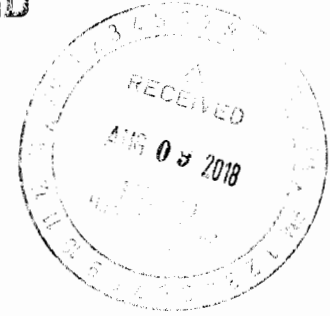




ENTERED

Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
AUG 09 2018



Ms. Mary McDaniel, Manager
Quality and Contractor Assurance
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Subject: Issuance of CBFO CAR 18-046, Identified during Audit A-18-17

Dear Ms. McDaniel:

The Carlsbad Field Office (CBFO) performed Recertification Audit A-18-17 of the Nuclear Waste Partnership LLC Central Characterization Program/Argonne National Laboratory on July 31 – August 2, 2018. Enclosed is Corrective Action Report (CAR) 18-046, addressing the condition adverse to quality identified during the audit.

Please provide a documented response for the CAR, ensuring that the required actions indicated in Block 11 are addressed, including a schedule for completion of corrective actions. Please return your responses to me on or before the due date identified in Block 13a of the CAR forms.

If you have any questions concerning the CAR, please contact me at (575) 234-7483.

Sincerely,

[Handwritten signature of Martin P. Navarrete]

Martin P. Navarrete
Senior Quality Assurance Specialist


Enclosure

cc: w/enclosure

- R. Murray, EM * ED
J. Carswell, CBFO ED
D. Gadbury, CBFO ED
K. Princen, CBFO ED
D. Miehl, CBFO ED
M. Stapleton, CBFO ED
N. Castaneda, CBFO ED
T. Carver, CBFO ED
D. Misch, DOE ED
K. Joshi, DOE ED
B. Covert, NWP ED
M. Percy, NWP ED
J. Britain, NWP ED
R. Lee, NWP ED
R. Reeves, NWP ED
B. Pace, NWP ED
C. Simmons, NWP ED
J. Harvill, NWP ED
R. Kantrowitz ED
J. Carter, NWP ED
C. Tyler, NWP ED
V. Ballew, NWP ED
S. Saiz, NWP ED
A. Boyea, NWP ED
J. Walsh, EPA ED
J. Ellis, EPA ED
T. Peake, EPA ED
E. Feltcorn, EPA ED
J. Kieling, NMED ED
R. Maestas, NMED ED
D. Biswell, NMED ED
H. Tellez, NMED ED
M. McLean, NMED ED
P. Martinez, CTAC ED
M. Leroch, CTAC ED
C. Castillo, CTAC ED
J. Vernon, CTAC ED
K. Gentry, CTAC ED
P. Hinojos, CTAC ED
G. White, CTAC ED
Site Documents ED
CBFO QA File
CBFO M&RC
*ED denotes electronic distribution



CBFO CORRECTIVE ACTION REPORT

1. CAR No.: 18-046	2. Activity Report No.: A-18-17	3. Page 1 of 1
4. Controlling documents: (1) CCP-TP-002, Reconciliation of DQO's and Reporting Characterization Data (2) WP 13-1, Nuclear Waste Partnership LLC Quality Assurance Program Description		5. Responsible CBFO Manager: Martin Navarrete
6. Responsible organization: Central Characterization Program		7. CAQ discussed with: Rich Kantrowitz, Barry Peco, Craig Simmons
8. Requirements: CCP-TP-002, CCP Reconciliation of DQOs and Reporting Characterization Data, Revision 26 (Revision 27 is the current revision, revision 28 was the current revision at the time) step 4.6.2 states "Forward a copy to the AKE for review and concurrence." WP 13-1, Nuclear Waste Partnership LLC Quality Assurance Program Description, Revision 38 step 1.5.1 [3] states "Individuals shall create QA records that are legible, accurate and complete."		
9. Condition Adverse to Quality (CAQ): The Characterization Information Summary, CIS Cover Page for Waste Stream Profile Form, WSPF Waste Stream AERHDM Revision 1, states "Draft" for both the AK Expert Review and AK Expert Review Date. The AK Expert approved the WSPF per CCP-TP-002, but did not approve the CIS cover page.		
10. CAR Initiator: Printed Name: <u>Jim Vernon</u>  Date: <u>8-8-18</u>		
11. Deficiency classification:		12. Type of actions required:
11a. Significant CAQ? (If "Yes", go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	11b. Work Suspension recommended? (If "Yes", go to block 15b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	12a. Remedial? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
11c. WAP-related Deficiency? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	11d. Accelerated corrective action required? (If "Yes", go to block 14b) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	12b. Investigative? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
		12c. Causal Analysis? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
		12d. Actions to Preclude Recurrence? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
13. Trend Code: <u>CD-06</u>		
14a. Response due date: <u>SEPT 7, 2018</u>		
14b. Required corrective action completion date: <u>N/A</u>		
15. Concurrence:		
a. Quality Assurance Director/Quality Assurance Representative: Printed Name: <u>Martin Navarrete</u> <u>MARTIN NAVARRETE</u>		Date: <u>8-9-18</u>
b. CBFO Office of Quality Assurance Director: (If SCAQ, work suspension, or accelerated corrective action; otherwise mark as "N/A") Printed Name: <u>N/A</u>		Date: _____
16. Acceptance of Proposed Corrective Actions: Printed Name and Title: _____ Date: _____		
17. Acceptance of Corrective Action Completion: Printed Name: _____ Date: _____		
18. Closure: Printed Name: _____ Date: _____		

INSTRUCTIONS FOR PROVIDING A CORRECTIVE ACTION PLAN

WASTE ISOLATION PILOT PLANT
U.S. DEPARTMENT OF ENERGY
Carlsbad Field Office

INSTRUCTIONS FOR PROVIDING A CORRECTIVE ACTION PLAN

You are requested to provide a corrective action plan (CAP) in response to this corrective action report (CAR) by the date identified in block 14a of the CAR. If this date cannot be met, provide a written request for extension to the Quality Assurance Representative with courtesy copy to the Office of Quality Assurance Director. This request must include justification for the delay and must be provided seven calendar days prior to the response due date (CAR block 14a).

The CAP shall address the corrective actions indicated in CAR block 12. As appropriate, develop the plan in accordance with the following sequence and format:

In order to develop the CAP, perform an investigative action to determine the extent and impact of the deficiency and to identify the causal factors. Next, determine the actions required to correct the adverse condition. The plan shall include the following information, as appropriate to CAR block 12.

1. Corrective action response for CAR # 18-046
 - A. **Remedial Actions**-Describe actions required or taken to correct the specific conditions noted and any similar conditions identified during discovery.
 - B. **Investigative Actions**-Describe the investigative actions performed to determine the extent and impact of the deficiency and the results of the investigation. This will include a determination of the acceptability of any data generated prior to resolution of the deficiency.
 - C. **Causal Analysis**-Identify the causal factor(s) of the CAR condition. Refer to Step 5.3.2 if the CAR was issued to CBFO or Step 5.3.3 if the CAR was issued to a participant organization.
 - D. **Actions to Preclude Recurrence**-Identify the corrective actions required to address the causal factors of the condition in order to preclude recurrence.

NOTE: Schedule for completion of corrective actions is always required.

2. For each action above, identify the anticipated (or actual, if complete) completion date.
3. The response must identify the individual having the overall responsibility for completion of the corrective actions.