

United States Government

Department of Energy

**memorandum**Carlsbad Area Office  
Carlsbad, New Mexico 88221

DATE: June 4, 1997  
 REPLY TO: CAO:NTP:RAS 97-1149 UFC 2300  
 ATTN OF:



SUBJECT: Audit (A-97-03) of Rocky Flats Environmental Technology Site (RFETS) Characterization and Certification Programs

TO: Keith Klein, Deputy Manager, Technical Programs, RFFO

Please be advised that the audit of the Rocky Flats Environmental Technology Site (RFETS) TRU waste characterization, certification, and transportation program has been rescheduled from June 2-6, 1997, to July 14-18, 1997.

This delay is to give RFETS additional time to get their required governing documents approved and implemented for the audit. It has been our experience, from the recent certification audits of INEEL and LANL, that the sites need to have these documents in place and implemented at least thirty days prior to the audit. This will give the sites time to produce sufficient evidence of implementation and will allow the Carlsbad Area Office the time to properly prepare the audit checklists and conclude the required adequacy review of the sites' program.

The governing documents required by the sites are their Waste Certification Plan (WCP), Certification Quality Assurance Plan (QAP), Quality Assurance Project Plan (QAPjP), Site-Specific TRAMPAC, Packaging QA Program Plan, Sampling Plan, QAPD Procedures Matrix, the QA Grading Procedure, and all Standard Operating Procedures (SOPs) for the process and areas to be audited.

Our records show that all of RFETS' governing documents are in place except for the following:

Document	Status	Final Approval Authority	Planned Completion
QAPJP	Incorporating Comments	CAO	6/6/97
QA Grading Procedure	In CAO review	CAO	6/13/97
QAPD Procedures Matrix	Sent to CAO for review 6/3/97	CAO	6/11/97
Sampling Plan	In RFETS review	RFETS	6/13/97
Several SOPs(15-20)	Under RFETS development	RFETS	6/13/97



Keith Klein

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These documents must be approved and in place by June 13, 1997, in order for the CAO to carry out the audit of the RFETS TRU waste characterization, certification, and transportation program on July 14-18, 1997, as currently scheduled. The CAO is committed to expediting our review of those documents that we have final approval authority over to assist RFETS in meeting this milestone.

If you have any questions or comments, please contact Butch Stroud, NTP Certification Manager, at 505-234-7483, or Denny Brown, QA Manager, at 505-234-7484.



E. Kent Hunter, Assistant Manager  
Office of National TRU Waste Operations

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