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Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
NOV 09 2017



Certified Mail/Return Receipt

Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, NM 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule – November 2017

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office (CBFO) Monthly Assessment Schedule for the month of November, 2017. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision according to a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Michael R. Brown, Director of the CBFO Office of Quality Assurance, at (575) 234-7476.

Sincerely,

T. Shrad
for Todd Shrader, Manager Deputy Mgr.
Carlsbad Field Office

Enclosure

cc: w/enclosure
R. Maestas, NMED * ED
D. Biswell, NMED ED
J. Walsh, EPA ED
CBFO QA File
CBFO M&RC
*ED denotes electronic distribution



**CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
November 2017**

ORGANIZATION/SCOPE	F	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	REMARKS & COMMENTS
	Y	C	O	E	A	E	R	P	A	A	U	E	E	C	O	E	A	R	R	A	A	U	E	E	C	O	E	A	R	R	A	A	U	E	E	C	O		
Capital Asset Project	CBFO Capital Asset Acquisition Implementation Surveillance (one-time)																																					S-18-22 January 2018 (TBD)	
	CBFO Surveillance of NWP's QSL Process on Encorus Group (formerly RJR Engineering) (one-time)																																					S-18-24 December 5 - 7, 2017	
	NWP IDNEX QSL Surveillance (one-time)																																					S-18-20 November 28 - 30, 2017	
	PVS QSL/Procurement Documents Surveillance (one-time)																																					February 2018 (TBD)	
	PVS-related Commercial Grade Dedication Item Surveillance (one-time)																																					February 2018 (TBD)	
	PVS Fabrication Oversight Surveillance (one-time)																																					March 2018 (TBD)	
CBFO	CBFO Document Control Audit																																					FY 2018 (TBD)	
	CBFO Implementation of MP 4.15 and 4.17 Surveillance																																					S-18-12 January 16 - 18, 2018	
	CBFO Integrated Evaluation Plan Surveillance																																					S-18-07 February 2018 (TBD)	
	CBFO ISMS Implementation Surveillance																																					S-18-19 March 2018 (TBD)	
	CBFO Issue Collection & Evaluation (ICE) System Compliance Surveillance (Rev. 5)																																					S-18-15 February 2018 (TBD)	
	CBFO MP 3.6 Process Surveillance																																					S-18-05 October 24 - 26, 2017	
	CBFO MP 10.9, Surveillance, Operational Awareness, and Issues Management Surveillance																																					S-18-14 February 2018 (TBD)	
	CBFO PDP Program Management Audit (3yr)																																					A-17-28 September 5 - 7, 2017 September 2020 (TBD)	
	CBFO QAPD Implementation Audit NQA (1-18)																																					A-17-29 August 29 - 31, 2017	
	CBFO QAPD Rev.13 Implementation Surveillance																																					S-17-35 May 23 - 25, 2017	
	CBFO Training Program Surveillance																																					S-18-04 February 2018 (TBD)	
CBFO WIPP Oversight (FR/SSO) Program Surveillance																																					S-18-11 January 9 - 11, 2018		
CCP	CCP/Intersite Shipment Transportation Audit (annual)																																					FY 2018 (TBD)	
	CCP QA Audit (ALL SITES) (annual)																																					A-18-09 March 20 - 22, 2018	

P - Planned, C - Field Activities Complete
Red - Changes since last approved schedule

