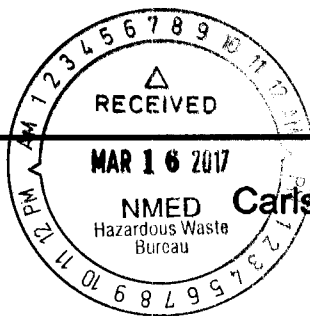


United States Government

**memorandum****ENTERED**  
Department of EnergyCarlsbad Field Office  
Carlsbad, New Mexico 88221

**DATE:** MAR 16 2017  
**REPLY TO**  
**ATTN OF:** CBFO:OQA:MPN:BA:17-1087:UFC 2300.00  
**SUBJECT:** Verification and Acceptance of Corrective Actions for CAR 17-011 from Recertification Audit A-17-04, Advanced Mixed Waste Treatment Project  
**TO:** Mr. James Malmo, DOE-ID

The Carlsbad Field Office (CBFO) has completed the review and verification of completion of the corrective actions associated with CBFO Corrective Action Report (CAR) 17-011, which resulted from Audit A-17-04. The results of the verification are documented on the attached CAR Continuation Sheets and indicate that the documentation of completion of corrective actions is acceptable.

The verification concluded that the corrective actions have been fulfilled and are satisfactorily implemented. The CAR is considered closed.

If you have any questions or comments regarding the CAR closure, please contact me at (575) 234-7483.

A handwritten signature in black ink that reads "Martin P. Navarrete".

Martin P. Navarrete  
Senior Quality Assurance Specialist

Attachment



Mr. James Malmo

-2-

MAR 16 2017

cc: w/attachment  
S. Ross, EM-3.113 \*ED  
J. Carswell, CBFO ED  
M. Brown, CBFO ED  
J.R. Stroble, CBFO ED  
D. Miehl, CBFO ED  
M. Stapleton, CBFO ED  
M. Fineran, CBFO ED  
N. Castaneda, CBFO ED  
G. Birge, CBFO ED  
T. Carver, CBFO ED  
D. Pruitt, DOE-ID ED  
J. Van Vliet, DOE-ID ED  
J. Zimmerman, DOE-ID ED  
T. Jenkins, DOE-ID ED  
F. Hughes, AMWTP ED  
T. Clements, AMWTP ED  
G. Byram, AMWTP ED  
G. Tedford, AMWTP ED  
A. Morse, AMWTP ED  
E. Dumas, AMWTP ED  
J. Floerke, AMWTP ED  
B. Jensen, AMWTP ED  
M. McKean, AMWTP ED  
S. Winterbottom, AMWTP ED  
L. Peterson, AMWTP ED  
R. Walker, AMWTP ED  
S. Tallman, AMWTP ED  
J. Walsh, EPA ED  
L. Bender, EPA ED  
E. Feltcorn, EPA ED  
R. Joglekar, EPA ED  
J. Kieling, NMED ED  
R. Maestas, NMED ED  
D. Biswell, NMED ED  
M. Leroch, CTAC ED  
P. Martinez, CTAC ED  
G. Knox, CTAC ED  
C. Castillo, CTAC ED  
C. Riggs, CTAC ED  
P. Yanez, CTAC ED  
P. Hinojos, CTAC ED  
G. White, CTAC ED  
Site Documents ED  
CBFO QA File  
CBFO M&RC  
\*ED denotes electronic distribution

### CAR CONTINUATION SHEET

1. CAR No: 17-011

2. Activity No: A-17-04

3. Page 1 of 2

#### **Blocks #16 & 17 Acceptance of Corrective Actions Completion and Closure:**

The Carlsbad Field Office (CBFO) has reviewed the closure package for Corrective Action Report (CAR) 17-011, including objective evidence and supporting documentation, submitted by Fluor Idaho letter CCN 320428, dated February 28, 2017, from Mr. Frederick P. Hughes, Program Manager, Idaho Cleanup Project Core Contract, to Mr. M. P. Navarrete, Senior Quality Assurance Specialist, CBFO.

*Italicized text, taken verbatim from the Corrective Action Plan (CAP), is used to reflect the correlation between the actions required by the CAR and the method used for evaluation.*

#### **REMEDIAL AND INVESTIGATIVE ACTIONS**

*Random sampling of the following TRU related qualification packages will be performed: QC610ITR-AMWTP WMF610 ITR/OPERATOR, QCAKE01A-AMWTP ACCEPTABLE KNOWLEDGE EXP, QCASYITRAMWTP NONDESTRUCTIVE ASSAY ITR, QCITROVE-AMWTP LEVEL 1 VAL ITR VE, QCITRRTRAMWTP LEVI VAL ITR REV RTR, QCSPM01A-AMWTP SITE PROJECT MANAGER DES, QCVEE001-AMWTP VISUAL EXAM EXPERT, QCVEELEA-AMWTP VISUAL EXAM EXPERT LEAD, QPOT03A0-AMWTP REAL TIME RADIOGRAPHY, QPVE0001-AMWTP VISUAL EXAMINER, QPVE0002-AMWTP VISUAL EXAM ARP/SRP. If any additional qualification records cannot be located, the corrective actions required for resolution of this CAR may be expanded to resolve the issue associated with any additional missing records. The previous contractor process was not effective in appropriately managing training records, which contributed to the loss of the record in question.*

#### **Verification:**

Remedial and investigative actions were evaluated and found to be acceptable, as documented in CBFO CAP acceptance memorandum CBFO:OQA:MPN:BA:17-1029:UFC 2300.00.

#### **ACTIONS TO PRECLUDE RECURRENCE**

- *AI 107018- Complete a "Letter for a Lost Training Record" in accordance with MCP-4029 paragraph 3.3.6*
- *AI 107019- Implement a process that allows qualification records completed under the previous contractor to be entered into EDMS and manual copies properly stored.*
- *AI 107020- Random sampling of TRU related qualification packages for missing training records will be performed and result of the sampling of TRU related qualification packages will be summarized. If additional qualification records cannot be located, additional actions may be necessary to resolve issues associated with any additional missing records*

**Scheduled Completion Date:** 03/02/2017

#### **Verification:**

The objective evidence submitted in this closure package was reviewed and verified to meet the required actions to preclude recurrence commitment. The evidence included 1) Letter to Individual Training File (Letter for Lost Training Record) and AMWTP Corrective Action Report, CAR No. 106463; 2) Idaho Cleanup Project, Management Control Procedure (Records Management, MCP 557, Revision 19, provided under separate cover); and 3) three randomly selected TRU related qualification packages.

### CAR CONTINUATION SHEET

1. CAR No: 17-011	2. Activity No: A-17-04	3. Page 2 of 2
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**CONCLUSION**

Based on the results of the review and verification of the original objective evidence included in the CAR 17-011 closure package, it is recommended that CAR 17-011 be closed.

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*Charles Riggs* FOR  
Evaluation Performed By: Charles Riggs, QA Auditor

15 MAR 2017  
Date