						P													September 2017 (TBD)
CCP	CCP QA Audit (ALL SITES) (annual)							C											P													P					A-17-13 March 2017 (TBD)
CCP	CCP Transportation Surveillance - (ALL SITES) (annual)						C											P												P								S-17-06 February *21-23*, 2017

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CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
December 2016

		ORGANIZATION/SCOPE	FY16													FY17													FY18													REMARKS & COMMENTS
			OCT 15	NOV 15	DEC 15	JAN 16	FEB 16	MAR 16	APR 16	MAY 16	JUN 16	JUL 16	AUG 16	SEP 16	OCT 16	NOV 16	DEC 16	JAN 17	FEB 17	MAR 17	APR 17	MAY 17	JUN 17	JUL 17	AUG 17	SEP 17	OCT 17	NOV 17	DEC 17	JAN 18	FEB 18	MAR 18	APR 18	MAY 18	JUN 18	JUL 18	AUG 18	SEP 18		
20	HANFORD/CCP	Hanford/CCP Recertification Audit (annual)								C										P												P									A-17-20 March 2017 (TBD)
21	INL/CCP	INL/CCP Recertification (annual)									C											P												P							
22	LANL/CCP	LANL/CCP Recertification Audit (annual)								C													P												P					A-17-17 June 2017 (TBD)
23	LANL/CCP	*Implementation of CCP-PO-045, CCP Waste Management Field Observation, Surveillance at LANL/CCP (Nitrate Salts)*																		P																					S-17-16 March 2017 (TBD)
24	LANL/CO	LANL/CO QAPD Implementation Audit (annual)						C												P												P									A-17-14 March 7-9, 2017
25	LANL/CO	Preparation and Testing of Sorbents Mixed with Oxidizer found in TRU Waste Surveillance (Basis of Knowledge)											C																												S-16-56 August 9-10, 2016
26	NFT/EPD	NFT/EPD Packaging Maintenance Surveillance (3yr)																										P													November 2017 (TBD)
27	NWP	NWP Calibration Audit (annual)					C												P												P										A-17-15 February 7-9, 2017
28	NWP	NWP Centralized Procurement Audit (3yr)																														P									March 2018 (TBD)
29	NWP	NWP (10 CFR Part 71) Subpart H Audit (3yr)																															P								April 2018 (TBD)
30	NWP	NWP CMR Surveillance (3yr)														C																									S-17-03 November 29-30, 2016
31	NWP	NWP CMR Software QA Surveillance																												P											S-18-XX January 2018 (TBD)
32	NWP	NWP Commercial Grade Dedication Surveillance (3yr)											C																												S-19-XX March 2019 (TBD)
33	NWP	NWP Configuration Management Audit (3 yr)											C																												August 2019 TBD
34	NWP	NWP Contractor Assurance Audit to Verify Compliance to DOE Order 226.1 (Supplemental Evaluation)								C																															A-16-09 May 10-12, 2016
35	NWP	NWP Dosimetry/RADCON Program Surveillance (3yr)							C																																April 2019 (TBD)
36	NWP	NWP Compliance to WP 12-ER 13 Surveillance											C																												S-16-55 August 9-11, 2016
37	NWP	NWP Emergency Management Audit (3 yr)																		P																					A-17-16 March 2017 TBD
38	NWP	*NWP Engineering Fire Protection Surveillance (3yr)																P																							S-17-01 Jan. 31-Feb. 2, 2017

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CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
December 2016

