DATE: MAR 16 2017
REPLY TO ATTN OF: CBFO:OQA:MPN:BA:17-1087:UFC 2300.00
SUBJECT: Verification and Acceptance of Corrective Actions for CAR 17-011 from Recertification Audit A-17-04, Advanced Mixed Waste Treatment Project
TO: Mr. James Malmo, DOE-ID

The Carlsbad Field Office (CBFO) has completed the review and verification of completion of the corrective actions associated with CBFO Corrective Action Report (CAR) 17-011, which resulted from Audit A-17-04. The results of the verification are documented on the attached CAR Continuation Sheets and indicate that the documentation of completion of corrective actions is acceptable.

The verification concluded that the corrective actions have been fulfilled and are satisfactorily implemented. The CAR is considered closed.

If you have any questions or comments regarding the CAR closure, please contact me at (575) 234-7483.

Signature

Martin P. Navarrete
Senior Quality Assurance Specialist

Attachment
cc: w/attachment
S. Ross, EM-3.113       *ED
J. Carswell, CBFO      ED
M. Brown, CBFO         ED
J.R. Stroble, CBFO     ED
D. Miehls, CBFO        ED
M. Stapleton, CBFO     ED
M. Fineran, CBFO       ED
N. Castaneda, CBFO     ED
G. Birge, CBFO         ED
T. Carver, CBFO        ED
D. Pruitt, DOE-ID      ED
J. Van Vliet, DOE-ID   ED
J. Zimmerman, DOE-ID   ED
T. Jenkins, DOE-ID     ED
F. Hughes, AMWTP       ED
T. Clements, AMWTP     ED
G. Byram, AMWTP        ED
G. Tedford, AMWTP      ED
A. Morse, AMWTP        ED
E. Dumas, AMWTP        ED
J. Floerke, AMWTP      ED
B. Jensen, AMWTP       ED
M. McKean, AMWTP       ED
S. Winterbottom, AMWTP ED
L. Peterson, AMWTP     ED
R. Walker, AMWTP       ED
S. Tallman, AMWTP      ED
J. Walsh, EPA          ED
L. Bender, EPA         ED
E. Feltcom, EPA        ED
R. Joglekar, EPA       ED
J. Kieling, NMED       ED
R. Maestas, NMED       ED
D. Biswell, NMED       ED
M. Leroch, CTAC        ED
P. Martinez, CTAC      ED
G. Knox, CTAC          ED
C. Castillo, CTAC      ED
C. Riggs, CTAC         ED
P. Yanez, CTAC         ED
P. Hinojos, CTAC       ED
G. White, CTAC         ED
Site Documents        ED
CBFO QA File          ED
CBFO M&RC             ED

*ED denotes electronic distribution
Blocks #16 & 17 Acceptance of Corrective Actions Completion and Closure:
The Carlsbad Field Office (CBFO) has reviewed the closure package for Corrective Action Report (CAR) 17-011, including objective evidence and supporting documentation, submitted by Fluor Idaho letter CCN 320428, dated February 28, 2017, from Mr. Frederick P. Hughes, Program Manager, Idaho Cleanup Project Core Contract, to Mr. M. P. Navarrete, Senior Quality Assurance Specialist, CBFO. Italicized text, taken verbatim from the Corrective Action Plan (CAP), is used to reflect the correlation between the actions required by the CAR and the method used for evaluation.

REMEDIAL AND INVESTIGATIVE ACTIONS

Random sampling of the following TRU related qualification packages will be performed: QC610ITR-AMWTP WMF610 ITR/OPERATOR, QCAKE01A-AMWTP ACCEPTABLE KNOWLEDGE EXP, QCASYITRAWMTP NONDESTRUCTIVE ASSAY ITR, QCITROVE-AMWTP LEVEL 1 VAL ITR VE, QCITRRITRAWMTP LEVI VAL ITR REV RTE, QCSPM01A-AMWTP SITE PROJECT MANAGER DES, QCVEE001-AMWTP VISUAL EXAM EXPERT, QCVEELEA-AMWTP VISUAL EXAM EXPERT LEAD, QPOT03A0-AMWTP REAL TIME RADIOGRAPHY, QPVE0001-AMWTP VISUAL EXAMINER, QPVE0002-AMWTP VISUAL EXAM ARP/SRP. If any additional qualification records cannot be located, the corrective actions required for resolution of this CAR may be expanded to resolve the issue associated with any additional missing records. The previous contractor process was not effective in appropriately managing training records, which contributed to the loss of the record in question.

Verification:
Remedial and investigative actions were evaluated and found to be acceptable, as documented in CBFO CAP acceptance memorandum CBFO:OQA:MPN:BA:17-1029:UFC 2300.00.

ACTIONS TO PRECLUDE RECURRENTENCE

- *AI 107018- Complete a "Letter for a Lost Training Record" in accordance with MCP-4029 paragraph 3.3.6*
- *AI 107019- Implement a process that allows qualification records completed under the previous contractor to be entered into EDMS and manual copies properly stored.*
- *AI 107020- Random sampling of TRU related qualification packages for missing training records will be performed and result of the sampling of TRU related qualification packages will be summarized. If additional qualification records cannot be located, additional actions may be necessary to resolve issues associated with any additional missing records*

Scheduled Completion Date: 03/02/2017

Verification:
The objective evidence submitted in this closure package was reviewed and verified to meet the required actions to preclude recurrence commitment. The evidence included 1) Letter to Individual Training File (Letter for Lost Training Record) and AMWTP Corrective Action Report, CAR No. 106463; 2) Idaho Cleanup Project, Management Control Procedure (Records Management, MCP 557, Revision 19, provided under separate cover); and 3) three randomly selected TRU related qualification packages.
CONCLUSION
Based on the results of the review and verification of the original objective evidence included in the CAR 17-011 closure package, it is recommended that CAR 17-011 be closed.

Evaluation Performed By: Charles Riggs, QA Auditor

Date 15 MAR 2017