Attached is the A-18-14 Audit Concerns Summary for the management briefing @8:30am on Thursday, May 10th, in the Pajarito Complex conference room.

Thanks,
Rick Castillo
<table>
<thead>
<tr>
<th>No.</th>
<th>Who</th>
<th>Description of Concern</th>
<th>Requirements Comments</th>
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</thead>
</table>
| 1   | Dustin Stegman Porf Martinez             | During review of Operational Logbook CCP-CH-LANL-RTR-HEUNIT2-02, the audit team found that the AK Summary Report and revision number were not documented in the logbook as required for characterization activities performed on 4/10/18 for BDR LA-HERTR-18-0009, 4/11/18 for BDR LA-HERTR-18-0010, and 4/16/18 for BDR LA-RTR-18-0011.                                                                 | CCP-PO-005, Rev. 29, section 13.2, 3rd paragraph, 6th bullet states, "Minimum daily entries, when equipment is operational, shall include the following:  
- Verification that the AK Summary Reports to be used for the process are current including document number and revision."  
CCP-P.O.-005, Rev. 29, section 13.4, states, "The VPM will review, sign, and date the logbook each operational week at a minimum. The reviews validate the entries are accurate and adequate." |
| 2   | Randy Fitzgerald Dick Blauvelt Ricardo Chavez | POCs/SMEs were NOT identified on the AK Source Document Summary forms (Attachment 3) in verification statements for several revisions to procedures listed on the Interface Waste Management Document List (IWMDL) [Attachment 9].                                                                 | CCP-TP-005, Rev. 29, section 4.2.17 states, "IF it is determined that a new procedure or revision affects waste stream management or packaging, THEN update the interface Waste Management Documents List to include the procedure AND include the following additional verification information in the Acceptable Knowledge Source Document Summary form (see Section 4.3), as applicable:  
[A] Description of activities affecting waste stream management or packaging, and  
[B] Interface Waste Management Documents List POCs/SMEs contacted by the AKE to verify (walk down) the procedure.  
IF it is determined that the changes in a revision to a procedure currently listed on the... |
The information contained on this form is preliminary.