

Allen, Pam, NMENV

From: Biswell, David, NMENV
Sent: Tuesday, June 19, 2018 7:21 AM
To: Allen, Pam, NMENV
Cc: Maestas, Ricardo, NMENV
Subject: FW: A-18-14 FINAL Audit Concerns Summary (5-10-18)...
Attachments: FINAL Audit Concerns Summary (5-10-18).docx



From: Rick Castillo [mailto:rikcastillo@yahoo.com]

Sent: Thursday, May 10, 2018 1:53 PM

To: Dennis Miehls <dennis.miehls@cbfo.doe.gov>; Martin Navarrete <martin.navarrete@cbfo.doe.gov>; Michael Stapleton <michael.stapleton@cbfo.doe.gov>; herb.cruickshank@cbfo.doe.gov; jhurtle@lanl.gov; aj.fisher@wipp.ws; berry.pace@wipp.ws; sheri.saiz@wipp.ws; Maestas, Ricardo, NMENV <Ricardo.Maestas@state.nm.us>; Biswell, David, NMENV <David.Biswell@state.nm.us>; Tellez, Hernesto, NMENV <Hernesto.Tellez@state.nm.us>; McLean, Megan, NMENV <Megan.McLean@state.nm.us>; tim.runyon2@wipp.ws; porf.martinez@wipp.ws; cindi.castillo@wipp.ws; Matt-CTAC Lerloch <matt.lerloch@wipp.ws>; Danette Harvill <danette.harvill@wipp.ws>; Jim Schuetz <jim.schuetz@wipp.ws>; Carolina Soaterna <carolina.soaterna@wipp.ws>

Subject: A-18-14 FINAL Audit Concerns Summary (5-10-18)...

Attached is the A-18-14 FINAL Audit Concerns Summary for the Post Audit presentation @2:30pm on Thursday, May 10th, in the Pajarito Complex conference room.

Thanks,
Rick Castillo

180509



**LANL/CCP Recertification Audit
A-18-14 March 8 – 10, 2018**

No.	Who	Description of Concern	Requirements Comments
1	Dustin Stegman Porf Martinez	<p>During review of Operational Logbook CCP-CH-LANL-RTR-HEUNIT2-02, the audit team found that the AK Summary Report and revision number were not documented in the logbook as required for characterization activities performed on 4/10/18 for BDR LA-HERTR-18-0009, 4/11/18 for BDR LA-HERTR-18-0010, and 4/16/18 for BDR LA-RTR-18-0011.</p> <p>PROPOSED AS A CAR</p>	<p>CCP-PO-005, Rev. 29, section 13.2, 3rd paragraph, 6th bullet states, "Minimum daily entries, when equipment is operational, shall include the following:</p> <ul style="list-style-type: none"> • Verification that the AK Summary Reports to be used for the process are current including document number and revision." <p>CCP-P.O.-005, Rev. 29, section 13.4, states, "The VPM will review, sign, and date the logbook each operational week at a minimum. The reviews validate the entries are accurate and adequate."</p>
2	Randy Fitzgerald Dick Blauvelt Ricardo Chavez	<p>POCs/SMEs were NOT identified on the AK Source Document Summary forms (Attachment 3) in verification statements for several revisions to procedures listed on the Interface Waste Management Document List (IWMDL) [Attachment 9].</p> <p>PROPOSED AS A CAR</p>	<p>CCP-TP-005, Rev. 29, section 4.2.17 states, "IF it is determined that a new procedure or revision affects waste stream management or packaging, THEN update the interface Waste Management Documents List to include the procedure AND include the following additional verification information in the Acceptable Knowledge Source Document Summary form (see Section 4.3), as applicable:</p> <ul style="list-style-type: none"> [A] Description of activities affecting waste stream management or packaging, and [B] Interface Waste Management Documents List POCs/SMEs contacted by the AKE to verify (walk down) the procedure. <p>IF it is determined that the changes in a revision to a procedure currently listed on the</p>

The information contained on this form is preliminary.

**LANL/CCP Recertification Audit
A-18-14 March 8 – 10, 2018**

No.	Who	Description of Concern	Requirements Comments
			<p>Interface Waste Management Documents List do NOT affect waste stream management or packaging, THEN update the Interface Waste Management Documents List to include the procedure revision AND note in the Acceptable Knowledge Source Document Summary form that the review did not identify any relevant changes to waste management from the previous revision(s). Include the identification of the POCs/SMEs and verification date on the Acceptable Knowledge Source Document Summary form (see Section 4.3).</p>

The information contained on this form is preliminary.