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	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17	17			
AMWTP	AMWTP Surveillance of Enhanced AK Process																								S-18-16 <i>December 5 - 7, 2017</i>		
	AMWTP Surveillance of Audit A-17-04 indeterminates and Transportation Activities																									S-18-17 October 2 - 3, 2017	
	AMWTP Recertification Audit (annual)			C																P						A-18-04 <i>March 2018 (TBD)</i>	
ANL/CCP	ANL/CCP Recertification Audit (annual)		C									C											P			A-18-17 August 2018 (TBD)	
HANFORD/CCP	Hanford/CCP Recertification Audit (annual)							C												P						A-18-12 April 2018 (TBD)	
INL/CCP	INL/CCP ID-RF-S3114 Surveillance						C																			S-17-30 March 21 - 23, 2017	
	INL/CCP Recertification (annual)									C														P			A-18-15 June 2018 (TBD)
LANL/CCP	LANL/CCP Recertification Audit (annual)							C													P						A-18-14 May 2018 (TBD)
	<i>LANL/CCP OSRP Surveillance of Enhanced AK Process</i>																										S-18-21 <i>Oct. 31 - Nov. 2, 2017</i>
	Surveillance of NWP CCP Management Assessment of the Remediation of Nitrate Salts at the LANL WCRRF																										S-18-18 October 11 - 12, 2017 Field Activities Completed. MA Report forthcoming.
	WIPP Generator Site Technical Review at LANL Surveillance							C																		S-17-05 April 17 - 20, 2017	
LLNL/CCP	LLNL/CCP Certification Audit																										FY 2018 (TBD)
ORNL/CCP	Implementation of CCP-PO-045, CCP Waste Management Field Observation, Surveillance at ORNL		C																								S-17-10 October 19, 2016
	ORNL/CCP Dose-to-Curie and RH Waste Sampling Audit		C																								A-17-09 October 4 - 6, 2016
	ORNL/CCP NFS S4000 Soils Surveillance											C															S-17-40 July 11 - 20, 2017
	ORNL/CCP Recertification Audit							C													P						A-18-13 April 2018 (TBD)
	ORNL/CCP WAC Compliance Audit		C																								A-17-07 October 17 - 19, 2016

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	1	7	8	8	7	7	7	7	7	7	7	7	7	7	7	7	7	8	8	8	8	8	8	8	8	8	8	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7		7	7	7	7	7
SNL/CCP	SNL/CCP Recertification Audit (annual)			C						C																																									A-18-16 July 2018 (TBD)				
	SRS/CCP Recertification Audit (annual)				C																																															A-18-02 October 17 - 19, 2017			
SRS/CCP	SRS/CCP SR-21H-PuOX Surveillance					C																																														S-17-31 March 21 - 23, 2017			
	LANL/CO QAPD Implementation Audit (annual)									C																																										A-18-10 March 8 - 8, 2018			
NFT/EPD	NFT/EPD Packaging Maintenance Surveillance (3yr)																																																				S-18-03 December 12 - 14, 2017		
NWP	NWP (10 CFR Part 71) Subpart H Audit (3yr)																																																				April 2018 (TBD)		
	NWP Calibration Audit (annual)						C																																														A-18-07 Feb. 27 - March 1, 2018		
	NWP Centralized Procurement Audit (3yr)																																																					A-18-11 March 2018 (TBD)	
	NWP CMR Safety Software QA Surveillance																																																					S-18-10 November 14 - 16, 2017	
	NWP CMR Surveillance (3yr)						C																																															November 2019 (TBD)	
	NWP Commercial Grade Dedication Surveillance (3yr)																																																					March 2019 (TBD)	
	NWP Configuration Management Audit (3 yr)																																																					August 2019 (TBD)	
	NWP Dosimetry, Radcon Program and Instrumentation Audit (3yr)																																																					April 2018 (TBD)	
	NWP Emergency Management Surveillance (3 yr)																																																					S-17-47 September 19 - 21, 2017 September 2020 (TBD)	
	NWP Engineering Fire Protection Surveillance (3yr)																																																					January 2020 (TBD)	
	NWP Environmental RCRA/ NWP Monitoring Programs/Groundwater Audit (Biota/Land Management/Delaware Basin (annual)																																																						May 2018 (TBD)
	NWP Environmental Surveillance (meteorology) (3yr)																																																					November 2018 (TBD)	
	NWP Environmental Surveillance (NESHAP) (3yr)																																																					August 2020 (TBD)	
	NWP Graded Approach Surveillance (3yr)																																																					This is included in the scope of A-17-19.	
	NWP Hazardous Transportation Vendors/ RCRA Hazardous Materials Transporter Surveillance (3yr)																																																					August 2020 (TBD)	