	ORGANIZATION/SCOPE	FY16	OCT 15	NOV 15	DEC 15	JAN 16	FEB 16	MAR 16	APR 16	MAY 16	JUN 16	JUL 16	AUG 16	SEP 16	FY17	OCT 16	NOV 16	DEC 16	JAN 17	FEB 17	MAR 17	APR 17	MAY 17	JUN 17	JUL 17	AUG 17	SEP 17	FY18	OCT 17	NOV 17	DEC 17	JAN 18	FEB 18	MAR 18	APR 18	MAY 18	JUN 18	JUL 18	AUG 18	SEP 18	REMARKS & COMMENTS
NWP	NWP Engineering Surveillance (DSA/Nuclear Safety) (3yr)																				P																				Postponed until implementation can be assessed -S-17-14, March 2017 (TBD)
NWP	NWP Engineering Surveillance (Training)																			P																					S-17-09 Feb 28-Mar 2, 2017
NWP	NWP Environmental RCRA/ NWP Monitoring Programs/Groundwater Audit (Biota/Land Management/Delaware Basin (annual)			C																	P														P						A-17-01 March 2017 (TBD)
NWP	NWP Environmental Surveillance (meteorology) (3yr)			C																																					November 2018 (TBD)
NWP	NWP Environmental Surveillance (NESHAP) (3yr)																									P															S-17-XX August 2017 (TBD)
NWP	NWP Graded Approach Surveillance (3yr)											C																													This is included in the scope of A-17-19.
NWP	NWP Radcon Instrumentation Audit (3yr)																																		P						April 2018 (TBD)
NWP	NWP Hazardous Transportation Vendors/ RCRA Hazardous Materials Transporter Surveillance (3yr)																					P																			S-17-13 April 2017 (TBD)
NWP	NWP Hoisting Operations Surveillance (annual)										C													P													P				June 2017 (TBD)
NWP	NWP ISH Program Surveillance (3yr)					C																																			January 2019 (TBD)
NWP	*NWP Maintenance/Work Control Processes Surveillance (annual)									C													P												P					S-17-15 May 2017 (TBD)
NWP	NWP Material Control and Stores Inventory Surveillance (annual)						C												P													P									S-17-05 Jan. 31-Feb. 2, 2017
NWP	NWP Mine Ventilation QSL Oversight Surveillance																C																								S-17-12 Nov. 28-Dec. 1, 2016
NWP	NWP Mine Ventilation Surveillance (3yr)																										P														S-17-XX September 2017 (TBD)
NWP	NWP Procurement Audit (including Graded Approach) (annual)											C										P													P						A-17-19 April 2017 (TBD)
NWP	NWP Records Management Surveillance (annual)										C													P													P				S-17-XX June 2017 (TBD)
NWP	NWP RES Permittee Waste Confirmation Surveillance (3yr)																															P									January 2018 (TBD)
NWP	NWP Suspect/Counterfeit Items Surveillance (3yr)																							P																	S-17-XX June 2017 (TBD)
NWP	NWP On-Site Inspection of SRS Laboratories Surveillance											C																													S-16-28 July 19-21, 2016
NWP	NWP Training Program Audit																					P																			A-17-18 April 2017 (TBD)
NWP	NWP Transport Trailer Maintenance Surveillance																							P																	S-17-XX June 2017 (TBD)
NWP	NWP Industrial Safety Air Quality Monitoring Audit (annual)					C													P													P									A-17-05 January 24-26, 2017
NWP	NWP Waste Data System Surveillance (annual)								C											P													P								S-17-07 February 21-23, 2017
NWP	* NWP Waste Handling (annual)																													P											Assessment Cancelled for FY15 and FY16 - Due to WIPP Recovery Activities - A 17-11, FY2017 (TBD)

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		ORGANIZATION/SCOPE	FY 15	OCT 15	NOV 15	DEC 15	JAN 16	FEB 16	MAR 16	APR 16	MAY 16	JUN 16	JUL 16	AUG 16	SEP 16	FY 17	OCT 16	NOV 16	DEC 16	JAN 17	FEB 17	MAR 17	APR 17	MAY 17	JUN 17	JUL 17	AUG 17	SEP 17	FY 18	OCT 17	NOV 17	DEC 17	JAN 18	FEB 18	MAR 18	APR 18	MAY 18	JUN 18	JUL 18	AUG 18	SEP 18	REMARKS & COMMENTS
63	Extra NWP WIPP Recovery Related Assessments	*NWP Corridor Emergency Preparedness Surveillance																									P															*August* 2017 TBD
64		Underground Fire Suppression System Procurement Documents Surveillance					C																																			S-16-16 January 19-26, 2016
65		NWP Ground Control Surveillance							C																																	S-16-35 March 18-24, 2016
66		NWP Panel 7 Bolting Activities using the 74-4-145 Hybrid Roof Bolter Surveillance						C																																		S-16-19 February 29, 2016
67		NWP Panel 7 Ground Survey															C																									S-17-11 October 25, 2016
68		NWP Panel 7 Floor Milling Surveillance													C																											S-16-59 September 6-7, 2016
69		NWP CH Surface Waste Handling Equipment Status Surveillance							C																																	S-16-34 March 3-4, 2016
70		NWP Installation of Exhaust Duct Supports and Exhaust Duct Tie-In Surveillance						C																																		S-16-27 February 15 -21, 2016
71		IVS Mechanical/Electrical Construction Preliminary and Final Walkthrough Surveillance							C																																	S-16-36 March 13 & 17, 2016
72		IVS PP5-Controls Factory Acceptance Testing (FAT) Surveillance			C																																					S-16-05 November 3-5, 2015
73		Spare Fan SVS Surveillance			C																																					S-16-06 November 10-11, 2015
74		NWP Dynamometer Test of Diesel Fire Pump Surveillance											C																													S-16-51 July 14, 2016
75		IVS Test Surveillance													C																											S-16-31 *Aug 29 -Sep 16, 2016*
76		*IVS Welding Inspection Surveillance*				C																																				S-16-17 December 15-17, 2015
77		IVS Magnehelic Gages Surveillance									C																															S-16-41 May 4-5, 2016
78		CBFO Oversight Review of the NWP Approved FinalDocument Package for the IVS PP1 Filter Housing Repair Activities		C																																						S-16-08 October 13, 2015
79		Ultrasonic Thickness Testing of Existing Ductwork Tie-In Locations in Support of IVS Project Surveilance					C																																			S-16-33 January 20, 2016
80		Radiological Characterization Surveys in Zone 8			C																																					S-16-09 October 15, 2015
81		Replace Key Switch and Troubleshoot PC Failure Light on the Horizontal Emplacement/Retrieval Equipment (HERE) Control Console in Panel 7			C																																					S-16-11 November 9-10, 2015
82		NWP Waste Handling Underground Cold Ops Surveillance												C																												S-16-58 August 2-22, 2016
83		Underground Panels 4,5, & 6 Openings Inspection Surveillance									C																															S-16-40 May 3, 2016

