



Department of Energy

Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
January 06, 2022

Mr. Dennis Ivey, Manager
Quality Assurance
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Subject: Notification of Audit A-22-03, NWP Quality Assurance Program, Criteria 1 - 9

Dear Mr. Ivey:

Please be advised that the Carlsbad Field Office (CBFO) Audit A-22-03 of selected elements of the Nuclear Waste Partnership LLC (NWP) Quality Assurance Program, will be conducted February 1 – 3, 2022, at the Waste Isolation Pilot Plant near Carlsbad, New Mexico. The audit will be conducted in accordance with the enclosed audit plan.

You are requested to coordinate with the audit team to provide knowledgeable personnel to support the audit, appropriate space to conduct meetings, and access to appropriate documentation and records.

If you have any questions, please contact Mr. Joe Lopez, CBFO Quality Assurance Division at (575) 200-0716. For all other questions, please contact myself at (575) 361-0008.

Sincerely,

Wendy Bauer

Wendy Bauer
Contracting Officer
Carlsbad Field Office

Enclosure (1)

cc: w/enclosure

R. Knerr, CBFO	*ED
M. Bollinger, CBFO	ED
E. Garza, CBFO	ED
D. Jolley, CBFO	ED
D.C. Gadbury	ED
M. Stapleton, CBFO	ED
J. Lopez, CBFO	ED
K.Y Craft, CBFO	ED
S. Dunagan, NWP	ED
S. Strong, NWP	ED
V. Ballew, NWP	ED
S. Saiz, NWP	ED
A. Boyea, NWP	ED
P. Hester, NWP	ED
R. Taylor, NWP	ED
J. Ellis, EPA	ED
T. Peake, EPA	ED
R. Shean, NMED	ED
D. Biswell, NMED	ED
M. McLean, NMED	ED
N. Barka, NMED	ED
S. Kopp, CTAC	ED
W. Ledford, CTAC	ED
S. Gomez, CTAC	ED
R. Castillo, CTAC	ED
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J. Maupin, CBFO	ED
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*ED denotes electronic distribution

CARLSBAD FIELD OFFICE AUDIT PLAN

Audit Number: A-22-03

Organization to be Audited: Nuclear Waste Partnership LLC (NWP)

Organizations to be Notified: NWP

Date and Location: February 1 – 3, 2022
Waste Isolation Pilot Plant (WIPP) near Carlsbad, New Mexico

Audit Team:	Joe Lopez	Carlsbad Field Office (CBFO) Quality Assurance Management Representative
	Paul Gomez	Audit Team Leader, CBFO Technical Assistance Contractor (CTAC)
	Kathy Hood	Auditor, CTAC
	Paul Gilbert	Auditor, CTAC
	Sherry Auckland	Auditor, CTAC

Audit Scope:

The audit will evaluate the adequacy, implementation, and effectiveness of the NWP Quality Assurance (QA) Program related to Criteria 1 through 9 of the *Quality Assurance Program Requirements for Nuclear Facilities (ASME NQA-1, 1989 Edition)*, and the corresponding sections of the CBFO *Quality Assurance Program Document (QAPD)*.

Activities/Tasks/Areas to be Included in the Audit:

Implementation of the following quality elements of NQA-1 will be evaluated:

- Organization
- Quality Assurance Program
- Design Control
- Procurement Document Control
- Instructions, Procedures, and Drawings
- Document Control
- Control of Purchased Items and Services
- Identification and Control of Items
- Control of Processes

Governing Documents/Requirements:

Adequacy, implementation, and effectiveness of the NWP QA Program will be based on current versions of the following documents:

- DOE/CBFO-94-1012, *Quality Assurance Program Document (QAPD)*
- WP 13-1, *NWP Quality Assurance Program Description*
- NWP implementing procedures

Checklists will be developed from selected NWP procedures that implement the requirements of NQA-1 Criteria 1 through 9.

Schedule of Audit Activities:

A pre-audit conference is scheduled for 8:30 a.m. on Tuesday, February 1, 2022, and will be conducted at the WIPP site in room locations to be determined prior to the audit.

Daily management briefings will be held at 8:30 a.m. on Wednesday and Thursday, February 2 and 3, 2022, and will be conducted at the WIPP site in room locations to be determined prior to the audit.

A post-audit conference is scheduled for 3:00 p.m. on Thursday, February 3, 2022, and will be conducted at the WIPP site in room locations to be determined prior to the audit.

Prepared by: PAUL GOMEZ
(Affiliate)
Paul Gomez, CTAC
Audit Team Leader

Digitally signed by PAUL GOMEZ
(Affiliate)
Date: 2022.01.05 14:15:15 -07'00'

Date: _____

Concurrence: DARREN JOLLEY
Darren Jolley, Director
CBFO Quality Assurance Division

Digitally signed by DARREN
JOLLEY
Date: 2022.01.10 07:39:24 -07'00'

Date: _____