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	1	8	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
NWP Hoisting Operations Surveillance (3yr)																																						P	June 2019 (TBD)
NWP Industrial Safety Air Quality Monitoring Audit (annual)					C																																P	A-18-05 February 2018 (TBD)	
NWP ISH Program Surveillance (3yr)																																					P	January 2019 (TBD)	
*NWP Maintenance/Work Control Processes Surveillance (including Nuclear Maintenance Management) (annual)																																						FY 2018 (TBD)	
NWP Material Control and Stores Inventory Audit (annual)						C																														P	A-18-08 Jan. 30 - Feb. 1, 2018		
NWP Mine Ventilation QSL Oversight Surveillance				C																																		S-17-12 Nov. 28 - Dec. 1, 2018	
NWP Mine Ventilation Surveillance (3yr)												C																										S-17-23 September 5 - 7, 2017	
NWP Oxidation Gas Sampling, Analysis, and Reporting Surveillance																	P																				S-18-23 November 2017 (TBD)		
NWP Procurement Audit (including Graded Approach) (annual)											C																							P			July 2018 (TBD)		
NWP QAPD Implementation Audit (Criteria 1 - 9)																																			P		June 2018 (TBD)		
NWP QAPD Implementation Audit (Criteria 10 - 18)																																				P		July 2018 (TBD)	
NWP QSL Process Surveillance											C																											S-17-27 April 10 - 12, 2017	
NWP Records Management Surveillance (3yr)																																				P	June 2019 (TBD)		
NWP RES Permittee Waste Confirmation Surveillance (3yr)																																			P		S-18-09 January 23 - 25, 2018		
NWP Safety Software Surveillance														C																							S-17-41 September 26 - 28, 2017		
NWP Statistical Material at Risk (MAR) Analysis Surveillance (3yr)												C																									S-17-28 August 8 - 10, 2017		
NWP Training Program Audit																																						A-18-03 FY 2018 (TBD)	
NWP Transport Trailer Maintenance Surveillance																																			P		S-18-XX June 2018 (TBD)		
NWP USQ Surveillance (3 yr)																																			P		December 2018 (TBD)		
NWP Waste Data System Readiness Surveillance																																						S-17-32 March 21 - 23, 2017	
NWP Waste Data System Surveillance (annual)																																				P		S-18-13 February 20 - 22, 2018	

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	17	18	18	18	17	17	17	17	17	17	17	17	17	18	17	17	18	18	18	18	18	18	18	18	18	19	18	18	18	18	18	18	18	18	18	18	18		
NW	NWP Waste Handling (annual)										C														P												P	July 2018 (TBD)	
	WIPP TRU Waste Receipt & Type B Packaging Surveillance																	P																				S-18-02 January 2018 (TBD)	
Extra WPP Recovery Related Assessments	NWP Activities in Preparation for Resumption of Waste Emplacement Surveillance				C																																	S-17-25 January 3, 2017	
	NWP Corridor Emergency Preparedness Surveillance											C																										S-17-22 August 29 - 31, 2017	
	NWP Dry D Duster Sampling Plan Surveillance												C																									S-17-48 September 14, 2017	
	NWP Panel 7 Ground Survey		C												C																							S-17-11 October 25, 2016	
	NWP Panel 7 Waste Emplacement Surveillance					C																																S-17-24 January 4, 2017	
	SNL/CPG	SNL/CPG QAPD Implementation Audit (annual)					C												P																			A-18-06 February 13 - 15, 2018	
TRANSPORTATION	Cast Transportation Audit (annual)		C																P									P									A-18-01 <i>February 6 - 8, 2018</i>		
	CCP Transportation Surveillance - (ALL SITES) (annual)											C															P										S-17-08 September 26 - 28, 2017		
	LANL TA-55 MLU Waste Loading Surveillance																																					FY 2018 (TBD)	
	WCS Transportation Surveillance						C																															S-17-26 March 8 - 9, 2017	
TRANSCOM	<i>NWP Shipment Monitoring Program Surveillance</i>				C												P																				S-18-08 December 5 - 7, 2017		
WCS	Transportation Authorization Surveillance for LANL/CCP LA-MHD.001 Stored at WCS								C																												S-17-34 April 24 - 25, 2017		
	WCS TRU Waste Inventory Inspection Surveillance											C																										S-17-43 July 25, 2017	
WIPP SECURITY	WPP Security Surveillance (3yr) (WPP Site)																																					P	April 2019 (TBD)

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	V	C	G	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E	
	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Approved by: 																																					Date: <u>11/8/2017</u>	
Michael R. Brown, Director CBFO Office of Quality Assurance																																						
Approved by: 																																					Date: <u>11/9/2017</u>	
Tom Shrader, CBFO Manager																																						