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December 2016

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	AF	AG	AH	AI	AJ	AK	AL	AM	AN	AO	AP
1		ORGANIZATION/SCOPE	FY 16	OCT 15	NOV 15	DEC 15	JAN 16	FEB 16	MAR 16	APR 16	MAY 16	JUN 16	JUL 16	AUG 16	SEP 16	FY 17	OCT 16	NOV 16	DEC 16	JAN 17	FEB 17	MAR 17	APR 17	MAY 17	JUN 17	JUL 17	AUG 17	SEP 17	FY 18	OCT 17	NOV 17	DEC 17	JAN 18	FEB 18	MAR 18	APR 18	MAY 18	JUN 18	JUL 18	AUG 18	SEP 18	REMARKS & COMMENTS
84	ORNL/CCP	WIPP Generator Site Technical Review at ORNL Surveillance													C																											S-16-57 September 19-23, 2016
85	ORNL/CCP	ORNL/CCP WAC Compliance Audit															C																									A-17-07 October 17-19, 2016
86	ORNL/CCP	ORNL/CCP Dose-to-Curie and RH Waste Sampling Audit															C																									A-17-09 October 4-6, 2016
87	ORNL/CCP	ORNL/CCP Recertification Audit								C													P													P						*A-17-21 April 2017 (TBD)*
88	ORNL/CCP	Implementation of CCP-PO-045, CCP Waste Management Field Observation, Surveillance at ORNL															C																									S-17-10 October 19, 2016
89	Portage	Portage PDP/Software QA Program Surveillance									C																															S-16-37 May 10-12, 2016
90	SNL-CPG	SNL/CPG QAPD Implementation Audit (annual)						C													P													P								A-17-06 February *14-16*, 2017
91	SNL/CCP	SNL/CCP RH S5000 Recertification Audit (annual)																C								P													P			*A-17-XX July 2017 (TBD)*
92	SRS/CCP	SRS/CCP Recertification Audit (annual)				C													C											P												*A-18-XX October 2017 (TBD)*
93	TRANSPORTATION	Visionary Solutions Transportation Audit (annual)													C													P													P	*A-17-XX* September 2017 (TBD)
94	TRANSPORTATION	Cast Transportation Audit (annual)					C										C													P												A-17-03 October 4-6, 2016
95	TRANSCOM	Transcom Surveillance (annual)			C															P													P									S-17-04 January 17-19, 2017
96	WCS	WCS TRU Waste Inventory Inspection Surveillance									C																															S-16-30 May 31, 2016

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WIPP SECURITY	WIPP Security Surveillance (3yr) (WIPP Site)								C																																S-16-32 April 5-7, 2016 April 2019 (TBD)

Approved by: FOR
Michael R. Brown, Director
CBFO Office of Quality Assurance

Date 12-9-16

Approved by:
Todd Shrader, CBFO Manager

Date 12/15/16

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