



Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
July 25, 2022

Ms. Marty Gonzales, Manager
Project Services
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Subject: Contract DE-EM0001971 - Notification and Plan for CBFO Surveillance S-22-20, Nuclear Filter Technology-Engineered Products Division Compliance with Purchase Order 501653

Dear Ms. Gonzales:

Please be advised that the Carlsbad Field Office will conduct Surveillance S-22-20 to evaluate Nuclear Filter Technology-Engineered Products Division (NFT-EPD) Compliance with current Purchase Order 501653. The surveillance is scheduled for August 9 – 11, 2022, at the NFT-EPD facility in Carlsbad, New Mexico. The surveillance will be conducted in accordance with the enclosed surveillance plan.

You are requested to provide the resources necessary to coordinate with the surveillance team, provide knowledgeable personnel to support the surveillance, arrange for appropriate space to conduct meetings, and provide the surveillance team with access to appropriate documentation and records.

This letter provides technical direction only in accordance with the Technical Direction Clause (H.10) of the contract, and may not be construed to constitute a change to the contract. Any questions concerning allow-ability under, or changes to, the contract must be resolved by Mr. Daniel Burke, CBFO Contracting Officer, at (575) 361-0008 prior to your proceeding in any manner that might obligate the Government beyond the existing contract.

If you have any questions, please contact Mr. Mike Stapleton, CBFO Quality Assurance Division, at (814) 421-3322. For all other questions, please contact myself at (575) 361-4788.

Sincerely,

KY Craft
Jr.

K. Y. Craft
Alternate Contracting Officer's Representative
Carlsbad Field Office

Digitally signed by
KY Craft Jr.
Date: 2022.07.25
12:29:06 -06'00'

Enclosure

cc: w/enclosure

R. Knerr, CBFO	*ED	J. Ellis, EPA	ED
M. Bollinger, CBFO	ED	T. Peake, EPA	ED
K. Princen, CBFO	ED	R. Shean, NMED	ED
E. Garza, CBFO	ED	R. Maestas, NMED	ED
D. Jolley, CBFO	ED	D. Biswell, NMED	ED
J. Lopez, CBFO	ED	M. McLean, NMED	ED
J. Montemayor, CBFO	ED	S. Kopp, CTAC	ED
J. Biesecker, CBFO	ED	W. Ledford, CTAC	ED
A. Walker, CBFO	ED	S. Gomez, CTAC	ED
M. Toothman, CBFO	ED	R. Castillo, CTAC	ED
D. Smith, CBFO	ED	S. Shafer, CTAC	ED
J. Mason, CBFO	ED	E. Lyles, CTAC	ED
J. Prentiss, NFT-EPD	ED	J. Maupin, CTAC	ED
T. Sellmer, NWP	ED	D. Harvill, CTAC	ED
J. Fisher, NWP	ED	G. White, CTAC	ED
S. Dunagan, NWP	ED	W. Price, CTAC	ED
M. Percy, NWP	ED	CBFO M&RC	
C. Lockhart, NWP	ED	ED	
K. Stone, NWP	ED	CBFO QA File	
R. Taylor, NWP	ED	*ED denotes electronic distribution	
S. Strong, NWP	ED		
D. Ivey, NWP	ED		
V. Ballew, NWP	ED		
S. Saiz, NWP	ED		
A. Boyea, NWP	ED		

**CARLSBAD FIELD OFFICE
SURVEILLANCE PLAN**

Surveillance Number:	S-22-20	
Organization:	Nuclear Filter Technology-Engineered Products Division (NFT-EPD), LLC	
Date and Location of Surveillance:	August 9 – 11, 2022 NFT-EPD, Carlsbad, NM	
Surveillance Team:	Micheal Stapleton	Carlsbad Field Office (CBFO) Quality Assurance Lead (QAL)
	Stephen Shafer	Surveillance Team Leader, CBFO Technical Assistance Contractor (CTAC)
	Eric Lyles	Surveillance Team Member, CTAC

Surveillance Scope:

The surveillance team will evaluate NFT-EPD compliance with the current Statement of Work (SOW) from Purchase Order (PO) 501653. The surveillance team will also review current documentation, conduct personnel interviews, and verify the implementation and effectiveness of applicable maintenance and repair elements, NFT-EPD plans, and procedures.

These elements may include Procurement Document Control, Control of Purchased Material, Equipment and Services, Identification and Control of Materials, Parts and Components, Internal Inspection, Control of Measuring and Test Equipment, Handling, Inspection, Test and Operating Status, Nonconforming Materials, Parts, or Components, Quality Assurance, and Corrective Action.

Current Governing Documents/Requirements/Implementing Procedures to include:

- CH-TRAMPAC
- DOE/WIPP 02-3183, CH Packaging Program Guidance
- DOE/WIPP 02-3184, CH Packaging Operations Manual
- DOE/WIPP 02-3185, CH Packaging Maintenance Manual
- TRUPACT-III TRAMPAC
- DOE/WIPP 11-3451, TRUPACT-III Operations Manual
- DOE/WIPP 11-3456, *TRUPACT-III Program Guidance*

- SCA-QGR-0001, Q-List and Quality Category Assessments for the Shielded Container Assembly
- RH-TRAMPAC
- DOE/WIPP 02-3283, RH Packaging Program Guidance
- DOE/WIPP 02-3284, RH Packaging Operations Manual
- DOE/WIPP 02-3285, RH Packaging Maintenance Manual
- 10 CFR 71, Packaging and Transportation of Radioactive Packaging

Schedule of Surveillance Activities:

The surveillance is scheduled for August 9 – 11, 2022, at the NFT-EPD facility in Carlsbad, NM.

A pre-surveillance conference will be conducted at 8:00 a.m. on Tuesday, August 9, 2022, at the NFT-EPD facility.

The surveillance will be conducted from 8:00 a.m. until 3:00 p.m., Tuesday and Wednesday, August 9 – 10, 2022, and from 8:00 a.m. until 2:00 p.m. on Thursday, August 11, 2020.

Daily management briefings, if needed, will be conducted at 8:00 a.m. on Wednesday and Thursday, August 10 – 11, 2020, at the NFT-EPD facility.

A post-surveillance conference, if needed, will be conducted at 3:00 p.m. on Thursday, August 11, 2020, at the NFT-EPD facility.

Prepared by: _____
STEPHEN SHAFER (Affiliate) Digitally signed by STEPHEN SHAFER (Affiliate)
Date: 2022.07.18 06:55:26 -04'00'
Stephen B. Shafer, CTAC
Surveillance Team Leader

Date: _____

Concurrence by: _____
MICHEAL STAPLETON Digitally signed by MICHEAL STAPLETON
Date: 2022.07.19 06:41:05 -06'00'
Micheal Stapleton, CBFO
Quality Assurance Lead

Date: _____