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United States Government

Department of Energy

Memorandum

Carlsbad Area Office
Carlsbad, New Mexico 88221

DATE: April 20, 1999

REPLY TO
ATTN OF: CAO:QA:MAI:99-0803:UFC 2300.00

SUBJECT: Issuance of Corrective Action Reports (CARs) 99-041 and 99-042 from CAO Audit A-99-09

TO: Joe Legare, RFFO

The Carlsbad Area Office (CAO) performed Audit A-99-09 on April 12-15, 1999, of Rocky Flats Environmental Technology Site's (RFETS) TRU waste characterization for Salt Residue Stabilization and LECO Crucible Repackaging activities. The audit team identified two (2) conditions adverse to quality, which have been identified on Corrective Action Reports (CARs) 99-041 and 99-042.

Please provide the requested Corrective Action Plans to this office prior to the response due date identified in CAR block 14. The corrective action plan should include remedial and investigative actions taken, and should identify probable root cause to support actions taken to preclude recurrence of the deficiencies. If you have any questions or comments, please contact me at (505) 234-7484 or Beth Bennington at (505) 234-7482.



Marc A. Italiano
Quality Assurance Manager

Attachments

990414



Joe Legare, RFFO

-2-

cc w/attachments:

CAO QA File

L. Chism, CAO

B. Bennington, CAO

S. Vega, CAO

B. Stroud, CAO

M. Eagle, EPA

S. Monroe, EPA

S. Zappe, NMED

B. Walker, EEG

D. Winters, DNFSB

M. Castagneri, RFETS

J. O'Leary, RFETS

T. Bowden, CTAC

C. Riggs, CTAC

J. R. Stroble, WID



CORRECTIVE ACTION REPORT

1. CAR No.: 99-042	2. Activity Report No.: A-99-09	3. Page <u>1</u> of <u>1</u>
4. Controlling Document: CAO-94-1012, Rev 2, <i>Quality Assurance Program Document</i>	5. CAO Assessment Team Leader: C. L. Riggs	
6. Responsible Organization: DOE RFFO	7. CAQ Was Discussed With: Jerry O'Leary	
8. Requirement that was violated: QAPD Section 1.2, <u>Personnel Qualification and Training</u> states, "Personnel shall be trained and qualified to ensure they are capable of performing their assigned tasks and to ensure that job proficiency is maintained.		
9. Condition Adverse to Quality: The Quality Assurance Officer performing the Technical Supervisors Review of Calorimetric Assay Data Packages is required to verify that Data are technically reasonable based on Knowledge of Measurement Technique. For Testing Batch #99AR0215 in Package # CALG-OP-00270, the person performing the Review is not qualified to determine the Technical Reasonableness of the Data based upon lack of significant knowledge of the measuring technique.		
10. Suggested Actions (Optional):		
11. Significant Condition Adverse to Quality (Yes or No)?: NO X		
12. Types of Actions: Remedial: <u>X</u> Investigative: <u>X</u> Root Cause: <u>X</u> Actions to Preclude Recurrence: <u>X</u>		
13. CAR Initiator: <u>Steve Hans, Vann Bynum</u> Date: <u>April 14, 1999</u>		
14. Response Due Date: <u>May 7, 1999</u> Corrective Action Plan Required: YES		
15. Trend Cause Code: _____		
16. Concurrence: <u><i>C. L. Riggs</i></u> <u>4/20/99</u> Assessment Team Leader Date		
17. Corrective Actions Proposed by the Responsible Organization (for SCQA only): Use CAR Continuation Sheet		
18. Acceptance of Proposed Corrective Actions (for SCAQ only):		
_____	_____	_____
Assessment Team Leader	Date	Quality Assurance Manager
19. Verification of Corrective Action Completion:		
20. Verified By: _____		
Name	Date	
21. Closure: _____		
Assessment Team Leader	Date	