| | |
|---|---|
| **From:** | Biswell, David, NMENV |
| **Sent:** | Tuesday, June 19, 2018 7:21 AM |
| **To:** | Allen, Pam, NMENV |
| **Cc:** | Maestas, Ricardo, NMENV |
| **Subject:** | FW: A-18-14 Audit Concerns Summary (5-10-18)... |
| **Attachments:** | Audit Concerns Summary  (5-10-18).docx |

**From:** Rick Castillo [mailto:rikcastillo@yahoo.com]
**Sent:** Wednesday, May 09, 2018 7:11 PM
**To:** Dennis Miehls <dennis.miehls@cbfo.doe.gov>; Martin Navarrete <martin.navarrete@cbfo.doe.gov>; Michael Stapleton <michael.stapleton@cbfo.doe.gov>; herb.cruickshank@cbfo.doe.gov; jhurtle@lanl.gov; aj.fisher@wipp.ws; berry.pace@wipp.ws; sheri.saiz@wipp.ws; Maestas, Ricardo, NMENV <Ricardo.Maestas@state.nm.us>; Biswell, David, NMENV <David.Biswell@state.nm.us>; Tellez, Hernesto, NMENV <Hernesto.Tellez@state.nm.us>; McLean, Megan, NMENV <Megan.McLean@state.nm.us>; tim.runyon2@wipp.ws; porf.martinez@wipp.ws; cindi.castillo@wipp.ws; Matt-CTAC Leroch <matt.leroch@wipp.ws>; Danette Harvill <danette.harvill@wipp.ws>; Jim Schuetz <jim.schuetz@wipp.ws>; Carolina Soaterna <carolina.soaterna@wipp.ws>
**Subject:** Re: A-18-14 Audit Concerns Summary (5-10-18)...

Attached is the A-18-14 Audit Concerns Summary for the management briefing @8:30am on Thursday, May 10th, in the Pajarito Complex conference room.

Thanks,
Rick Castillo

| No. | Who | Description of Concern | Requirements Comments |
|---|---|---|---|
| 1 | Dustin Stegman Porf Martinez | During review of Operational Logbook CCP-CH-LANL-RTR-HEUNIT2-02, the audit team found that the AK Summary Report and revision number were not documented in the logbook as required for characterization activities performed on 4/10/18 for BDR LA-HERTR-18-0009, 4/11/18 for BDR LA-HERTR-18-0010, and 4/16/18 for BDR LA-RTR-18-0011. | CCP-PO-005, Rev. 29, section 13.2, 3rd paragraph, 6th bullet states, "Minimum daily entries, when equipment is operational, shall include the following:<br><br>• Verification that the AK Summary Reports to be used for the process are current including document number and revision."<br><br>CCP-P.O.-005, Rev. 29, section 13.4, states, "The VPM will review, sign, and date the logbook each operational week at a minimum. The reviews validate the entries are accurate and adequate." |
| 2 | Randy Fitzgerald Dick Blauvelt Ricardo Chavez | POCs/SMEs were NOT identified on the AK Source Document Summary forms (Attachment 3) in verification statements for several revisions to procedures listed on the Interface Waste Management Document List (IWMDL) [Attachment 9]. | CCP-TP-005, Rev. 29, section 4.2.17 states, "**IF** it is determined that a new procedure or revision affects waste stream management or packaging, **THEN** update the interface Waste Management Documents List to include the procedure **AND** include the following additional verification information in the Acceptable Knowledge Source Document Summary form (see Section 4.3), as applicable:<br><br>[A]  Description of activities affecting waste stream management or packaging, and<br><br>[B]  Interface Waste Management Documents List POCs/SMEs contacted by the AKE to verify (walk down) the procedure.<br><br>IF it is determined that the changes in a revision to a procedure currently listed on the |

The information contained on this form is preliminary.

| No. | Who | Description of Concern | Requirements Comments |
|---|---|---|---|
|  |  |  | Interface Waste Management Documents List do NOT affect waste stream management or packaging, THEN update the Interface Waste Management Documents List to include the procedure revision AND note in the Acceptable Knowledge Source Document Summary form that the review did not identify any relevant changes to waste management from the previous revision(s). Include the identification of the POCs/SMEs and verification date on the Acceptable Knowledge Source Document Summary form (see Section 4.3). |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

The information contained on this form is preliminary.