



Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
June 30, 2022

Ms. Marty Gonzales, Manager
Project Services
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Subject: Contract DE-EM0001971 - Verification and Acceptance of Corrective Actions
for CBFO CAR 22-031, Resulting from Audit A-22-03

Dear Ms. Gonzales:

Enclosed are the results of the Carlsbad Field Office (CBFO) evaluation and verification of documentation demonstrating completion of the corrective actions associated with CBFO Corrective Action Report (CAR) 22-031, which resulted from Audit A-22-03, Nuclear Waste Partnership Quality Assurance Project Document Criteria 1-9. The results of the verification are documented in the enclosed CAR Continuation Sheets, and indicate that the documentation of completion of corrective actions is acceptable, the subject CAR is considered closed.

This letter provides technical direction only in accordance with the Technical Direction Clause (H.10) of the contract, and may not be construed to constitute a change to the contract. Any questions concerning allowability under, or changes to, the contract must be resolved by Mr. Daniel Burke, CBFO Contracting Officer, at (575) 361-0008 prior to your proceeding in any manner that might obligate the Government beyond the existing contract.

If you have any questions, please contact Mr. Joe Lopez, CBFO Quality Assurance Division, at (575) 200-0716. For all other questions, please contact myself at (575) 361-4788.

Sincerely,

KY Craft Jr. Digitally signed by KY
Craft Jr.
Date: 2022.06.30 07:58:55
-06'00'

K. Y. Craft, Jr.
Alternate Contracting Officer's Representative
Carlsbad Field Office

Enclosure

cc: w/enclosure
R. Knerr, CBFO *ED
M. Bollinger, CBFO ED
E. Garza, CBFO ED
D. Burke, CBFO ED
D. Jolley, CBFO ED
M. Stapleton, CBFO ED
J. Lopez, CBFO ED
J. Montemayor, CBFO ED
J. Biesecker, CBFO ED
S. Dunagan, NWP ED
S. Strong, NWP ED
K. Stone, NWP ED
M. Percy, NWP ED
C. Lockhart, NWP ED
D. Ivey, NWP ED
V. Ballew, NWP ED
S. Saiz, NWP ED
A. Boyea, NWP ED
R. Taylor, NWP ED
B. Gracey, NWP ED
J. Ellis, EPA ED
T. Peake, EPA ED
R. Shean, NMED ED
R. Maestas, NMED ED
D. Biswell, NMED ED
M. McLean, NMED ED
S. Kopp, CTAC ED
W. Ledford, CTAC ED
S. Gomez, CTAC ED
R. Castillo, CTAC ED
C. Castillo, CTAC ED
P. Hinojos, CTAC ED
P. Gomez, CTAC ED
D. Harvill, CTAC ED
J. Maupin, CTAC ED
G. White, CTAC ED
W. Price, CTAC ED
V. Hall, CTAC ED
K. Hood, CTAC ED
Site Documents ED
S. Sifuentes, SNL ED
CBFO M&RC ED
CBFO QA File ED
*ED denotes electronic distribution

CAR CONTINUATION SHEET

1. CAR No: 22-031

2. Activity No: A-22-03

3. Page 1 of 2

Blocks #17 & #18 Acceptance of Corrective Action Completion and Closure:

The Carlsbad Field Office (CBFO) has reviewed the closure package for Corrective Action Report (CAR) 22-031, including objective evidence and supporting documentation, submitted via Nuclear Waste Partnership LLC (NWP) letter CO:22:03014, UFC:4250.00, dated May 17, 2022, from Ms. M. P. Gonzales, Manager, NWP Project Services, to Mr. Daniel Burke, Contracting Officer, CBFO Office of Business Operations.

Italicized text, taken verbatim from the Corrective Action Plan (CAP), is used to reflect the correlation between the actions required by the CAR and the method used for evaluation.

REMEDIAL ACTIONS

ECN 14608, ECN 14616, ECN 14572, ECN 14613, and ECN 14444 were corrected during the review. The ECN checkers were given remedial training in the interim until full extent of condition is realized and an additional check was added to final ECNs before submittal.

Verification:

The Remedial Actions were evaluated and found to be acceptable, as documented in CBFO CAP acceptance letter CBFO:QAD:JL:JM:22-0175:UFC 2300.00.

INVESTIGATIVE ACTIONS

Extent of Condition – multiple instances of various errors were observed in a sampling by Engineering of missing signatures/dates and markings. Further investigation is required.

Impact of Deficiency – Unknown until a full investigation can be done; however, all actions of all sampled ECNs and those corrected did not have any steps that were not performed and all actions with missing signatures and/or dates were verified.

Verification:

The Remedial Actions were evaluated and found to be acceptable, as documented in CBFO CAP acceptance letter CBFO:QAD:JL:JM:22-0175:UFC 2300.00.

CAUSAL ANALYSIS

Not required

ACTIONS TO PRECLUDE RECURRENCE

A checklist will be developed to aid in closure of ECNs for the records coordinator that includes the cover sheet. Additionally the form will be revamped to be in chronological order to assist with filling it out properly (it has been added to at the bottom of the years and never reorganized). The instructions will also be reviewed and updated to ensure clarity in how the cover sheet is filled out.

CAR CONTINUATION SHEET

1. CAR No: 22-031	2. Activity No: A-22-03	3. Page 2 of 2
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COMMITMENTS	DUE DATES
<i>Remedial Training.</i>	<i>Completed</i>
<i>Develop Checklist for Coverpage.</i>	<i>April 20, 2022</i>
<i>Revamp Coversheet.</i>	<i>April 27, 2022</i>
<i>Revise Procedure to clarify use of Checklist and Coversheet.</i>	<i>May 4, 2022</i>
<i>Provide closure documentation to NWP Quality Assurance (QA).</i>	<i>May 11, 2022</i>
<i>NWP QA, transmit closure documentation to the CBFO.</i>	<i>May 25, 2022</i>
 Verification: Verified actions to preclude recurrence are acceptable through review of objective evidence and supporting documentation submitted in the CAR 22-031 closure package. The reviewed documentation included:	
<ul style="list-style-type: none">• Remedial training log showing the required reading (i.e., ECN Instructions) assigned to all NWP Engineering personnel• ECN Checklist, EA09CN3022-3-0, added to WP 09-CN3022, Rev. 20, <i>Engineering File Room Operations</i>• Revised ECN coversheet, EA09CN3007-1-0, Rev. 14, <i>Engineering Change Notice</i>, including the ECN Instructions/Checklist• Revised ECN procedure, WP 09-CN3007, Rev. 53, <i>Engineering Change Notice</i>, to align with EA09CN3007 -1-0, Rev. 14• E-mail transmitting documents to CBFO	
CLOSURE ACCEPTANCE Based on the results of the review and verification of the objective evidence included in the CAR 22-031 closure package, it is recommended that CAR 22-031 be closed.	
VIVIEN HALL (Affiliate)	Digitally signed by VIVIEN HALL (Affiliate) Date: 2022.06.23 16:13:02 -07'00'
_____ Evaluation Performed By: Vivien Hall	_____ Date: